

**FINANCIAL DISCLOSURE REPORT  
FOR CALENDAR YEAR 2010**

|  |   |  |
|--|---|--|
| <b>1. Person Reporting (last name, first, middle initial)</b><br><br>Walton Pratt, Tanya M.  | <b>2. Court or Organization</b><br><br>U.S. District Court, Southern District of Indiana  | <b>3. Date of Report</b><br><br>05/14/2011                     |
| <b>4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)</b><br><br>U.S. District Judge-Active | <b>5a. Report Type (check appropriate type)</b><br><input type="checkbox"/> Nomination,                      Date<br><input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final<br><b>5b.</b> <input type="checkbox"/> Amended Report | <b>6. Reporting Period</b><br><br>1/1/2010<br>to<br>12/31/2010 |
| <b>7. Chambers or Office Address</b><br><br>46 E. Ohio Street<br>Chambers 330<br>Indianapolis, IN 46204  | <b>8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.</b><br><br>Reviewing Officer _____ Date _____  |  |

**IMPORTANT NOTES:** *The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.*

**I. POSITIONS.** *(Reporting individual only; see pp. 9-13 of filing instructions.)*

NONE *(No reportable positions.)*

| <u>POSITION</u>               | <u>NAME OF ORGANIZATION/ENTITY</u>  |
|-------------------------------|-------------------------------------|
| 1. Trustee, Board of Director | Cathedral High School               |
| 2. Member                     | American Inn of Court, Indianapolis |
| 3. Advisory Board             | United Negro College Fund           |
| 4.                            |                                     |
| 5.                            |                                     |

**II. AGREEMENTS.** *(Reporting individual only; see pp. 14-16 of filing instructions.)*

NONE *(No reportable agreements.)*

| <u>DATE</u> | <u>PARTIES AND TERMS</u>   |
|-------------|--|
| 1. 2009     | Indiana Judges Pension Fund; pension upon retirement at age 62   |
| 2. 1997     | PERF (Public Employees Retirement Fund), payable upon retirement |
| 3.          |  |

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**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

**A. Filer's Non-Investment Income**

NONE *(No reportable non-investment income.)*

|    | <u>DATE</u> | <u>SOURCE AND TYPE</u> | <u>INCOME</u><br>(yours, not spouse's) |
|----|-------------|------------------------|--|
| 1. |             |                        |  |
| 2. |             |                        |  |
| 3. |             |                        |  |
| 4. |             |                        |  |

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.*

*(Dollar amount not required except for honoraria.)*

NONE *(No reportable non-investment income.)*

|    | <u>DATE</u> | <u>SOURCE AND TYPE</u> |
|----|-------------|------------------------|
| 1. | 2010        | Self-employed Attorney |
| 2. |             |                        |
| 3. |             |                        |
| 4. |             |                        |

**IV. REIMBURSEMENTS** – *transportation, lodging, food, entertainment.*

*(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*

NONE *(No reportable reimbursements.)*

|    | <u>SOURCE</u> | <u>DATES</u> | <u>LOCATION</u> | <u>PURPOSE</u> | <u>ITEMS PAID OR PROVIDED</u> |
|----|---------------|--------------|-----------------|----------------|-------------------------------|
| 1. |               |              |                 |                |                               |
| 2. |               |              |                 |                |                               |
| 3. |               |              |                 |                |                               |
| 4. |               |              |                 |                |                               |
| 5. |               |              |                 |                |                               |

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**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)* NONE *(No reportable gifts.)*

|    | <u>SOURCE</u>                 | <u>DESCRIPTION</u>  | <u>VALUE</u> |
|----|-------------------------------|---|--------------|
| 1. | Indiana State Bar Association | Contribution toward cost of reception following investiture ceremony  | \$2,000.00   |
| 2. | Federal Bar Association       | Contribuiton toward costs of reception following investiture ceremony | \$3,000.00   |
| 3. |                               |   |              |
| 4. |                               |   |              |
| 5. |                               |   |              |

**VI. LIABILITIES.** *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)* NONE *(No reportable liabilities.)*

|    | <u>CREDITOR</u> | <u>DESCRIPTION</u> | <u>VALUE CODE</u> |
|----|-----------------|--------------------|-------------------|
| 1. | Bank of America | credit card        | J                 |
| 2. |                 |                    |                   |
| 3. |                 |                    |                   |
| 4. |                 |                    |                   |
| 5. |                 |                    |                   |

