

FINANCIAL DISCLOSURE REPORT  
FINAL FILING

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (Last name, First name, Middle initial) Smith, Fern M	2. Court or Organization District Court - Northern CA	3. Date of Report 7/27/2005
4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Retired	5. ReportType (check appropriate type) <input type="radio"/> Nomination,      Date <input type="radio"/> Initial <input type="radio"/> Annual <input checked="" type="radio"/> Final	6. Reporting Period 1/1/2004 to 6/30/2005
7. Chambers or Office Address United States District Court 450 Golden Gate Avenue San Francisco, CA 94102	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

NONE - (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Trustee

Trust #1 f/k/a [REDACTED] Trust (All assets listed Part VII)

2. Trustee

Trust #2 f/k/a IRA Trust (All assets listed Part VII)

3.

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

NONE - (No reportable agreements.)

DATE

PARTIES AND TERMS

1.

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**III. NON-INVESTMENT INCOME.** (Reporting individual and spouse; see pp. 17-24 of filing instructions)**A. Filer's Non-Investment Income** **NONE** - (No reportable non-investment income.)

	<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>GROSS INCOME</u> (yours, not spouse's)
1.	6/02/04	Lexis-Nexis Group (Edit Publication)	\$528.00
2.	10/27/04	Lexis-Nexis Group (Edit Publication)	\$320.00
3.	3/23/05	Lexis-Nexis Group (Edit Publication)	\$435.29
4.	5/04/05	Lexis-Nexis Group (Edit Publication)	\$704.00

**B. Spouse's Non-Investment Income** - (If you were married during any portion of the reporting year, please complete this section. Dollar amount not required except for honoraria.) **NONE** - (No reportable non-investment income.)

	<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.		

**IV. REIMBURSEMENTS** -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

 **NONE** - (No such reportable reimbursements.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>
1.		Please see Section IV. REIMBURSEMENTS (Attached)

#### IV. REIMBURSEMENTS

2/13-26/04	Amman, Jordan	ABA-CEELI Women and the Law Workshop Faculty Airfare, lodging & meals reimbursed
3/19-21/04	Carmel, CA	Association of Business Trial Lawyers Joint Board Retreat Participant Mileage, lodging & meals reimbursed
4/14-17/04	New Orleans, LA	ALI-ABA New Directions in Opinion/Expert Testimony Faculty Airfare, lodging & meals reimbursed
5/16-17/04	Washington, DC	ABA-CEELI Advisory Board Meeting Participant Airfare, lodging & meals reimbursed
9/12-15/04	Washington, DC	Science, Technology & Law Meeting Participant Airfare, lodging & meals reimbursed
9/15-18/04	Sao Paulo, Brazil	U. S. Delegation Meetings Participant Airfare, lodging & meals reimbursed
9/18-26/04	Porto Alegre, Brazil	Brazilian Judicial Conference Participant Airfare, lodging & meals reimbursed
10/10-13/04	Nashville, TN	National Conference of Bankruptcy Judges Faculty Airfare, lodging & meals reimbursed
10/13-17/04	New York City, NY	SKAPP Conference: <i>Sequestered Science</i> Participant Airfare, lodging & meals reimbursed
10/19-24/04	Kohala Coast, HI	ABTL Conference Participant Airfare, lodging & meals reimbursed
10/30-11/2/04	Ottawa, Canada	International Judicial Conference Participant Airfare, lodging & meals reimbursed
12/2-3/04	New York, NY	ALI-ABA Evidence Program Faculty Airfare, lodging & meals reimbursed

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**V. GIFTS.** (Includes those to spouse and dependent children. See pp. 28-31 of instructions.)

**NONE** - (No such reportable gifts.)

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		

**VI. LIABILITIES.** (Includes those of spouse and dependent children. See pp. 32-34 of instructions.)

**NONE** - (No reportable liabilities.)

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		

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**VII. INVESTMENTS and TRUSTS** - income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div. rent. or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
<input type="checkbox"/> NONE (No reportable income, assets, or transactions)									
1. Trust #1 f/k/a [REDACTED] Trust	D	Dividend.	P1	T					
2. -Merrill Lynch Money Market									
3. -NUV Insd Opp Fund									
4. -Baxter Int. PFD 7%									
5. -Chevron Texaco Corp. (common)									
6. -SPDR Consumers (fund)									
7. -SPDR Energy (fund)									
8. -SPDR Financial (fund)									
9. -SPDR Ind (fund)									
10. -SPDR Dep. Rec.									
11. -Sipple Inv. LLC									
12. [REDACTED] Partnership	E	Dividend	P1	T					
13. -Fiserv (common)									
14. -IBM (stock)									
15. -Bank America Corp. (common)									
16. -Merck & Co. (common)					Sell	7/1	K	C	
17. -First Australia Fund (MF) (now Aberdeen Australia)									
18. -General Motors (common)					Sell	6/28	J	B	

