



United States Department of State

Washington, D.C. 20520

SEP 18 2015

Case No. F-2013-08812

Segments: L-0002, S/ES-0001

Sean A. Dunagan
Judicial Watch
425 Third Street, SW Suite 800
Washington, DC 20024

Dear Mr. Dunagan:

I refer to our letter dated February 12, 2014 regarding the release of certain Department of State material under the Freedom of Information Act (the "FOIA"), 5 U.S.C. § 552, we initiated a supplemental search of the following Department of State record systems: the Office of the Executive Secretariat, the Office of the Legal Adviser, the Office of the Under Secretary for Management, the Bureau of Human Resources, and the Central Foreign Policy Records.

The search of the records of the of the Under Secretary for Management, the Bureau of Human Resources, and the Central Foreign Policy Records did not retrieve any new responsive or non-duplicative records.

The search of the Office of the Legal Adviser is complete. We have thus far reviewed 18 responsive records and have determined that 6 may be released in full, 10 may be released with excisions, and 2 must be withheld in full. All released material is enclosed.


The search of the Office of the Executive Secretariat is ongoing. We have thus far reviewed 12 responsive records and have determined that 2 may be released in full and 10 may be released with excisions. All released material is enclosed.

An enclosure explains FOIA exemptions and other grounds for withholding material. Where we have made excisions, the applicable exemptions are marked on each document. The two documents withheld in full, are being withheld under FOIA Exemptions 3, 5 U.S.C. §§ 107(a) Ethics in Government Act of

1978 (b)(3). All non-exempt material that is reasonably segregable from the exempt material has been released.

We will keep you informed as your case progresses. If you have any questions, you may contact Peter Wechsler, Trial Attorney, at (202) 514-2705 or Peter.Wechsler@usdoj.gov.

Sincerely,

A handwritten signature in cursive script, appearing to read "Susan A. Warner".

John F. Hackett, Director
Office of Information Programs and Services

Enclosures:
As stated.

The Freedom of Information Act (5 USC 552)

FOIA Exemptions

- (b)(1) Withholding specifically authorized under an Executive Order in the interest of national defense or foreign policy, and properly classified. E.O. 12958, as amended, includes the following classification categories:

- 1.4(a) Military plans, systems, or operations
- 1.4(b) Foreign government information
- 1.4(c) Intelligence activities, sources or methods, or cryptology
- 1.4(d) Foreign relations or foreign activities of the US, including confidential sources
- 1.4(e) Scientific, technological, or economic matters relating to national security, including defense against transnational terrorism
- 1.4(f) U.S. Government programs for safeguarding nuclear materials or facilities
- 1.4(g) Vulnerabilities or capabilities of systems, installations, infrastructures, projects, plans, or protection services relating to US national security, including defense against transnational terrorism
- 1.4(h) Information on weapons of mass destruction

- (b)(2) Related solely to the internal personnel rules and practices of an agency

- (b)(3) Specifically exempted from disclosure by statute (other than 5 USC 552), for example:

ARMEX	Arms Export Control Act, 22 USC 2778(e)
CIA	Central Intelligence Agency Act of 1949, 50 USC 403(g)
EXPORT	Export Administration Act of 1979, 50 App. USC 2411(c)(1)
FSA	Foreign Service Act of 1980, 22 USC 4003 & 4004
INA	Immigration and Nationality Act, 8 USC 1202(f)
IRAN	Iran Claims Settlement Act, Sec 505, 50 USC 1701, note

- (b)(4) Privileged/confidential trade secrets, commercial or financial information from a person

- (b)(5) Interagency or intra-agency communications forming part of the deliberative process, attorney-client privilege, or attorney work product

- (b)(6) Information that would constitute a clearly unwarranted invasion of personal privacy

- (b)(7) Information compiled for law enforcement purposes that would:

- (A) interfere with enforcement proceedings
- (B) deprive a person of a fair trial
- (C) constitute an unwarranted invasion of personal privacy
- (D) disclose confidential sources
- (E) disclose investigation techniques
- (F) endanger life or physical safety of an individual

- (b)(8) Prepared by or for a government agency regulating or supervising financial institutions

- (b)(9) Geological and geophysical information and data, including maps, concerning wells

Other Grounds for Withholding

- NR Material not responsive to a FOIA request, excised with the agreement of the requester

Motley, Cynthia J

From: Motley, Cynthia J
Sent: Friday, March 23, 2012 2:56 AM
To: Samuelson, Heather F
Cc: Mehlenbacher, Kelly J; Carter, Carol P; Jones, Barbara J
Subject: RE: Huma Abedin

RELEASE IN FULL

REVIEW
AUTHORITY:
Barbara Nielsen,
Senior Reviewer

Heather – please provide the status of the getting the position description signed for Huma. Many thanks.

From: Samuelson, Heather F
Sent: Monday, March 19, 2012 6:03 PM
To: Motley, Cynthia J
Cc: Kennedy, Patrick F; Carter, Pat; Mehlenbacher, Kelly J
Subject: Huma Abedin

Cynthia:

We are aiming to convert Huma from a NC-SES to a SGE beginning April 1st.

Can you please reach out to her with necessary paperwork to complete this transfer ASAP?

Many thanks.
Heather

From: Taylor, Sarah E <TaylorSE2@state.gov>
Sent: Friday, June 22, 2012 10:03 AM
To: Abedin, Huma <AbedinH@state.gov>
Cc: Samuelson, Heather F <SamuelsonHF@state.gov>
Subject: RE: Spouse's Employment

RELEASE IN FULL

Huma,

REVIEW AUTHORITY: Barbara Nielsen, Senior Reviewer

I just spoke to Heather and apparently there is some miscommunication. I was waiting for you to provide additional information on your spouse's assets. What I need is the name of the asset, the market value, and the amount of any reportable income. The document your spouse provided does not provide the type or amount of income accrued. Income that is reportable is cap gains, dividends, or interest.

Sarah E. Taylor
Chief, Financial Disclosure Division
L/EFD
SA-1, Rm H-228
2401 E Street NW
Washington, DC 20522-0102
tel: 202 663-3122
Fax: 202 663-3339
email: Taylorse2@state.gov

From: Abedin, Huma
Sent: Wednesday, June 06, 2012 11:20 AM
To: Taylor, Sarah E
Subject: Re: Spouse's Employment

I am happy to ask him.
We are just both confused about what we need to be doing.

From: Taylor, Sarah E
Sent: Wednesday, June 06, 2012 10:23 AM
To: Abedin, Huma
Subject: RE: Spouse's Employment

NO, I am adding the information to the report. Would you ask him to allow me access to his financial statements that need to be added to your termination report? The documents I have do not have all of the information needed. I need the end of year statement and the first quarter statement that will show the values and types of transactions and income. Thank you. We cleared you for the other position already, this information is necessary to close out your ES position.

Sarah E. Taylor
Chief, Financial Disclosure Division
L/EFD
SA-1, Rm H-228
2401 E Street NW
Washington, DC 20522-0102
tel: 202 663-3122
Fax: 202 663-3339
email: Taylorse2@state.gov

This email is UNCLASSIFIED.

From: Abedin, Huma
Sent: Wednesday, June 06, 2012 10:06 AM
To: Taylor, Sarah E
Subject: Re: Spouse's Employment

He runs his own consulting firm. I don't really know his clients or the work. I will ask him.
Is there a form we are supposed to be filling out?

From: Taylor, Sarah E
Sent: Tuesday, June 05, 2012 03:49 PM
To: Abedin, Huma
Subject: Spouse's Employment

Hello Huma,

Does the organization your spouse works for do business with the Fed Gov? What about doing business with State? I tried to research the organization, but was not successful. Can you give me some details on with this organization does?

Sarah E. Taylor
Chief, Financial Disclosure Division
L/EFD
SA-1, Rm H-228
2401 E Street NW
Washington, DC 20522-0102
tel: 202 663-3122
Fax: 202 663-3339
email: Taylorse2@state.gov

This email is UNCLASSIFIED.

From: Taylor, Sarah E <TaylorSE2@state.gov>
Sent: Wednesday, June 6, 2012 11:44 AM
To: Abedin, Huma <AbedinH@state.gov>
Subject: RE: Spouse's Employment

RELEASE IN FULL

The document I have doesn't provide the amount of income for the holdings with a value of \$1,000 or more.

Sarah E. Taylor
Chief, Financial Disclosure Division
L/EFD
SA-1, Rm H-228
2401 E Street NW
Washington, DC 20522-0102
tel: 202 663-3122
Fax: 202 663-3339
email: Taylorse2@state.gov

REVIEW AUTHORITY: Barbara Nielsen, Senior
Reviewer

This email is UNCLASSIFIED.

From: Abedin, Huma
Sent: Wednesday, June 06, 2012 11:37 AM
To: Taylor, Sarah E
Subject: Re: Spouse's Employment

He said he sent the end of the year transactions already and the holdings above 1,000. Should we just talk about this?

