AO 10 Rev. 1/2007

### FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2006

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report				
Tunheim, John R	District of Minnesota	8/13/2007				
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)  U.S. District Judge - Active	5a. Report Type (check appropriate type)  Nomination, Date Initial Annual Final	6. Reporting Period 01/01/2006 to 12/31/2006				
7. Chambers or Office Address  13E United States Courthouse 300 South Fourth Street Minneapolis, MN 55415	Amended Report  8. On the basis of the information contained in this Report an modifications pertaining thereto, it is, in my opinion, in comp with applicable laws and regulations.  Reviewing Officer	mpliance				
	companying this form must be followed. Complete all parts have no reportable information. Sign on last page.	<b>7</b> 001				
I. POSITIONS. (Reporting individual only; see pp. 9-13 of instr  NONE (No reportable positions.)  POSITION  1. Council Member and House Delegate	NAME OF ORGAN  Govt & Public Sector Lawyers Division, Ame	S ÷ O				
2. Director and President	Norwegian-American Historical Association (					
3. Director	Federal Judges Association					
4. Director	American Judicature Society					
5. Director	Federal Bar Association, Minnesota Chapter					
6. Liaison	Advisory Committee to the Standing Commit Association	tee on Election Law, American Bar				
7. Advisor	Advisory Committee to the Norwegian Nobel	Peace Prize Forum				
8. Advisor	Advisory Board to the Hubert H. Humphrey I University of Minnesota	Advisory Board to the Hubert H. Humphrey Institute of Public Affairs at the University of Minnesota				
9. Regent	Concordia College, Moorhead, MN					
10. Board Member	Board of Visitors, University of Minnesota La	w School, Minneapolis, MN				
11. Committee Member	Executive Committee, Conference of Federal American Bar Association	Trial Court Judges, Judicial Division,				
12. Task Force Member and Chair	Prosecution and Defense Function Standards American Bar Association	Γask Force, Criminal Justice Section,				

X	NONE (No reportable agre	ements.)
	DATE	PARTIES AND TERMS
1.		
2.		
3.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of instructions.)

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Name of Person Reporting

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Tunheim, John R

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III. NON-INVESTMENT INCOME. (Report	ing individual and spouse; see pp. 17-24 of instructions.)					
A. Filer's Non-Investment Income						
X NONE (No reportable non-investment income						
<u>DATE</u>	SOURCE AND TYPE INCOME (yours, not spouse's)					
1.						
2.						
3.						
4.						
5.						
B. Spouse's Non-Investment Income - If you were marrie (Dollar amount not required except for honoraria.)  NONE (No reportable non-investment income.						
DATE	SOURCE AND TYPE					
	Tunheim Partners, Minneapolis, MN					
	St. Paul, MN (Director Compensation)					
3.						
4.						
5.						
IV. REIMBURSEMENTS — transportation, lodging, f (Includes those to spouse and dependent children. See pp. 25-27 of instruction NONE (No reportable reimbursements.)						
<u>SOURCE</u>	DESCRIPTION					
Federal Judges Association	5/7/06 - 5/9/06 (Arlington, VA) transportation, lodging and food to participate in meeting of Board of Directors					
2. American Bar Association, Government and Public Sector Lawyers Division	10/13/06 - 10/14/06 (Denver, CO) transportation and lodging to attend council meeting					
3. American Bar Association, Government and Public Sector Lawyers Division	2/12/06 (Chicago, IL) lodging and food for council meeting					
4. American Bar Association, Criminal Justice Section	3/25-3/26/06 (Minneapolis, MN); 7/8-7/9/06 (Chicago, IL) transportation and lodging (Chicago) for Task Force Meeting.					

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5.	American Bar Association, Criminal Justice Section	9/8-9/11/06 (San Francisco, CA); 11/17-11/19/06 (Washington, DC) transportation and lodging for Task Force Meeting.					
6.	University of Florida College of Law	3/2/06 - 3/3/06 (Gainesville, FL) transportation and food for judging moot court competition.					
7.	Aspen Institute	3/31/06 - 4/2/06 (Queenstown, MD) transportation, food and lodging for seminar					
8.	Concordia College, Moorhead, MN	4/20 -4/22/06 (Moorhead); 12/7-12/8/06 (Minneapolis) transportation, lodging (Moorhead) and food for Regents meetings.					
9.	American Judicature Society	6/12/06 - 6/13/06 (Greensboro, NC) transportation, lodging and food for director search meeting.					
10.	Federal Circuit Bar Association	6/28/06 - 6/30/06 (Albuquerque, NM) transportation, lodging and food for conference.					
11.	National Association of Attorneys General	11/27/06 (Fort Lauderdale, FL) transportation, lodging and food for speech.					
12.	American Conference Institute	12/15/06 - 12/17/06 (New York, NY) transportation and lodging for speaking at seminar.					

