

Title Investigation: ATF	Investigation Number: 785115-10-0004	Report Number: 48
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SUMMARY OF EVENT:

FIREARM PURCHASES	ATF
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NARRATIVE:

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Prepared by: ATF	Title: Special Agent, Phoenix VII Field Office	ATF	Date: 12-18-09
Authorized by: ATF	Title: Group Supervisor, Phoenix VII Field Office		Date: 12-21-09
Level reviewer (optional): ATF	Title: Special Agent in Charge, Phoenix Field Division		Date:

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Report of Multiple Sale or Other Disposition of Pistols and Revolvers

(Please complete all information)

1. Federal Firearms Licensee (FFL) Number, Business or Trade Name and Address (Include Number, Street, City, State and Zip Code) (Recommend use of Rubber Stamp Identifying your FFL Name and Address as it Appears on your

2. Date Transferred

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3. Handguns Acquired in the Last Five Business Days

Type (Pistol or revolver)	Serial Number	Manufacturer and Importer	Model	Caliber
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When Fax is Available, Please Fax to 1-877-283-0288 Otherwise mail to the address pre-printed on the reverse

Instructions

1. This form is to be used by licensees to report all transactions in which an unlicensed person has acquired two or more pistols and/or revolvers at one time or during five consecutive business days.
2. A separate form is to be submitted for each unlicensed person.
3. Complete items 1 - 12.
4. The report is to be submitted to:
 - a. Copy 1 - The National Tracing Center no later than the close of business on the day that the multiple sale or other disposition occurs. FAX this form to 1-877-283-0288 or see the reverse side of this form for the address of the National Tracing Center.
 - b. Copy 2 - The official designated by the State or local authorities to receive the form, or in the absence of such designation, to the department of State police or State law enforcement agency in the jurisdiction where the handgun transfer occurred.
 - c. Copy 3 - Retain for your official records.

Additional forms may be obtained through the ATF Distribution Center, P.O. Box 5950, Springfield, Virginia 22150, (703) 455-7801.

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Firearms Transaction Record Part I - Over-the-Counter

WARNING: You may not receive a firearm if prohibited by Federal or State law. The information you provide will to determine whether you are prohibited under law from receiving a firearm. Certain violations of the Gun Act, 18 U.S.C. §§ 921 *et. seq.*, are punishable by up to 10 years imprisonment and/or up to a \$250,000 fine.

Transferor's Transaction
Serial Number (if any)

ATF

Prepare in original only. All entries must be handwritten in ink. Read the Notices, Instructions, and Definitions on this form. "PLEASE PRINT."

Section A - Must Be Completed Personally By Transferee (Buyer)

1. Transferee's Full Name

Last Name | First Name | Middle Name (if no middle name, state "NMN")

ATF

I certify that my answers to Section A are true, correct, and complete. I have read and understand the Notices, Instructions, and Definitions on ATF Form 4473. I understand that answering "yes" to question 11.a. if I am not the actual buyer is a crime punishable as a felony under Federal law, and may also violate State and/or local law. I understand that a person who answers "yes" to any of the questions 11.b. through 11.k. is prohibited from purchasing or receiving a firearm. I understand that a person who answers "yes" to question 11.l. is prohibited from purchasing or receiving a firearm, unless the person also answers "yes" to question 12. I also understand that making any false oral or written statement, or exhibiting any false or misrepresented identification with respect to this transaction, is a crime punishable as a felony under Federal law, and may also violate State and/or local law. I further understand that the repetitive purchase of firearms for the purpose of resale for livelihood and profit without a Federal firearms license is a violation of law (*See Instructions for Question 16*).

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Section D - Must Be Completed By Transferor (Sell)

26. Manufacturer and/or Importer (If the manufacturer and importer are different, the FFL should include both.)	27. Model	28. Serial Number	29. Type (pistol, revolver, rifle, shotgun, receiver, frame, etc.) (See instructions for question 29)	30. Caliber or Gauge
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U.S. Department of Justice
Bureau of Alcohol, Tobacco, Firearms and Explosives

Firearms Transaction Record Part I - Over-the-Counter

WARNING: You may not receive a firearm if prohibited by Federal or State law. The information you provide will be used to determine whether you are prohibited under law from receiving a firearm. Certain violations of the Gun Control Act, 18 U.S.C. §§ 921 *et. seq.*, are punishable by up to 10 years imprisonment and/or up to a \$250,000 fine.