From: Taylor, Sarah E
Sent: Wednesday, June 06, 2012 11:22 AM
To: Abedin, Huma
Subject: RE: Spouse's Employment

I will send you a copy of the report for your records. Marcella was asking for the same information as I was.

Sarah E. Taylor
Chief, Financial Disclosure Division
L/EFD
SA-1, Rm H-228
2401 E Street NW
Washington, DC 20522-0102
tel: 202 663-3122
Fax: 202 663-3339
email: Taylorse2@state.gov

This email is UNCLASSIFIED.

From: Abedin, Huma

Sent: Wednesday, June 06, 2012 11:20 AM
To: Taylor, Sarah E
Subject: Re: Spouse's Employment

I am happy to ask him.
We are just both confused about what we need to be doing.

From: Taylor, Sarah E
Sent: Wednesday, June 06, 2012 10:23 AM
To: Abedin, Huma
Subject: RE: Spouse's Employment

NO, I am adding the information to the report. Would you ask him to allow me access to his financial statements that need to be added to your termination report? The documents I have do not have all of the information needed. I need the end of year statement and the first quarter statement that will show the values and types of transactions and income. Thank you. We cleared you for the other position already, this information is necessary to close out your ES position.

Sarah E. Taylor
Chief, Financial Disclosure Division
L/EFD
SA-1, Rm H-228
2401 E Street NW
Washington, DC 20522-0102
tel: 202 663-3122
Fax: 202 663-3339
email: Taylorse2@state.gov

This email is UNCLASSIFIED.

From: Abedin, Huma
Sent: Wednesday, June 06, 2012 10:06 AM
To: Taylor, Sarah E
Subject: Re: Spouse's Employment

He runs his own consulting firm. I don't really know his clients or the work. I will ask him.
Is there a form we are supposed to be filling out?

From: Taylor, Sarah E
Sent: Tuesday, June 05, 2012 03:49 PM
To: Abedin, Huma
Subject: Spouse's Employment

Hello Huma,

Does the organization your spouse works for do business with the Fed Gov? What about doing business with State? I tried to research the organization, but was not successful. Can you give me some details on with this organization does?

Sarah E. Taylor
Chief, Financial Disclosure Division
L/EFD

SA-1, Rm H-228
2401 E Street NW
Washington, DC 20522-0102
tel: 202 663-3122
Fax: 202 663-3339
email: Taylorse2@state.gov

This email is UNCLASSIFIED.

From: Abedin, Huma </O=SBUSTATE/OU=SES/CN=RECIPIENTS/CN=ABEDINH>
Sent: Monday, March 26, 2012 2:30 PM
To: Motley, Cynthia J <MotleyC@state.gov>
Cc: Samuelson, Heather F <SamuelsonHF@state.gov>; Carter, Carol P <CarterCP@state.gov>
Subject: Re: Conversion to an Expert Appointment

RELEASE IN FULL

Great. Will do

REVIEW AUTHORITY: Barbara Nielsen, Senior Reviewer

----- Original Message -----

From: Motley, Cynthia J
Sent: Monday, March 26, 2012 12:00 PM
To: Abedin, Huma
Cc: Samuelson, Heather F; Carter, Carol P
Subject: Conversion to an Expert Appointment

Huma

I am back in the office today please give me a call on 202-647-9661.

----- Original Message -----

From: Motley, Cynthia J
Sent: Thursday, March 22, 2012 11:40 PM
To: Abedin, Huma
Cc: Samuelson, Heather F; Carter, Carol P
Subject: RE: Conversion to an Expert Appointment

Huma

I will be on leave Friday March 23rd returning on Monday. If you need immediate assistant contact Carol P. Carter on 202-647-6090.

----- Original Message -----

From: Abedin, Huma
Sent: Thursday, March 22, 2012 7:01 PM
To: Motley, Cynthia J
Cc: Samuelson, Heather F; Carter, Carol P
Subject: Re: Conversion to an Expert Appointment

Can I call you about this? Have a bunch of questions. Thanks

----- Original Message -----

From: Motley, Cynthia J
To: Huma Abedin
Cc: Heather Samuelson
Cc: Carter, Carol P
Subject: Conversion to an Expert Appointment
Sent: Mar 21, 2012 5:32 AM

Huma

I have been advised to begin the process to convert you from your Non-Career SES position as Senior Adviser (Expert- SGE) in the

Office of the Secretary which is to be effective April 1, 2012. In order to initiate the conversion appointment I will need the following from you as soon as possible:

1. An updated resume which includes your current Deputy Chief of Staff for Operations position.
2. The attached SF-278 Financial Disclosure Report must be completed for your termination from the Non-Career SES appointment.
3. A copy of the SF-278 can be used with the attached front cover sheet of the OGE-450 Financial Disclosure that must be completed and signed to obtain an Ethics clearance for the conversion appointment. 4. A new signed copy of the Ethics signature page.

Please let me know if you have any questions.

Cynthia J. Motley
Administrative Officer
S/ES-EX Room 7515 HST BLDG
Office Phone: 202-647-9661
Fax: 202-647-6040

In accordance with the policies and procedures outlined in Executive Order 12958, this e-mail is UNCLASSIFIED unless otherwise noted.



United States Department of State

Washington, D.C. 20520

www.state.gov

JIN - 1 2012

RELEASE IN FULL

UNCLASSIFIED
MEMORANDUM

REVIEW AUTHORITY: Barbara
Nielsen, Senior Reviewer

TO: S/ES-EX/HRD - Ms. Cynthia Motley

FROM: L/EFD - Marcella Green *mg*

SUBJECT: Conflict of Interest Clearance (OGE-450):
Abedin, Huma M. - Senior Advisor
ED-0130-15, SGE

This is to advise you that we have reviewed the Executive Branch Confidential Financial Disclosure Report (OGE-450) dated March 29, 2012, (received in L/EFD May 7, 2012), which was submitted in connection with the subject appointment. Based on the information provided, we have determined that there is no apparent conflict of interest.

Ms. Abedin has received and read the Department's Ethics Guidance for Prospective Employees and has made the required certifications (copy attached).

This memorandum should be placed in the employee's Official Personnel File and a copy provided to the employee.

This clearance is valid for 60 days from date of issuance. If the appointment is not effected within 60 days, a revalidation of this clearance must be obtained.

Attachment:
As stated

cc: Ms. Abedin

Motley, Cynthia J

From: Motley, Cynthia J
Sent: Friday, June 01, 2012 10:04 AM
To: Green, Marcella M
Cc: Samuelson, Heather F; Brandt, Mark R
Subject: FW: Requesting an Interim L Clearance for Huma M. Abedin
Attachments: Ethic Signature Page.pdf; Conflict of Interest Clearance Request.pdf; Senior Advisor - Expert.pdf; OGE-450.pdf

RELEASE IN FULL

Importance: High

REVIEW AUTHORITY: Barbara Nielsen, Senior Reviewer

Ms. Green

It was brought to my attention that you informed Ms. Samuelson that I did not have the position description. It was sent over on May 5, 2012 which I have provided all attachments that was forwarded at that time.

Please let me know if you need any additional documentation.

From: Motley, Cynthia J
Sent: Saturday, May 05, 2012 9:32 PM
To: Taylor, Sarah E
Subject: Requesting an Interim L Clearance for Huma M. Abedin
Importance: High

Sarah

I would appreciate your assistance in granting a Legal Clearance for the conversion of Ms. Huma M. Abedin from the Chief of Staff to serve as a Senior Advisor – Expert (with compensation) position in the Office of the Secretary (S) ED-15 step 10. Attached are the request, OGE-450, Ethic Signature Page and classified position description.

Can you please **issue an interim clearance** which will allow us to process the Conversion/Reassignment immediately.

Please let me know if you need any additional information.

Cynthia J. Motley

Administrative Officer
S/ES-EX Room 7515 HST BLDG
Office Phone: 202-647-5638
Fax: 202-647-6040

In accordance with the policies and procedures outlined in Executive Order 12958, this e-mail is UNCLASSIFIED unless otherwise noted.

Carter, Pat

From: Carter, Pat
Sent: Tuesday, March 20, 2012 1:05 PM
To: DS PSS INTAKE
Cc: Motley, Cynthia J
Subject: Revalidation of Top Secret clearance for Huma Abedin
Attachments: 2012_03_20_13_00_58.pdf

RELEASE IN FULL

The Secretary's Deputy Chief of Staff, Ms. Huma Abedin, is being converted to a temporary Senior Advisor (Expert-SGE) appointment. The anticipated effective date of this new appointment is April 1, 2012. I would appreciate your assistance in issuing a revalidation of her top secret clearance for this new appointment. Thank you.

