

FINANCIAL DISCLOSURE REPORT

FOR CALENDAR YEAR 2003

*Report Required by the Ethics
in Government Act of 1978,
(5 U.S.C. App. §§101-111)*

1. Person Reporting (<i>Last name, first, middle initial</i>) Stanton, Louis L.	2. Court or Organization United States District Court Southern District of New York	3. Date of Report 3/9/04
4. Title (<i>Article III judges indicate active or senior status; magistrate judges indicate full- or part-time</i>) U.S. District Judge - Senior Status	5. Report Type (check appropriate type) ___ Nomination, Date _____ ___ Initial <input checked="" type="checkbox"/> Annual ___ Final	6. Reporting Period January 1, 2003 to December 31, 2003
7. Chambers or Office Address U.S. Courthouse 500 Pearl Street New York, New York 10007	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts including the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (*Reporting individual only; see pp. 9-13 of Instructions.*)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
	<input type="checkbox"/> NONE (No reportable positions.)	
1	Trustee	Trust #1
2	_____	_____
3	_____	_____

II. AGREEMENTS. (*Reporting individual only; see pp. 14-16 of Instructions.*)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
	<input checked="" type="checkbox"/> NONE (No reportable agreements.)	
1	_____	_____
2	_____	_____

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III. NON-INVESTMENT INCOME. (*Reporting individual and spouse; see pp. 17-24 of Instructions.*)

	<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>GROSS INCOME</u>
A. Filer's Non-Investment Income			
	<input checked="" type="checkbox"/> NONE (No reportable non-investment income.)		
1	_____	_____	\$
2	_____	_____	\$
3	_____	_____	\$

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, please complete this section. (dollar amount not required except for honoraria)

	<input checked="" type="checkbox"/> NONE (No reportable non-investment income.)		
1	_____	_____	
2	_____	_____	

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Stanton, Louis L.

Date of Report

3/9/04

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of Instructions.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>
<input type="checkbox"/>	NONE (No such reportable reimbursements.)	
1	George Mason University	Law & Economics seminar at Tucson, Arizona, April 30-May 6, 2003 (travel, food, lodging and tuition)
2		
3	George Mason University	Law & Economics seminar at Longboat Key, Florida, December 7-12, 2003 (travel, food, lodging and tuition)
4		
5		
6		
7		

V. GIFTS. *(Includes those to spouse and dependent children. See pp. 28-31 of Instructions.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
<input checked="" type="checkbox"/>	NONE (No such reportable gifts.)		
1			\$
2			\$
3			\$
4			\$

VI. LIABILITIES. *(Includes those of spouse and dependent children See pp. 32-33 of Instructions.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE*</u>
<input checked="" type="checkbox"/>	NONE (No reportable liabilities.)		
1			
2			
3			
4			
5			

*Value Codes: J=\$15,000 or less K=\$15,001-\$50,000 L=\$50,001-\$100,000 M=\$100,001-\$250,000
 N=\$250,001-\$500,000 O=\$500,001-\$1,000,000 P1=\$1,000,001-\$5,000,000
 P2=\$5,000,001-\$25,000,000 P3=25,000,001-50,000,000 P4=50,000,001 or more

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Stanton, Louis L.

Date of Report

3/9/04

VII. Page 1 INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children. See pp. 34-57 of Instructions.)

Description of Asset (including trust assets) <i>(Place "X" after each asset exempt from disclosure)</i>	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period (and exempt from disclosure)				
	(1) Ann. Code (A-H)	(2) Type (div, int, etc.)	(1) Value Code (L-P)	(2) Value Method Code (G-W)	(1) Type (buy/sell, interest, redemption)	(2) Date Month/Day	(3) Value Code (L-P)	(4) Gain Code (A-H)	(5) Identity of buyer/seller (if private transaction)
<input type="checkbox"/> NONE (No reportable income,									
¹ MS Active Assets Tax-free Trust	C	div	N	T					
² Dreyfus Fund, Inc.	A	div	M	T					
³ MS Global Div. Growth B	A	div	M	T					
⁴ IRA - US Treas. Fed Strips	A	div	O	T	partial distb'n	8/15	K		
⁵ - MS Liquid Assets									
⁶ Chase Bank	A	int	J	T					
⁷ Pfizer, Inc. common	B	div	L	T	partial donation	3/7	J		
⁸ Citibank accounts	B	int	M	T					
⁹ Vanguard Index 500	A	div	L	T					
¹⁰ US Treas. Note due 2/5/10	E	int	O	T					
¹¹ NYS Dorm Auth U. of Rochester 5.25% Bonds due 7/1/15	E	int	N	T					
¹² U.S. Treas. Note due 2/29/04	D	int	O	T					
¹³ NY Power Auth Long Island Elec Sys Bonds 5% due 12/1/18	D	int	N	T					
¹⁴ Arlington NY Cent Schl Dist. Series B 4% due 12/15/13	C	int	L	T					
¹⁵ NYC Gen Oblig Series B due 10/1/22 and Series D due 2/1/2	B	int	N	T	bought	9/30	N		
¹⁶ As Trustee of Trust #1	C	div	N	T					
¹⁷ -Fed Strips									

