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Rev. 1/2010

FINANCIAL DISCLOSURE REPORT  
FOR CALENDAR YEAR 2009

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

|  |   |   |
|--|---|---|
| 1. Person Reporting (last name, first, middle initial)<br>HEAD, HAYDEN   | 2. Court or Organization<br>U.S. DISTRICT COURT, SOUTHERN DISTRICT OF TEXAS   | 3. Date of Report<br>06/28/2010                       |
| 4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)<br>U. S. DISTRICT JUDGE (SENIOR)   | 5a. Report Type (check appropriate type)<br><input type="checkbox"/> Nomination,                      Date<br><input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final<br>5b. <input type="checkbox"/> Amended Report | 6. Reporting Period<br>01/01/2009<br>to<br>12/31/2009 |
| 7. Chambers or Office Address<br>U. S. DISTRICT COURTHOUSE<br>1133 N. SHORELINE BLVD.<br>CORPUS CHRISTI, TX 78401  | 8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.<br><br>Reviewing Officer: _____ Date: _____   |   |
| <b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page. |   |   |

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

NONE (No reportable positions.)

| POSITION              | NAME OF ORGANIZATION/ENTITY        |
|-----------------------|------------------------------------|
| 1. Farm Leasing Agent | Family Farm, Grayson County, Texas |
| 2.                    |                                    |
| 3.                    |                                    |
| 4.                    |                                    |
| 5.                    |                                    |

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II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

NONE (No reportable agreements.)

| DATE | PARTIES AND TERMS |
|------|-------------------|
| 1.   |                   |
| 2.   |                   |
| 3.   |                   |

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Name of Person Reporting

HEAD, HAYDEN

Date of Report

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**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

**A. Filer's Non-Investment Income**

NONE *(No reportable non-investment income.)*

| <u>DATE</u> | <u>SOURCE AND TYPE</u> | <u>INCOME</u><br><small>(yours, not spouse's)</small> |
|-------------|------------------------|---|
| 1.          |                        |   |
| 2.          |                        |   |
| 3.          |                        |   |
| 4.          |                        |   |

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.*

*(Dollar amount not required except for honoraria.)*

NONE *(No reportable non-investment income.)*

| <u>DATE</u> | <u>SOURCE AND TYPE</u> |
|-------------|------------------------|
| 1.          |                        |
| 2.          |                        |
| 3.          |                        |
| 4.          |                        |

**IV. REIMBURSEMENTS** *- transportation, lodging, food, entertainment.*

*(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*

NONE *(No reportable reimbursements.)*

| <u>SOURCE</u> | <u>DATES</u> | <u>LOCATION</u> | <u>PURPOSE</u> | <u>ITEMS PAID OR PROVIDED</u> |
|---------------|--------------|-----------------|----------------|-------------------------------|
| 1.            |              |                 |                |                               |
| 2.            |              |                 |                |                               |
| 3.            |              |                 |                |                               |
| 4.            |              |                 |                |                               |
| 5.            |              |                 |                |                               |

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Name of Person Reporting

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**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE *(No reportable gifts.)*

| <u>SOURCE</u> | <u>DESCRIPTION</u> | <u>VALUE</u> |
|---------------|--------------------|--------------|
| 1.            |                    |              |
| 2.            |                    |              |
| 3.            |                    |              |
| 4.            |                    |              |
| 5.            |                    |              |

**VI. LIABILITIES.** *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE *(No reportable liabilities.)*

| <u>CREDITOR</u> | <u>DESCRIPTION</u> | <u>VALUE CODE</u> |
|-----------------|--------------------|-------------------|
| 1.              |                    |                   |
| 2.              |                    |                   |
| 3.              |                    |                   |
| 4.              |                    |                   |
| 5.              |                    |                   |

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|---|-------------------------------------|
| Name of Person Reporting<br><b>HEAD, HAYDEN</b> | Date of Report<br><b>06/28/2010</b> |
|---|-------------------------------------|

