

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2006**

1. Person Reporting (last name, first, middle initial) NEWMAN, Jon O	2. Court or Organization U.S.C.A., Second Circuit	3. Date of Report 04/12/2007
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior Circuit Judge	5a. Report Type (check appropriate type) <input type="checkbox"/> Nomination, Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final 5b. <input type="checkbox"/> Amended Report	6. Reporting Period 01/01/2006 to 12/31/2006
7. Chambers or Office Address U.S. Courthouse 450 Main Street Hartford, CT 06103	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
<p>IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.</p>		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of instructions.)

NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Corporator	Hartford Hospital
2. Corporator	Institute of Living
3. Elector	Hartford Atheneum
4. Life Regent	University of Hartford
5. Board Member	American Judicature Society
6. Board Member	Connecticut Appleseed Foundation
7. Chair	[REDACTED] of the Library of the Supreme Court of Israel, Inc.
8. Board Member	Endowment Foundation of the Jewish Federation of Greater Hartford, Inc.
9. Trustee	[REDACTED] Trust

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of instructions.)

NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	

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FINANCIAL DISCLOSURE REPORT

Page 3 of 15

Name of Person Reporting

NEWMAN, Jon O

Date of Report

04/12/2007

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of instructions.)*

A. Filer's Non-Investment Income

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> <i>(yours, not spouse's)</i>
1.		
2.		
3.		
4.		
5.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	
5.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>
1.	
2.	
3.	
4.	
5.	

FINANCIAL DISCLOSURE REPORT
Page 4 of 15

Name of Person Reporting NEWMAN, Jon O	Date of Report 04/12/2007
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V. GIFTS. *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)*

NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Waiver of annual dues	Hartford Club	\$ 1666.5
2.	Partial reduction of annual dues	Tumble Brook Country Club	\$ 4478.0
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children. See pp. 32-33 of instructions.)*

NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 5 of 15

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. BANK ACCOUNTS AND CASH:									
2. Bank of America -- West Htfd. CT., Checking Acct.	A	Interest	L	T					
3. Schwab 1, Htfd., Conn., Money Market Acct.	A	Interest			Closed	6/1	J		
4. Smith Barney, New Haven, CT., Money Market Acct.	C	Interest			Closed	9/1	M		
5. Smith Barney, New Haven, CT., Money Market Acct.	D	Interest	N	T					
6. BONDS:									
7. U.S. Treasury Bond 11.5	E	Interest	M	T					
8. Conn. Bond '09 5.4	A	Interest	K	T	Transfer	3/1	K		MSN Trust
9. Houma La. Transit '11	C	Interest	L	T					
10. Jeff. Par. La. Hosp. '09	A	Interest	J	T					
11. Lafayette La. Transit '11	B	Interest	K	T					
12. W. Monroe La. '10	B	Interest	K	T					
13. W. Monroe La. '11	A	Interest	J	T					
14. Rapides Par. La. '10	A	Interest	J	T					
15. CT G.O. '08 5.12	D	Interest	M	T	Call	8/15	L		
16. CT Spl. Assmt. '07 5.1	B	Interest	K	T	Call	1/2	K		
17. CT G.O. '10 5.2	B	Interest	K	T	Call	11/1	K	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 15

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18. CT G.O. '10 5.0	B	Interest	K	T	Transfer	3/1	K		MSN Trust
19. CT Health '12 6.5	C	Interest	L	T					
20. CT HFA '06 4.625	B	Interest	K	T	Matured	11/15	K		
21. Conn. Health 5.25 '07	B	Interest	K	T	Call	7/1	K		
22. Conn. HFA 4.375 '08	B	Interest	K	T	Transfer	3/1	K		MSN Trust
23. Conn. G.O. 5.0 '09	B	Interest	L	T	Transfer	3/1	L		MSN Trust
24. Conn. 5.125 '11	B	Interest	L	T	Transfer	3/1	L		MSN Trust
25. Conn. G.O 5.0 '11	A	Interest	K	T	Transfer	3/1	K		MSN Trust
26. Conn. Spl. Tax 5.0 '12	B	Interest	L	T	Transfer	3/1	L		MSN Trust
27. Conn. Health 4.9 '13	B	Interest	K	T					
28. Cheshire 5.0 '10	B	Interest	L	T					
29. Conn Refunding '06 5.0	B	Interest	L	T	Matured	12/15	K		
30. Conn. Housing '07 3.4	B	Interest	K	T					
31. Conn. Refunding '09 5.25	B	Interest	K	T					
32. Stratford '10 3.0	A	Interest	K	T					
33. New Haven '17 6.0	B	Interest	K	T					
34. Conn. Refunding '08 5.25	A	Interest	J	T					

