AO 10 Rev. 1/2012	FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011	Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Trauger, Aleta A.	2. Court or Organization U.S. District Court (MD Tenn)	3. Date of Report 04/13/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Ct.Judge-Active	5a. Report Type (check appropriate type) ☐ Nomination Date ☐ Initial ☑ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address 825 U.S. Courthouse 801 Broadway Nashville, Tennessee 37203		

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

Name of Person Reporting	Date of Report
Trauger, Aleta A.	04/13/2012

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2011	Income from law firm partnership - [redacted] attorney partner in Trauger & Tuke.
2.	
3.	
4.	

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

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Trauger, Aleta A.	04/13/2012

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☐ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	Nashville Bank & Trust	Mortgage on [redacted] law firm bldg. & loan for HVAC units [redacted] joint liability with other partners)	O
2.	Nashville Bank & Trust	Line of credit for law firm partnership	J
3.			
4.			
5.			

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Trauger, Aleta A.	04/13/2012

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Acc'ts. at SunTrust Bank, Nashville, TN	A	Interest	N	T					
2. The Equitable A.B.A. Group IRA	A	Int./Div.	L	T					
3. Robertson Stephens Emerging Growth Fund	A	Int./Div.	M	T					
4. Guilford Co., NC farmland	B	Rent	N	W					
5. Cash surr. value NW Life policy		None	M	T					
6. Cash surr. value of 2 NW Life policies		None	M	T					
7. Parnassus Fund	A	Dividend	L	T					
8. Interest in law firm bldg.		None	N	W					
9. Profit sharing plan- Divers. Trust		None	P1	T					
10. Moore County, NC timber land	A	Rent	M	W					
11. Bank of America Corp.	A	Dividend	J	T					
12. Exxon Mobil Corp.	A	Dividend	K	T					
13. Piedmont Natural Gas Co.	A	Dividend	K	T					
14. Metro Nashville Electric Service Zero Coupon Bonds		None	K	T					
15. TN Housing Development Agcy. Homeownership Bonds	A	Interest	K	T					
16. Wells Fargo Cash Sweep Program	A	Interest	K	T					
17. Elizabethton, TN water & sewer revenue bonds		None	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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Trauger, Aleta A.	04/13/2012

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18. Wells Fargo Brokerage Acct.									
19. - American Balanced Fund, Inc.	B	Dividend			Sold	11/30/11	M		
20. - Capital Income Builder Fund	D	Dividend			Sold	11/30/11	M		
21. - Capital World Growth & Income Fund	D	Dividend			Sold	11/30/11	N		
22. - Growth Fund of America, Inc.	A	Dividend			Sold	11/30/11	M		
23. - New Perspective Fund	A	Dividend			Sold	11/30/11	M		
24. -Fundamental Investors of America	B	Dividend			Sold	11/30/11	M		
25. Lincoln National Corp	A	Dividend	J	T					
26. MGT Holdings		None	J	T					
27. The Providence Service Corp.		None	N	T	Donated (part)	12/27/11	K		
28. Rental Property -Nashville, Davidson Co., TN	E	Rent	O	W					
29. Regions Bank money market acc't. (Morgan Keegan)	B	Interest	J	T					
30. Pinnacle Nat. Bank accounts	A	Interest	K	T					
31. Blount County, TN munic. bonds	A	Interest	K	T					
32. NTT, Inc.		None	J	T	Buy	1/18/11	J		
33. Hirtle, Callaghan Investment Funds									
34. - DWS Tax Exempt INSL Share		None	L	T	Buy	12/5/11	L		

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35.					Buy (add'l)	12/8/11	J		
36. -HCCT Commodities	A	Dividend	L	T	Buy	12/21/11	K		
37.					Buy (add'l)	12/28/11	K		
38. -HCCT Emerging Mkts.	A	Dividend	M	T	Buy	12/21/11	K		
39.					Buy (add'l)	12/28/11	K		
40. -HCCT Fixed Income Opportunity	A	Dividend	J	T	Buy	12/21/11	J		
41.					Buy (add'l)	12/28/11	J		
42. -HCCT Intermed. Muni.		None	M	T	Buy	12/21/11	M		
43.					Buy (add'l)	12/28/11	M		
44. -HCCT Intermed. Muni. II		None	L	T	Buy	12/21/11	K		
45.					Buy (add'l)	12/28/11	K		
46. -HCCT International	A	Dividend	N	T	Buy	12/21/11	M		
47.					Buy (add'l)	12/28/11	M		
48. -HCCT Large Cap Growth	A	Dividend	M	T	Buy	12/21/11	M		
49.					Buy (add'l)	12/28/11	M		
50. -HCCT Large Cap Value		None	M	T	Buy	12/21/11	L		
51.					Buy (add'l)	12/28/11	L		

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2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
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52. -HCCT Small Cap	A	Dividend	K	T	Buy	12/21/11	K		
53.					Buy (add'l)	12/28/11	K		
54. Nelson's Green Brier Distillery, LLC		None	K	T	Buy	11/18/11	K		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

VII.

18 and 33. On 11/30/11, we liquidated all funds at Wells Fargo Brokerage and transferred the proceeds to Hirtle Callaghan & Co.

Name of Person Reporting	Date of Report
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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Aleta A. Trauger

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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