**VII. INVESTMENTS and TRUSTS** – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |   | C.<br>Gross value at end<br>of reporting period |   | D.<br>Transactions during reporting period      |                         |                                 |                                |   |
|---|---|---|---|---|---|-------------------------|---------------------------------|--------------------------------|---|
|   | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 1. IRA - Herndon Plant Oakley   |   |   |   |   |   |                         |                                 |                                |   |
| 2. -Tamarack Inv Funds Prime  | A                                       | Dividend                                      | L   | T   |   |                         |                                 |                                |   |
| 3. -Blackrock Strategic Dividend Achievers Trust  | A                                       | Dividend                                      | J   | T   | Buy   | 01/15/09                | J                               |                                |   |
| 4. -Blackrock Strategic Dividend Achievers Trust  | A                                       | Dividend                                      | J   | T   | Buy   | 04/14/09                | J                               |                                |   |
| 5. -Blackrock Strategic Dividend Achievers Trust  | A                                       | Dividend                                      | J   | T   | Buy   | 07/13/09                | J                               |                                |   |
| 6. -Blackrock Strategic Dividend Achievers Trust  | A                                       | Dividend                                      | J   | T   | Buy   | 10/16/09                | J                               |                                |   |
| 7. -Bank Wichitas CD  | A                                       | Interest                                      |   |   | Redeemed  | 01/26/09                | L                               |                                |   |
| 8. Herndon Plant Oakley Brokerage Acct #1   |   |   |   |   |   |                         |                                 |                                |   |
| 9. -Tamarack Inv Funds Prime  | A                                       | Dividend                                      | K   | T   |   |                         |                                 |                                |   |
| 10. -Bank Wichitas CD   | A                                       | Interest                                      |   |   | Redeemed  | 01/26/09                | J                               |                                |   |
| 11. -U. S. Treasury Bond, due 11-15-2021  | C                                       | Interest                                      | L   | T   |   |                         |                                 |                                |   |
| 12. Herndon Plant Oakley - Brokerage Acct # 2 Cash  | A                                       | Interest                                      | J   | T   |   |                         |                                 |                                |   |
| 13. American Bank Accounts, Corpus Christi, TX  | A                                       | Interest                                      | M   | T   |   |                         |                                 |                                |   |
| 14. Becks Prime Boc Ltd 1/2 Ltd Partnership Unit  | A                                       | Distribution                                  | J   | U   |   |                         |                                 |                                |   |
| 15. Becks Dairy- Ashtford 1-10 SE Ltd. Partnership Unit   | B                                       | Distribution                                  | J   | U   |   |                         |                                 |                                |   |
| 16. Transamerica Occidental; Universal Life Ins Policy  | C                                       | Int./Div.                                     | L   | T   |   |                         |                                 |                                |   |
| 17. Overriding Royalty Int., Nueces Co., Tx & Borden Co. TX   | A                                       | Royalty                                       | J   | W   |   |                         |                                 |                                | See VIII  |

|   |   |  |   |  |                         |
|---|---|--|---|--|-------------------------|
| 1. Income Gain Codes<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)       | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)        | Q = Appraisal<br>U = Book Value   | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated   | T = Cash Market  |                         |

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|---|-------------------------------------|
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| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |   | C.<br>Gross value at end<br>of reporting period |   | D.<br>Transactions during reporting period      |                         |                                 |                                |   |
|---|---|---|---|---|---|-------------------------|---------------------------------|--------------------------------|---|
|   | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 18. Farm, Grayson Co., Texas  | C                                       | Rent  | O   | Q   |   |                         |                                 |                                | See VIII  |
| 19. Genworth Life and Annuity Insurance<br>(formerly First Colony)  | A                                       | Int./Div.                                     | J   | T   |   |                         |                                 |                                |   |
| 20. 401(k) Div Inv Var Fund Diversified Inv.<br>Advisor   | B                                       | Dividend                                      | K   | T   |   |                         |                                 |                                |   |
| 21. U. S. Savings Bonds, Series EE  | A                                       | Interest                                      | J   | T   |   |                         |                                 |                                |   |
| 22. Paid Up Oil and Gas Lease, Grayson Co.,<br>TX   | E                                       | Rent  | J   | W   |   |                         |                                 |                                | See VIII  |
| 23.   |   |   |   |   |   |                         |                                 |                                |   |

|  |   |  |  |  |                         |
|--|---|--|--|--|-------------------------|
| 1. Income Gain Codes.<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                  | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$5,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value   | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated  | T = Cash Market  |                         |

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| Name of Person Reporting | Date of Report |
|--------------------------|----------------|
| HEAD, HAYDEN             | 06/28/2010     |

## VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

The following notes apply to Section VII. Item #

17. Production occurred and sold by Texon LP, Houston, Texas

18. The annual tax appraisals from Grayson County are used to evaluate the farm. These appraisals include both the agricultural value and the fair market value.

22. The Lease provides for a three year primary term with a two year option for rights to explore for, develop, produce and market oil and gas along with all hydrocarbon nonhydrocarbon substances produced in association therewith. It is fully paid up as it requires no additional rentals. If production is obtained, then this document governs the royalties to be paid at Three Sixteenths of said production.

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|---|-------------------------------------|
| <b>Name of Person Reporting</b><br>HEAD, HAYDEN | <b>Date of Report</b><br>06/28/2010 |
|---|-------------------------------------|

**IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

**FILING INSTRUCTIONS**

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544