AO 10 Rev. 1/2010	FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2009	Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Scullin, , Frederick J.	US District Court (NDNY)	04/30/2010
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior Judge- Active	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☑ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2009 to 12/31/2009
7. Chambers or Office Address PO Box 7255 100 S. Clinton St Syracuse, NY 13261	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer ____ Date ____	

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3		

Name of Person Reporting	Date of Report
Scullin, , Frederick J.	04/30/2010

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	Criminalization of Corporate Conduct	4/20/2009	Chicago, IL	Education	Transportation, food, hotel
2.	Mill on Liberty	10/15/2009 - 10/18/2009	San Diego, CA	Education	Transportation, food, hotel
3.	4th Annual Judicial Symposium on Civil Justice	12/07/2009 - 12/08/2009	Chicago, IL	Education	Transportation, food, hotel
4.	NY Intellectual Property Association	March 2009	New York, NY	Annual Dinner	Transportation, food, hotel
5.					

Name of Person Reporting	Date of Report
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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Scullin, , Frederick J.	04/30/2010

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
1. Brokerage Account #1									
2. iShares- COMEX Gold Trust ETF		None	J	T					
3. National Financial Brokerage Account- Treasury Fund MM	A	Interest	K	T					
4. T. Rowe Price Africa & Middle East	A	Int./Div.			Sold	02/26/09	J		
5. Lord Abbett- Large Cap Value A	A	Int./Div.	K	T					
6. Putnam Int'l Capital Opps A	A	Int./Div.			Sold	03/02/09	J		
7. T. Rowe Price Latin America	A	Int./Div.			Sold	02/17/09	J		
8. Common stock-FPL Group		None	J	T	Buy	12/31/09	J		
9. Brokerage Account #2									
10. Alpine Int'l Real Estate	A	Int./Div.			Sold	02/13/09	J		
11. National Financial Brokerage Account- Prime Fund	A	Interest	K	T					
12. Matthews- Pacific Tiger	A	Int./Div.			Sold	02/26/09	J		
13. Vanguard Small Cap Value Index	A	Int./Div.			Sold	02/04/09	J		
14. Fidelity New Markets Income	A	Int./Div.			Sold	02/20/09	J		
15. PIMCO Commodity Real Return A	A	Int./Div.	J	T					
16. Common stock- ConocoPhillips	A	Dividend	J	T	Buy	06/25/09	J		
17. Common stock- FPL Group		None	J	T	Buy	12/28/09	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Scullin, , Frederick J.	04/30/2010

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18.	Powershares Emerging Markets Sovereign Debt ETF	A	Interest	J	T	Buy	08/06/09	J		
19.	SPDR Lehman Int'l Treasury ETF	A	Interest	J	T	Buy	08/20/09	J		
20.	Common Stock- GE		None			Buy	03/19/09	J		
21.	Common Stock- GE		None			Sold	04/17/09	J		
22.	Common Stock- BMY	A	Dividend			Buy	03/26/09	J		
23.	Common Stock- BMY	A	Dividend			Sold	12/22/09	J		
24.	Claymore China Real Estate ETF		None			Buy	07/28/09	J		
25.	Claymore China Real Estate ETF		None			Sold	12/01/09	J		
26.	iShares S&P Smallcap 600 Value Index ETF		None			Buy	08/0/09	J		
27.	iShares S&P Smallcap 600 Value Index ETF		None			Sold	12/01/09	J		
28.	iShares S&P Smallcap 600 Growth Index ETF		None			Buy	08/0/09	J		
29.	iShares S&P Smallcap 600 Growth Index ETF		None			Sold	12/01/09	J		
30.	PowerShares Lehman Int'l Treasury ETF	A	Interest	J	T	Buy	08/31/09	J		
31.	Brokerage Account #3									
32.	National Financial Brokerage Account- Prime Fund MM	A	Interest	K	T					
33.	Common stock- ConocoPhillips	A	Dividend	J	T	Buy	06/25/09	J		
34.	Common stock- FPL Group	A	Dividend	J	T	Buy	12/31/09	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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Scullin, , Frederick J.	04/30/2010

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☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. iShares iBOXX Investment Grade Corp Bond ETF	A	Interest	J	T					
36. Powershares Emerging Markets Sovereign Debt ETF	A	Interest	J	T	Buy	08/11/09	J		
37. SPDR Lehman Int'l Treasury ETF	A	Interest	J	T	Buy	08/25/09	J		
38. Vanguard Growth Index		None			Sold	02/03/09	J		
39. T. Rowe Price Emerging Europe		None			Sold	02/13/09	J		
40. Third Avenue Real Estate		None			Sold	02/13/09	J		
41. Common Stock-GE		None	J	T	Buy	03/19/09	J		
42. Common Stock- GE		None			Sold	4/17/09	J		
43. Claymore China Real Estate ETF		None	J	T	Buy	07/28/09	J		
44. Claymore China Real Eatate		None			Sold	12/01/09	J		
45. iShares Small Cap Value Index ETF		None			Buy	08/07/09	J		
46. iShares Small Cap Value Index ETF		None			Sold	12/01/09	J		
47. iShares Small Cap Growth Index ETF		None			Buy	08/07/09	J		
48. iShares Small Cap Growth Index ETF		None			Sold	12/01/09	J		
49. Merrill Lynch CMA account	A	Int./Div.	J	T					
50. Merrill Lynch CMA account	A	Int./Div.	J	T					
51. GE Interest Plus Money Market	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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Scullin, , Frederick J.	04/30/2010

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☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. Massachussetts Mutual SPLD		None	K	T					
53. Franklin 529 Plan- Small Mid cap Growth	A	Int./Div.	J	T					
54. Franklin 529 Plan- Growth	A	Int./Div.	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Name of Person Reporting	Date of Report
Scullin, , Frederick J.	04/30/2010

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature____________

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544