AO 10 Rev. 1/2011

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2010

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Scullin, Frederick J.	**2. Court or Organization** US District Court (NDNY)	**3. Date of Report** 05/04/2011
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior Judge- Active	**5a. Report Type (check appropriate type)** ☐ Nomination, Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	**6. Reporting Period** 01/01/2010 to 12/31/2010
7. Chambers or Office Address PO Box 7255 100 S. Clinton St Syracuse, NY 13261	**8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.** Reviewing Officer______________ Date________	

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

Name of Person Reporting	Date of Report
Scullin, Frederick J.	05/04/2011

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	New York Intellectual Property Law	March 26 -27, 2010	New York, NY	Dinner and Meeting	Transportation, food, hotel
2.	George Mason University Law and Economics Center Seminar	November 5 - 11, 2010	Captiva Island, FL	Seminar	Transporation, food, hotel
3.					
4.					
5.					

Name of Person Reporting	Date of Report
Scullin, Frederick J.	05/04/2011

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Scullin, Frederick J.	05/04/2011

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
1.	Brokerage Account #1									
2.	National Financial Brokerage Account- Treasury Fund MM	A	Interest	J	T					
3.	Common Stock- AT&T (T)	A	Int./Div.	J	T	Buy	04/28/10	J		
4.	iShares COMEX Gold Trust ETF (IAU)		None	K	T					
5.	Common stock- Nextera Energy (formerly FPL)	A	Int./Div.	J	T					
6.	Lord Abbett- Large Cap Value A	A	Int./Div.	K	T					
7.	Brokerage Account #2 IRA									
8.	National Financial Brokerage Account- Prime Fund MM	A	Interest	K	T					
9.	Common Stock- ConocoPhillips (COP)	A	Dividend	J	T	Sold (part)	09/08/10	J	B	
10.	Common Stock- Nextera Energy (formerly FPL)	A	Int./Div.	J	T					
11.	Powershares Emerging Markets Sovereign Debt ETF (PCY)	A	Int./Div.	J	T					
12.	SPDR Lehman Int'l Treasury ETF (BWX)	A	Int./Div.			Sold	05/13/10	J	A	
13.	PIMCO All Asset All Authority	A	Int./Div.	J	T	Buy	03/12/10	J		
14.	PIMCO Global Multi Asset	A	Int./Div.	J	T	Buy	03/12/10	J		
15.	Merger Fund	A	Int./Div.	J	T	Buy	03/12/10	J		
16.	JPMorgan Market Neutral	A	Int./Div.	J	T	Buy	03/12/10	J		
17.	PIMCO Commodity Real Return	A	Int./Div.	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Scullin, Frederick J.	05/04/2011

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Brokerage Account #3 IRA									
19. National Financial Brokerage Account-Prime Fund MM	A	Interest	K	T					
20. Common Stock- ConocoPhillips (COP)	A	Dividend	J	T	Sold (part)	09/08/10	J	A	
21. SPDR Lehman Int'l Treasury ETF (BWX)	A	Int./Div.			Sold	05/13/10	J	A	
22. iShares Investment-Grade Corp Bond ETF (LQD)	A	Int./Div.			Sold	06/01/10	J	A	
23. Common Stock- Nextera Energy (formerly FPL)	A	Int./Div.	J	T					
24. Powershares Emerging Markets Sovereign Debt ETF (PCY)	A	Int./Div.	J	T					
25. JPMorgan Market Neutral	A	Int./Div.	J	T	Buy	03/12/10	J		
26. Merger Fund	A	Int./Div.	J	T	Buy	03/12/10	J		
27. PIMCO All Asset All Authority	A	Int./Div.	J	T	Buy	03/12/10	J		
28. PIMCO Global Multi Asset	A	Int./Div.	J	T	Buy	03/12/10	J		
29. Merrill Lynch CMA account	A	Int./Div.	J	T					
30. Merrill Lynch CMA account	A	Int./Div.	J	T					
31. GE Interest Plus Money Market	A	Interest	J	T					
32. Massachussetts Mutual SPLD		None	K	T					
33. Franklin 529 Plan- Small Mid Cap Growth	A	Int./Div.			Sold	03/23/10	J		
34. Franklin 529 Plan- Growth	A	Int./Div.			Sold	03/23/10	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

Name of Person Reporting	Date of Report
Scullin, Frederick J.	05/04/2011

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Name of Person Reporting	Date of Report
Scullin, Frederick J.	05/04/2011

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Frederick J. Scullin

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544