AO 10 Rev. 1/2012	FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011	*Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Tunheim, John R.	**2. Court or Organization** District of Minnesota	**3. Date of Report** 05/15/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active	**5a. Report Type (check appropriate type)** ☐ Nomination Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	**6. Reporting Period** 01/01/2011 to 12/31/2011
7. Chambers or Office Address 13E United States Courthouse 300 South Fourth Street Minneapolis, MN 55415		

***IMPORTANT NOTES:** The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.*

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Council Member and House Delegate	Govt & Public Sector Lawyers Division, American Bar Association
2.	Director and President	Norwegian-American Historical Association (nonprofit organization)
3.	Director	Federal Bar Association, Minnesota Chapter
4.	Advisor	Advisory Committee to the Norwegian Nobel Peace Prize Forum
5.	Advisor	Advisory Board to the Hubert H. Humphrey School of Public Affairs at the University of Minnesota
6.	Regent	Concordia College, Moorhead, MN
7.	Board Member	Advisory Council, University of Minnesota Law School, Minneapolis, MN
8.	Committee Member, Chair	Executive Committee, National Conference of Federal Trial Court Judges, Judicial Division, American Bar Association
9.	Task Force Chair	Prosecution and Defense Function Standards Task Force, Criminal Justice Section, American Bar Association
10.	Board Member	The Oslo Center for Peace and Human Rights-U.S. Foundation
11.	Board Member	The Rand Institute for Civil Justice
12.	Advisory Committee Member	Standing Committee of Law and National Security, American Bar Association
13.	Member	Minnesota Law Review Alumni Advisory Board, University of Minnesota Law School

Name of Person Reporting	Date of Report
Tunheim, John R.	05/15/2012

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

DATE	PARTIES AND TERMS
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2011	World Learning - compensation (USAID directed and funded)	$3,814.62
2. 2011	International Foundation for Electoral Systems - compensation (USAID directed and funded)	$22,198.40
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2011	Self employed Public Relations Consultant
2.	
3.	
4.	

IV. REIMBURSEMENTS *– transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	American Bar Association	Feb. 10-14	Atlanta, GA	Meeting	Transportation, meals and lodging
2.	American Bar Association, Criminal Justice Section	March 18-20; May 20-22; Oct 21-23	Washington, DC	Task Force	Transportation, meals and lodging
3.	American Bar Association	August 4-9	Toronto, Canada	Meeting	Meals and lodging
4.	American Bar Association, Judicial Division	May 12-13	Santa Fe, NM	Meeting	Transportation, meals and lodging
5.	Concordia College	Mar. 2-3, Aug. 10-11	Moorhead, MN	Meeting	Transportation and lodging
6.	Concordia College	May 6-7, Dec. 1-3	Moorhead, MN	Board Meeting	Transportation, meals and lodging

7. Concordia College	Sept. 16-17	Chaska, MN	Board Meeting	Transportation, Meals and Lodging
8. Concordia College	Oct 13-15	Moorhead, MN	Class (speaker)	Transporation and lodging
9. World Learning (USAID directed and funded)	March 26 - April 1	Pristina, Kosovo	Training	Transportation, meals and lodging
10. International Foundation for Electoral Systems (USAID directed and funded)	June 22-25, July 7-12, Sept. 6-11, Sept 28-Oct 2, Nov. 9-16, Dec. 14-19	Prisinta, Kosovo	Training	Transportation, meals and lodging
11. United Arab Emirates Ministry of Justice	Feb. 21-25	Abu Dhabi, United Arab Emirates	Training	Transportation, meals and lodging
12. HB Litigation Conferences	June 26-27	Philadelphia, PA	CLE (teach)	Transportation, meals and lodging
13. Humphrey School of Public Affairs	Dec. 6-13	Oslo, Norway	Forum	Transportation and lodging

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☐ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.	Stonebridge Bank - Minneapolis MN	Working Capital Line of Credit for Tunheim Partners	M
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Hiway Federal Credit Union. St. Paul, MN (Bank Accts)	B	Interest	J	T					
2. State of Minnesota Deferred Compensation Account		None	J	T					
3. Honeywell Retirement Benefit Plan		None	M	T					
4. Atlas Energy for the Nineties Public Officer #4	A	Distribution	J	T					
5. Wells Fargo Bank	A	Interest	K	T					
6. Schwab Advisory Cash Reserve	A	Dividend	K	T					
7. American Funds: American Balanced Fund Cl F	C	Dividend	M	T					
8. Dodge & Cox Balanced	B	Dividend	L	T					
9. American Funds: Washington Mutual Investors	A	Dividend	K	T					
10. American Funds: Investment Co of America Cl F	A	Dividend	K	T					
11. Baron Asset	C	Dividend	K	T					
12. American Funds: Capital World Growth & Income	A	Dividend	K	T					
13. Royce Total Return	A	Dividend	K	T					
14. American Funds: New World Fund	A	Dividend	J	T					
15. American Funds: Capitol Income Builder	B	Dividend	K	T					
16. Schwab Advisor Cash Reserve		None	J	T					
17. American Funds: Growth Fund of America	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. American Funds: Investment Co. of America Cl R3	A	Dividend	K	T					
19. American Funds: EuroPacific Fund	A	Dividend	J	T					
20. American Funds: American Balanced CL R3	A	Dividend	K	T					
21. American Funds: Bond Fund of America	B	Dividend	K	T					
22. Third Avenue Value	A	Dividend			Sold	10/18/11	J		
23. Vanguard Selected Value	A	Dividend	J	T	Buy	10/18/11	J		
24. Dodge & Cox Income	A	Dividend	J	T	Buy	10/18/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ John R. Tunheim

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
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