1. Income/Gain Codes: A = \$1,000 or less; B = \$1,001-\$2,500; C = \$2,501-\$5,000; D = \$5,001-\$15,000; E = \$15,001-\$50,000; F = \$50,001-\$100,000; G = \$100,001-\$1,000,000; H1 = \$1,000,001-\$5,000,000; H2 = More than \$5,000,000

2. Value Codes: J = \$15,000 or less; K = \$15,001-\$50,000; L = \$50,001-\$100,000; M = \$100,001-\$250,000; N = \$250,000-\$500,000; O = \$500,001-\$1,000,000; P1 = \$1,000,001-\$5,000,000; P2 = \$5,000,001-\$25,000,000; P3 = \$25,000,001-\$50,000,000; P4 = More than \$50,000,000

3. Value Method Codes: Q = Appraisal; R = Cost (Real Estate Only); S = Assessment; T = Cash/Market; U = Book Value; V = Other; W = Estimated

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						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
19. -Applied Materials									
20. -Intel (common)									
21. -LSI Logic					Sell	6/30	J	A	
22. -McKesson HBOC, Inc. (common)									
23. -Oracle Corp. (common)									
24. -3Com Corp.(common)									
25. -Ascential Software									
26. -Delphi Automotive									
27. -Pfizer Inc.									
28. -Schlumberger Ltd.									
29. Trust #2 f/k/a IRA Trust	C	Interest	C	T					
30. -Evergreen Money Market f/k/a Schwab Money Market									
31. -GE Cap 7/5% 2005									
32. -Pfizer 3.625% 2004									
33. -ATT&T Wireless									
34. -Delphi Corp									
35. -Duke Energy									
36. -G.E. Corp.									

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 (See Columns B1 and D4) F = \$50,001-\$100,000 G = \$100,001-\$1,000,000 H1 = \$1,000,001-\$5,000,000 H2 = More than \$5,000,000
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 (See Columns C1 and D3) N = \$250,000-\$500,000 O = \$500,001-\$1,000,000 P1 = \$1,000,001-\$5,000,000 P2 = \$5,000,001-\$25,000,000  
 P3 = \$25,000,001-\$50,000,000 P4 = \$More than \$50,000,000
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						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
37. -Hewlett-Packard					Sell	6/24	J	A	
38. -Honeywell Int.					Sell	6/30	J	A	
39. -IBM					Sell	7/18	J	A	
40. -Lucent Tech					Sell	6/17	J	A	
41. -Oracle					Sell	7/18	J	A	
42. -Pfizer									
43. -Sara Lee									
44. -Sempra Energy					Sell	6/27	J	A	
45. -Comcast					Sell	6/27	K	C	

1. Income/Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001-\$100,000	B = \$1,001-\$2,500 G = \$100,001-\$1,000,000	C = \$2,501-\$5,000 H1 = \$1,000,001-\$5,000,000	D = \$5,001-\$15,000 H2 = More than \$5,000,000	E = \$15,001-\$50,000
2. Value Codes: (See Columns C1 and D3)	J = \$15,000 or less N = \$250,000-\$500,000 P3 = \$25,000,001-\$50,000,000	K = \$15,001-\$50,000 O = \$500,001-\$1,000,000	L = \$50,001-\$100,000 P1 = \$1,000,001-\$5,000,000 P4 = \$5,000,001-\$25,000,000	M = \$100,001-\$250,000 P2 = \$5,000,001-\$25,000,000	
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**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS**

(Indicate part of Report.)

*[Faint handwritten signature]*



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**IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



Date

*July 26, 2005*

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

**FILING INSTRUCTIONS****Mail signed original and 3 additional copies to:**

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544