

AO-10  
Rev. 1/2004

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2004

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

|  |   |   |
|--|---|---|
| 1. Person Reporting (Last name, First name, Middle initial)<br>Williams, Stephen F   | 2. Court or Organization<br>U.S. Court of Appeals, DC CI  | 3. Date of Report<br>5/15/2005                      |
| 4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time)<br>Article III Judge, Senior Sta | 5. Report Type (check appropriate type)<br><input type="radio"/> Nomination Date<br><input type="radio"/> Initial <input checked="" type="radio"/> Annual <input type="radio"/> Final                                 | 6. Reporting Period<br>1/1/2004<br>to<br>12/31/2004 |
| 7. Chambers or Office Address<br>U.S. Court of Appeals<br>333 Constitution Avenue, NW<br>Washington, DC 20001                                  | 8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.<br>Reviewing Officer _____ Date _____ |   |

**IMPORTANT NOTES:** The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

## I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

NONE - (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. \_\_\_\_\_

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## II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

NONE - (No reportable agreements.)

DATE

PARTIES AND TERMS

1. \_\_\_\_\_

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## III. NON-INVESTMENT INCOME. (Reporting individual and spouse's, see pp. 17-24 of filing instructions)

### A. Filer's Non-Investment Income

NONE - (No reportable non-investment income.)

DATE

SOURCE AND TYPE

GROSS INCOME

(your, not spouse's)

1.

### B. Spouse's Non-Investment Income - (If you were married during any portion of the reporting year, please complete this section. Dollar amount not required except for dividends.)

NONE - (No reportable non-investment income.)

DATE

SOURCE AND TYPE

1.

DC Public Library (S)

## IV. REIMBURSEMENTS - transportation, lodging, food, entertainment

(includes those to spouse and dependent children. See pp. 25-27 of instructions.)

NONE - (No such reportable reimbursements.)

SOURCE

DESCRIPTION

1. University of Colorado

Transportation, food and lodging to participate in Silicon Flatirons Program February 6-9, 2004

2. Mentor Group

Transportation, food and lodging in Brussels, April 25-May 2, 2004

3. Hoover Institute at Stanford University

Transportation, food and lodging to attend Fall Retreat - October 24-26, 2004

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## V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of instructions.)

NONE - (No such reportable gifts.)

| SOURCE | DESCRIPTION | VALUE |
|--------|-------------|-------|
| 1.     |             |       |

## VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-34 of instructions.)

NONE - (No reportable liabilities.)