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Page 7 of 15

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35. E. Hampton '07 3.375	B	Interest	K	T					
36. CT G.O. '06 0		None	K	T	Matured	6/15	K		
37. CT G.O. '08 4.875	B	Interest	K	T					
38. Univ. CT. '07 4.25	B	Interest	K	T					
39. New Haven '07 4.0	B	Interest	L	T	Transfer	3/1	L		MSN Trust
40. Meriden '11 3.75		None	L	T					
41. UConn '10 5.0	B	Interest	L	T					
42. Stamford '10 3.0	B	Interest	L	T					
43. CT Rfdg '09 4.5	B	Interest	L	T					
44. South Central CT '06 2.0	A	Interest	L	T	Matured	8/1	K		
45. Norwalk '10 4.25	B	Interest	L	T	Transfer	3/1	L		MSN Trust
46. CT Clean Water '06 4.0	B	Interest	L	T	Matured	10/1	K		
47. Canton '07 3.5	A	Interest	K	T	Transfer	3/1	K		MSN Trust
48. E. Haven CT '08 3.0	A	Interest	K	T					
49. CT G.O. '10 4.9	A	Interest	K	T					
50. CT Tax '12 4.3	A	Interest	J	T					
51. Seymour CT '13 3.5	A	Interest	K	T					

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FINANCIAL DISCLOSURE REPORT

Page 8 of 15

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52. Milford CT '13 5.4	A	Interest	K	T	Call	11/1	K		
53. UConn '14 5.25	A	Interest	J	T					
54. Brookfield CT '11 4.25	A	Interest	K	T					
55. CT Spl. Tax '12 5.5	A	Interest	K	T					
56. New London CT '08 5.0	A	Interest	K	T					
57. Groton '12 4.0	B	Interest	L	T	Buy	10/31			
58. CT '13 4.25	B	Interest	L	T	Buy	10/31			
59. Puerto Rico '14 5.25	C	Interest	L	T	Buy	10/31			
60. CT Refunding '11 5.5	C	Interest	L	T	Buy	10/17			
61. Shreveport LA '10 6.750	B	Interest	K	T					
62. S.E. Texas Host. '09 7.5	B	Interest	K	T					
63. STOCKS:									
64. Dupont	C	Dividend	M	T	Transfer	3/1	M		MSN Trust
65. Exxon Mobil	A	Dividend	M	T	Transfer	3/1	M		MSN Trust
66. G.E.	B	Dividend	M	T	Transfer	3/1	M		MSN Trust
67. Norfolk Southern	A	Dividend	J	T	Transfer	3/1	J		MSN Trust
68. Royal Dutch Petroleum	C	Dividend	M	T					

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FINANCIAL DISCLOSURE REPORT

Page 9 of 15

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69. M & T Bank	A	Dividend	L	T	Transfer	3/1	L		MSN Trust
70. Pfizer	A	Dividend	L	T	Transfer	3/1	J		MSN Trust
71. Insteel		None	J	T	Transfer	3/1	J		MSN Trust
72. Air Products	B	Dividend	M	T					
73. Johnson Contr.	C	Dividend	N	T					
74. Merck	D	Dividend	N	T	Sell part	8/16	M	F	
75. Alexander and Baldwin	A	Dividend	K	T	Transfer	3/1	K		MSN Trust
76. Royce Trust	B	Dividend	L	T					
77. Bethlehem Steel		None	J	T	Sold	10/23	J		
78. Corning		None	K	T	Transfer	3/1	K		MSN Trust
79. Cummings Engine	A	Dividend	K	T					
80. Minn. Mining	B	Dividend	L	T					
81. Johnson & Johnson	B	Dividend	L	T					
82. Enron		None	J	T	Sold	8/25	J		
83. Microsoft	C	Dividend	M	T					
84. Cisco		None	L	T					
85. Total Fina	D	Dividend	N	T					