Patricia A. Carter
HR Expert Advisor
Executive Office of the Secretary
(S/ES-EX-HR)
Pro-telligent, LLC, Support Contractor
Office: 202-647-8903
FAX: 202-647-3282

REVIEW AUTHORITY: Barbara Nielsen, Senior
Reviewer

Motley, Cynthia J

From: Samuelson, Heather F
Sent: Monday, March 19, 2012 6:03 PM
To: Motley, Cynthia J
Cc: Kennedy, Patrick F; Carter, Pat; Mehlenbacher, Kelly J
Subject: Huma Abedin

RELEASE IN FULL

REVIEW AUTHORITY: Barbara Nielsen,
Senior Reviewer

Cynthia:

We are aiming to convert Huma from a NC-SES to a SGE beginning April 1st.

Can you please reach out to her with necessary paperwork to complete this transfer ASAP?

Many thanks.
Heather

Motley, Cynthia J

From: Motley, Cynthia J
Sent: Wednesday, April 04, 2012 9:29 AM
To: Abedin, Huma
Subject: Financial disclosure

RELEASE IN FULL

Importance: High

REVIEW AUTHORITY: Barbara Nielsen, Senior
Reviewer

Huma

I have confirmed with the Legal Office this his assets are imputed to you so his assets are reportable on your OGE-278 which should include all of 2011 and 2012 up to the date.

-----Original Message-----

From: Abedin, Huma
Sent: Tuesday, April 03, 2012 11:23 AM
To: Motley, Cynthia J
Subject: Financial disclosure

Anthony filed his separate disclosure last june. Nothing has changed. I don't need to include his stuff on mine, right? Just want to confirm Thanks!

GOVERNMENT ETHICS GUIDANCE**AND ACKNOWLEDGEMENT OF ETHICS GUIDANCE REVIEW**

RELEASE IN FULL

For "New Entrant" Financial Disclosure Report Filers

REVIEW AUTHORITY:
Barbara Nielsen, Senior
Reviewer

The guidance below briefly summarizes the Federal ethics laws and regulations so that you may consider them during the financial disclosure review process and, more generally, in connection with your decision to serve in your prospective Government position. The guidance highlights key points, but is not comprehensive. Note especially that you will be subject to some different provisions if you serve as a "special Government employee" and to some additional provisions if you serve as a high-level "noncareer" employee.

If you are a candidate for employment with or will serve on detail to the Department, please sign and date the Acknowledgement of Ethics Guidance Review at the end of this document and return the Acknowledgement to your prospective personnel office with your completed financial disclosure report. If you are assuming a filer position from within the Department, you need only submit your completed financial disclosure report directly to L/EMP/FD within 30 days of assuming the position; you need not return the Acknowledgement (unless you are advised to submit all paperwork to your prospective management office for purposes of obtaining an ethics "pre-clearance" before you assume the position).

GOVERNMENT ETHICS GUIDANCEGeneral Principles of Conduct

- You will be required to respect and adhere to the general Principles of Ethical Conduct listed in Executive Order 12674 while serving in your position. For example, you may not use public office for private gain or give preferential treatment to any private organization or individual and you must avoid any actions creating the *appearance* that you are violating the law or the ethics rules.

Conflicting Financial Interests

- A conflict of interest law, 18 U.S.C. 208, will generally prohibit you from working on any Government matter that would affect your own financial interests or financial interests that are imputed to you – those of your spouse, minor child, general partner, or an organization you are serving as an officer, director, trustee, general partner, or employee.
- A conflict can usually be remedied; there are several possibilities:
 - A regulatory exemption might apply. The exemptions are listed in 5 CFR Part 2640.

- The Department might decide that you can be disqualified from doing some of your work.
- The Department might require you to divest a financial interest. Or, your spouse or minor child may have to divest stock or get rid of some other interest in order to remedy a conflict. You may be eligible to defer the capital gain consequences of a required divestiture if you obtain a "Certificate of Divestiture" *before* you divest.
- The Department might issue a waiver. (A waiver must be signed by the Secretary, Deputy Secretary, or Legal Adviser and are rarely granted.)
- You will have a continuing obligation to comply with 18 U.S.C. 208 while serving in your position. You (and your spouse and minor children) should not voluntarily acquire a financial interest that will require your disqualification from any official duties. In particular, stock divested at the time of your appointment may not be reacquired if it continues to pose a conflict.
- If working abroad, you (and your spouse and family) will have to comply with additional restrictions concerning investment activities. These restrictions are in the *Foreign Affairs Manual*, at 3 FAM 4120.

Conflicting Personal and Business Relationships

- In accordance with Subpart E of 5 CFR Part 2635, the Department may determine that you should not be permitted to work on a Government matter in view of circumstances other than those covered by the conflict of interest law.
- The Department may reserve its ability to determine later that the Government's interest in having you involved in the matter outweighs the concern that a reasonable person may question the integrity of the Department's programs and operations.
- You will have a continuing obligation to comply with Subpart E of 5 CFR Part 2635 while serving in your position. If you think that your objectivity would be questioned if you worked on a matter due to any circumstances, you should not work on the matter and should tell your supervisor about the situation. If you and your supervisor decide that your objectivity would be questioned and the work cannot be easily reassigned, you or your supervisor should describe the facts to a Department ethics attorney. The attorney will take the action necessary to determine whether you should work on the matter.
- If you received within the last two years an "extraordinary" severance payment from a former employer (as described in 5 CFR 2635.503), your disqualification from assignments affecting that employer will be mandatory.

Outside Employment and Activities

- Outside employment or an outside activity will conflict with your Government employment if it results in your disqualification from central or critical duties (Subpart H of 5 CFR Part 2635). The conflict of interest law, 18 U.S.C. 208, will generally prohibit you from working on a Government matter that would affect the financial interests of an organization you are serving as an officer, director, trustee, general partner, or employee. Under Subpart E of 5 CFR Part 2635, you might also have to be disqualified from a matter if other circumstances would cause a reasonable person to question whether you can do your Government work objectively.
- Outside employment or an outside activity will conflict with your Government position if it would be inconsistent with the Principles of Ethical Conduct in Executive Order 12674 or if a law or regulation prohibits it (Subpart H of 5 CFR Part 2635). Notably:
 - Subject to certain exceptions, you may not personally represent others (or share in compensation for another's representational services) before any court, Federal agency, or certain other Federal entities concerning matters of interest to the United States. This would include, for example, writing a letter on behalf of a private organization to a Federal department or agency. (18 U.S.C. 203, 18 U.S.C. 205)
 - You may not accept compensation for teaching, speaking, or writing in your personal capacity that is from a foreign government or, subject to an exception for certain teaching, if the activity relates to your Government duties. For most employees, an activity relates to official duties, for example, if it deals in significant part with an ongoing policy, program, or operation of the Department. Depending on the circumstances, you may be able to accept travel or travel expenses. Public speeches, writings, and teaching materials on matters of "official concern" must be submitted to the appropriate office for review before publication or use (5 CFR 2635.807, 3 FAM 4170).
 - You may not serve as an expert witness in a Federal court or agency concerning matters of interest to the United States unless you are serving on behalf of the United States or are authorized by the Department to do so. (5 CFR 2635.805)
 - If your Government position is an "office of profit or trust," you may not have any position in or receive any payment from a foreign government. (The Emoluments Clause of the U.S. Constitution)
 - You may not act as an agent of a foreign principal. (18 U.S.C. 219)

- If working abroad, you (and your spouse and family) will have to comply with additional restrictions concerning outside employment and outside activities. (3 FAM 4120)
- To avoid a conflict of interest, the Department may require that you avoid or terminate an outside activity or affiliation or modify the nature of your outside activity or affiliation. You will have a continuing obligation to comply with Subpart H of 5 CFR Part 2635 (and the laws referenced in that Part) while serving in your position. You should seek advice from the Department's ethics office if there is a reasonable possibility that your outside activity would conflict with your Government position.
- Note: Although you may look for an outside job while employed by the Department, you may not be able to work on certain assignments while doing so. (18 U.S.C. 208, Subpart F of 5 CFR Part 2635) If you have to be disqualified from some of your Government work because you are trying to get an outside job, this will be an indication that the particular job might conflict with your Government position.

