

UNITED STATES COURT OF APPEALS  
FOR THE NINTH CIRCUIT  
P.O. BOX 193939  
SAN FRANCISCO, CALIFORNIA 94119-3939

CHAMBERS OF  
JOHN T. NOONAN, JR.  
UNITED STATES CIRCUIT JUDGE  
(415) 355-8130  
FAX [REDACTED]

August 23, 2007

Judicial Conference of the United States  
Committee on Financial Disclosure  
Administrative Office of the United States  
One Columbus Circle, N.E., Suite 2-301  
Washington D.C. 20544

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2007 AUG 29 A 10:51  
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Re: Calendar Year 2006 Filing

Dear Committee Members:

Thank you for your letter dated August 16, 2007. Please refer to my responses to your requests for additional information below:

The asset listed on my 2006 report in Part VII, page 6, line 19 "Solutia, Inc." represents 266 shares of common stock. These shares were sold on February 3, 2006. Pursuant to Section 102(a)(5) this transaction was omitted from the originally filed Financial Disclosure Report since the fair market value of consideration received did not exceed \$1,000.00

The asset listed on my 2006 report in Part VII, page 6, line 27 "J.M. Smucker" represents 10 shares of J.M. Smucker common stock. These shares were sold on February 3, 2006. Pursuant to Section 102(a)(5) this transaction was omitted from the originally filed Financial Disclosure Report since the fair market value of consideration received did not exceed \$1,000.00

The asset listed on my 2006 report in Part VII, page 7, line 49 "Dracut MA Bond" is a municipal bond. Please refer to my 2005 Financial Disclosure Report. The "Dracut MA Bond" is included in the total assets for Trust Number 1, Part VII, page 6, line 40 of my 2005 Report.

The "Dracut MA Bond" was originally purchased in 2000 and the purchase is listed on my 2000 Financial Disclosure Report in Part VII, page 4, line 67.

Sincerely yours,

[REDACTED]

John T. Noonan, Jr.  
United States Circuit Judge

**FINANCIAL DISCLOSURE REPORT  
FOR CALENDAR YEAR 2006**

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

<b>1. Person Reporting (last name, first, middle initial)</b> Noonan, Jr., John T	<b>2. Court or Organization</b> U.S. Court of Appeals, 9th	<b>3. Date of Report</b> 06/15/2007
<b>4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)</b> Article III Judge, Senior	<b>5a. Report Type (check appropriate type)</b> <input type="checkbox"/> Nomination,                      Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final <b>5b.</b> <input type="checkbox"/> Amended Report	<b>6. Reporting Period</b> 01/01/2006 to 12/31/2006
<b>7. Chambers or Office Address</b> U.S. Court of Appeals 95 Seventh Street San Francisco, CA 94103	<b>8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.</b> Reviewing Officer _____ Date _____	

**IMPORTANT NOTES:** The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

**I. POSITIONS.** (Reporting individual only; see pp. 9-13 of instructions.)

NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. President and Director	Thomas More-Jacques Maritain Institute (non-profit cultural organization)
2. Member	Advisory Council, Transparency International
3. Member	Editorial Board, American Journal of Jurisprudence
4. Member	President's Council, Graduate Theological School
5.	

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**II. AGREEMENTS.** (Reporting individual only; see pp. 14-16 of instructions.)

NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 07/01/86	University of California pension based on service
2.	
3.	

**FINANCIAL DISCLOSURE REPORT**

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Name of Person Reporting Noonan, Jr., John T	Date of Report 06/15/2007
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**III. NON-INVESTMENT INCOME.** (Reporting individual and spouse; see pp. 17-24 of instructions.)**A. Filer's Non-Investment Income** NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2006	Stipend: College of the Holy Cross	\$ 2,000.00
2. 2006	Pension: University of California	\$ 40,084.94
3. 2006	Pension: United States Social Security	\$ 31,592.00
4. 2006	Royalty-University of Notre Dame	\$ 2,794.22
5. 2006	Royalty-West Group Foundation Press	\$ 2,346.02

**B. Spouse's Non-Investment Income -** If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)

 NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	
5.	

**IV. REIMBURSEMENTS** -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

 NONE (No reportable reimbursements.)

<u>SOURCE</u>	<u>DESCRIPTION</u>
1. The Catholic University of America Law Review, Washington, DC	01/29/06-02/01/06, Travel, Lodging
2. Wabash College, Crawfordsville, IN	03/28/06-03/30/06, Travel, Meal
3. National University of Ireland, Trinity College, Galway and Dublin, Ireland	04/09/06-04/12/06, Travel
4. College of the Holy Cross, Worcester, MA	05/11/06-05/14/06, Travel, Meal, Lodging

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5. Bay View Associaton of the United Methodist Church, Bay View, MI

08/20/06-08/25/06, Travel

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**V. GIFTS.** *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)*

NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

**VI. LIABILITIES.** *(Includes those of spouse and dependent children. See pp. 32-33 of instructions.)*

NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Fidelity Magellan Fund	E	Dividend	M	T					
2. Fidelity CA Muni Income Fund (formerly Spartan CA Muni Fd)	D	Interest	M	T					
3. BP P.L.C.	A	Dividend	J	T					
4. Wells Fargo Checking Account	B	Interest	L	T					
5. Wells Fargo Market Rate Account	A	Dividend	K	T					
6. Wells Fargo IRAs	B	Interest	J	T					
7. Dreyfus Insured Muni Bond Fund	A	Dividend	J	T					
8. Teachers Insurance and Annuity Association	D	Interest	M	T					
9. Scudder Int'l Bond Fund	B	Dividend	K	T					
10. Wells Fargo Investments-Thornburg Investment Trust	D	Dividend	M	T					
11. Brokerage Account Number 1 Bear Stearns									
12. -JP Morgan Chase	B	Dividend	L	T					
13. -General Mills	B	Dividend	K	T					
14. -Procter & Gamble Common	B	Dividend	L	T					
15. -Raytheon	A	Dividend			Sell	01/25	K	E	
16. -Neurobiological Technologies		None	J	T					
17. -BP P.L.C.	B	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	P4 =More than \$50,000,000 T =Cash Market
3. Value Method Codes (See Column C2)	P3 =\$25,000,001 - \$50,000,000 Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated		

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**VII. INVESTMENTS and TRUSTS** – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. -Coca Cola Common	A	Dividend	K	T					
19. -Solutia, Inc.		None			Closed				
20. -Darden Restaurants, Inc.		None			Sell	02/06	K	E	
21. -Eli Lilly Corporation	B	Dividend	L	T					
22. -IBM Common	A	Dividend	L	T					
23. -Fortune Brands	A	Dividend	K	T					
24. -ACCO Brands Corporation		None			Sell	03/02	J	B	
25. -Monsanto	A	Dividend	K	T					
26. -Pfizer	B	Dividend	K	T					
27. -J.M. Smucker		None			Closed				
28. -GNMA Pass Through Pools	A	Interest	J	T					
29. -Calvert Social Investment Fund	A	Interest	K	T					
30. -Olstein Financial Alert Fund	D	Interest	M	T	Buy	12/12	J		
31. -Bear Stearns Tempfund	D	Interest	M	T	Buy	01/30	K		
32. -Bear Stearns Tempfund					Buy	02/09	K		
33. -Bear Stearns Tempfund					Buy	03/01	J		
34. -Bear Stearns Tempfund					Buy	03/08	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

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**VII. INVESTMENTS and TRUSTS** – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. -Bear Stearns Tempfund					Buy	04/27	L		
36. -Bear Stearns Tempfund					Buy	06/02	J		
37. -Bear Stearns Tempfund					Buy	12/01	J		
38. -Bear Stearns Tempfund					Buy	12/04	K		
39. -Sacramento County Bonds	B	Interest			Sell	12/01	K	A	
40. -Bret Harte CA School District Bond	B	Interest			Sell	04/26	L	A	
41. -California State Corpus Prams		None	L	T					
42. -Ca State University Revenue Bond	A	Interest	K	T	Buy	04/27	K		
43. -North of the River Sanitary District Bond	A	Interest	K	T	Buy	04/27	K		
44. Trust Number 1 (No personal income)	D	Dividend	O	T					
45. -Fleet International Equity Fund					Sell	04/05	J	B	
46. -Fleet Small Cap Growth Fund					Sell	04/05	J	A	
47. -Fleet Mid Cap Growth Fund					Sell	04/05	J	A	
48. -Fleet MA Intermediate Term Tax Exempt Bond Fund					Buy	04/05	J		
49. -Dracut MA Bond					Sell	05/15	J		
50. -Fleet International Equity Fund					Sell	06/05	J	A	
51. -Fleet Large Cap Value Fund					Sell	06/05	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 =\$25,000,001 - \$50,000,000 Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	P4 =More than \$50,000,000 S =Assessment W =Estimated	T =Cash Market	



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**VII. INVESTMENTS and TRUSTS** -- income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. -Fleet Large Cap Growth Fund					Sell	06/05	J	A	
53. -Fleet MA Intermediate Term Tax Exempt Bond Fund					Buy	06/05	K		
54. Trust Number 2 (No personal income)	D	Dividend	N	T					
55. -Fleet Large Cap Value Fund					Sell	07/06	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	P4 = More than \$50,000,000
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of Report.)*

Two trusts referred to in this report are as follow:

1. [REDACTED] Trust: The [REDACTED] Trust was created by [REDACTED] on December 15, 1976. It became irrevocable on her death in 1990 and divided into three shares. The trustee has discretion to pay the income and principal of my share to me. [REDACTED]
2. [REDACTED] 1976 Trust: [REDACTED] 1976 Trust was formed by [REDACTED] in 1976 and became irrevocable on his death in 1987. On his death the property was divided into equal shares for [REDACTED] and me. The trustee has discretion to pay some or all of the net income or principal of my share to me. [REDACTED] The trust terminates on the death of me and [REDACTED] the remainder going to [REDACTED].
3. The income of both trusts was paid by the trustee in 2006 to my [REDACTED] over 21 years of age. At the request of the Committee on Financial Disclosure, I have summarized the reports I have received.

In April 2000, Fleet Bank acquired the Bank of Boston and became the trustee of the above trusts. The trusts were also subdivided into distinct trusts for each of the [REDACTED] of the grantors, so that there is now a distinct trust for me and my family under the same trust instruments. Report is not made here of the sales of odd lots (typically one or two shares) made to make it easier to divide the trusts into thirds. The money market fund, Galaxy, was arranged by Fleet to take over the functions performed by the money market Boston 1784 funds; investments in these money market funds are noted on an annual basis.

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**IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



Date

6/13/07

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

**FILING INSTRUCTIONS**

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544