AO-10
Rev. 1/2004

FINANCIAL DISCLOSURE REPORT

Calendar Year 2003

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (Last name, First name, Middle initial) KEETON, ROBERT E	2. Court or Organization U.S.D.C. (D. Mass.)	3. Date of Report 03/18/2004
4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time) SENIOR U.S. DISTRICT JUDGE	5. ReportType (check appropriate type) ○ Nomination, Date ○ Initial ◉ Annual ○ Final	6. Reporting Period 01/01/2003 to 12/31/2003
7. Chambers or Office Address 1 Courthouse Way Suite 3130 Boston, MA 02210	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer______________ Date________	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE - (No reportable positions.)

	POSITION	NAME OF ORGANIZATION/ENTITY
1.	Prof. of Law Emeritus	Harvard Law School, Cambridge, MA
2.		

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FINANCIAL DISCLOSURE OFFICE

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE - (No reportable agreements.)

	DATE	PARTIES AND TERMS
1.	Since	
2.	1953	Cont'd participation in Harv. Group Health Pl. as Prof. Emeritus
3.	Since	
4.	7/1/54	Retirement Contract, Teachers Ins. Annuity Assoc. and College
5.		Retirement Equities Fund, based on contributions by me
6.		and by Harvard Univ., 1954-79 (reported also as first Item,
7.		Part VII).
8.	Since	

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Name of Person Reporting	Date of Report
KEETON, ROBERT E	03/18/2004

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE - (No reportable agreements.)

	DATE	PARTIES AND TERMS
9.	9/1/71	Retirement Contracts with Minn. Mutual Life Ins. Co.
10.		and Northwestern National Life Ins. Co.
11.		based on contributions by me and Univ. of Minnesota,
12.		1971-72 (reported also as last item, Part VII).
13.		Royalty agreements are in effect with
14.		each of the lawbook
15.		publishers who paid me royalties during the year (which
16.		are reported in Part III).
17.		
18.		

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Name of Person Reporting	Date of Report
KEETON, ROBERT E	03/18/2004

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Income

☐ NONE - (No reportable non-investment income.)

	DATE	SOURCE AND TYPE	GROSS INCOME (yours, not spouse's)
1.		West Group, Royalties	821.55
2.		Lexis Law Publishing, Royalties	3725.85

B. Spouse's Non-Investment Income - (If you were married during any portion of the reporting year, please complete this section. (dollar amount not required except for honoraria)

☑ NONE - (No reportable non-investment income.)

	DATE	SOURCE AND TYPE
1.		

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

☑ NONE - (No such reportable reimbursements.)

	SOURCE	DESCRIPTION
1.		

V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of instructions.)

☑ NONE - (No such reportable gifts.)

	SOURCE	DESCRIPTION	VALUE
1.			

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-34 of instructions.)

☑ NONE - (No reportable liabilities.)

	CREDITOR	DESCRIPTION	VALUE CODE
1.			

Name of Person Reporting	Date of Report
KEETON, ROBERT E	04/18/2003

VII. INVESTMENTS and TRUSTS

-- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
						If not exempt from disclosure			
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g. div. rent. or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
1. (On first item, see also Part II, continued infra.)									
2. TIAA-CREF Retirement Annuity	G	Annu	O	U					
3. FLEET BANK(formerly BANK BOSTON)									
4. Boston and Cambridge, MA									
5. Acct	B	Interest	M	T					
6. CAMBRIDGE SAVINGS BANK									
7. Cambridge, MA									
8. Acct	B	Interest	K	T					
9. CD	D	Interest	M	T					
10. [redacted] Farm,									
11. Sibley Cty, MN									
12. Bought 1/16/79									
13. for $310,200	D	Rent	N	R					
14. Farm Crops Stored	D	Crops	J						
15. [redacted] Farm,									
16. Yellow Med. Cty, MN [See Part VII, cont'd infra]	E	Rent	M	R					
17. Farm Crops Stored	D	Crops	K	T					
18. [redacted] Farm, 1/4 Interest									

1. Income/Gain Codes: (See Columns B1 and D4) A = $1,000 or less; B = $1,001-$2,500; C = $2,501-$5,000; D = $5,001-$15,000; E = $15,001-$50,000; F = $50,001-$100,000; G = $100,001-$1,000,000; H1 = $1,000,001-$5,000,000; H2 = More than $5,000,000

2. Value Codes: (See Columns C1 and D3) J = $15,000 or less; K = $15,001-$50,000; L = $50,001-$100,000; M = $100,001-$250,000; N = $250,000-$500,000; O = $500,001-$1,000,000; P1 = $1,000,001-$5,000,000; P2 = $5,000,001-$25,000,000; P3 = $25,000,001-$50,000,000; P4 = $More than $50,000,000

3. Value Method Codes (See Column C2) Q = Appraisal; R = Cost (Real Estate Only); S = Assessment; T = Cash/Market; U = Book Value; V = Other; W = Estimated

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KEETON, ROBERT E	04/18/2003

VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

	A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
							If not exempt from disclosure			
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g. div. rent. or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
19.	Renville Cty, MN	D	Rent	M	W					
20.	[redacted] Farm Crops Stored	B	Crops	J	T					
21.	[redacted] Trust Acct, Northwestern									
22.	Farm Mgmt Co., Marshall, MN	A	Interest	J	U					
23.	[redacted] Clarkfield Farmers Elev.,									
24.	Clarkfield, MN	A	Dividend	J	U					
25.	[redacted] Belle Plaine Coop.,									
26.	Belle Plaine, MN	A	Dividend	J	U					
27.	[redacted] Coop Country Farmers									
28.	Renville, MN	A	Divid.	J	U					
29.	Tri-Line Farmers Coop									
30.	Marshall, MN	A	None	J	U					
31.	Hanley Falls Farmers Elev.									
32.	Hanley Falls, MN	A	Dividend	J	U					
33.	Minn. Mut. & NW Nat'l Life									
34.	Ins. Retirement Annuity	C	Annu	K	U					
35.	U.S. Dept. of Treasury-Treas. Direct	E	Interest	O	U	Reinvest		M		Robert E. Keeton
36.	TIAA-CREF Mutual Fund	B	Div&CapGn	M	T	Invest	1/1	M	A	Robert E. Keeton

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	N = $250,000-$500,000	O = $500,001-$1,000,000	P1 = $1,000,001-$5,000,000	P2 = $5,000,001-$25,000,000	
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3. Value Method Codes (See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash/Market	
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS (Indicate part of Report.)

Additional Information for Part VII, line 16, Column C(2), Code R: This farm was purchased under a Purchase-and-Sale Contract of July 1976, at the price of $190,000.

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature [redacted] Date 3/18/04

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544