Misuse of Position

- You will generally be prohibited from using or permitting the use of your Government title or position for your own benefit, for the benefit of friends or family, or in connection with your participation in (or fundraising for) an outside organization. (Subpart G of 5 CFR Part 2635, 5 CFR 2635.808)
- You will be prohibited from using Government property, nonpublic information, or time (including the time of a subordinate) for other than authorized purposes. (Subpart G of 5 CFR Part 2635)

Gifts

- Subject to certain exceptions, you will be prohibited from soliciting or accepting a gift from a person or organization that does official business with or seeks official action from the Department (a "prohibited source") or that is given because of your official position. (Subpart B of 5 CFR Part 2635)
- Subject to certain exceptions, you will be prohibited from soliciting or accepting a gift from a foreign government or international or multinational organization composed of foreign governments. This restriction will also apply to your spouse and dependents. (The Emoluments Clause of the U.S. Constitution and, e.g., 5 U.S.C. 7342)
- Subject to certain exceptions, you will be prohibited from giving, contributing toward, or soliciting a contribution from another employee for a gift to your supervisor (or for the solicited employee's supervisor), and from accepting a gift from an employee who receives less pay than you unless that person is not your

subordinate and a personal friendship justifies the gift. (Subpart C of 5 CFR Part 2635)

Additional Restrictions for High-Level "Noncareer" Employees

- If you are a high-level "noncareer" (i.e., political) appointee classified above GS-15 (or serve as a Chief of Mission or Assistant Secretary or above even if you are a career employee), you may also be subject to one or more of the following:
 - An outside earned income ban or limitation. (5 CFR 2635.804, 5 CFR 2636.304)
 - Restrictions prohibiting the receipt of compensation for practicing a profession which involves a fiduciary relationship or for affiliating with or being employed to perform professional duties by an entity which provides professional services involving a fiduciary relationship. (5 CFR 2636.305)
 - A restriction prohibiting you from permitting the use of your name by an entity which provides professional services involving a fiduciary relationship. (5 CFR 2636.305)
 - A restriction prohibiting the receipt of compensation for serving as an officer or member of the board of an entity. (5 CFR 2636.306)
 - A restriction prohibiting the acceptance of compensation (including travel expenses) for outside teaching, speaking, or writing that relates to your Government duties that is broader than that applicable to other employees. (5 CFR 2635.807)
 - A requirement to seek advance approval of compensated outside teaching (5 CFR 2636.307)
 - White House policies (e.g., concerning service in certain outside positions, the use of company aircraft and accommodations, and the permissibility of employment negotiations for post-Government employment prior to termination of Government service).

Significance of "Special Government Employee" Status

- The term "special Government employee" (SGE) means a person who is employed by the Department, on a temporary basis (usually for one year at a time), for 130 days or less during any period of 365 consecutive days. The determination of SGE status is made by Department personnel offices at the time an individual is employed. If you do not know if you will be an SGE, ask your prospective personnel office.

- A few ethics laws and regulations apply differently to SGEs and a couple of provisions do not apply to SGEs at all. Notably:
 - SGEs may benefit from special exemptions or waivers in relation to 18 U.S.C. 208 (conflicting financial interests) in some circumstances, but SGEs are not eligible for Certificates of Divestiture.
 - 18 U.S.C. 203 and 18 U.S.C. 205 (representing others before the Government) apply more narrowly to SGEs and special waivers of these restrictions are available.
 - 5 CFR 2635.805 (expert testimony); 5 CFR 2635.807 (compensation for teaching, speaking, and writing); 3 FAM 4170 (clearance of teaching, speaking, and writing); and 5 CFR 2635.808 (fundraising) apply more narrowly to SGEs.
 - The Department can waive 18 U.S.C. 219 (acting as agent of foreign principal).
 - The restrictions applicable to high-level "noncareer" employees do not apply to SGEs.
- More information about these differences is available on the Department's ethics website on the intranet, at <http://ethics.state.gov>, under "Special Government Employees," or go to www.usoge.gov and select "Advisory Opinions," then choose the year 2000, then choose opinion 00 x 1 dated 2/15/00.

Political Activities

- You may not engage in certain political activities while a Government employee.
- More information about these restrictions is available on the Department's ethics website on the intranet, at <http://ethics.state.gov>, under "Political Activities."

Post-employment

- Some ethics laws and regulations may affect you while you are seeking post-Government employment and even after you terminate Government service.
- More information about these provisions is available on the Department's ethics program website at <http://ethics.state.gov>, under "Seeking Employment" and "Post-Employment" or go to www.usoge.gov and Select "Forms, Publications & Other Documents," then choose "Pamphlets," then choose "Understanding the Revolving Door."

ACKNOWLEDGEMENT OF ETHICS GUIDANCE REVIEW

I certify the following:

- 1) I have read the Department of State's "Government Ethics Guidance" for "New Entrant" Filers.
- 2) I do not receive any income, directly or through a general partnership, from any foreign government.
- 3) I am not and do not act as an agent of a foreign principal required to register under the Foreign Agent's Registration Act of 1938, as amended, or as a lobbyist required to register under the Lobbying Disclosure Act of 1995, as amended, in connection with the representation of a foreign entity.
- 4) I do not represent any other person before any court or Federal department or agency and do not receive income through a general partnership that derives from another person's representational services before any court or Federal department or agency.

Signature _____

Date _____

If you are unable to make any of the certifications above, please explain below:

OFFICE: Office of the Secretary

TITLE: Senior Advisor (Expert-SGE)

RELEASE IN FULL

Grade/Series: EB-130-15

ORG.CODE: 010101

REVIEW AUTHORITY: Barbara Nielsen, Senior Reviewer

Organizational Location:

This position is located in the Office of the Secretary. The incumbent of this position serves as an Expert to the Secretary of State providing expert knowledge and advice on a myriad of policy, administrative and logistical issues, vital to the Secretary's ability to carry out her foreign policy mission.

Duties:

As a Senior Advisor (Expert) to the Secretary, the incumbent will provide expert advice and guidance on varying issues related to the planning of logistical arrangements for foreign and domestic missions, and for the coordination of the foreign policy requirements, press, and protocol and security components necessary for a successful and sensitive foreign policy mission. Specifically the Senior Advisor will:

- Provide expert advice and confer with other federal entities, including the White House, on policy, tactical and logistical procedures surrounding the Secretary's ongoing missions abroad;
- Advise the Secretary and other senior members of the Secretary's staff regarding the scheduling of public events, bilateral meetings, foreign missions around the world, meetings with foreign governments, covering the full range of policy, tactical and scheduling issues involved with moving the Secretary from point to point, in a secure and timely manner.
- Undertake such other initiatives as assigned by the Secretary including advising and serving on special projects for major international missions; advises on the operational methods for carrying out a successful international mission.
- May attend meetings, domestically and internationally with and on behalf of the Secretary on a range of policy issues; and may advise on, administer, or perform research in the assessment of procedural methods, and make recommendations on revamping or improvement of key programs and policies.

KNOWLEDGE AND SKILLS REQUIRED – FL 1-8, 1550 Points

The incumbent must possess a sufficiently broad perspective to advise on multiple foreign policy issues of interest to the Secretary, covering a broad range of subjects. The incumbent must be

knowledgeable of current Departmental and Administration initiatives and priorities associated with policy issues, foreign policy, foreign policy trends and policy formation. The incumbent must have the ability to bring about substantive issues creatively and yet pragmatically; perceive and articulate complex relationships among diverse issues; and present arguments in an interesting persuasive manner. Incumbent must have expert negotiating and communications skills, both oral and in written form, to explain and defend specific recommendations or reconcile conflicting views. Incumbent must have a mastery of the principles and practices of administrative and logistical program planning, and the ability to analyze substantive programmatic issues imaginatively, creatively and pragmatically.

SUPERVISORY CONTROLS – FL 2-5, 650 Points

The incumbent often recommends specific initiatives, whose scope and objective derive from foreign policy expertise and liaison activities. The incumbent is responsible for planning, coordinating and carrying out projects, and informing the Secretary of progress as appropriate. The work is considered technically accurate and is not subject to detailed substantive review, except for compliance with broad Department policy and responsiveness to the need of the Secretary.

GUIDELINES – FL 3-5, 650 Points

The guidelines include basic legislation and broad agency policy statements. Frequently, there are no precedents to govern behavior in unusual and sensitive situations. The incumbent must exercise considerable judgment in interpreting and adapting existing precedents and in developing new or improved approaches to analyze, develop, and present authoritative information or analysis on controversial and nationally important subjects to persons with conflicting views. The methods and procedures developed often serve as precedents for others.

COMPLEXITY – FL 4-6, 450 Points:

The assignment involves providing expert analytical and advisory support for the planning, development, and execution of U.S. foreign policy. The work requires applying a rigorous analytical approach to data that is often vague, unsubstantiated, or contradicts existing knowledge. The same approach must be applied to developing analyses that provide new perspectives for the understanding of complex political, economic, social, geographic, or military issues. The work involves providing expert advice and guidance that influences the decisions and actions of the Secretary and other senior policy officials; and involves the need to quickly, accurately, and decisively determine the relevance and significance of information to the policy interests of the Department. The incumbent is responsible for coordinating a myriad of other agency requirements to carry out their initiatives; for ascertaining the need for initiating, and conducting in-depth studies on topics of critical importance to the policy formulation process. The assignment also involves identifying and developing improved methods and approaches to the research and analysis process.

SCOPE AND EFFECT – FL 5-6, 450 Points:

The purpose of the work is to provide the Secretary with expert foreign affairs analysis and

advisory services within a broad and complex geographic or functional area that supports the planning, development, and execution of U.S. foreign policy. The scope of assignment area is substantial and includes defining information requirements, monitoring and reporting on important global developments, analyzing complex political, social, and economic issues, providing guidance to the Secretary and policy makers in interpreting and applying data, establishing and maintaining productive relationships within the foreign affairs community, and planning and carrying out special research studies that address critical issues of broad scope and impact. The services provided by the incumbent contribute in a significant fashion to the accomplishment of key foreign policy objectives by providing valid, reliable, time sensitive analyses of vital issues that enable policy makers to reach informed foreign policy decisions. The incumbent's efforts also result in the development of improved methods and approaches and the establishment of productive relationships within the foreign affairs community.

