

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2011**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Smith, Ortrie D.	2. Court or Organization U.S.D.C. MO, Western District	3. Date of Report 4/10/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Court Judge (Senior)	5a. Report Type (check appropriate type) <input type="checkbox"/> Nomination <input type="checkbox"/> Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final 5b. <input type="checkbox"/> Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address Room 8552 U.S. Courthouse 400 East 9th Street Kansas City, MO 64106		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

NONE *(No reportable positions.)*

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Director	YMCA of the Rockies
2. Director	Lawyers Encouraging Academic Performance (LEAP)
3.	
4.	
5.	

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

NONE *(No reportable agreements.)*

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> <i>(yours, not spouse's)</i>
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Chris Gentile	Ticket - Masters Golf Tournament	\$900.00
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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Name of Person Reporting Smith, Ortrie D.	Date of Report 4/10/2012
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Intel Corp - Com Stk	A	Dividend			Sold	03/03/11	J	D	
2. Microsoft Corp - Com Stk	A	Dividend	K	T					
3. Oracle Corp	A	Dividend	K	T	Sold (part)	01/03/11	J	A	
4.					Sold (part)	03/03/11	J	A	
5. Garmin Ltd.	B	Dividend	K	T					
6. Ishares MSCI	A	Dividend	J	T					
7. Vanguard 500 Index Fund	A	Dividend	K	T					
8. Cerner Corp.		None	K	T	Sold (part)	01/03/11	J	D	
9.					Sold (part)	03/03/11	J	C	
10. Berkshire Hathaway, CL B		None	K	T					
11. Russell Microcap Index	A	Dividend			Sold	9/13/11	J	A	
12. Ishares DJ US Energy Sector Index Fund	A	Dividend	K	T					
13. Ishares TR Russell 2000 Value Index Fund	A	Dividend			Sold	07/05/11	K	A	
14. Ishares TR Russell 2000 Index Fund	A	Dividend			Sold	11/15/11	K	A	
15. Ishares Trust S&P Smallcap 600 Index Fund	A	Dividend			Sold	11/15/11	K	A	
16. American International Group	A	Dividend			Sold	01/18/11	J	D	
17. Ishares Cohen & Steers Realty	A	Dividend			Sold	11/15/11	K	A	

1. Income Gain Codes: A=\$1,000 or less; B=\$1,001 - \$2,500; C=\$2,501 - \$5,000; D=\$5,001 - \$15,000; E=\$15,001 - \$50,000
 (See Columns B1 and D4) F=\$50,001 - \$100,000; G=\$100,001 - \$1,000,000; H1=\$1,000,001 - \$5,000,000; H2=More than \$5,000,000
 2. Value Codes: J=\$15,000 or less; K=\$15,001 - \$50,000; L=\$50,001 - \$100,000; M=\$100,001 - \$250,000
 (See Columns C1 and D3) N=\$250,001 - \$500,000; O=\$500,001 - \$1,000,000; P1=\$1,000,001 - \$5,000,000; P2=\$5,000,001 - \$25,000,000
 P3=\$25,000,001 - \$50,000,000; P4=More than \$50,000,000
 3. Value Method Codes: Q=Appraisal; R=Cost (Real Estate Only); S=Assessment; T=Cash Market
 (See Column C2) U=Book Value; V=Other; W=Estimated

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Name of Person Reporting Smith, Ortrie D.	Date of Report 4/10/2012
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	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. Ishares GS Natural Resources	A	Dividend			Sold	11/15/11	K	A	
19. Ishares MSCI & Merging Markets	A	Dividend			Sold	11/15/11	K	A	
20. Ishares Morningstar	A	Dividend			Sold	11/15/11	K	A	
21. Ishares TR DJ Basic Mat Index	A	Dividend			Sold	11/15/11	K	A	
22. Powershares QQQ Trust Series I	A	Dividend	K	T					
23. McDonalds Corp.	A	Dividend	K	T					
24. Southern Co.	A	Dividend	K	T	Sold (part)	01/03/11	J	A	
25.					Sold (part)	03/03/11	J	A	
26. Highwood Properties, Inc.	A	Dividend	J	T	Buy	11/15/11	J		
27. Invesco Mtg Capital, Inc.	B	Dividend	J	T	Buy	11/15/11	J		
28. Duke Energy Holding	B	Dividend	K	T	Buy	11/16/11	K		
29. Merck & Company, Inc.	B	Dividend	K	T	Buy	11/16/11	K		
30. PPL Corporation	B	Dividend	K	T	Buy	11/16/11	K		
31. Sunoco Logistics Ptnrs, LP	B	Dividend	K	T	Buy	11/16/11	K		
32. BSW Living Trust 2/1/94	D	Int./Div.	N	T					
33. -KCMO Spl Oblig Rfdg Rev Downtown Arena									
34. -PSI Energy, Inc., Deb.									

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35. -TVA Strips Step Up										
36. -TVA Power Bond 1995 Series B										
37. -FHLM Corp Multiclass Mtg Ser [REDACTED]										
38. -FHLM Corp Multiclass Mtg Ser [REDACTED] LL										
39. -FNMA Remic Pass Thru Ctfs [REDACTED] LL										
40. -FHLM Corp Multiclass Mtg Ser [REDACTED] LL										
41. -GNM Assn Ser [REDACTED]										
42. -FNMA Remic Pass Thru Ctfs [REDACTED] [REDACTED]										
43. -FNMA Remic Pass Thru Ctfs [REDACTED] [REDACTED]										
44. -FHLM Corp Multiclass Mtg Ser [REDACTED] [REDACTED]										
45. -Insured Municipal Income Trust Ser No [REDACTED]										
46. -Invesco Global 45 Dividend Ser [REDACTED]										
47. -Missouri Insured Municipal Inc Tr Ser No [REDACTED]										
48. -Missouri Insured Municipal Inc Tr Ser No [REDACTED]										
49. -Missouri Insured Municipal Inc Tr Ser No [REDACTED]										
50. -Missouri Insured Municipal Inc Tr Ser No [REDACTED]										
51. -Wal-Mart Stores Inc										

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52. -Capital Income Builder Fund CL A .									
53. -Lord Abbett Aff'd Fund CL A					Sold	10/18/11	L	A	
54. -Lord Abbett Bond Deb Fund CL A					Sold	10/18/11	K	A	
55. -Franklin MO Tax-Free Inc Fund CL A									
56. -Ferrellgas Partners LP									

- | | | | | | |
|--------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------|-------------------------|
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(See Columns B1 and D4) | A = \$1,000 or less
F = \$50,001 - \$100,000
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O = \$500,001 - \$1,000,000 | C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000 | D = \$5,001 - \$15,000
H2 = More than \$5,000,000
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| 3. Value Method Codes
(See Column C2) | | | | | |

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII,32: The filer became Trustee of the BSW Living Trust on July 1, 2011.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Ortrie D. Smith**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544