

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2009**

1. Person Reporting (last name, first, middle initial) SARGUS, JR., EDMUND A.	2. Court or Organization Southern District of Ohio	3. Date of Report 05/14/2010
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U. S. District Judge (Active)	5a. Report Type (check appropriate type) <input type="checkbox"/> Nomination, Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final 5b. <input type="checkbox"/> Amended Report	6. Reporting Period 01/01/2009 to 12/31/2009
7. Chambers or Office Address 85 Marconi Blvd. Room 301 Columbus, OH 43215	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Adjunct Professor	_____ - teaching
2.		
3.		
4.		
5.		

RECEIVED
 2009 MAY 20 A 10:01
 FINANCIAL
 DISCLOSURE OFFICE

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 7

Name of Person Reporting

SARGUS, JR., EDMUND A.

Date of Report

05/14/2010

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> <i>(yours, not spouse's)</i>
1. 2009	Teaching position	\$26,500.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2009	Common Pleas Judges - Belmont County, Ohio - salary
2.	
3.	
4.	

IV. REIMBURSEMENTS - *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	American Bar Association	April 2 - 4, 2009	Phoenix, AZ	Spring CLE Meeting (teach)	transportation, meals, hotel
2.					
3.					
4.					
5.					

FINANCIAL DISCLOSURE REPORT

Page 3 of 7

Name of Person Reporting SARGUS, JR., EDMUND A.	Date of Report 05/14/2010
--	------------------------------

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Mastercard	Credit Card	K
2.	Discover Card	Credit Card	J
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 7

Name of Person Reporting SARGUS, JR., EDMUND A.	Date of Report 05/14/2010
---	-------------------------------------

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

	A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
		(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
		1.	Huntington Bank (which pur. UNIZ Bank, 2006; stock swap)com.	D	Dividend	M	T			
2.	First Merit Bank - com.	D	Dividend	M	T	Sold (part)	06/11/09	J	D	Open market
3.						Sold (part)	11/02/09	J	D	Open market
4.	NiSource, Inc. (formerly Northern Indiana Public Serv.-com.	B	Dividend	K	T					
5.	Nuveen Municipal Value Fund - com.	A	Dividend	J	T					
6.	Columbus National Bank - bank deposit	A	Interest	J	T					
7.	U.S. Government Bonds	B	Interest	J	T					
8.	Putnam Managed Mutual Fund - com.	A	Interest	J	T					
9.	AIM Funds (Constellation & Weingarten) mutual fund	A	Dividend	J	T	Sold (part)	09/01/09	J	B	Open market
10.	Timken Co. - com.	A	Dividend	J	T					
11.	Citigroup (formerly Citicorp) - com.	A	Dividend	K	T					
12.	Bell South - com.	A	Dividend			Sold	01/02/09	J	B	Open market
13.	PPG Industries - com	A	Dividend	J	T					
14.	Disney - com.	A	Dividend	J	T					
15.	Westbanco Co. (was formerly American Bank Corp.)	B	Dividend	L	T					
16.	Nuveen Municipal Value Fund - com.	A	Dividend	J	T					
17.	National City Bank - bank deposit	A	Interest	J	T					

1. Income Gain Codes: A = \$1,000 or less; B = \$1,001 - \$2,500; C = \$2,501 - \$5,000; D = \$5,001 - \$15,000; E = \$15,001 - \$50,000; F = \$50,001 - \$100,000; G = \$100,001 - \$1,000,000; H1 = \$1,000,001 - \$5,000,000; H2 = More than \$5,000,000; (See Columns B1 and D4)

2. Value Codes: J = \$15,000 or less; K = \$15,001 - \$50,000; L = \$50,001 - \$100,000; M = \$100,001 - \$250,000; N = \$250,001 - \$500,000; O = \$500,001 - \$1,000,000; P1 = \$1,000,001 - \$5,000,000; P2 = \$5,000,001 - \$25,000,000; P3 = \$25,000,001 - \$50,000,000; (See Columns C1 and D3)

3. Value Method Codes: Q = Appraisal; R = Cost (Real Estate Only); S = Assessment; T = Cash Market; U = Book Value; V = Other; W = Estimated; (See Column C2)

FINANCIAL DISCLOSURE REPORT

Page 5 of 7

Name of Person Reporting

SARGUS, JR., EDMUND A.

Date of Report

05/14/2010

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period			D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)	
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)	
18. Reserve Fund - money market	B	Interest	J	T						
19. Tire America - Limited part. int.	A	Distribution	J	T						
20. Northwest Mutual Life Ins. whole life policies	A	Interest	J	T						
21. Huntington National Bank - bank deposit	A	Interest	J	T						
22. Western Investment Real Estate Fund - comm.	A	Dividend	J	T						
23. Corning, Inc.	A	Dividend	K	T						
24. America Online - com.	A	Dividend	J	T						
25. America Online - com.	A	Dividend	J	T						
26. Home Depot - com.	A	Dividend			Sold	03/02/09	J	B	Open market	
27. Pimco Mutual Fund C Innovative	A	Dividend	J	T						
28. Pimco Mutual Fund C Innovative	A	Dividend	J	T						
29. Pimco Mutual Fund C Innovative	A	Dividend	J	T						
30. Massachusetts Inv. Growth Stock Class B	A	Dividend	J	T						
31. Nuveen Tax Advantage Total Return Fund	A	Dividend	J	T						

1. Income Gain Codes (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,600,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

Name of Person Reporting

SARGUS, JR., EDMUND A.

Date of Report

05/14/2010

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

FINANCIAL DISCLOSURE REPORT

Page 7 of 7

Name of Person Reporting

SARGUS, JR., EDMUND A.

Date of Report

05/14/2010

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



5-14-2010

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544