PERSONAL CONTACTS – FL 6-4, 110 Points:

The incumbent is required to work closely with officials at all levels, particularly with policy and senior staff, high level analysis and program staff in other Department bureaus and offices as well as others in the U.S. Government; and provides the Secretary and other Principals with a broad range of variable alternatives for the United States on current and emerging policy issues.

PURPOSE OF CONTACTS – FL 7-4, 220 Points:

Contacts are to exchange information, present analyses, provide guidance, plan, organize, and coordinate activities, establish liaison, represent the Department, resolve problems, and respond to urgent developments. A high degree of persuasiveness is required to gain acceptance of highly consequential analytical judgments, to defend recommendations for significant changes in established analytical methods, and to overcome intense resistance in reaching consensus on controversial issues.

PHYSICAL DEMANDS – FL 8-1, 5 Points:

The work is sedentary and is usually accomplished seated comfortably at a desk. The work generally does not impose unusual physical demands on the incumbent. Periodically some walking and traveling is required.

WORK ENVIRONMENT – FL 9-1, 5 Points:

The majority of the work is performed in a standard office setting, which is properly heated and ventilated.

Total Points: 4090

GS-15 grade range: 4055-up

Taylor, Sarah E

To: Abedin, Huma
Subject: Termination OGe-278

RELEASE IN FULL

Importance: High

REVIEW AUTHORITY: Barbara Nielsen, Senior Reviewer

Hello Huma,

I have your termination OGE-278 report and the financial disclosure report for the Senior Advisor position. While reviewing your termination OGe-278, I noticed your spouse had several assets that weren't reported on your report. Can you kindly provide an end of year summary statement so that I can update your report accurately?

Sarah E. Taylor
Chief, Financial Disclosure Division
L/EFD
SA-1, Rm H-228
2401 E Street NW
Washington, DC 20522-0102
tel: 202 663-3122
Fax: 202 663-3339
email: Taylorse2@state.gov

Taylor, Sarah E

From: Motley, Cynthia J
Sent: Saturday, May 05, 2012 9:32 PM
To: Taylor, Sarah E
Subject: Requesting an Interim L Clearance for Huma M. Abedin
Attachments: Ethic Signature Page.pdf; Conflict of Interest Clearance Request.pdf; Senior Advisor - Expert.pdf; OGE-450.pdf

RELEASE IN FULL

Importance: High

REVIEW AUTHORITY: Barbara Nielsen,
Senior Reviewer

Follow Up Flag: Follow up
Due By: Saturday, May 05, 2012 4:00 PM
Flag Status: Flagged

Sarah

I would appreciate your assistance in granting a Legal Clearance for the conversion of Ms. Huma M. Abedin from the Chief of Staff to serve as a Senior Advisor - Expert (with compensation) position in the Office of the Secretary (S) ED-15 step 10. Attached are the request, OGE-450, Ethic Signature Page and classified position description.

Can you please **issue an interim clearance** which will allow us to process the Conversion/Reassignment immediately.

Please let me know if you need any additional information.

Cynthia J. Motley

Administrative Officer
S/ES-EX Room 7515 HST BLDG
Office Phone: 202-647-5638
Fax: 202-647-6040

In accordance with the policies and procedures outlined in Executive Order 12958, this e-mail is UNCLASSIFIED unless otherwise noted.

Jordan, Angela M

From: Green, Marcella M
Sent: Thursday, August 29, 2013 8:11 AM
To: Youel Page, Kathryn
Subject: FW: Abedin, Huma

This email is UNCLASSIFIED.

RELEASE IN FULL

REVIEW AUTHORITY: Barbara Nielsen, Senior Reviewer

From: Samuelson, Heather F
Sent: Friday, June 22, 2012 8:56 AM
To: Taylor, Sarah E; Green, Marcella M
Subject: RE: Abedin, Huma

Sarah – thanks. Can you please send me what she still owes? She thought this was completed

From: Taylor, Sarah E
Sent: Friday, June 22, 2012 8:46 AM
To: Green, Marcella M
Cc: Samuelson, Heather F
Subject: RE: Abedin, Huma

Yes, she was supposed to give me some information regarding her spouse's assets and she has not done so.

Sarah E. Taylor
Chief, Financial Disclosure Division
L/EFD
SA-1, Rm H-228
2401 E Street NW
Washington, DC 20522-0102
tel: 202 663-3122
Fax: 202 663-3339
email: Taylorse2@state.gov

From: Green, Marcella M
Sent: Thursday, June 21, 2012 6:48 PM
To: Taylor, Sarah E
Subject: Abedin, Huma

Hi Sarah:

Are you working Huma Abedin's termination report? Looks like you are on the database. M/WHL was asking status—they want to make sure everything is tied up before we go live.

Thanks,
-Marcella

Marcella M. Green

Attorney Adviser

Office of the Legal Adviser - L-EFD

202/663-2995

Jordan, Angela M

From: Green, Marcella M
Sent: Thursday, August 29, 2013 8:10 AM
To: Youel Page, Kathryn
Subject: FW: Abedin

RELEASE IN FULL

This email is UNCLASSIFIED.

REVIEW AUTHORITY: Barbara Nielsen, Senior Reviewer

From: Martin, Janice N
Sent: Monday, June 04, 2012 1:54 PM
To: Taylor, Sarah E
Cc: Green, Marcella M
Subject: FW: Abedin

Sarah, can you assist? Thanks Janice

This email is UNCLASSIFIED.

From: Green, Marcella M
Sent: Monday, June 04, 2012 1:53 PM
To: Martin, Janice N
Subject: RE: Abedin

Janice-

Would you kindly send Ms. Abedin a link to the OGE 278 instructions that are on the form? Was trying to link to the directions on OGE's website but I cannot seem to forward it from here. Thanks! -M

From: Martin, Janice N
Sent: Monday, June 04, 2012 1:50 PM
To: Green, Marcella M
Cc: Andrews, Sharon H
Subject: RE: Abedin

Are we talking about the clearance or the report? Janice

This email is UNCLASSIFIED.

From: Green, Marcella M
Sent: Monday, June 04, 2012 1:49 PM
To: Martin, Janice N
Cc: Andrews, Sharon H
Subject: RE: Abedin

Can we get it back?

From: Martin, Janice N
Sent: Monday, June 04, 2012 1:42 PM
To: Green, Marcella M
Cc: Andrews, Sharon H
Subject: RE: Abedin

Paperwork was given to Sharon this morning.

This email is UNCLASSIFIED.

From: Green, Marcella M
Sent: Monday, June 04, 2012 1:41 PM
To: Martin, Janice N
Subject: Abedin

Janice-

If you haven't sent the Abedin papers on yet, would you hold them for a moment? Thanks!

Marcella M. Green
Attorney Adviser
Office of the Legal Adviser
L-EFD
202/663-2995

Jordan, Angela M

From: Green, Marcella M
Sent: Thursday, August 29, 2013 8:05 AM
To: Youel Page, Kathryn
Subject: FW: Requesting an Interim L Clearance for Huma M. Abedin
Attachments: Ethic Signature Page.pdf; Conflict of Interest Clearance Request.pdf; Senior Advisor - Expert.pdf; OGE-450.pdf

RELEASE IN FULL

Importance: High

REVIEW AUTHORITY: Barbara Nielsen,
Senior Reviewer

This email is UNCLASSIFIED.

From: Motley, Cynthia J
Sent: Friday, June 01, 2012 10:04 AM
To: Green, Marcella M
Cc: Samuelson, Heather F; Brandt, Mark R
Subject: FW: Requesting an Interim L Clearance for Huma M. Abedin
Importance: High

Ms. Green

It was brought to my attention that you informed Ms. Samuelson that L did not have the position description. It was sent over on May 5, 2012 which I have provided all attachments that was forwarded at that time.

Please let me know if you need any additional documentation.

From: Motley, Cynthia J
Sent: Saturday, May 05, 2012 9:32 PM
To: Taylor, Sarah E
Subject: Requesting an Interim L Clearance for Huma M. Abedin
Importance: High

Sarah

I would appreciate your assistance in granting a Legal Clearance for the conversion of Ms. Huma M. Abedin from the Chief of Staff to serve as a Senior Advisor – Expert (with compensation) position in the Office of the Secretary (S) ED-15 step 10. Attached are the request, OGE-450, Ethic Signature Page and classified position description.

Can you please **issue an interim clearance** which will allow us to process the Conversion/Reassignment immediately.

Please let me know if you need any additional information.

Cynthia J. Molley

Administrative Officer
S/ES-EX Room 7515 HST BLDG
Office Phone: 202-647-5638
Fax: 202-647-6040

In accordance with the policies and procedures outlined in Executive Order 12958, this e-mail is UNCLASSIFIED unless otherwise noted.