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Page 10 of 15

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86. Honeywell	A	Dividend	K	T	Transfer	3/1	K		MSN Trust
87. Lucent		None	J	T	Transfer	3/1	J		MSN Trust
88. Pitney Bowes	A	Dividend	K	T	Transfer	3/1	K		MSN Trust
89. Devon Energy	A	Dividend	K	T					
90. FNMA	A	Dividend	K	T					
91. Philips	A	Dividend	K	T	Transfer	3/1	K		MSN Trust
92. Tyco	A	Dividend	K	T	Transfer	3/1	K		MSN Trust
93. Nokia	A	Dividend	J	T					
94. Red Hat		None	J	T					
95. Pfizer	B	Dividend	K	T	Sold	8/16	K		
96. Medco		None	L	T					
97. Calamos Fund	B	Dividend	L	T					
98. Legg Mason Fund	A	Dividend	L	T					
99. Amgen		None	K	T	Buy	8/16	K		
100. GE	A	Dividend	K	T	Buy	8/16	K		
101. Henderson Fund	A	Dividend	K	T	Buy	8/16	K		
102. Jennison Fund	A	Dividend	K	T	Buy	8/16	K		

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Page 11 of 15

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103. Dreyfus Fund	A	Dividend	K	T	Buy	2/8	K		
104. Mainstay Fund	A	Dividend	K	T	Buy	8/16	K		
105. Arkema		None	J	T	Spinoff	5/23	J		
106. OTHER:									
107. Trust held by Schwab & Co. (Pooled Funds & State Bonds)	D	Dividend	N	T					
108. Trust held by Schwab & Co. (pooled funds & state bonds)	E	Dividend	N	T	Terminated	3/1	N		
109. 1/2 Int. W. Htfd., CT., Condo purchased 8/9/88 \$115,000		None	L	R					
110. Thames & Hudson Royalty Contract	C	Royalty	J	W					
111. Condo, University Park, FL purchased 4/9/99, \$179,000	C	Rent	M	R					
112. AIG Annuity (IRA)	B	Interest	K	T					
113. AIG Annuity (IRA)	A	Interest	J	T					
114. MSN Family Trust consisting of municipal bonds and stocks:	E	Int./Div.	P1	T					
115. - Conn. Bond '09 5.4									
116. - CT G.O. '10 5.0									
117. - Conn. HFA 4.375 '08									
118. - Conn. G.O. 5.0 '09									
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Page 12 of 15

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04/12/2007

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. - Conn. G.0 5.0 '11									
121. - Conn. Spl. Tax 5.0 '12									
122. - New Haven '07 4.0									
123. - Norwalk '10 4.25									
124. - Canton '07 3.5									
125. - Dupont									
126. - Exxon Mobil									
127. - G.E.									
128. - Norfolk Southern									
129. - M & T Bank									
130. - Pfizer									
131. - Insteel									
132. - Alexander and Baldwin									
133. - Corning									
134. - Honeywell									
135. - Lucent									
136. - Pitney Bowes									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 13 of 15

Name of Person Reporting

NEWMAN, Jon O

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04/12/2007

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

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	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. - Philips									
138. - Tyco									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	T = Cash Market
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 14 of 15

Name of Person Reporting

NEWMAN, Jon O

Date of Report

04/12/2007

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

PART VII:

Lines 7, 68, 76, 79, 89, 90, and 113: These items were all listed on the 2005 report with the designation [REDACTED] indicating ownership by my [REDACTED]. Upon [REDACTED] in 2005, these items all became the property of [REDACTED] and in 2006 were distributed to me by [REDACTED]. They are now listed without the designation [REDACTED].

Lines 61 and 62: These items were inadvertently omitted from the 2005 report because I thought they had been fully called, but in fact they were only partly called; they were previously owned and are still owned and are therefore again listed (although not as a new purchase).

Line 98: The name of this item, formerly listed as "Smith Barney Capital Fund," has been changed to "Legg Mason Fund."

Lines 8, 18, 22, 23, 24, 25, 26, 39, 45, 47, 64, 65, 66, 67, 69, 70, 71, 75, 78, 86, 87, 88, 91, 92: These items were all previously owned by my [REDACTED] and have now been transferred to the [REDACTED] of which I am trustee; the items are now listed on lines 115-138 as items within the trust, using the short form of listing that I was previously told to use for reporting trust assets.

FINANCIAL DISCLOSURE REPORT

Page 15 of 15

Name of Person Reporting

NEWMAN, Jon O

Date of Report

04/12/2007

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



Date

4/12/07

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544