

**UNITED STATES DISTRICT COURT**

Eastern District of Pennsylvania  
United States Courthouse  
Independence Mall West  
Philadelphia, Pennsylvania 19106-1744  
215-597-3978 (fax) [REDACTED]

Anita B. Brody  
Judge

July 6, 2007

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FINANCIAL  
DISCLOSURE OFFICE

George D. Reynolds, Staff Counsel  
Committee on Financial Disclosure  
Administrative Office of the U.S. Courts  
Suites 2-301  
One Columbus Circle, N.E.  
Washington, DC 20544

Dear Mr. Reynolds:

Enclosed please find my Financial Disclosure for year 2006. Additionally, the following corrections will need to be made to my Financial Disclosure for 2005:

- Asset reported in Part VII, page 7, line 62, for Summit Properties-common stock, should have been listed as sold in section D as follows:  
D(1) Sell      D(2) 3/4      D(3) K D(4) D
- Asset reported in Part VII, page 7, line 65, for First USA Band-cd, should have been listed as sold in section D as follows:  
D(1) Sell      D(2) 7/5      D(3) K D(4) blank
- Asset reported in Part VII, page 8, line 70, for Federal Home LN BK Bond, should have been listed as sold in section D as follows:  
D(1) Sell      D(2) 6/15      D(3) K D(4) blank

Sincerely,

[REDACTED]  
Anita B. Brody

/mo  
enclosures

[REDACTED]

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Rev. 1/2007

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2006


Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

<b>1. Person Reporting (last name, first, middle initial)</b>  Brody, Anita B	<b>2. Court or Organization</b>  United States District Court	<b>3. Date of Report</b>  07/06/2007
<b>4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)</b>  U.S. District Judge	<b>5a. Report Type (check appropriate type)</b> <input type="checkbox"/> Nomination,                      Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final  <b>5b.</b> <input type="checkbox"/> Amended Report	<b>6. Reporting Period</b>  01/01/2006 to 12/31/2006
<b>7. Chambers or Office Address</b>  United States Courthouse Independence Mall West Philadelphia, PA 19106	<b>8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.</b>  Reviewing Officer _____ Date _____	

**IMPORTANT NOTES:** The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

### I. POSITIONS. (Reporting individual only; see pp. 9-13 of instructions.)

NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Member	Board of Visitors, Columbia Law School
2.	Trustee	 Trust
3.		
4.		
5.		

### II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of instructions.)

NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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**III. NON-INVESTMENT INCOME.** (Reporting individual and spouse; see pp. 17-24 of instructions.)

**A. Filer's Non-Investment Income**

NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2006	Commonwealth of Pennsylvania-pension	\$ 30,691
2.		
3.		
4.		
5.		

**B. Spouse's Non-Investment Income -** If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)

NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2006	TIAA-CREFF Pension Distribution
2.	
3.	
4.	
5.	

**IV. REIMBURSEMENTS** -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

NONE (No reportable reimbursements.)

<u>SOURCE</u>	<u>DESCRIPTION</u>
1.	
2.	
3.	
4.	
5.	

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**V. GIFTS.** *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)*

NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

**VI. LIABILITIES.** *(Includes those of spouse and dependent children. See pp. 32-33 of instructions.)*

NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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**VII. INVESTMENTS and TRUSTS** – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Brokerage Account #1									
2. - Capital Southwest-common stock	B	Dividend	N	T					
3. - Covanta Holding Corporation formerly Danielson Holding-cs		None	M	T	Part Sale	8/15	K	E	
4. - Dupont-common stock	B	Dividend	L	T					
5. - NABORS-common stock		None	M	T					
6. - National Asset Bank-common stock		None	J	W					
7. - Royal Palm-common stock		None	J	T					
8. - Source Capital-common stock	C	Dividend	L	T					
9. - Third Avenue Value Fund	G	Dividend	P1	T					
10. - Third Avenue Small Capital Fund-mutual fund	D	Dividend	M	T					
11. - Third Avenue Real Estate Fund-mutual fund	E	Dividend	N	T					
12. - Third Avenue Intl Value FD	E	Dividend	K	T	Buy	8/21	K		
13. - Butler County PA - Bond	B	Interest	K	T					
14. Brokerage Account #2									
15. - Citicorp- common stock	A	Dividend			Sell	6/26	J	A	
16. - Dean Witter Liquid Asset-mutual fund	C	Interest	L	T					
17. - Dow Chemical - Common stock	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

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**VII. INVESTMENTS and TRUSTS** -- income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. - DPL - common stock	A	Dividend	K	T					
19. - General Dynamics- common stock	A	Dividend	J	T					
20. - Greenwood Trust -CD		None			Sell	10/30	K		
21. - McDonalds- common stock	A	Dividend	K	T					
22. - Pepsico- common stock	A	Dividend	J	T					
23. - Discover Bank - cd	A	Interest	K	T	Part Sale	5/30	K		
24. - Amcore - cd	A	Interest			Sell	8/9	K		
25. - Littlestown - Municipal Bond	B	Interest	K	T					
26. - Lancaster PA Area Sch Distrist Bond	B	Interest	K	T					
27. - Fed Home Loan Bk Bond	B	Interest	K	T					
28. - Franklin RSD	A	Interest	K	T					
29. - Providian National CD	A	Interest			Sell	6/20	J		
30. Bank Accounts									
31. Wachovia - bank accounts	A	Interest	K	T					
32. Dime Savings-bank account	A	Interest	J	T					
33. IRA #1	D	Dividend	N	T					
34. - Greenwood Trust-cd									

1. Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$250,000,001 - \$500,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000 R =Cost (Real Estate Only) V =Other	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000 S =Assessment W =Estimated	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000 T =Cash Market	E =\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value				

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**VII. INVESTMENTS and TRUSTS** – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. - Bristol Myers Squid- common stock					Sell	10/11	J		
36. - Capital One-cd					Sell	4/24	K		
37. - Dean Witter Liquid Asset-mutual fund									
38. - FPL-common stock					Sell	10/11	K	D	
39. - Keycorp-common stock					Sell	10/11	J	B	
40. - McDonalds - common stock					Sell	10/11	K	D	
41. - Limited Duration Fund (was tcw/dw N Am Gov Tr)-(mutfund)									
42. - Equity Residential-common stock					Sell	10/11	K	E	
43. - H & R Block - common stock					Sell	10/11	J	D	
44. - Walt Disney- common stock					Sell	10/11	J		
45. - Lehman Brothers Bank FSB CD					Sell	6/12	K		
46. - Citigroup Inc - common stock					Sell	6/26	K		
47. - Federal Home Loan Mtg Corp Med Term Note									
48. - Midfurst Bank Oklahoma City CD					Buy	4/26	L		
49. IRA #2	E	Dividend	O	T					
50. - AIM Weingarten-mutual fund					Sell	10/11	K		
51. - AOL Time Warner					Sell	10/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	P4 =More than \$50,000,000
3. Value Method Codes (See Column C2)	P3 =\$25,000,001 - \$50,000,000 Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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**VII. INVESTMENTS and TRUSTS** – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. - Citigroup-common stock					Sell	6/26	K	D	
53. - Dean Witter Liquid Asset-mutual fund									
54. - DPL					Sell	10/11	J	A	
55. - Federal Realty Inv Trust- common stock					Sell	10/11	K	E	
56. - Home Depot-common stock					Sell	10/11	J		
57. - IBM - common stock					Sell	10/11	K		
58. - Intel-common stock					Sell	10/11	K	E	
59. - Johnson and Johnson - common stock					Sell	10/16	J	C	
60. - Magna International-common stock					Sell	10/11	J	A	
61. - Motorola-common stock					Sell	10/11	J		
62. - Public Service Enterprises-common stock					Sell	10/11	K	D	
63. - Ameren(formerly Union Electric)-common stock					Sell	10/11	K	D	
64. - US Treasury-bond					Sell	5/11	K		
65. - UTD Dominion Realty Trust-common stock					Sell	10/11	K	E	
66. - Discover Bank - cd-					Sell	10/30	K		
67. - International Lease Finance Corp Bond					Sell	11/15	K		
68. - 1st Signature Co									

1. Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000 Q =Appraisal U =Book Value	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000 R =Cost (Real Estate Only) V =Other	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000 S =Assessment W =Estimated	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000 T =Cash Market	E =\$15,001 - \$50,000
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	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. - MBIA					Sell	10/11	J	B	
70. - Anheuser Bush Cos Inc					Sell	10/11	J		
71. - Freescale Semiconductor (X)					Sell	10/11	J	A	
72. - Citigroup Inc Bond					Sell	6/26	K		
73. - Washington Mutual Bank CD					Buy	5/15	L		
74. TIAA-CREF-retirement(s)			P1	T					
75. TIAA Retirement			L	T					
76. Manulife (formerly John Hancock Life)	A	Interest	K	T					
77. Japan Fund - mutual fund	A	Dividend	K	T					
78. [REDACTED] Trust #1	F	Dividend	O	T					
79. - Reserve Fund Primary Portfolio									
80. - Third Avenue Small Cap Value Fund									
81. - Third Avenue Real Estate Value Fund									
82. - Third Avenue Intl Value Fund									
83. - Third Avenue Value Fund Inc									
84. [REDACTED] Trust #2	D	Dividend	M	T					
85. - Vanguard Federal Money Market Fund					Part Sale	1/13	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000 J =\$15,000 or less N =\$250,001 - \$500,000 P3 =\$25,000,001 - \$50,000,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000 K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000 R =Cost (Real Estate Only) V =Other	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000 L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000 P4 =More than \$50,000,000 S =Assessment W =Estimated	D =\$5,001 - \$15,000 H2 =More than \$5,000,000 M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000 T =Cash Market	E =\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value				

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86.					Part Sale	2/15	J		
87.					Part Sale	3/15	J		
88.					Part Sale	4/13	J		
89.					Part Sale	12/5	K		
90. - Vanguard Short Term Federal Admiral Shares					Part Sse	1/13	J		
91.					Part Sale	2/15	J		
92.					Part Sale	3/15	J		
93.					Part Sale	4/13	J		
94.					Part Sale	4/19	M		
95. - Emerging Markets Stock Index Investor Shares					Buy	4/19	J		
96.					Buy	12/5	K		
97. - Mid-Cap Index Fund Investor Shares					Buy	4/19	L		
98. - Total International Stock Index Fund					Buy	4/19	L		
99.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
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**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of Report.)*

1) Assets reported in Part VII, page 8, lines 74 and 75, for "TIAA-CREF-retirement(s)" and "TIAA Retirement," are my [REDACTED] retirement funds for which [REDACTED] has no control of its investments and is not provided with any information on the earnings from those accounts. [REDACTED] is only provided with an account value which is reported.

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**IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



Date

7/6/07

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

**FILING INSTRUCTIONS**

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544