

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2011**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Haight, Jr., Charles S.	2. Court or Organization District Court, SDNY	3. Date of Report 07/10/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior United States District Judge	5a. Report Type (check appropriate type) <input type="checkbox"/> Nomination <input type="checkbox"/> Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final 5b. <input type="checkbox"/> Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address U.S. District Court 141 Church Street New Haven, CT 06510		
<p align="center">IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.</i></p>		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

NONE *(No reportable positions.)*

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Advisory Trustee	American Scandinavian Foundation
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

NONE *(No reportable agreements.)*

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> <i>(yours, not spouse's)</i>
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria)

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp 25-27 of filing instructions.)

NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

	A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
		(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
		Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1.	Bank of America Money Market	A	Dividend	K	T					
2.	Walt Disney Co.	A	Dividend	J	T					
3.	Home Depot Inc.	A	Dividend	J	T					
4.	CVS Caremark Corp.		None			Sold	02/17/11	J	C	
5.	Diageo Plc	A	Dividend	K	T					
6.	Nestle S.A.	A	Dividend	K	T					
7.	Procter & Gamble Co.		None			Sold	02/19/11	J		
8.	Exxon Mobil Corp.	A	Dividend	K	T	Buy (add'l)	04/08/11	J		
9.						Buy (add'l)	07/18/11	K		
10.	Berkshire Hathaway		None	L	T					
11.	J.P. Morgan Chase		None			Sold	08/01/11	K	B	
12.	Johnson & Johnson		None			Sold	02/17/11	J	C	
13.	Quest Diagnostics	A	Dividend	J	T					
14.	Cisco Systems		None			Sold	04/08/11	J	B	
15.	Microsoft Corp.		None			Sold	02/09/11	J	C	
16.	iShares S&P Midcap 400 Index Fund		None			Sold	03/09/11	J	D	
17.	Conn St Gen Rev Bonds	C	Interest	M	T					

1 Income Gain Codes (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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NONE (No reportable income, assets, or transactions.)

	A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
		(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
		Amount Code 1 (A-H)	Type (e.g., div, rent, or int)	Value Code 2 (J-P)	Value Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18.	NY State Dorm Auth Bonds		None			Redeemed	02/01/11	K		
19.	Niagara Falls NY City School Dist Bonds		None			Redeemed	06/15/11	K		
20.	Conn State GO Bonds 6/26/08	C	Interest	M	T					
21.	Stamford Conn GO Bonds (Non-Callable)	C	Interest	M	T					
22.	Conn State HSG Auth Bonds	D	Interest	M	T					
23.	Conn State Spc Tax Oblig Rev Bonds	D	Interest	M	T					
24.	ING Direct Savings Bank	A	Interest	M	T					
25.	US Trust Co of NY Bank of America Checking Account		None	K	T					
26.	Guilford Savings Bank		None	J	T					
27.	Columbia Select Large Cap. Fund		None	L	T					
28.	Lerner Corp. (Y) - See Part VIII									
29.	Cummins Inc.	A	Dividend	J	T	Sold (part)	01/14/11	J	C	
30.	Accenture Ltd.	A	Dividend	K	T	Buy (add'l)	07/18/11	K		
31.	MasterCard Inc.	A	Dividend	K	T					
32.	Barrick Gold Corp.		None			Sold	05/19/11	J	A	
33.	Oracle Corp.	A	Dividend	K	T	Buy (add'l)	07/18/11	K		
34.	IShares China Index Fund		None			Sold	09/28/11	K		