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| Name of Person Reporting<br><b>Walton Pratt, Tanya M.</b> | Date of Report<br><b>05/14/2011</b> |
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## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |  | C.<br>Gross value at end<br>of reporting period |                                    |  | D.<br>Transactions during reporting period |                          |                         |  |  |
|---|---|--|---|------------------------------------|--|--|--------------------------|-------------------------|--|--|
|   | (1)                                     | (2)                                    | (1)   | (2)                                | (1)                                      | (2)  | (3)                      | (4)                     | (5)  |  |
|   | Amount<br>Code 1<br>(A-H)               | Type (e.g.,<br>div., rent,<br>or int.) | Value<br>Code 2<br>(J-P)                        | Value<br>Method<br>Code 3<br>(Q-W) | Type (e.g.,<br>buy, sell,<br>redemption) | Date<br>mm/dd/yy                           | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |  |
| 1. series EE bonds  | A                                       | Interest                               | J   | T                                  |  |  |                          |                         |  |  |
| 2. series I bonds   | A                                       | Interest                               | J   | T                                  |  |  |                          |                         |  |  |
| 3. CHASE Bank   | A                                       | Interest                               | J   | T                                  |  |  |                          |                         |  |  |
| 4. National City Bank (CD, checking)  | A                                       | Interest                               | K   | T                                  |  |  |                          |                         |  |  |
| 5. APPL (Apple) stock   | A                                       | Dividend                               | J   | T                                  |  |  |                          |                         |  |  |
| 6. ARBA ( Ariba Inc.) stock   |   | None                                   | J   | T                                  |  |  |                          |                         |  |  |
| 7. CBMC (Calypte biomed) stock  |   | None                                   | J   | T                                  |  |  |                          |                         |  |  |
| 8. CHK (Chesapeake energy) stock  |   | None                                   | J   | T                                  |  |  |                          |                         |  |  |
| 9. ERIC (Ericsson) stock  |   | None                                   | J   | T                                  |  |  |                          |                         |  |  |
| 10. MSTR (microstrategy inc.) stock   | A                                       | Dividend                               | J   | T                                  |  |  |                          |                         |  |  |
| 11. Fidelity Diversified International Fund   |   | None                                   | K   | T                                  |  |  |                          |                         |  |  |
| 12. Fidelity Low-Priced Stock   |   | None                                   | K   | T                                  |  |  |                          |                         |  |  |
| 13. Vanguard Capitol Opportunity  |   | None                                   | K   | T                                  |  |  |                          |                         |  |  |
| 14. BlackRock Large cap Value Retirement K  |   | None                                   | K   | T                                  |  |  |                          |                         |  |  |
| 15. Wells Fargo Capital Growth I  |   | None                                   | J   | T                                  |  |  |                          |                         |  |  |
| 16. WBMD (Web M.D..)  |   | None                                   | J   | T                                  |  |  |                          |                         |  |  |
| 17. PIMCO   |   | None                                   | L   | T                                  |  |  |                          |                         |  |  |

1. Income Gain Codes: A=\$1,000 or less B=\$1,001 - \$2,500 C=\$2,501 - \$5,000 D=\$5,001 - \$15,000 E=\$15,001 - \$50,000  
 (See Columns B1 and D4) F=\$50,001 - \$100,000 G=\$100,001 - \$1,000,000 H1=\$1,000,001 - \$5,000,000 H2=More than \$5,000,000  
 2. Value Codes J=\$15,000 or less K=\$15,001 - \$50,000 L=\$50,001 - \$100,000 M=\$100,001 - \$250,000  
 (See Columns C1 and D3) N=\$250,001 - \$500,000 O=\$500,001 - \$1,000,000 P1=\$1,000,001 - \$5,000,000 P2=\$5,000,001 - \$25,000,000  
 P3=\$25,000,001 - \$50,000,000 P4=More than \$50,000,000 P=Assessment  
 3. Value Method Codes Q=Appraisal R=Cost (Real Estate Only) S=Assessment T=Cash Market  
 (See Column C2) U=Book Value V=Other W=Estimated

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**VII. INVESTMENTS and TRUSTS** – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |  | C.<br>Gross value at end<br>of reporting period |                                    | D.<br>Transactions during reporting period |                  |                          |                         |  |
|---|---|--|---|------------------------------------|--|------------------|--------------------------|-------------------------|--|
|   | (1)                                     | (2)                                    | (1)   | (2)                                | (1)  | (2)              | (3)                      | (4)                     | (5)  |
|   | Amount<br>Code 1<br>(A-H)               | Type (e.g.,<br>div., rent,<br>or int.) | Value<br>Code 2<br>(J-P)                        | Value<br>Method<br>Code 3<br>(Q-W) | Type (e.g.,<br>buy, sell,<br>redemption)   | Date<br>mm/dd/yy | Value<br>Code 2<br>(J-P) | Gain<br>Code 1<br>(A-H) | Identity of<br>buyer/seller<br>(if private<br>transaction) |

|  |   |          |   |   |  |  |  |  |  |
|--|---|----------|---|---|--|--|--|--|--|
| 18. Indiana Stable Value Fund                                    |   | None     | J | T |  |  |  |  |  |
| 19. Allianz IRA's  | A | Interest | L | T |  |  |  |  |  |
| 20. Western National IRA   | A | Interest | K | T |  |  |  |  |  |
| 21. Indiana 529 Plan: Core Bond Index Portfolio                  | A | Interest | J | T |  |  |  |  |  |
| 22. Vanguard Institutional Index Fund                            |   | None     | K | T |  |  |  |  |  |
| 23. Artio International Equity Fund                              |   | None     | J | T |  |  |  |  |  |
| 24. Indiana 529 Plan: Large Cap Index Portfolio Class A          | A | Interest | J | T |  |  |  |  |  |
| 25. Indiana 529 Plan: International Equity Index Portfolio Class | A | Interest | K | T |  |  |  |  |  |

- 1. Income Gain Codes:
  - A = \$1,000 or less
  - F = \$50,001 - \$100,000
  - J = \$15,000 or less
  - N = \$250,001 - \$500,000
  - P3 = \$25,000,001 - \$50,000,000
- 2. Value Codes
  - B = \$1,001 - \$2,500
  - G = \$100,001 - \$1,000,000
  - K = \$15,001 - \$50,000
  - O = \$500,001 - \$1,000,000
- 3. Value Method Codes
  - R = Cost (Real Estate Only)
  - V = Other
- C = \$2,501 - \$5,000
- H1 = \$1,000,001 - \$5,000,000
- L = \$50,001 - \$100,000
- P1 = \$1,000,001 - \$5,000,000
- P4 = More than \$50,000,000
- S = Assessment
- W = Estimated
- D = \$5,001 - \$15,000
- H2 = More than \$5,000,000
- M = \$100,001 - \$250,000
- P2 = \$5,000,001 - \$25,000,000
- T = Cash Market
- E = \$15,001 - \$50,000

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**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of report.)*

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**IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Tanya M. Walton Pratt**

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
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