UNITED STATES COURT OF APPEALS
SECOND CIRCUIT

CHAMBERS OF
ROGER J. MINER
CIRCUIT JUDGE
UNITED STATES COURTHOUSE
445 BROADWAY, SUITE 414
ALBANY, NY 12207

June 27, 2008

Committee on Financial Disclosure
Administrative Office of the
United States Courts
One Columbus Circle, N.E.
Suite 2-301
Washington, D.C. 20544

RECEIVED
2008 JUN 30 A 11:15
FINANCIAL
DISCLOSURE OFFICE

Attn: Hon. Ortrie D. Smith, Chair

Dear Judge Smith:

Responding to your letter of June 24, 2008, this is to advise that the items to which you refer, "T.E. Securities Trust Series #19," "Municipal Bond Fund Series #37," and "McDonald Investments Victory Prime," were inadvertently omitted from my Financial Disclosure Report for 2007.

All three items were disposed of (sell) as part of one transaction on January 16, 2007. In Column D, the value code for each item is "J," and the gain code for each item is "A."

I trust that this is the information you require.

Yours very truly,

[redacted]

RJM/sjh

AO 10 Rev. 1/2008

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2007

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Miner, Roger J	U.S. Court of Appeals	04/21/2008
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Circuit Judge (Senior)	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☑ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2007 to 12/31/2007
7. Chambers or Office Address United States Courthouse 445 Broadway, Room 414 Albany, NY 12207	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer________ Date________	

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

RECEIVED
2008 APR 22 A 11: 16
FINANCIAL DISCLOSURE OFFICE

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

Name of Person Reporting	Date of Report
Miner, Roger J	04/21/2008

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	12/31/07	N.Y.S. Employees' Retirement System - Pension	$ 17,016.48
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

Name of Person Reporting	Date of Report
Miner, Roger J	04/21/2008

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Miner, Roger J	04/21/2008

VII. INVESTMENTS and TRUSTS *-- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date Month - Day	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
1. Alltel Corp.	A	Dividend			Sell	01/14	J	A	
2. American Electric Power Co.	A	Dividend	J	T					
3. AT&T Inc.	D	Dividend	N	T					
4. Bank of America	D	Dividend	M	T					
5. CH Energy Group, Inc.	A	Dividend	K	T					
6. Comcast		None	K	T					
7. Embarq Corporation (Spinoff from Sprint Nextel)	A	Dividend	J	T					
8. Exxon Mobil Corp.	D	Dividend	O	T					
9. First Energy	B	Dividend	L	T					
10. Fort Dearborn Income Securities	A	Dividend	J	T					
11. Gencorp Inc.		None	J	T					
12. (The) Hartford Financial Services Group, Inc.	A	Dividend	K	T					
13. Hess Corp. (formerly Amerada Hess Corp.)	A	Dividend	K	T					
14. Host Hotels and Resorts (Spinoff from Starwood Corporation)	A	Dividend	J	T					
15. I.B.M.	A	Dividend	L	T					
16. Idearc (Spinoff from Verizon Communications)	A	Dividend	J	T					
17. ITT Corp. (formerly I.T.T. Industries, Inc.)	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date Month - Day	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
18. Key Corp.	D	Dividend	M	T					
19. MetLife	A	Dividend	J	T					
20. National Grid Group	A	Dividend	K	T					
21. NCR		None	J	T					
22. Omnova Solutions, Inc.		None	J	T					
23. P.S.E.G. Inc.	A	Dividend	K	T					
24. Qwest Comm. International Inc.		None	J	T					
25. Rayonier	A	Dividend	K	T					
26. Ryerson Tull	A	Dividend			Sell	11/30	J	A	
27. Southern Co. (The)	B	Dividend	K	T					
28. Sprint-Nextel	A	Dividend	K	T					
29. SPX Corp.	A	Dividend	L	T					
30. Starwood Hotels & Resorts	A	Dividend	J	T					
31. Teradata Corp. (Spinoff from NCR)		None	J	T	Spinoff	10/05			
32. TXU		None			Sell	10/24	K	E	
33. Verizon Communications	D	Dividend	M	T					
34. Vodafone Airtouch PLC	B	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Miner, Roger J	04/21/2008

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date Month - Day	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
35. Windstream (Spinoff from Alltel Corp.)	A	Dividend	J	T					
36. Alliance Capital A -Growth & Income Fund	D	Dividend	L	T					
37. Black Rock Large Cap. Equity Fund (form. Black Rock Inv. Tr)	C	Dividend	L	T					
38. Century Shares Trust	D	Dividend	K	T					
39. Dreyfus Fund (KEOGH PLAN)	E	Dividend	N	T					
40. Legg-Mason (form. Salomon Bros.)	D	Dividend	M	T					
41. Midas Spec. Equities Fund		None	J	T					
42. Neuberger-Berman Manhattan Fund		None	K	T					
43. Oppenheimer Bond Fund Class A	A	Dividend	J	T					
44. River Source (RVS Balanced Fund)	A	Dividend	K	T					
45. Robeco Fund (formerly Weiss Peck Greer-WPG Fund, Inc.)	D	Dividend	K	T					
46. Franklin New York Tax Free A Income Fund	C	Interest	L	T					
47. Oppenheimer-Rochester Fund Municipals	A	Interest	K	T					
48. Key Bank, N.A. (various accounts, including IRAs)	C	Interest	M	T					
49. U.S. Savings Bonds	C	Interest	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Miner, Roger J	04/21/2008

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Capital gains distributions are included with ordinary dividends and reported as "Dividend" in Part VII Column B ("Income during reporting period") for the following mutual fund items:
36, 37, 38, 39,40, 43, 44 and 45.

Name of Person Reporting	Date of Report
Miner, Roger J	04/21/2008

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature [redacted]

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544