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V. GIFTS. (Includes those to spouse and	dependent children. See pp	. 28-31 of instructions.)			
NONE (No reportable gifts.)					
SOURCE	<u>SOURCE</u> <u>DESCRIPTION</u>				
1. Honorary Membership (est. dues)	Minneapolis Club			\$ 4200.00	
2.					
3.					
4.					
5.					
VI. LIABILITIES. (Includes those		uildren. See pp. 32-33 of instructions.)			
NONE (No reportable liabili	ties.)				
CREDITOR		<u>DESCRIPTION</u>	<u>VA</u>	LUE CODE	
Excel Bank - Minneapolis MN	Working Capital Lin	e of Credit for Tunheim Partners		N	
2.					
3.					
4.		,			

5.

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### VII. INVESTMENTS and TRUSTS - income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

	A. Description of Assets (including trust assets)	i	B. ome during rting period	Gross val	C. ue at end of ng period	D.  Transactions during reporting period			od	
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code I (A-H)	(5) Identity of buyer/seller (if private transaction)
1.	Hiway Federal Credit Union. St. Paul, MN (Bank Accts)	A	Interest	J	Т					
2.	State of Minnesota Deferred Compensation Account		None	J	Т					· ·
3.	Honeywell Retirement Benefit Plan		None	K	Т					
4.	Tunheim Partners Co. 401(k) Fidelity Advisors Ltd Term (form		None	М	Т					
5.	Atlas Energy for the Nineties Public Officer #4	Α	Distribution	J	Т					
6.	Schwab Bank Deposit Account	A	Interest			BUY	var	J		
7.						SELL	var	J		
8.	Wells Fargo Bank	В	Interest	L	Т					
9.	American Balanced Fund - All Cap Blend	D	Dividend	М	Т					
10.	Dodge & Cox Balanced	С	Dividend	М	Т					
11.	Washington Mutual Investors	В	Dividend	K	Т					
12.	Investment Co of America	В	Dividend	K	Т					
13.	Baron Asset	В	Dividend	K	Т					
14.	Capital World Growth & Income	В	Dividend	K	Т					
15.	Royce Total Return	A	Dividend	K	Т					
16.	New World Fund	A	Dividend	J	Т					
17.	Capitol Income Builder	В	Dividend	K	Т					

1. Income Gain Codes: (See Columns B1 and D4)

2. Value Codes (See Columns C1 and D3)

F=\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000 3. Value Method Codes Q = Appraisal(See Column C2) U =Book Value

G=\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O=\$500,001 - \$1,000,000

R =Cost (Real Estate Only) V =Other

C = \$2,501 - \$5,000H1 =\$1,000,001 - \$5,000,000

L=\$50,001 - \$100,000 Pi =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000 S = Assessment W = Estimate d

D=\$5,001 - \$15,000 H2 = More than \$5,000,000

M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000

T =Cash Market

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

	A.	l-ac	B.		C. Gross value at end of		D.			
	Description of Assets (including trust assets)	1	me during ting period		g period	Transactions during reporting p		eporting peri	od	
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18.	1st Clearing Bank Deposit (formerly Money Market Fund)	A	Interest	К	Т	BUY	var	J		
19.						SELL	var	J		
20.	Sit MN Tax Free Income	Α	Dividend	J	Т					
21.	Schwab Advisor Cash Reserve	Α	Dividend	К	Т	BUY	var	К		
22.			!			SELL	var	J		

Income Gain Codes:
 (See Columns B1 and D4)
 Value Codes

2. Value Codes
(See Columns C1 and D3)
3. Value Method Codes

3. Value Method Codes (See Column C2) A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000

J=\$15,000 or less N=\$250,001 - \$500,000 P3=\$25,000,001 - \$50,000,000 Q=Appraisal

U =Book Value

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

B =\$1,001 - \$2,500

G =\$100,001 - \$1,000,000

K =\$15,001 - \$50,000

C=\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L=\$50,001 - \$100,000 P1=\$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = As sessment W = Estimated D=\$5,001 - \$15,000 H2=More than \$5,000,000 M=\$100,001 - \$250,000 P2=\$5,000,001 - \$25,000,000

T = Cash Market

E =\$15,001 - \$50,000

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. (Indicate part of Report.)

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#### IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

#### FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure Administrative Office of the United States Courts Suite 2-301 One Columbus Circle, N.E. Washington, D.C. 20544