1 Income Gain Codes (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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		(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
		Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35.	IShares Australia Index Fund		None			Sold	12/05/11	K		
36.	IShares Brazil Index Fund		None			Sold	05/25/11	K		
37.	IShares Canada Index Fund	A	Dividend	K	T					
38.	Pimco Total Return Fund	C	Dividend	M	T	Redeemed (part)	03/02/11	J		
39.						Redeemed (part)	03/08/11	K		
40.	Discovery Communications Inc.		None	J	T	Sold (part)	01/14/11	J	B	
41.	Apache Corp.		None			Buy (add'l)	07/18/11	J		
42.						Sold	12/05/11	K		
43.	Litchfield, CT GO Bonds	C	Interest	M	T					
44.	Cheshire, CT GO Bonds	C	Interest	M	T					
45.	Manchester, CT GO Bonds	C	Interest	M	T					
46.	Southington, CT GO Bonds (Non-Callable - due 9/1/17)	C	Interest	M	T					
47.	Easton, CT GO Bonds	C	Interest	M	T					
48.	West Hartford, CT GO Bonds	C	Interest	M	T					
49.	Regional School District 18 CT Bonds	D	Interest	M	T					
50.	Wethersfield, CT GO Bonds	D	Interest	M	T					
51.	Las Vegas Sands Corp.		None			Sold (part)	03/09/11	K	C	

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2 Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
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52.					Sold	04/05/11	K	C	
53. McDonald's Corp.	B	Dividend	L	T					
54. Teck Resources Ltd.		None			Sold	10/18/11	J		
55. Millicom Intl. Cellular SA	A	Dividend	K	T					
56. TJX Cos., Inc.	A	Dividend	J	T	Buy	12/15/11	J		
57. Church & Dwight, Inc.	A	Dividend	K	T	Buy	08/29/11	K		
58. General Mills, Inc.	A	Dividend	K	T	Buy	10/18/11	K		
59. Hershey Co.	A	Dividend	K	T	Buy	10/18/11	K		
60. Conoco Phillips	B	Dividend	K	T	Buy	04/08/11	J		
61.					Buy (add'l)	07/18/11	K		
62. JP Morgan Alerian MLP Index ETN	B	Dividend	K	T	Buy	10/18/11	K		
63. Cerner Corp. (X) - See Part VIII		None	K	T					
64. Pfizer, Inc.	B	Dividend	K	T	Buy	05/25/11	J		
65.					Buy (add'l)	07/18/11	J		
66. Valeant Pharmaceuticals Intl., Inc.	A	Dividend	K	T	Buy	12/01/11	J		
67. Hunt JB Trans Svcs. Inc.	A	Dividend	J	T	Buy	02/17/11	J		
68. Norfolk Southern Corp.	A	Dividend	J	T	Buy	02/17/11	J		

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69. United Parcel Service, Inc.	A	Dividend	K	T	Buy	02/17/11	J		
70.					Buy (add'l)	07/18/11	K		
71.					Sold (part)	10/15/11	J		
72. Apple, Inc.		None	K	T	Buy	03/09/11	K		
73.					Buy (add'l)	07/18/11	J		
74. Google, Inc.		None	K	T	Buy	10/18/11	K		
75.					Buy (add'l)	11/25/11	J		
76. Nuance Communications, Inc.		None	K	T	Buy	12/01/11	J		
77. Monsanto	A	Dividend	K	T	Buy	08/29/11	J		
78.					Buy (add'l)	11/25/11	K		
79. American Tower Corp.		None	K	T	Buy	05/25/11	J		
80.					Buy (add'l)	07/18/11	J		
81.					Buy (add'l)	11/25/11	K		
82. Southington, CT GO Bonds (Non-Callable - due 8/1/18)	C	Interest	M	T	Buy	03/02/11	M		
83. Pimco High Yield Fund	D	Dividend	L	T	Buy	11/16/11	L		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Item #28. I no longer hold any stock in Lerner Corp. It was inadvertently included in my 2010 FDR even though it was sold in 2009 (without any "gain").

Item #64. Stock in Cerner Corp was inadvertently left off my 2010 FDR. It was purchased in 2010 with a value of "J" but has never yielded any dividends. I include it on my 2011 FDR because it has a "K" value; but as you see, it has once again yielded no income in 2011.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Charles S. Haight, Jr.**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure Administrative Office of the United States Courts Suite 2-301 One Columbus Circle, N.E. Washington, D.C. 20544
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