

**FINANCIAL DISCLOSURE REPORT  
FOR CALENDAR YEAR 2009**

*Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)*

<b>1. Person Reporting</b> (last name, first, middle initial) Jones, Richard A.	<b>2. Court or Organization</b> W.D. Washington	<b>3. Date of Report</b> 05/06/2010
<b>4. Title</b> (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District	<b>5a. Report Type</b> (check appropriate type) <input type="checkbox"/> Nomination      Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final <b>5b.</b> <input type="checkbox"/> Amended Report	<b>6. Reporting Period</b> 01/01/2009 to 12/31/2009
<b>7. Chambers or Office Address</b> United States Courthouse 700-Stewart Street Seattle, Washington 98101	<b>8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.</b> Reviewing Officer _____ Date _____	
<b>IMPORTANT NOTES:</b> <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.</i>		

**I. POSITIONS.** *(Reporting individual only; see pp. 9-13 of filing instructions.)*

NONE *(No reportable positions.)*

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee	YMCA of Greater Seattle
2.	Advisory Board Member	National Center for Courts and Media, National Judicial College
3.	Advisory Board Member	Seattle University Access to Justice Institute
4.	Board Member	Washington State Minority and Justice Commission
5.	Faculty/Instructor	National Judicial College

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**II. AGREEMENTS.** *(Reporting individual only; see pp. 14-16 of filing instructions.)*

NONE *(No reportable agreements.)*

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	1994	Washington State Deferred Compensation Plan: pension upon retirement
2.	1994	Washington State Judicial Retirement Account: pension upon retirement
3.		

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**III. NON-INVESTMENT INCOME.** (Reporting individual and spouse: see pp. 17-24 of filing instructions.)

**A. Filer's Non-Investment Income**

NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

**B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.**

(Dollar amount not required except for honoraria.)

NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE
1. 2009	[REDACTED]-Salary
2.	
3.	
4.	

**IV. REIMBURSEMENTS** - transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children: see pp. 25-27 of filing instructions.)

NONE (No reportable reimbursements.)

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	The Aspen Institute-Justice and Society Program.	January 30-February 1, 2009	Aspen Institute-New York	Education Seminar	Transportation, meals and lodging
2.	National Judicial College	March 16-17, 2009	San Francisco, CA	Teaching Judges	Transportation, meals and lodging
3.	National Judicial College	April 19-21, 2009	Reno, Nevada	Teaching Judges	Transportation, meals and lodging
4.	YMCA-USA	August 4-5, 2009	Chicago, Illinois	Board Meeting	Transportation, meals and lodging
5.	Washington State Supreme Court Minority and Justice Commission	October 9, 2009	Spokane, WA	Youth Law Forum	Transportation and meals
6.	National Judicial College	October 4-6, 2009	Reno, Nevada	Teaching Judges	Transportation, meals and lodging

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**V. GIFTS.** (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

NONE (No reportable gifts.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

**VI. LIABILITIES.** (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

NONE (No reportable liabilities.)

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	American Express	Credit Card	J
2.			
3.			
4.			
5.			

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**VII. INVESTMENTS and TRUSTS** -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount	(2) Type (e.g., div., rent, or int.)	(1) Value	(2) Value	(1) Type (e.g., buy, sell, redemption)	(2) Date	(3) Value	(4) Gain	(5) Identity of buyer/seller (if private transaction)
	Code 1 (A-H)		Code 2 (J-P)	Code 3 (Q-W)		mm/dd/yy	Code 2 (J-P)	Code 1 (A-H)	
1. Tully's Stock (common)		None	J	W					
2. Tully's Stock(preferred)		None	J	W					
3. FBR Direct		None	J	U					
4. American Funds: American Balanced	A	Interest	L	T					
5. American Funds: American Mutual	A	Interest	L	T					
6. American Funds: Capital Income Builder	A	Interest	L	T					
7. American Funds: Capital World Growth & Income	A	Interest	L	T					
8. American Funds: Capital World Bond	A	Interest	M	T					
9. American Funds: Fundamental Investors	A	Interest	L	T					
10. American Funds: Growth Fund of America	A	Interest	L	T					
11. American Funds: Income Fund of America	A	Interest	L	T					
12. American Funds: Investment Company of America	A	Interest	M	T					
13. American Funds: SMALLCAP WORLD	A	Interest	L	T					
14. American Funds: Washington Mutual Investors		None	M	T					
15. American Funds: Bond Fund of America	B	Interest	M	T					
16. American Funds: Money Market*	A	Distribution	L	T					
17. Washington State Investment Board**		None	N	T					

1. Income Gain Codes: (See Columns B; and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D1)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$13,001 - \$50,000 Q = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	R = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of Report.)*

## I. Positions

Board Member Washington State Bar Association Leadership Institute  
Advisory Board Member Northwest Minority Job Fair Committee  
Advisory Board Member First Year Minority Clerkship Program  
Co-Chair King County Bar Association Rev. Dr. Martin Luther King Luncheon Committee  
Board Member Center for Children & Youth Justice

## VII. Investments and Trusts

18. ISMARC 401k Value Code L, Value Method T

22. Principal Finance Group Value Code J, Value Method T

\* No. 16 Uninvested Rollover from From Fidelity Funds reported in FDR for Calendar year 2008.

\*\* No. 17 Constitutes rollover investments combined from Fidelity Funds reported in FDR for Calendar year 2008. The source of these funds are pursuant to the "Agreements" section referenced in this 2009 Report.

These funds are now managed by the Washington State Pension Fund.

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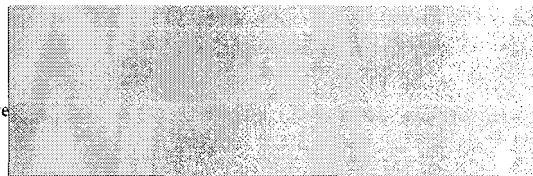
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**IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

**FILING INSTRUCTIONS**

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544