| CREDITOR | DESCRIPTION | VALUE CODE |
|----------|-------------|------------|
| 1.       |             |            |

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**VII. INVESTMENTS and TRUSTS** -- Income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "X" after each asset except<br>from prior disclosure | B.<br>Income during<br>reporting period |  | C.<br>Gross value at end of<br>reporting period |   | D.<br>Transactions during reporting period                |                                |                                 |                                |   |
|---|---|--|---|---|---|--------------------------------|---------------------------------|--------------------------------|---|
|   | (1)<br>Amount<br>Code 1<br>(A-E)        | (2)<br>Type (e.g.<br>div. rent or<br>int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.<br>buy, sell,<br>merger,<br>redemption) | If not exempt from disclosure  |                                 |                                |   |
|   |   |  |   |   |   | (2)<br>Date:<br>Month -<br>Day | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-F) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| <input type="checkbox"/> NONE (No reportable income, assets, or transactions)   |   |  |   |   |   |                                |                                 |                                |   |
| 1. Trust # 1 Chase Manhattan (J.P. Morgan mutual funds) N.I   | A                                       | Dividend                                   | K   | T   |   |                                |                                 |                                |   |
| 2.  | A                                       | Interest                                   | K   | T   |   |                                |                                 |                                |   |
| 3. Dreyfus S&P 500 Fund   | B                                       | Dividend                                   | M   | T   |   |                                |                                 |                                |   |
| 4. Dreyfus S&P 500 Fund   | D                                       | Dividend                                   | N   | T   |   |                                |                                 |                                |   |
| 5. Fidelity OTC (IRA)   | B                                       | Dividend                                   | L   | T   |   |                                |                                 |                                |   |
| 6. Fidelity OTC (IRA)   | B                                       | Dividend                                   | M   | T   |   |                                |                                 |                                |   |
| 7. Vanguard Index 500   | C                                       | Dividend                                   | M   | T   |   |                                |                                 |                                |   |
| 8. Vanguard Prime MM Fund   | A                                       | Dividend                                   | J   | T   |   |                                |                                 |                                |   |
| 9. Vanguard Tax-Mgd Gr.&Inc.  | B                                       | Dividend                                   | L   | T   | Redemption  | 04/23                          | L                               |                                |   |
| 10. Vanguard Index 500  | D                                       | Dividend                                   | O   | T   |   |                                |                                 |                                |   |
| 11. Vanguard Mid-Cap Index  | C                                       | Dividend                                   | M   | T   |   |                                |                                 |                                |   |
| 12. Vanguard Prime MM Fund  | A                                       | Dividend                                   | J   | T   |   |                                |                                 |                                |   |
| 13. Vanguard Mid-Cap Index  | B                                       | Dividend                                   | M   | T   |   |                                |                                 |                                |   |
| 14. Vanguard Selected Value   | B                                       | Dividend                                   | M   | T   | Buy   | 11/09                          | L                               |                                |   |
| 15. Vanguard U.S. Value   | B                                       | Dividend                                   | M   | T   | Buy   | 11/09                          | L                               |                                |   |
| 16. Vanguard Selected Value   | B                                       | Dividend                                   | M   | T   | Buy   | 11/09                          | L                               |                                |   |
| 17. Vanguard U.S. Value   | B                                       | Dividend                                   | M   | T   | Buy   | 11/09                          | L                               |                                |   |
| 18. [REDACTED] Boulder, CO  | E                                       | Rent                                       | O   | W   |   |                                |                                 |                                |   |

1. Income/Gain Codes: A = \$1,000 or less; B = \$1,001-\$2,500; C = \$2,501-\$5,000; D = \$5,001-\$15,000; E = \$15,001-\$50,000; F = \$50,001-\$100,000; G = \$100,001-\$1,000,000; H1 = \$1,000,001-\$5,000,000; H2 = More than \$5,000,000

2. Value Codes: J = \$15,000 or less; K = \$15,001-\$50,000; L = \$50,001-\$100,000; M = \$100,001-\$250,000; N = \$250,001-\$500,000; O = \$500,001-\$1,000,000; P1 = \$1,000,001-\$5,000,000; P2 = \$5,000,001-\$25,000,000; P3 = More than \$25,000,000

