AO 10
Rev. 1/2007

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2006

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Trager, David G	Eastern District of New York	04/25/2007
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type** (check appropriate type)	**6. Reporting Period**
Senior: U.S. District Judge	☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	01/01/2006 to 12/31/2006
7. Chambers or Office Address	**8.** On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.	
225 Cadman Plaza East Brooklyn, New York	Reviewing Officer________ Date________	

***IMPORTANT NOTES:** The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.*

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of instructions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Trustee	Brooklyn Law School
2.	Trustee	Brooklyn Hospital Center
3.		
4.		
5.		

RECEIVED
2007 APR 30 A 9:18
FINANCIAL DISCLOSURE OFFICE

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of instructions.)*

☒ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

Name of Person Reporting	Date of Report
Trager, David G	04/25/2007

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of instructions.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2006	Brooklyn Law School - Adjunct Professor	$ 112605.00
2. 2006	TIAA-CREF (Teachers Insurance Annuity Association/College Retirement Equity Fund) - Pension - Brooklyn Law School; supplemental retirement annuity	$ 37057.00
3.		
4.		
5.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2006	Self-Employed, Consultant
2. 2006	IRA & Keogh distributions
3. 2006	NYS & Local Employees Retirement System
4.	
5.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DESCRIPTION
1.	Federal Bar Council Winter Bench & Bar Conference	Partial reimbursement of travel, lodging and food expenses to attend annual conference in St. Thomas, 2/3-10.
2.	Brooklyn Law School	Partial reimbursement of travel, lodging and food expenses to attend annual meeting of American Law Institute in Washington, D.C., 5/15-17.
3.	Emergency Care Research Institute ("ECRI Institute") - a non-profit agency	PartiaReimbursement of travel, lodging and food expenses to attend 14th Annual Conference on Dilemmas of Risk in Philadelphia, PA, 11/14-16.

Name of Person Reporting	Date of Report
Trager, David G	04/25/2007

4.

5.

Name of Person Reporting	Date of Report
Trager, David G	04/25/2007

V. GIFTS. *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)*

[X] NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children. See pp. 32-33 of instructions.)*

[ ] NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	TIAA/CREF	Loan	K
2.	Chase Equity	Credit Line	L
3.	Benjamin J. Golub	Loan	K
4.			
5.			

Name of Person Reporting	Date of Report
Trager, David G	04/25/2007

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1.	Pension Funds - TIAA/CREF - Retirement Annuity	E	Dividend	O	T					
2.	Salomon Smith Barney IRA & Keogh - Cash Equivalent	A	Interest	J	T					
3.	Yeshiva University Retirement Income Plan (Prudential)		None	L	T					
4.	U.S. Savings Bonds Series EE (face value)		None	J	T					
5.	Salomon Smith Barney - Cash Equivalent	A	Interest	K	T					
6.	Thrift Savings Plan	A	Dividend	M	T					
7.	U.S. Bonds Series HH (face value)		None	L	T					
8.	Listed Securities (all common shares):									
9.	American International Group	A	Dividend	J	T	Partial Sale	12/14	J	A	
10.	Bank of America (formerly Nationsbank)	A	Dividend	J	T					
11.	Bank of New York	A	Dividend	J	T					
12.	H.J. Heinz	A	Dividend	J	T	Sale	12/7	J	A	
13.	International Paper Co.	A	Dividend	J	T	Sale	4/12	J	A	
14.	KeyCorp (formerly Society Corp.)	A	Dividend	J	T	Sale	12/7	J	A	
15.	Keyspan (formerly Brooklyn Union Gas)	A	Dividend	J	T					
16.	Lazard Ltd.	A	Dividend	J	T					
17.	Alcatel/Lucent Technologies		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Trager, David G	04/25/2007

VII. INVESTMENTS and TRUSTS *– income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Pepsico	A	Dividend	J	T					
19. Proctor & Gamble	A	Dividend	J	T	Sale	1/25	J	C	
20. ExxonMobil	A	Dividend	J	T					
21. Verizon (Formerly Bell Atlantic)	A	Dividend	J	T	Sale	11/3	J	A	
22. Lincoln National Corp.	A	Dividend	J	T	Sale	4/12	J	B	
23. Johnson & Johnson	A	Dividend	J	T					
24. National City Corp.	A	Dividend	J	T	Sale	12/7	J	A	
25. First Data Corp.	A	Dividend	J	T	Buy	11/3	J		
26. IBM	A	Dividend	J	T	Buy	12/6	J		
27. Vanguard Growth ETF	A	Dividend	J	T	Buy	4/28	J		
28. Vanguard International European	A	Dividend	J	T	Buy	4/28	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

Name of Person Reporting	Date of Report
Trager, David G	04/25/2007

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

1. [redacted] I [redacted] own shares in a cooperative corporation in which we reside.

2. [redacted] owns a cooperative apartment in which he resides. I am a registered co-owner with right of survivorship, but have no equitable interest in the apartment.

3. Section VII, Line 6: The name of the mutual fund is "SB Concert Allocation Series Inc. High Growth Portfolio CL B."

Name of Person Reporting	Date of Report
Trager, David G	04/25/2007

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature [redacted] **Date** 4/25/07

NOTE: ANY INDIVID[redacted]**LLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544