CONFLICT OF INTEREST CLEARANCE REQUEST

Use this form to request a pre-employment clearance from L/EMP/FD for each candidate for employment with the Department who must file a new entrant SF-278 or OGE Form 450, including a prospective SGE or SGE who is being reappointed.

Date: May 5, 2012

Filer's name:

RELEASE IN PART
B6

Abedin Human
(LAST) (FIRST) (M/I)

Filer's work telephone: 202-647-5601REVIEW AUTHORITY: Barbara
Nielsen, Senior Reviewer

Filer's home telephone: _____

Filer's Cell Phone:

Filer's home address: _____

Filer's e-mail address: AbedinH@state.govFiler's Civil Service pay grade or Foreign Service rank: ED-130-15 Senior Adviser - ExpertPosition for which filing and office symbol or post: Office of the Secretary

Is the individual a limited non career appointee in the Foreign service who is a political appointee, a non career member of the SES, or a Schedule C employee?

 X
(yes) (no)

Is the individual annuitant being hired as a WAE?

 X
(yes) (no)

Is the individual being designated as an SGE?

X
(yes) (no)

If being designated as an SGE, on how many days is the individual expected to perform work during the 365 days after appointment? 130 days

If being reappointed as an SGE, on how many days will the individual have performed work during the 365 days prior to reappointment? N/A

Requesting officer's name and telephone: Cynthia I Motley x79661

Requesting officer's signature
Conversion effective ASAP on

Attachments: 1. Completed OGE Form 450 and Ethics Signature Page
2. Position description or work requirements

Motley, Cynthia J

From: Abedin, Huma
Sent: Tuesday, March 27, 2012 4:20 PM
To: Motley, Cynthia J
Subject: Re: Conversion to an Expert Appointment

RELEASE IN PART B6

Hi - just left you msg. Call back ayc.

Have a few questions.

One is time sensitive, I need to come down to state tomorrow. Can state start paying for my travel since ny is now my base? I've been paying personally for the last 6 months. Thanks.

B6

----- Original Message -----

From: Motley, Cynthia J
Sent: Monday, March 26, 2012 12:00 PM
To: Abedin, Huma
Cc: Samuelson, Heather F; Carter, Carol P
Subject: Conversion to an Expert Appointment

REVIEW AUTHORITY:
Barbara Nielsen, Senior
Reviewer

Huma

I am back in the office today please give me a call on 202-647-9661.

-----Original Message-----

From: Motley, Cynthia J
Sent: Thursday, March 22, 2012 11:40 PM
To: Abedin, Huma
Cc: Samuelson, Heather F; Carter, Carol P
Subject: RE: Conversion to an Expert Appointment

Huma

I will be on leave Friday March 23rd returning on Monday. If you need immediate assistant contact Carol P. Carter on 202-647-6090.

-----Original Message-----

From: Abedin, Huma
Sent: Thursday, March 22, 2012 7:01 PM
To: Motley, Cynthia J
Cc: Samuelson, Heather F; Carter, Carol P
Subject: Re: Conversion to an Expert Appointment

Can I call you about this? Have a bunch of questions. Thanks

-----Original Message-----

From: Motley, Cynthia J
To: Huma Abedin
Cc: Heather Samuelson
Cc: Carter, Carol P
Subject: Conversion to an Expert Appointment
Sent: Mar 21, 2012 5:32 AM

Huma

I have been advised to begin the process to convert you from your Non-Career SES position as Senior Adviser (Expert- SGE) in the Office of the Secretary which is to be effective April 1, 2012. In order to initiate the conversion appointment I will need the following from you as soon as possible:

1. An updated resume which includes your current Deputy Chief of Staff for Operations position.
2. The attached SF-278 Financial Disclosure Report must be completed for your termination from the Non-Career SES appointment.
3. A copy of the SF-278 can be used with the attached front cover sheet of the OGE-450 Financial Disclosure that must be completed and signed to obtain an Ethics clearance for the conversion appointment.
4. A new signed copy of the Ethics signature page.

Please let me know if you have any questions.

Cynthia J. Motley
Administrative Officer
S/ES-EX Room 7515 HST BLDG
Office Phone: 202-647-9661
Fax: 202-647-6040

In accordance with the policies and procedures outlined in Executive Order 12958, this e-mail is UNCLASSIFIED unless otherwise noted.

RELEASE IN PART
B6REVIEW AUTHORITY: Barbara Nielsen, Senior
Reviewer

POSITION DESCRIPTION (Please Read Instructions on the Back)

2. Reason for Submission <input type="checkbox"/> Redescription <input checked="" type="checkbox"/> New <input checked="" type="checkbox"/> Hdqrs. <input type="checkbox"/> Field <input type="checkbox"/> Reestablishment <input type="checkbox"/> Other Explanation (Show any positions replaced)		3. Service <input checked="" type="checkbox"/> Hdqrs. <input type="checkbox"/> Field		4. Employing Office Location S		5. Duty Station WASHINGTON, D.C.		1. Agency Position No. E-10562		6. OPM Certification No.	
		7. Fair Labor Standards Act <input checked="" type="checkbox"/> Exempt <input type="checkbox"/> Nonexempt		8. Financial Statements Required <input type="checkbox"/> Executive Personnel Financial Disclosure <input checked="" type="checkbox"/> Employment and Financial Interests		9. Subject to IA Action <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		10. Position Status <input type="checkbox"/> Competitive <input checked="" type="checkbox"/> Excepted (Specify in remarks) SES (Gen.) <input type="checkbox"/> SES (CR)		11. Position is: <input type="checkbox"/> Supervisory <input type="checkbox"/> Managerial <input checked="" type="checkbox"/> Neither	
				12. Sensitivity <input type="checkbox"/> 1-Non-Sensitive <input checked="" type="checkbox"/> 3-Critical Sensitive <input type="checkbox"/> 2-Monocritical Sensitive <input type="checkbox"/> 4-Special Sensitive		13. Competitive Level Code		14. Agency Use			
15. Classified/Graded by		Official Title of Position		Pay Plan		Occupational Code		Grade		Initials Date (mm-dd-yyyy)	
a. U.S. Office of Personnel Management											
b. Department, Agency or Establishment		Senior Advisor		ED		130		15		PUE 4/4/12	
c. Second Level Review											
d. First Level Review											
e. Recommended by Supervisor or Initiating Office		SENIOR ADVISOR (Expert-SGE)		EF		130		15		pc 03-20-2012	
16. Organizational Title of Position (if different from official title) 010101				17. Name of Employee (if vacant, specify) HUMA M. ABEDIN							
18. Department, Agency, or Establishment DEPARTMENT OF STATE				c. Third Subdivision							
a. First Subdivision OFFICE OF THE SECRETARY				d. Fourth Subdivision							
b. Second Subdivision				e. Fifth Subdivision							
19. Employee Review-This is an accurate description of the major duties and responsibilities of my position.				Signature of Employee (optional)				Date (mm-dd-yyyy)			
20. Supervisory Certification. I certify that this is an accurate statement of the major duties and responsibilities of this position and its organizational relationships, and that the position is necessary to carry out Government functions for which I am responsible. This certification is made with the knowledge that this information is to be used for salary purposes relating to appointment and payment of public funds, and that false or misleading statements may constitute violations of such statutes or their implementing regulations.											
a. Typed Name and Title of Immediate Supervisor HILLARY RODHAM CLINTON SECRETARY OF STATE				b. Typed Name and Title of Higher-Level Supervisor or Manager (optional)							
Signature		Date (mm-dd-yyyy) 3/23/12		Signature		Date (mm-dd-yyyy)					
21. Classification/Job Grading Certification. I certify that this position has been classified/graded as required by Title 5, U.S. Code, in conformance with standards published by the U.S. Office of Personnel Management or, if no published standards apply directly, consistently with the most applicable published standards.				22. Position Classification Standards Used in Classifying Grading Position GS-0130 Series Definition Policy Analysis Grade Evaluation Guide TS-52 MARCH 1981							
Typed Name and Title of Official Taking Action PAUL GILMORE CHIEF, HR SHARED SERVICES				Information for Employees. The standards, and information on their application, are available in the personnel office. The classification of the position may be reviewed and corrected by the agency or the U.S. Office of Personnel Management. Information on classification/job grading appeals, and complaints on exemption from FLSA, is available from the personnel office or the U.S. Office of Personnel Management.							
Signature		Date (mm-dd-yyyy) 4/4/12									
23. Position Review		Initials Date (mm-dd-yyyy)		Initials Date (mm-dd-yyyy)		Initials Date (mm-dd-yyyy)		Initials Date (mm-dd-yyyy)		Initials Date (mm-dd-yyyy)	
a. Employee (optional)											
b. Supervisor											
c. Classifier											
24. Remarks This is a Temporary Excepted Service position which does not confer competitive status. The incumbent serves as a Senior Advisor, Expert-Special Government Employee (SGE), at the pleasure of the Secretary and may be terminated at any time.											
25. Description of Major Duties and Responsibilities (See Attached)											