Transferor's Transaction
Serial Number (If any)

Prepare in original only. All entries must be handwritten in ink. Read the Notices, Instructions, and Definitions on this form. "PLEASE PRINT."

Section A - Must Be Completed Personally By Transferee (Buyer)

1. Transferee's Full Name

ATF

Section D - Must Be Completed By Transferor (Seller)

26. Manufacturer and/or Importer (If the manufacturer and importer are different.	27. Model	28. Serial Number	29. Type (pistol, revolver, rifle, shotgun, receiver, frame, etc.) (See instructions for	30. Caliber or Gauge
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completed after the firearm has been transferred, and you or the

ATF Form 4473 (5300.9) Part I
Revised August 2008

ATF

If you or the buyer discover that an ATF Form 4473 is incomplete or
improperly completed after the firearm has been transferred, and you or the

of that business entity and (b) the name and address of that business entity.

ATF Form 4473 (5300.9) Part 1
Revised August 2008

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I certify that my answers to Section A are true, correct, and complete. I have read and understand the Notices, Instructions, and Definitions on ATF Form 4473. I understand that answering "yes" to question 11.a. if I am not the actual buyer is a crime punishable as a felony under Federal law, and may also violate State and/or local law. I understand that a person who answers "yes" to any of the questions 11.b. through 11.k. is prohibited from purchasing or receiving a firearm. I understand that a person who answers "yes" to question 11.i. is prohibited from purchasing or receiving a firearm, unless the person also answers "yes" to question 12. I also understand that making any false oral or written statement, or exhibiting any false or misrepresented identification with respect to this purchase is prohibited under Federal law, and may also violate State and/or local law.

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STAPLE IF PAGES BECOME SEPARATED

ATF Form 4473 (5300.9) Part 1
Revised August 2008

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Transferor's Transaction
Serial Number (If any)

ATF

Prepare in original only. All entries must be handwritten in ink. Read the Notices, Instructions, and Definitions on the reverse side of this form. **"PLEASE PRINT."**

Section A - Must Be Completed Personally By Transferee (Buyer)

Transferee's Full Name

Last Name

First Name

Middle Name (If no middle name, state "NMN")

ATF

I certify that my answers to Section A are true, correct, and complete. I have read and understand the Notices, Instructions, and Definitions on ATF Form 4473. I understand that answering "yes" to question 11.a. if I am not the actual buyer is a crime punishable as a felony under Federal law, and may also violate State and/or local law. I understand that a person who answers "yes" to any of the questions 11.b. through 11.k. is prohibited from purchasing or receiving a firearm. I understand that a person who answers "yes" to question 11.l. is prohibited from purchasing or receiving a firearm, unless the person also answers "yes" to question 12. I also understand that making any false oral or written statement, or exhibiting any false or misrepresented identification with respect to this transaction, is a crime punishable as a felony under Federal law, and may also violate State and/or local law. I further understand that the repetitive purchase of firearms for the purpose of resale for livelihood and profit without a Federal firearms license is a violation of law (See Instructions for Question 16).

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Transferor's Transaction
Serial Number (if any)

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Prepare in original only. All entries must be handwritten in ink. Read the Notices, Instructions, and Definitions on...

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I certify that my answers to Section A are true, correct, and complete. I have read and understand the Notices, Instructions, and Definitions on ATF Form 4473. I understand that answering "yes" to question 11.a. if I am not the actual buyer is a crime punishable as a felony under Federal law, and may also violate State and/or local law. I understand that a person who answers "yes" to any of the questions 11.b. through 11.k. is prohibited from purchasing or receiving a firearm. I understand that a person who answers "yes" to question 11.l. is prohibited from purchasing or receiving a firearm, unless the person also answers "yes" to question 12. I also understand that making any false oral or written statement, or exhibiting any false or misrepresented identification with respect to this transaction, is a crime punishable as a felony under Federal law, and may also violate State and/or local law. I further understand that the repetitive purchase of firearms for the purpose of resale for livelihood and profit without a Federal firearms license is a violation of law (See Instructions for Question 16).

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