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Rev. 1/2011

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2010

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) THOMPSON, OJETTA R.	**2. Court or Organization** FIRST CIRCUIT COURT OF APPEALS	**3. Date of Report** 08/05/2011
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) CIRCUIT JUDGE	**5a. Report Type (check appropriate type)** ☐ Nomination, Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	**6. Reporting Period** 01/01/2010 to 12/31/2010
7. Chambers or Office Address ONE EXCHANGE TERRACE PROVIDENCE, RHODE ISLAND 02903	**8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.** Reviewing Officer__________ Date________	

IMPORTANT NOTES: *The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.*

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	EMPLOYEE	RHODE ISLAND SUPERIOR COURT
2.	DIRECTOR	THE COLLEGE CRUSADE OF RHODE ISLAND
3.	TRUSTEE	YMCA OF GREATER PROVIDENCE
4.	TRUSTEE EMERITUS	BROWN UNIVERSITY
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☐ NONE *(No reportable agreements.)*

DATE	PARTIES AND TERMS
1. 2010	RHODE ISLAND STATE EMPLOYEES PENSION FUND: PENSION START DATE, APRIL 1, 2010: DEFINED BENEFIT PLAN
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2010	RHODE ISLAND SUPERIOR COURT--SALARY	$31,691.00
2. 2010	RHODE ISLAND EMPLOYEES PENSION FUND--PENSION INCOME	$71,937.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2010	RHODE ISLAND DISTRICT COURT--SALARY
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☐ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	CHASE HOME MORTGAGE	MORTGAGE ON SC PROPERTY #1	M
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. CITIZENS BANK ACCOUNTS	A	Int./Div.	L	T					
2. SOVEREIGN BANK ACCOUNT	B	Int./Div.	M	T					
3. PAWTUCKET CREDIT UNION	A	Int./Div.	L	T					
4. GREENWOOD CREDIT UNION	A	Int./Div.	J	T					
5. REAL ESTATE, MA (Y)									
6. REAL ESTATE, SC #1	E	Rent	O	W					
7. REAL ESTATE, SC #2	D	Rent	L	W					
8. REAL ESTATE, SC #3		None	L	W					
9. REAL ESTATE, SC #4		None	O	W					
10. BROKERAGE ACCOUNT #1									
11. --NEW DISCOVERY FUND	A	Dividend	J	T					
12. --MFS	A	Dividend	J	T					
13. BROKERAGE ACCOUNT #2 IRA									
14. --MFS SER TRw-NEW DISCOVERY	A	Dividend	J	T					
15. --WRK INTEREST--GAS UNIT 19-13 #1, JEFFERSON DAVIS, MS	A	Royalty	J	W					
16. PROVIDENCE, RI RETIREMENT FUND		None	J	T					
17. BROKERAGE ACCOUNT #3 C/F			J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1. SECTION VI--In my previous 2009 filing I listed Sun Trust Mortgage as a liability. I have removed it from this filing as the mortgage secure [redacted] only.

2. SECTION VII--Real Estate listed on line 5 was listed in my 2009 filing but I have removed the financial information from this filing as it is a [redacted] only.

3. SECTION VII--The line 17 Investment is a C/F custodial accout for [redacted] who is now an adult. [redacted] controls the account and reports all income on [redacted] personal federal and state tax returns. I was not aware it should have been reported on my 2009 filing.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ OJETTA R. THOMPSON

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
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