

**FINANCIAL DISCLOSURE REPORT  
FOR CALENDAR YEAR 2011**

*Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)*

<b>1. Person Reporting (last name, first, middle initial)</b> Lee, Gerald B.	<b>2. Court or Organization</b> Eastern District of Virginia	<b>3. Date of Report</b> 06/04/2012
<b>4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)</b> U.S. District Judge-Active	<b>5a. Report Type (check appropriate type)</b> <input type="checkbox"/> Nomination <input type="checkbox"/> Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final <b>5b.</b> <input type="checkbox"/> Amended Report	<b>6. Reporting Period</b> 01/01/2011 to 12/31/2011
<b>7. Chambers or Office Address</b> 401 Courthouse Square Alexandria, Virginia 22314		
<b>IMPORTANT NOTES:</b> <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.</i>		

**I. POSITIONS.** *(Reporting individual only; see pp. 9-13 of filing instructions.)*

NONE *(No reportable positions.)*

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Board of Trustees	The American University
2.	
3. Dean's Advisory Council	The American University Washington College of Law
4.	
5.	

**II. AGREEMENTS.** *(Reporting individual only; see pp. 14-16 of filing instructions.)*

NONE *(No reportable agreements.)*

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 04/16/99	Delaware Charter Guarantee Trust Company, IRA FBO Gerald Bruce Lee
2. 5/92	Virginia Retirement System, government pension, Fairfax Circuit Court
3.	

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**III. NON-INVESTMENT INCOME.** (Reporting individual and spouse; see pp. 17-24 of filing instructions.)

**A. Filer's Non-Investment Income**

NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

**B. Spouse's Non-Investment Income -** If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria)

NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 01/01/11	
2.	
3.	
4.	

**IV. REIMBURSEMENTS** -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children, see pp 25-27 of filing instructions)

NONE (No reportable reimbursements.)

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1	Federal Judges Association	April 12-12, 2011	Washington, DC	Board meeting	transportation, hotel, and meals
2.	New York University Law School	April 1-3, 2011	New York, New York	Root Tilden Scholarship Interviews	transportation, hotel, and meals
3					
4					
5.					

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**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

**VI. LIABILITIES.** *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

1	A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
		(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
		Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1	Virginia Retirement System Va. Cir. Ct. Pension 92-98	E	Distribution	K	T					
2.	Alliance Capital Reserves Money Market Fund (401)(K)	A	Interest	J	T					
3.	Wal Mart Stores, Inc.(IRA)	A	Dividend	J	T					
4.	Opp Quest for Opty A Fund		None	J	T	Buy/monthly	01/01/11	J		
5						Partial Sale	04/15/11	J	A	
6.						Sold (part)	11/11/11	J	A	
7	AIM Constellation Fund		None	J	T	Buy/monthly	01/01/11	J		
8.										
9	Johnson Controls		None	J	T					
10	Vanguard Total Stock Index Fund, IRA		None	L	T					
11.	Vanguard Total Stock Mkt Index IRA		None	L	T					
12	Vanguard US Growth IRA		None	L	T					
13.	Vanguard US Growth Fund IRA		None	L	T					
14.	Vanguard 500 Index Fund IRA		None	J	T					
15.	Vanguard Capital Opp IRA		None	K	T					
16	Vanguard Treasury M/Market Fund		None	K	T	Buy monthly	01/01/11	J		
17.	Fidelity Fund IRA		None	J	T					

1 Income Gain Codes  
(See Columns B1 and D4)

A =\$1,000 or less  
F =\$50,001 - \$100,000

B =\$1,001 - \$2,500  
G =\$100,001 - \$1,000,000

C =\$2,501 - \$5,000  
H1 =\$1,000,001 - \$5,000,000

D =\$5,001 - \$15,000

E =\$15,001 - \$50,000

2 Value Codes  
(See Columns C1 and D3)

J =\$15,000 or less  
N =\$250,001 - \$500,000  
P3 =\$25,000,001 - \$50,000,000

K =\$15,001 - \$50,000  
O =\$500,001 - \$1,000,000

L =\$50,001 - \$100,000  
P1 =\$1,000,001 - \$5,000,000  
P4 =More than \$50,000,000

M =\$100,001 - \$250,000  
P2 =\$5,000,001 - \$25,000,000

3 Value Method Codes  
(See Column C2)

Q =Appraisal  
U =Book Value

R =Cost (Real Estate Only)  
V =Other

S =Assessment  
W =Estimated

T =Cash Market

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**VII. INVESTMENTS and TRUSTS** -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets)  Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period			D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)	
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)	
18. Fidelity Growth Strategy fka FAG		None	J	T						
19. Vanguard Health Care Fnd IRA		None	J	T						
20. TIAA CREF Tax Exempt Bond Fund		None	J	T	Buy (add'l)	01/01/11	J			
21.					Sold (part)	11/11/11	J	A		
22.										
23.										
24.										
25. Russell 3000 Index		None	J	T	Buy (add'l)	01/01/11	J			
26. Walmart Stores, Inc.	A	Dividend	J	T	Buy (add'l)	01/01/11	J			
27.					Sold (part)	11/01/11	J	A		
28. General Mills, Inc.		None	J	T	Sold (part)	11/01/11	J	A		
29. <del>401(k)</del> 401 (K) Retirement Plan and Trust		None	M	T	Buy (add'l)	01/01/11	K			
30. General Electrc	A	Dividend	J	T	Sold (part)	11/01/11	J			
31.										

1. Income Gain Codes (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of report.)*

VII. Investments and Trusts

These investments are through Sharebuilder.com

18. Fidelity Agressive Growth Fund name changed to Fidelity Growth Strategies Fund

.No. 22 Russell 3000 Index is purchased monthly. Less than \$1,000.00 per month.

No. 23. WalMart, Stores, Inc. is purchased monthly. Less than \$1,000.00 per month

No. 25. General Mills, Inc. is purchased monthly. Less than \$1,000.00 per month

No 26. [REDACTED] 401 (k) Retirement Plan and Trust

No. 20. TIAA CREF Tax Exempt Bond Fund is purchased monthly. Less than \$1,000.00 per month

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**IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Gerald B. Lee**

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

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