AO 10
Rev. 1/2008

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2008

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Noonan, Jr., John T.	**2. Court or Organization** U.S. Court of Appeals, 9th	**3. Date of Report** 06/19/2009
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Article III Judge, Senior	**5a. Report Type** (check appropriate type) ☐ Nomination, Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	**6. Reporting Period** 01/01/2008 to 12/31/2008
7. Chambers or Office Address U.S. Court of Appeals 95 Seventh Street San Francisco, CA 94103	**8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.** Reviewing Officer______ Date______	

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	President and Director	Thomas More-Jacques Maritain Institute (non-profit cultural organization)
2.	Member	Advisory Council, Transparency International
3	Member	Editorial Board, American Journal of Jurisprudence
4.	Member	President's Council, Graduate Theological School
5		

RECEIVED
2009 JUN 22 A 11: 11
JUDICIAL
DISCLOSURE OFFICE

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☐ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.	07/01/86	University of California pension based on service
2.		
3.		

Noonan Jr, John T

Name of Person Reporting	Date of Report
Noonan, Jr., John T.	06/15/2009

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2008	Pension: University of California	$41,706.78
2. 2008	Royalty-West Group Foundation Press	$967.23
3. 2008	Royalty-University of California	$245.49
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *– transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Graduate Theological Union - President's Council	10/21/2008	New York, New York	Meeting	Airfare, Taxis
2				
3				
4.				
5.				

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Noonan, Jr., John T.	06/15/2009

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1 Fidelity Magellan Fund	C	Dividend	L	T					
2 Fidelity CA Muni Income Fund (formerly Spartan CA Muni Fd)	D	Interest	M	T					
3. BP P.L.C.	A	Dividend	J	T					
4. Wells Fargo Checking Account	C	Interest	M	T					
5. Wells Fargo Market Rate Account	A	Dividend	J	T					
6. Wells Fargo IRAs	B	Interest	K	T					
7. Dreyfus Muni Bond Fund (formerly Insured Muni Bond Fund)	A	Dividend	J	T					
8. Teachers Insurance and Annuity Association	D	Interest	M	T					
9. Scudder Int'l Bond Fund	B	Dividend	K	T					
10 Wells Fargo Investments-Thornburg Inves tment Trust	D	Dividend	M	T					
11. Wachovia Time Deposit	C	Interest	M	T					
12. Brokerage Account Number 1 Bear Stearns									
13. -JP Morgan Chase	C	Dividend	L	T					
14 -General Mills	B	Dividend	K	T					
15 -Procter & Gamble Common	B	Dividend	L	T					
16. -Neurobiological Technologies		None	J	T					
17 -BP P.L.C	C	Dividend	K	T					

1 Income Gain Codes (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
3 Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

Name of Person Reporting	Date of Report
Noonan, Jr., John T.	06/15/2009

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date Month - Day	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
18 -Coca Cola Common	B	Dividend	K	T					
19. -Eli Lilly Corporation	B	Dividend	K	T					
20. -IBM Common	B	Dividend	K	T					
21. -Fortune Brands	B	Dividend	K	T					
22. -Monsanto	A	Dividend	K	T					
23. -Pfizer	B	Dividend	K	T					
24. -GNMA Pass Through Pools	A	Interest	J	T					
25. -Calvert Social Investment Fund	B	Interest	J	T	Buy	12/4	J		
26. -Olstein All Cap Value Fund (formerlty Financial Alert Fund)		None			Sold	06/18	L	A	
27. -Bear Stearns Tempfund	A	Interest			Sold	06/18	J	A	
28. -Bear Stearns Tempfund					Buy	02/01	J		
29. -Bear Stearns Tempfund					Buy	08/01	J		
30. -Bear Stearns Tempfund					Sold	09/04	K	A	
31 -JP Morgan Prime Money Market Fund	A	Interest	M	T	Buy	09/04	K		
32 -JP Morgan Prime Money Market Fund					Buy	10/01	M		
33. -California State Corpus Prams		None			Redeemed	10/01	L	A	
34. -Diamond Hill Long-Short Fund	A	Dividend	L	T	Buy	06/17	M		

1 Income Gain Codes (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

Name of Person Reporting	Date of Report
Noonan, Jr., John T.	06/15/2009

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. -Ca State University Revenue Bond	B	Interest	K	T					
36. -North of the River Sanitary District Bond	B	Interest	K	T					
37. Trust Number 1 (No personal income)	D	Dividend	N	T					
38. -Fleet MA Intermediate Tax Exempt Bond Fund					Sold	03/03	J	A	
39. -Fleet International Equity Fund					Sold	04/03	J	A	
40. -Fleet Mid Cap Growth Fund					Sold	04/03	J	A	
41. -Fleet Large Cap Value Fund					Sold	11/05	J	A	
42. -Fleet Mid Cap Value Fund					Sold	11/05	J	A	
43. -Fleet Small Cap Value Fund					Sold	11/05	J	A	
44. -Fleet Large Cap Growth Fund					Sold	11/05	J	A	
45 -Fleet Tax Exempt Fund					Sold	11/05	K		
46. -Fleet Tax Exempt Fund					Buy	04/03	J		
47 -Fleet International Equity Fund					Buy	11/05	K		
48 Trust Number 2 (No personal income)	D	Dividend	M	T					
49 -Fleet MA Intermediate Tax Exempt Bond Fund					Sold	10/03	J	A	
50 -Fleet Large Cap Value Fund					Sold	11/05	J	A	
51 -Fleet MA Intermediate Tax Exempt Bond Fund					Sold	11/05	J	A	

1 Income Gain Codes (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Noonan, Jr., John T.	06/15/2009

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e g., div., rent, or int)	(1) Value Code2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52 -Fleet Large Cap Growth Fund					Sold	11/05	J	A	
53. -Fleet International Equity Fund					Buy	10/03	J		
54. -Fleet Large Cap Value Fund					Buy	10/03	J		
55 -Fleet International Equity Fund					Buy	11/05	J		
56. -Fleet Small Cap Growth Fund					Buy	11/05	J		

1 Income Gain Codes (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3 Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Noonan, Jr., John T.	06/15/2009

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Two trusts referred to in this report are as follow.

1. [redacted] Trust: The [redacted] Trust was created by [redacted] on December 15, 1976. It became irrevocable on her death in 1990 and divided into three shares. The tr stee has discretion to pay the income and principal of my share to me, [redacted]

2. [redacted] 1976 Trust: The [redacted] 1976 Trust was formed by [redacted] in 1976 and became irrevocable on his death in 1987. On his death the property was divided into equal shares for [redacted] me. The trustee has discretion to pay some or all of the net income or principal of my share to me, [redacted]. The trust terminates on the death of me [redacted], the remainder going to [redacted]

3. The income of both trusts was paid by the tr stee in 2008 to [redacted], all over 21 years of age. At the request of the Committee on Financial Disclosure, I have summarized the reports I have received.

In April 2000, Fleet Bank acquired the Bank of Boston and became the trustee of the above tr sts. The trusts were also subdivided into distinct trusts for each of the [redacted] of the grantors, so that there is now a distinct trust for me [redacted] under the same trust instruments. Report is not made here of the sales of odd lots (typically one or two shares) made to make it easier to divide the trusts into thirds. The money market fund, Galaxy, was arranged by Fleet to take over the functions performed by the money market Boston 1784 funds; investments in these money market funds are noted on an annual basis.

Name of Person Reporting	Date of Report
Noonan, Jr., John T.	06/15/2009

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature____

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

o