3. Value Method Codes: Q = Appraisal; R = Cost (Basis) Estimate Only; S = Assessment; T = Other

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## VII INVESTMENTS and TRUSTS - Income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "X" after each asset exempt<br>from prior disclosure | B.<br>Income during<br>reporting period |   | C.<br>Gross value at end of<br>reporting period |   | D.<br>Transactions during reporting period                |                                |                                 |                                |   |
|---|---|---|---|---|---|--------------------------------|---------------------------------|--------------------------------|---|
|   | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.<br>div. rent. or<br>int.) | (1)<br>Value<br>Code 2<br>(3-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.<br>buy, sell,<br>merger,<br>redemption) | If not exempt from disclosure  |                                 |                                |   |
|   |   |   |   |   |   | (2)<br>Date:<br>Month -<br>Day | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 19. Riggs National Bank   | A                                       | Interest                                    | K   | T   |   |                                |                                 |                                |   |
| 20. TIAA CREF Ret. Annuities  | D                                       | Interest                                    | O   | T   |   |                                |                                 |                                |   |
| 21. Nestle  | A                                       | Dividend                                    | K   | T   |   |                                |                                 |                                |   |
| 22. Proctor & Gamble  | A                                       | Dividend                                    | K   | T   | Char. Gift  | 12/17                          | J                               |                                |   |
| 23. Quaker  | A                                       | Dividend                                    | K   | T   |   |                                |                                 |                                |   |
| 24. Bank of America N. 1A   | A                                       | Dividend                                    | K   | T   |   |                                |                                 |                                |   |
| 25. Sebring Plough  | A                                       | Dividend                                    | K   | T   |   |                                |                                 |                                |   |
| 26. Trust No. 2, BNY (Hamilton Mutual Funds.) N. 2.   | A                                       | Dividend                                    | L   | T   |   |                                |                                 |                                |   |
| 27. Dreyfuss Int'l MM Fund  | A                                       | Dividend                                    | J   | T   |   |                                |                                 |                                |   |
| 28. Ishares Goldman Sachs Nat Res. Index Fund   | A                                       | Dividend                                    | J   | T   | Buy   | 08/11                          | J                               |                                |   |
| 29. Prime Money Market N. 3   | A                                       | Dividend                                    | K   | T   |   |                                |                                 |                                |   |
| 30. Growth Index  | A                                       | Dividend                                    | K   | T   |   |                                |                                 |                                |   |
| 31. 500 Index   | B                                       | Dividend                                    | M   | T   |   |                                |                                 |                                |   |
| 32. Mid-Cap Index   | A                                       | Dividend                                    | L   | T   |   |                                |                                 |                                |   |
| 33. Pacific Stock Index   | A                                       | Dividend                                    | K   | T   |   |                                |                                 |                                |   |
| 34. Total International Stock Index   | B                                       | Dividend                                    | L   | T   |   |                                |                                 |                                |   |
| 35. Vanguard Strategic Equity   | C.                                      | Dividend                                    | L   | T   | Buy   | 11/08                          | L                               |                                |   |

|                         |                               |                             |                             |                               |                       |
|-------------------------|-------------------------------|-----------------------------|-----------------------------|-------------------------------|-----------------------|
| 1. Income/Gain Codes:   | A = \$1,000 or less           | B = \$1,001-\$2,500         | C = \$2,501-\$5,000         | D = \$6,001-\$15,000          | E = \$15,001-\$50,000 |
| (See Columns B1 and D4) | F = \$50,001-\$100,000        | G = \$100,001-\$1,000,000   | H = \$1,000,001-\$5,000,000 | H2 = More than \$5,000,000    |                       |
| 2. Value Codes:         | J = \$15,000 or less          | K = \$15,001-\$50,000       | L = \$60,001-\$100,000      | M = \$100,001-\$250,000       |                       |
| (See Columns C1 and D3) | N = \$250,001-\$500,000       | O = \$500,001-\$1,000,000   | P = \$1,000,001-\$5,000,000 | P2 = \$5,000,001-\$25,000,000 |                       |
|                         | R = \$25,000,001-\$50,000,000 |                             | R2 = More than \$50,000,000 |                               |                       |
| 3. Value Method Codes:  | Q = Appraisal                 | R = Cost (Real Estate Only) | S = Assessment              | T = Cash/Market               |                       |

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## VIII. ADDITIONAL INFORMATION OR EXPLANATIONS (Continue part of Report)

1. This is a trust established by [REDACTED] of which I am a life beneficiary.

1A. Bank of America is successor by merger to Production Financial.

2. This is a ~~testamentary~~ trust established by [REDACTED] of which I am the life beneficiary, and has the assets on this line and the next two lines.

3. The mutual funds listed in this item and the next six items are assets held by a ~~testamentary~~ trust established by [REDACTED] of which I am the life beneficiary.

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**IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

Date

5/15/05

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

**FILING INSTRUCTIONS**

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544