NSN 7540-00-534-4265

Previous Edition Usable

5008-106

OF 8 (Rev. 1-85)
U.S. Office of Personnel Management
FPM Chapter 285

REVIEW AUTHORITY: Barbara Nielsen, Senior				RELEASE IN PART			
Reviewer				B6			
POSITION DESCRIPTION (Please Read Instructions on the Back)							
2. Reason for Submission		3. Service		4. Employing Office Location		5. Duty Station	
<input type="checkbox"/> Redescription <input type="checkbox"/> Reestablishment <input checked="" type="checkbox"/> New <input type="checkbox"/> Other		<input checked="" type="checkbox"/> Hdqrs. <input type="checkbox"/> Field		S		WASHINGTON, D.C.	
Explanation (Show any positions replaced)		7. Fair Labor Standards Act		8. Financial Statements Required		9. Subject to IA Action	
		<input checked="" type="checkbox"/> Exempt <input type="checkbox"/> Nonexempt		<input type="checkbox"/> Executive Personnel <input type="checkbox"/> Financial Disclosure <input checked="" type="checkbox"/> Employment and Financial Interests		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
10. Position Status		11. Position is:		12. Sensitivity		13. Competitive Level Code	
<input type="checkbox"/> Competitive <input checked="" type="checkbox"/> Excepted (Specify in remarks) <input type="checkbox"/> SES (Gen.) <input type="checkbox"/> SES (CR)		<input type="checkbox"/> Supervisory <input type="checkbox"/> Managerial <input checked="" type="checkbox"/> Neither		<input type="checkbox"/> 1-Non-Sensitive <input type="checkbox"/> 2-Noncritical Sensitive <input checked="" type="checkbox"/> 3-Critical Sensitive <input type="checkbox"/> 4-Special Sensitive			
14. Agency Use							
15. Classified/Graded by		Official Title of Position		Pay Plan		Occupational Code	
a. U.S. Office of Personnel Management							
b. Department, Agency or Establishment		Senior Advisor		ED		130 15	
c. Second Level Review							
d. First Level Review							
e. Recommended by Supervisor or Initiating Office		SENIOR ADVISOR (Expert-SGE)		EF		130 15 pc	
16. Organizational Title of Position (if different from official title)		010101		17. Name of Employee (if vacant, specify)		HUMA M. ABEDIN	
18. Department, Agency, or Establishment		DEPARTMENT OF STATE		c. Third Subdivision			
a. First Subdivision		OFFICE OF THE SECRETARY		d. Fourth Subdivision			
b. Second Subdivision				e. Fifth Subdivision			
19. Employee Review. This is an accurate description of the major duties and responsibilities of my position.				Signature of Employee (optional)		Date (mm-dd-yyyy)	
20. Supervisory Certification. I certify that this is an accurate statement of the major duties and responsibilities of this position and is organizational relationships, and that the position is necessary to carry out Government functions for which I am responsible. This certification is made with the				knowledge that this information is to be used for salary purposes relating to appointment and payment of public funds, and that false or misleading statements may constitute violations of such statutes or their implementing regulations.			
a. Typed Name and Title of Immediate Supervisor		b. Typed Name and Title of Higher-Level Supervisor or Manager (optional)					
HILLARY RODHAM CLINTON SECRETARY OF STATE							
Signature		Date (mm-dd-yyyy)		Signature		Date (mm-dd-yyyy)	
		3/23/12					
21. Classification/Job Grading Certification. I certify that this position has been classified/graded as required by Title 5, U.S. Code, in conformance with standards published by the U.S. Office of Personnel Management or, if no published standards apply directly, consistently with the most applicable published standards.		22. Position Classification Standards Used in Classifying Grading Position		GS-0130 Series Definition Policy Analysis Grade Evaluation Guide TS-52 MARCH 1981			
Typed Name and Title of Official Taking Action		Information for Employees. The standards, and information on their application, are available in the personnel office. The classification of the position may be reviewed and corrected by the agency or the U.S. Office of Personnel Management. Information on classification/job grading appeals, and complaints on exemption from FLSA, is available from the personnel office or the U.S. Office of Personnel Management.					
PAUL GILMORE CHIEF, HR SHARED SERVICES							
Signature		Date (mm-dd-yyyy)					
		4/4/12					
23. Position Review		Initials		Date (mm-dd-yyyy)		Initials	
a. Employee (optional)							
b. Supervisor							
c. Classifier							
24. Remarks							
This is a Temporary Excepted Service position which does not confer competitive status. The incumbent serves as a Senior Advisor, Expert-Special Government Employee (SGE), at the pleasure of the Secretary and may be terminated at any time.							
25. Description of Major Duties and Responsibilities (See Attached)							

NSN 7540-00-834-4265

Previous Edition Usable

5008-108

OF 8 (Rev. 1-85)
U.S. Office of Personnel Management
FPM Chapter 285

ACKNOWLEDGEMENT OF ETHICS GUIDANCE REVIEW

RELEASE IN PART
B6

I certify the following:

- 1) I have read the Department of State's "Government Ethics Guidance" for "New Entrant" Filers.
- 2) I do not receive any income, directly or through a general partnership, from any foreign government.
- 3) I am not and do not act as an agent of a foreign principal required to register under the Foreign Agent's Registration Act of 1938, as amended, or as a lobbyist required to register under the Lobbying Disclosure Act of 1995, as amended, in connection with the representation of a foreign entity.
- 4) I do not represent any other person before any court or Federal department or agency and do not receive income through a general partnership that derives from another person's representational services before any court or Federal department or agency.

REVIEW
AUTHORITY:
Barbara Nielsen,
Senior Reviewer

Signature

Date

3/29/2012

B6

If you are unable to make any of the certifications above, please explain below:

Jordan, Angela M

RELEASE IN PART B3
, 5 U.S.C. app
107(a)(Ethics in
Government Act of
1978), B6

From: Green, Marcella M
Sent: Friday, June 01, 2012 5:07 PM
To: 'Huma Abedin'
Subject: RE:

REVIEW AUTHORITY: Barbara Nielsen, Senior
Reviewer

Wonderful! Thank you!

From: Huma Abedin [mailto:Huma@clintonemail.com]
Sent: Friday, June 01, 2012 5:06 PM
To: Green, Marcella M
Subject: Re:

That's fine with me if it works for you.

From: Green, Marcella M [mailto:GreenMM@state.gov]
Sent: Friday, June 01, 2012 01:46 PM
To: Huma Abedin
Subject: RE:

On the OGE 278, that's fine—that report is for your old position.

But might we move ahead with the OGE 450? (They want you cleared today if possible). The only difference for that report is that the [redacted] assets were added. You don't need to re-sign that one. Please let me know—I'm at the number below if you wish to talk. Thanks! -Marcella

B3, B6

Marcella M. Green
Attorney Adviser
Office of the Legal Adviser - L-EFD
202/663-2995

From: Huma Abedin [mailto:Huma@clintonemail.com]
Sent: Friday, June 01, 2012 1:43 PM
To: Green, Marcella M
Subject: Re:

Marcella - thank you so much for all your help to make this transition. I really appreciate it. I didn't realize that I would need to sign and scan back.
I'm somewhere remote without access to a computer for a few hours. Ill be back in office tomorrow. Could I send this tomorrow?

From: Green, Marcella M [mailto:GreenMM@state.gov]
Sent: Friday, June 01, 2012 12:52 PM
To: Huma Abedin
Subject:

Hi Huma:

As discussed, attached are our new OGE 450 report for the new position as well as a new joint termination/incumbent OGE 278 report for the old one.

If the OGE 450 looks right, please let me know and we will move ahead to clear.

The new joint termination/annual OGE 278 is the report you filed as an incumbent, but we needed to add a new signature page. Please sign and date the front page and return it to me—a scanned version is perfectly fine. Also, it looks like Schedule B (transactions and gifts) and Schedule C Part II (outside agreements) were not completed—had you meant to check the none boxes for those sections? Happy to do that for you on this end if that is the case.

Many thanks for your cooperation. If you have any questions whatsoever, please let me know.

Best Regards,
-Marcella

Marcella M. Green
Attorney Adviser
Office of the Legal Adviser - L-EFD
202/663-2995

RELEASE IN PART
B6

Search Results

ID	Year	Last Name	First/ Mid. Init	Title	Status	Type	Grade	Select
	2012	ABEDIN	HUMA M	SENIOR ADVISOR	New Entrant	OGE-450 pr	ED-130-15 SG	<input type="checkbox"/>
	CY08	Abedin	Huma M	DEPUTY CHIEF OF	New Entrant	SF-278 Pre-	GS-15 Schedul	<input type="checkbox"/>
	CY09	ABEDIN	HUMA M	DEPUTY CHIEF OF	Incumbent	SF-278	GS-15 Schedul	<input type="checkbox"/>
	CY09	ABEDIN	HUMA M	DEPUTY CHIEF OF	New Entrant	SF-278 Pre-	ES-00 Non-Car	<input type="checkbox"/>
	CY10	ABEDIN	HUMA M	DEPUTY CHIEF OF	Incumbent	SF-278	ES-00 Non-Car	<input type="checkbox"/>
	CY11	ABEDIN	HUMA M	SENIOR ADVISOR	Incumbent	OGE-278	ES-00 Non-Car	<input type="checkbox"/>
	CY12	ABEDIN	HUMA M	SENIOR ADVISOR	Termination	OGE-278	ES-00 Non-Car	<input type="checkbox"/>

Create Record

Tip! Choose the record you would like to EDIT by clicking its 'Select' box, in the right-most column.

