AO-10
Rev. 1/2004

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2004

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (Last name, First name, Middle initial) Thompson, Alvin W	2. Court or Organization U.S. District Court (Conn.)	3. Date of Report 5/12/2005
4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active	5. ReportType (check appropriate type) ○ Nomination, Date ○ Initial ◉ Annual ○ Final	6. Reporting Period 1/1/2004 to 12/31/2004
7. Chambers or Office Address United States District Court 450 Main Street Hartford, CT 06103	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer______________ Date__________	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE - (No reportable positions.)

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Vice Chair	Section of Business Law, American Bar Association (1/1/04 to 8/9/04)
2.	Chair-Elect	Section of Business Law, American Bar Association (8/9/04 to 12/31/04)

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☑ NONE - (No reportable agreements.)

	DATE	PARTIES AND TERMS
1.		

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Income

☑ NONE - (No reportable non-investment income.)

	DATE	SOURCE AND TYPE	GROSS INCOME (yours, not spouse's)
1.			

B. Spouse's Non-Investment Income - (If you were married during any portion of the reporting year, please complete this section. Dollar amount not required except for honoraria.)

☐ NONE - (No reportable non-investment income.)

	DATE	SOURCE AND TYPE
1.	2004	Windsor Public Schools

IV. REIMBURSEMENTS – transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

☐ NONE - (No such reportable reimbursements.)

	SOURCE	DESCRIPTION
1.	ABA/Section of Business Law*	Jan. 15-18, 2004; Midwinter Leadership Meeting, Santa Barbara, California. Travel, lodging and meals.
2.	ABA/Section of Business Law*	Jan. 18-21, 2004; Site Visit, Phoenix, Arizona. Travel and lodging (meals provided).
3.	ABA/Section of Business Law*	Mar. 31 - Apr. 5, 2004; Spring Meeting, Seattle, Washington. Travel, lodging and meals.
4.	ABA/Legal Opportunity Scholarship Fund**	June 4, 2004; Committee Meeting, Chicago, Illinois. Travel and lodging (meal provided).
5.	ABA/Section of Business Law*	Aug. 5 - Aug. 10, 2004, Annual Meeting, Atlanta, Georgia. Travel and meals (lodging provided).
6.	ABA/Section of Business Law*	Sept. 10-11, 2004, Section Officers Conference, Chicago, Illinois, Travel and lodging (meal provided).

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IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

☐ NONE - (No such reportable reimbursements.)

	SOURCE	DESCRIPTION
7.	ABA/Commission on the American Jury***	Nov. 4-5, 2004, Committee Meeting. New York, New New. Travel and lodging (meal provided)
8.		* Reimbursed in my capacity as an Officer of the Section of Business Law. See Part I.
9.		** Reimbursed in my capacity as Chair of the ABA/Legal Opportunity Scholarship Fund.
10.		*** Reimbursed in my capacity as a member of the ABA Commission on the American Jury.

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V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of instructions.)

☑ NONE - (No such reportable gifts.)

	SOURCE	DESCRIPTION	VALUE
1.			

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-34 of instructions.)

☑ NONE - (No reportable liabilities.)

	CREDITOR	DESCRIPTION	VALUE CODE
1.			

Name of Person Reporting: Thompson, Alvin W

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VII. INVESTMENTS and TRUSTS -- income, value, transcations (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
						If not exempt from disclosure			
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g. div. rent. or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
1. Rollover IRA, T. Rowe Price*		None	O	T	Part Redemp.	8/2	K		* Continued in Part VIII
2. Rollover IRA, T. Rowe Price*		None	O	T	Part Redemp.	11/30	K		.* Continued in Part VIII
3. Webster Bank Accounts	B	Interest	K	T					
4. Connecticut State G/O College Savings Bonds (Advest, Inc.)	B	Interest	K	T	Part Redemp.	12/15	J		.
5. U.S. Gov't Bonds/Strips - TINT (Advest, Inc.)	A	Interest	K	T	Part Redemp.	8/15	J	A	
6. Vanguard Tax-Managed Growth Income Fund	A	Dividend	K	T					

1. Income/Gain Codes:	A = $1,000 or less	B = $1,001-$2,500	C = $2,501-$5,000	D = $5,001-$15,000	E = $15,001-$50,000
(See Columns B1 and D4)	F = $50,001-$100,000	G = $100,001-$1,000,000	H1 = $1,000,001-$5,000,000	H2 = More than $5,000,000	
2. Value Codes:	J = $15,000 or less	K = $15,001-$50,000	L = $50,001-$100,000	M = $100,001-$250,000	
(See Columns C1 and D3)	N = $250,000-$500,000	O = $500,001-$1,000,000	P1 = $1,000,001-$5,000,000	P2 = $5,000,001-$25,000,000	
	P3 = $25,000,001-$50,000,000		P4 = $More than $50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash/Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS (Indicate part of Report.)

Part VI. As a former partner in the law firm of Robinson & Cole, I may be contingently liable for the debts of the partnership incurred while I was a partner in the firm. I left the firm in October 1994.

Part VII.

Line 1 - Held in three common funds
- T. Rowe Price Small Cap Fund
- T. Rowe Price Value Fund
- T. Rowe Price Spectrum Income Fund

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature [redacted]   Date May 12, 2005

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544