1. Income/Gain Codes: A=\$1,000 or less (See Col. B1, D4)	B=\$1,001-\$2,500 F=\$50,001-\$100,000	C=\$2,501-\$5,000 G=\$100,001-\$1,000,000	D=\$5,001-\$15,000 H1=\$1,000,001-\$5,000,000 H2=More than \$5,000,000	E=\$15,001-\$50,000
2. Value Codes: J=\$15,000 or less (See Col. C1, D3)	K=\$15,001-\$50,000 N=\$250,001-\$500,000 P3=\$25,000,001-\$50,000,000	L=\$50,001-\$100,000 O=\$500,001-\$1,000,000	M=\$100,001-\$250,000 P1=\$1,000,001-\$5,000,000 P4=More than \$5,000,000	
3. Value Method Codes: Q=Appraisal (See Col. C2)	R=Cost (real estate only) D=Book value	S=Assessment V=Other	T=Cash/Market W=Estimated	

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting Stanton, Louis L.	Date of Report 3/9/04
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VII. Page 2 INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children. See pp. 34-57 of Instructions.)

Description of Asset (including any security) (Part 1) (Include each asset separately for each disclosure)	B (Income during reporting period)		C (Gross value at end of reporting period)		D (Date of acquisition)	E (Transactions during reporting period)				
	(1) Amt. Code (A-H)	(2) Char. Div. (Y/N)	(1) Value Code (L-P)	(2) Value Method Code (Q-S)		(1) Date (Month/Day)	(2) Value Code (L-P)	(3) Return Code (TAX)	(4) Identity of Dividend (if applicable)	(5) Identity of Transaction
<input type="checkbox"/> NONE (No reportable income, As Trustee of Trust #1 (cont'd))										
1-Dreyfus Premier Third Century Fund-Z										
2-Dreyfus Fund										
3-MS Active Assets Money Trust -MS Global Div Growth B										
4-Vanguard Index 500										
5										
6										
7										
8										
9										
10										
11										
12										
13										
14										
15										
16										
17										

1. Income/Gain Codes (See Col. B, D4)	A=\$1,000 or less F=\$50,001-\$100,000	B=\$1,001-\$2,500 G=\$100,001-\$1,000,000	C=\$2,501-\$5,000 H=\$1,000,001-\$5,000,000	D=\$5,001-\$15,000 I=\$15,001-\$50,000	E=\$15,001-\$50,000 J=\$50,001-\$100,000 K=\$100,001-\$250,000 L=\$250,001-\$500,000 M=\$500,001-\$1,000,000 N=\$1,000,001-\$5,000,000 O=\$5,000,001-\$50,000,000 P=\$25,000,001-\$50,000,000
2. Value Codes (See Col. C1, D3)	J=\$15,000 or less N=\$250,001-\$500,000 P3=\$25,000,001-\$50,000,000	K=\$15,001-\$50,000 O=\$500,001-\$1,000,000	L=\$50,001-\$100,000 P1=\$1,000,001-\$5,000,000 P4=More than \$50,000,000	M=\$100,001-\$250,000 P2=\$5,000,001-\$25,000,000	
3. Value Method Codes (See Col. C2)	O=Appraisal U=Book value	R=Cost (real estate only) V=Other	S=Assessment W=Estimated	T=Cash/Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS (Indicate part of Report.)

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app., § 501 et. seq., 5 U.S.C. § 7353 and Judicial Conference regulations.

Signature _____



Date March 9, 2004

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. App., § 104.)

FILING INSTRUCTIONS:

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the
United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544