Close

REVIEW AUTHORITY: Barbara Nielsen, Senior
Reviewer

B6

RELEASE IN PART B6

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Reviewer

Financial Disclosure Tracking System

Home (H) Create (C) External Data (X) Database Tools (Y) Acrobat (B)

StartupForm EntrantOptionsForm FinancialDisclosure Search SearchResultsForm EntrantEdit

Department of State
Office of Governmental Affairs
Financial Disclosure Tracking System

Edit Record

59620 8/4/2015 4:05:51 PM
8/4/2015
Taylor SE2

Current Status: Certified Year: 2012 Filer ID:

Report Received: 5/7/2012 Record Created: 5/7/2012 Assigned to Reviewer Date: 5/7/2012 Sent to Certifier: 5/7/2012 Sent Back to Reviewer: Certified: 6/1/2012 Report Sent to OGE:

Employee Data | Report Data | Compliance | Remedies | Comments | Extensions and Late Filings | Training | Loaned Files | Create Memo | Stock Act

Navigation Pane

Employee Information

Employee ID: Last Name: ABEDIN First Name / Middle Initial: MUMA M Type: OGE-450 pre-employe Status: New Entrant

Short Comment/Note: 50 characters max. Email: ABEDINH@state.gov Grade: Enter 'unknown' if not known.
ED-130-15 SGE

Title: SENIOR ADVISOR Post: 5 WAE SGE Status: SGE

Current Human Resource Data - view only

Effective Date: 1/22/2009 EOD: Tour Duty Date: Position Pay Plan: ED Employee Pay Plan:

NOA Code: Tenure Code: 12 Transfer Elig. Date: Pay Grade: 15 Pay Grade: 15

File Type: Stock Act records n Print Activity Sheet Update HR Delete Save Close

Form View Num Lock

B6

B6

REVIEW AUTHORITY: Barbara Nielsen, Senior
Reviewer

RELEASE IN PART B6

Financial Disclosure Tracking System

Home Create External Data Database Tools Acrobat

StartupForm EntrantOptionsForm Financial Disclosure Search Search Results Form EntrantEdit

Edit Record

Current Status: Certified Year: CY11 Filer ID: 58044 8/4/2015 4:07:55 PM
8/4/2015
Taylor:SE2

Report Recieved: 5/7/2012 Record Created: 3/7/2012 Assigned to Reviewer Date: 5/7/2012 Sent to Certifier: 5/7/2012 Sent Back to Reviewer: 9/13/2012 Certified: 9/13/2012 Report Sent to OGE: 9/13/2012

Employee Data Report Data Compliance Remedies Comments Extensions and Late Filings Training Loaned Files Create Memo Stock Act

Employee Information

Employee ID: ABEDIN Last Name: ABEDIN First Name / Middle Initial: MUHAMMAD Type: OGE-278 Status: Incumbent

Short Comment/Note: 50 characters max.

Email: Grade: Enter 'unknown' if not known. ES-00 Non-Career

Title: SENIOR ADVISOR Post: Enter 'unknown' if not known. S WAE SGE Status:

Current Human Resource Data - view only

Effective Date	EOD	Tour Duty Date	Position Pay Plan	Employee Pay Plan
<u>1/22/2009</u>	<u>12</u>	<u></u>	<u>ES</u>	<u>ES</u>
NOA Code	Tenure Code	Transfer Elg. Date	Pay Grade	Pay Grade
<u>12</u>	<u>12</u>	<u></u>	<u>00</u>	<u>00</u>

File Type: Stock Act records n Print Activity Sheet Update HR Delete Save Close

Form View Num Lock

B6

B6

Jordan, Angela M

From: Green, Marcella M
Sent: Thursday, August 29, 2013 8:09 AM
To: Youel Page, Kathryn
Subject: FW: disclosure questions

RELEASE IN PART B6

This email is UNCLASSIFIED.

REVIEW AUTHORITY: Barbara Nielsen, Senior
Reviewer

From: Green, Marcella M
Sent: Monday, June 04, 2012 1:36 PM
To: Samuelson, Heather F
Cc: Taylor, Sarah E
Subject: FW: disclosure questions

Hi Heather-

I did not see these listed on the OGE 450 she provided to Sarah—nor do I recall seeing them on the prior-filed OGE 278s, fyi. If there are no conflicts, we can amend the cleared document but I will need her to confirm that that is the case.

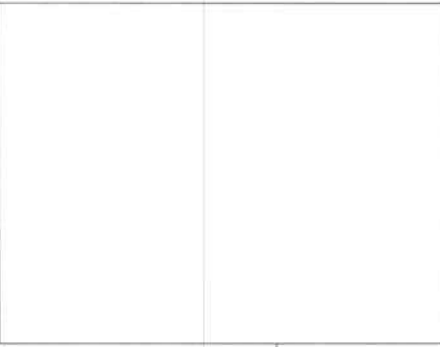
From: Huma Abedin [<mailto:Huma@clintonemail.com>]
Sent: Monday, June 04, 2012 11:41 AM
To: Samuelson, Heather F; Green, Marcella M
Subject: FW: disclosure questions

my husband just reviewed the form and provided the following.
this was included in the document sent to sarah but doesnt seem to be incorporated. so checking to be sure you dont need this on there as well.

From: Weiner, Anthony [REDACTED]
Sent: Monday, June 04, 2012 11:30 AM
To: Huma Abedin
Subject: disclosure questions

1. I see this is for calendar year 2011. I was asked for the period ending 1/31/12. should I provide 12/31 instead?

2. I wasn't asked for all transactions in 2011 that exceeded \$1000. these are all sales less than \$15k:



3. is there any obligation to report MY income or sources?

Jordan, Angela M

From: Green, Marcella M
Sent: Thursday, August 29, 2013 8:11 AM
To: Youel Page, Kathryn
Subject: FW: disclosure questions

RELEASE IN PART B6

REVIEW AUTHORITY: Barbara Nielsen,
Senior Reviewer

This email is UNCLASSIFIED.

From: Huma Abedin [mailto:Huma@clintonemail.com]
Sent: Tuesday, June 05, 2012 10:41 AM
To: Green, Marcella M; Samuelson, Heather F
Cc: Taylor, Sarah E; Martin, Janice N
Subject: RE: disclosure questions

answers in CAPS below

From: Green, Marcella M [GreenMM@state.gov]
Sent: Monday, June 04, 2012 1:31 PM
To: Huma Abedin; Samuelson, Heather F
Cc: Taylor, Sarah E; Martin, Janice N
Subject: RE: disclosure questions

Thanks Huma—

I did not see this on the OGE 450, but we can amend that report.

For the OGE 450 (New Entrant report), did you hold more than \$1,000 in each of these holdings as of the day you signed the report? Please let me know—we will need to amend. Also, do you anticipate in the new position participating in matters involving any of these entities? NO, MY NEW POSITION IS IDENTICAL TO MY OLD POSITION.

As to transactions for the OGE 278, which is now a joint termination annual report, you should pick a date within 30 days of your termination date, and report your assets as of that date. All income from CY 2011 through to that date will need to be reported. Transactions for all of CY 2011-through that date will also need to be reported. I AM TOTALLY CONFUSED. SARAH SPECIFICALLY TOLD US JANUARY 31ST AND THAT WAS THE HOLDINGS DOCUMENT I FORWARDED TO HER LAST WEEK.

Yes, we do need to identify the source of your husband's income--this should have been done on the 278s throughout your prior position. (We don't need to report *amount*, but we need to identify the employer). Under separate cover, I will forward a link to the instructions for the OGE 278. I DIDNT GET A SEPARATE LINK FROM YOU BUT SINCE HE WAS A MEMBER OF CONGRESS, HE ALWAYS FILLED OUT HIS OWN FINANCIAL DISCLOSURE AND THAT COPY WAS ALWAYS PROVIDED ALONG WITH MY COPY. SINCE HE RESIGED, HE HAS BEEN THE PRESIDENT OF WOOLF WEINER ASSOCIATES.

Please feel free to give me a call if you have any questions. Today I can be reached at I WILL CALL YOU SHORTLY TO BE SURE ALL IS IN ORDER. IF THERE IS ONE FORM WE BOTH NEED TO FILL OUT, HAPPY TO DO THAT.

B6

Thanks again,
Best Regards,

-Marcella

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[redacted]

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[redacted]

[redacted]

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