

# FINANCIAL DISCLOSURE REPORT

## FOR CALENDAR YEAR 2003

*Report Required by the Ethics  
in Government Act of 1978,  
(5 U.S.C. App. §§101-111)*

<b>1. Person Reporting</b> ( <i>Last name, first, middle initial</i> ) Whelan, Thomas J.	<b>2. Court or Organization</b> U.S. District Court - Southern District of California	<b>3. Date of Report</b> 3/4/04
<b>4. Title</b> ( <i>Article III judges indicate active or senior status; magistrate judges indicate full- or part-time</i> ) U.S. District Judge - Active	<b>5. Report Type</b> (check appropriate type) ___ Nomination, Date _____ ___ Initial <input checked="" type="checkbox"/> Annual ___ Final	<b>6. Reporting Period</b> 1/1/03 - 12/31/03
<b>7. Chambers or Office Address</b> U.S. District Court 940 Front St. San Diego, Ca 92101	<b>8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.</b>  Reviewing Officer _____ Date _____	

**I. POSITIONS.** (*Reporting individual only; see pp. 9-13 of Instructions.*)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
<input type="checkbox"/> NONE (No reportable positions.)	
1 Member, Board of Directors	Univ. of San Diego, School of Law, Alumni Assn.
2 Member, Board of Governors	Assn. of Business Trial Lawyers
3	

**II. AGREEMENTS.** (*Reporting individual only; see pp. 14-16 of Instructions.*)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
<input type="checkbox"/> NONE (No reportable agreements.)	
1 1997	County of San Diego Retirement Plan - No Control
2 1998	State of California Judicial Retirement Plan - No Control

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 FINANCIAL DISCLOSURE OFFICE

**III. NON-INVESTMENT INCOME.** (*Reporting individual and spouse; see pp. 17-24 of Instructions.*)

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>GROSS INCOME</u>
<b>A. Filer's Non-Investment Income</b>		
<input type="checkbox"/> NONE (No reportable non-investment income.)		
1 2003	County of San Diego - Retirement	\$ 33,966
2		\$
3		\$
<b>B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, please complete this section. (dollar amount not required except for honoraria)</b>		
<input type="checkbox"/> NONE (No reportable non-investment income.)		
1 2003	Diocese of San Diego	
2		

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**IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.**  
*(Includes those to spouse and dependent children. See pp. 25-27 of Instructions.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>
<input checked="" type="checkbox"/>	NONE (No such reportable reimbursements.)	
1		
2		
3		
4		
5		
6		
7		

**V. GIFTS.** *(Includes those to spouse and dependent children. See pp. 28-31 of Instructions.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
<input checked="" type="checkbox"/>	NONE (No such reportable gifts.)		
1			\$
2			\$
3			\$
4			\$

**VI. LIABILITIES.** *(Includes those of spouse and dependent children. See pp. 32-33 of Instructions.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE*</u>
<input checked="" type="checkbox"/>	NONE (No reportable liabilities.)		
1			
2			
3			
4			
5			

\*Value Codes: J=\$15,000 or less    K=\$15,001-\$50,000    L=\$50,001-\$100,000    M=\$100,001-\$250,000  
 N=\$250,001-\$500,000    O=\$500,001-\$1,000,000    P1=\$1,000,001-\$5,000,000  
 P2=\$5,000,001-\$25,000,000    P3=25,000,001-50,000,000    P4=50,000,001 or more

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**VII. Page 1 INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children. See pp. 34-57 of Instructions.)**

Description of Assets (including all assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amt. Code (A-H)	(2) Type (G, Int, Div, etc.)	(3) Value Code (L-P)	(4) Value Method Code (Q-W)	(5) Type (C, S, M, etc.)	(6) Date Month/Day	(7) Value Code (B-P)	(8) Gain Code (A-H)	(9) Identity of Buyer/Seller (if private transaction)
<input type="checkbox"/> NONE (No reportable income,									
1 San Diego County Credit Union	C	INT	M	T					
2 Real Estate, San Diego California	NONE		L	W					
3 County of San Diego Deferred Comp. Plan	C	INT	L	T					
4 State of California Deferred Comp. Plan	B	INT	K	T	Partial W/Drawal	2/4	K		
5 Diocese of San Diego Deferred Comp. Plan	A	INT	K	T					
6									
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16									
17									

1	Income/Gain Codes: A=\$1,000 or less (See Col. B1, D4)	B=\$1,001-\$2,500 G=\$100,001-\$1,000,000	C=\$2,501-\$5,000 H1=\$1,000,001-\$5,000,000	D=\$5,001-\$15,000 H2=More than \$5,000,000	E=\$15,001-\$50,000
2	Value Codes: J=\$15,000 or less (See Col. C1, D3)	K=\$15,001-\$50,000 O=\$500,001-\$1,000,000	L=\$50,001-\$100,000 P1=\$1,000,001-\$5,000,000	M=\$100,001-\$250,000 P2=\$5,000,001-\$25,000,000	P3=\$25,000,001-\$50,000,000 P4=More than \$50,000,000
3	Value Method Codes: Q=Appraisal U=Book value	R=Cost (real estate only) V=Other	S=Assessment W=Estimated	T=Cash/Market	

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**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS (Indicate part of Report.)**

**IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app., § 501 et. seq., 5 U.S.C. § 7353 and Judicial Conference regulations.

Signature



Date

3/5/04

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. App., § 104.)**

**FILING INSTRUCTIONS:**

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure  
Administrative Office of the  
United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544