

**FINANCIAL DISCLOSURE REPORT  
FOR CALENDAR YEAR 2009**

*Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)*

<b>1. Person Reporting</b> (last name, first, middle initial)  Sykes, Diane S.	<b>2. Court or Organization</b>  Seventh Circuit United States Court of Appeals	<b>3. Date of Report</b>  05/14/2010
<b>4. Title</b> (Article III judges indicate active or senior status, magistrate judges indicate full- or part-time)  Circuit Judge, Active Status	<b>5a. Report Type</b> (check appropriate type) <input type="checkbox"/> Nomination, <input type="checkbox"/> Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final <b>5b.</b> <input type="checkbox"/> Amended Report	<b>6. Reporting Period</b>  01/01/2009 to 12/31/2009
<b>7. Chambers or Office Address</b>  716 United States Court House 517 East Wisconsin Avenue Milwaukee, WI 53202	<b>8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.</b>  Reviewing Officer _____ Date _____	
<b>IMPORTANT NOTES:</b> <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.</i>		

**I. POSITIONS.** *(Reporting individual only; see pp. 9-13 of filing instructions.)*

NONE *(No reportable positions.)*

1.	POSITION	NAME OF ORGANIZATION/ENTITY
2.		
3.		
4.		
5.		

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 U.S. COURTS OF APPEALS  
 SEVENTH CIRCUIT

**II. AGREEMENTS.** *(Reporting individual only; see pp. 14-16 of filing instructions.)*

NONE *(No reportable agreements.)*

DATE	PARTIES AND TERMS
1. 1992	WI Department of Employee Trust Funds (retirement account), no control
2.	
3.	

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**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income** NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)* NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

**IV. REIMBURSEMENTS** *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children, see pp. 25-27 of filing instructions.)* NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	Princeton University, Law & Religion Conference	April 16-18, 2009	Princeton, New Jersey	Panel Discussant	Transportation (Airline & Ground), Lodging, Baggage Fees, Parking & Meals
2.	Alliance Defense Fund/Blackstone Legal Fellowship	August 4-6, 2009	Phoenix, Arizona	Speaker	Transportation (Airline & Ground), Lodging, Baggage Fees, Parking & Meals
3.	The Federalist Society, National Convention	November 11-13, 2009	Washington, D.C.	Speaker & Convention	Transportation (Airline & Ground), Lodging, Baggage Fees, Parking & Meals
4.	Yale University	December 6-8, 2009	New Haven, Connecticut	Moot Court Judge	Transportation (Airline & Ground), Lodging, Baggage Fees, Parking & Meals
5.					

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**V. GIFTS.** (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

NONE (No reportable gifts.)

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1. Federal Judicial Guest Privileges	Union League Club, Chicago, Illinois	See Part VIII
2.		
3.		
4.		
5.		

**VI. LIABILITIES.** (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

NONE (No reportable liabilities.)

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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**VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)**

NONE (No reportable income, assets, or transactions.)

	A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
		(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
		Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1.	BGI Mid Cap Equity-Collective W (via WI Def. Comp. Program)	A		J	T					See Part VIII
2.	T Rowe Price Mid Cap Gr (via WI Def. Comp. Program)	A		J	T					See Part VIII
3.	Fid Contrafund (via WI Def. Comp. Program)	A		J	T					See Part VIII
4.	Vanguard Admiral Treasury Money Market (via WI Def. Comp.)	A		J	T					See Part VIII
5.	BGI US Debt Index Fund (via WI Def. Comp. Program)	A		J	T					See Part VIII
6.	AllianceBerstein US Gov A	A		J	T					See Part VIII
7.	WI Department of Employee Trust Funds, retirement account	C	Interest	L	T					
8.	Interest, Ozaukee Bank	A	Interest	J	T					
9.	College Savings Plan #1--John Hancock Freedom 529 MutualFund	A								See Part VIII
10.	College Savings Plan #1--American Fund 529 Mutual Fund	A		J	T					See Part VIII
11.	College Savings Plan #2--John Hancock Freedom 529 MutualFund	A								See Part VIII
12.	College Savings Plan #2--American Fund 529 Mutual Fund	A		J	T					See Part VIII
13.	College Savings Plan #3--John Hancock Freedom 529 MutualFund	A								See Part VIII
14.	College Savings Plan #3--Vanguard 529 Mutual Fund	A		J	T					See Part VIII
15.	College Savings Plan #4--John Hancock Freedom 529 MutualFund	A								See Part VIII
16.	College Savings Plan #4--Vanguard 529 Mutual Fund	A		J	T					See Part VIII
17.										

1. Income Gain Codes: A = \$1,000 or less; B = \$1,001 - \$2,500; C = \$2,501 - \$5,000; D = \$5,001 - \$15,000; E = \$15,001 - \$50,000  
 (See Columns B1 and D4) F = \$50,001 - \$100,000; G = \$100,001 - \$1,000,000; H = \$1,000,001 - \$5,000,000; I = More than \$5,000,000  
 2. Value Codes: J = \$15,000 or less; K = \$15,001 - \$50,000; L = \$50,001 - \$100,000; M = \$100,001 - \$250,000  
 (See Columns C1 and D3) N = \$250,001 - \$500,000; O = \$500,001 - \$1,000,000; P = \$1,000,001 - \$5,000,000; Q = More than \$5,000,000  
 3. Value Method Codes: Q = Appraisal; R = Cost (Real Estate Only); S = Assessment; T = Cash Market  
 (See Column C2) U = Book Value; V = Other; W = Estimated

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**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of Report.)*

1) Part II. The agreement regarding the Wisconsin Department of Employee Trust Funds commenced in 1992, when Judge Sykes began employment as a Milwaukee County Circuit Judge.

2) Part V, Item 1. The Union League Club of Chicago is a social and civic association with dining, lodging, and athletic facilities located adjacent to the federal complex in Chicago. The Club offers guest privileges to federal judges. The charge for these limited privileges for 2009 was \$936 (full Club membership dues for a guest of the same age for 2009 were \$3,060). Members of the federal judiciary who receive such Club privileges may not hold office, serve on committees, or be entitled to vote. Judicial officers with these guest privileges pay for food and athletic services on the same basis as Club members.

3) Part VII. The income during reporting period (column B(2)) for Assets 1 through 6 and 9 through 16 is market gain/appreciation.

4) College Savings Plans #1 and #2 (entries 9-12) were rolled over from the John Hancock 529 Mutual Fund to the American Fund 529 Mutual Fund.

5) College Savings Plans #3 and #4 (entries 13-16) were rolled over from the John Hancock 529 Mutual Fund to the Vanguard 529 Mutual Fund.

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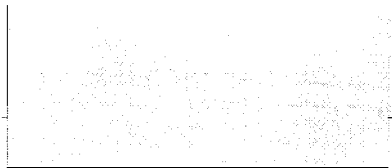
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**IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature \_\_\_\_\_



**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

**FILING INSTRUCTIONS**

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544