AO 10
Rev. 1/2013

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2012

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Briscoe, Mary B.	Tenth Circuit Court of Appeals	08/12/2013

4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	5a. Report Type (check appropriate type)	6. Reporting Period
Chief Circuit Judge - Active	☐ Nomination Date ☐ Initial ☑ Annual ☐ Final 5b. ☐ Amended Report	01/01/2012 to 12/31/2012

7. Chambers or Office Address

645 Massachusetts
Suite 400
Lawrence, Kansas 66044

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☐ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.	'84-presnt	Kansas Public Employees Retirement System (KPERS) - Judges' Retirement Progarm - vested
2.		
3.		

Name of Person Reporting	Date of Report
Briscoe, Mary B.	08/12/2013

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.	2012	KS Public Employees Retirement System - Judge's Retirement Program	$37,646.00
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.	2012	KU Law School - Director, Legal Aid Clinic-salary
2.	2012	Western Professional Associates, Inc. - nonemployee compensation
3.		
4.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

Name of Person Reporting	Date of Report
Briscoe, Mary B.	08/12/2013

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Briscoe, Mary B.	08/12/2013

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Capitol Federal - savings	C	Interest	O	T					
2. Capitol Federal - checking	A	Interest	J	T					
3. Capitol Federal - CDs	B	Interest	M	T					
4. Fidelity Annuity (formerly Vanguard) Variable Annuity)	C	Dividend	L	T					
5. ING-CAB-Ks Bd RegentsRet.(def.comp) (formerly Aetna)	E	Interest	N	T					
6. Overland Park KS Impt. Bonds	B	Interest	K	T					
7. USAA-Subscr. svgs.	A	Dividend	J	T					
8. H. Davidson - stock	A	Dividend	K	T					
9. I Shares S&P Midcap 400 Growth	A	Dividend	K	T					
10. Johnson Co. USD [redacted]	A	Interest	K	T					
11. Shawnee Co. KS Bond	B	Interest	K	T					
12. Johnson Co. KS School Bond (also USD [redacted]	A	Interest	K	T					
13. Centerpoint Energy	A	Dividend	J	T					
14. Fidelity Contra Fund	A	Dividend	K	T					
15. Fidelity Cash Reserves (Core Fund)	A	Interest	K	T					
16. Bank New York Inc.	B	Interest	K	T					
17. Fidelity Tax Exempt	A	Dividend	K	T	Sold (part)	01/08/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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Briscoe, Mary B.	08/12/2013

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date mm/dd/yy	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
18.					Buy (add'l)	03/01/12	K		
19.					Buy (add'l)	03/02/12	J		
20.					Buy (add'l)	03/23/12	J		
21.					Buy (add'l)	03/30/12	J		
22.					Sold (part)	04/11/12	J		
23.					Sold (part)	06/15/12	J		
24.					Buy (add'l)	06/25/12	J		
25.					Sold (part)	07/12/12	J		
26.					Buy (add'l)	09/04/12	K		
27.					Buy (add'l)	10/01/12	J		
28.					Sold (part)	10/11/12	J		
29.					Buy (add'l)	10/12/12	K		
30.					Buy (add'l)	12/26/12	J		
31.					Buy (add'l)	12/28/12	J		
32. Templeton Global Bond	C	Dividend	L	T	Sold (part)	01/31/12	K		
33.					Sold (part)	03/21/12	L		
34.					Sold (part)	11/15/12	K	D	

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less F =$50,001 - $100,000	B =$1,001 - $2,500 G =$100,001 - $1,000,000	C =$2,501 - $5,000 H1 =$1,000,001 - $5,000,000	D =$5,001 - $15,000 H2 =More than $5,000,000	E =$15,001 - $50,000
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less N =$250,001 - $500,000 P3 =$25,000,001 - $50,000,000	K =$15,001 - $50,000 O =$500,001 - $1,000,000	L =$50,001 - $100,000 P1 =$1,000,001 - $5,000,000 P4 =More than $50,000,000	M =$100,001 - $250,000 P2 =$5,000,001 - $25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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35. Royce Premier Fund	C	Dividend	L	T					
36. Fidelity High Income	C	Interest	L	T					
37. SPDR Gold Tr. Gold	B	Interest	K	T					
38. Lizard Emerging Mkt.	B	Dividend			Sold	11/15/12	K	D	
39. Fidelity GNMA Bond	B	Dividend	K	T					
40. Overland Park, KS Go Intl Bnds	B	Interest	K	T					
41. Lenexa, KS Go Ref Bnds	A	Interest	K	T					
42. Fidelity Focused High Income	C	Interest	L	T					
43. Harbor Bond Adm.	C	Interest	L	T					
44. Eaton Vance Atlanta Cop.	A	Dividend	L	T	Buy (add'l)	06/25/12	K		
45. Thornburg Int'l Value	A	Dividend			Sold	03/22/12	K		<Loss $3,000
46. TCW Core Fixed	A	Interest	L	T					
47. Wells Fargo Growth	A	Interest	L	T					
48. FMI Large Cap	A	Interest	K	T					
49. Double Line Total Rt Bond	C	Dividend	L	T	Buy	03/21/12	L		
50. Fidelity New Markets	B	Dividend	L	T	Buy	11/02/12	L		
51. Wasatch International Growth	A	Interest	K	T	Buy	11/15/12	K		

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52. Metro West	B	Dividend	K	T	Buy	06/27/12	K		
53. Pimco Fundamental	A	Interest	K	T	Buy	11/15/12	K		
54. BBH Core Select	A	Interest	K	T	Buy	06/14/12	K		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Name of Person Reporting	Date of Report
Briscoe, Mary B.	08/12/2013

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Mary B. Briscoe

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544