

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2010**

1. Person Reporting (last name, first, middle initial) Beaty Jr., James A.	2. Court or Organization US District Court - 4th Cir.	3. Date of Report 05/06/2011
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Article III Judge	5a. Report Type (check appropriate type) <input type="checkbox"/> Nomination, Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final 5b. <input type="checkbox"/> Amended Report	6. Reporting Period 01/01/2010 to 12/31/2010
7. Chambers or Office Address 251 N. Main Street - Suite 248 Winston-Salem, N.C. 27101-3984	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: *The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.*

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

NONE *(No reportable positions.)*

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Foundation Board Member	Western Carolina University
2. Board of Trustees	Senior Services of Forsyth County
3. Board Member	New Winston Museum
4.	
5.	

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

NONE *(No reportable agreements.)*

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income** NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2010	NC Judicial Retirement Vested Benefit	\$31,342.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)* NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2010	The Speech Center-Clerical
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)* NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	Just The Beginning Foundation	09/30/2010-10/2/2010	Atlanta, Georgia	Conference	Hotel, Meals, Lodging
2.					
3.					
4.					
5.					

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)

1. Wachovia Brokerage IRA	B	Dividend			Sold	12/22/10	K	D	
2. -Citigroup Common Stock					Sold	12/22/10	J	A	
3. -Microsoft Common Stock					Sold	12/22/10	J	A	
4. -General Electric Comon Stock					Sold	12/22/10	J	A	
5. -Omega Health Prop. Common Stock					Sold	12/22/10	J	B	
6. -Lithium Technology/Common Stock					Sold	12/22/10	J	A	
7. -Progress Energy Common Stock					Sold	12/22/10	J	C	
8. -Precision Drilling Company Common Stock					Sold	12/22/10	J	A	
9. Duke Energy, Common Stock	A	Dividend	J	T					
10. Spectra Energy Common Stock	A	Dividend	J	T					
11. Bank of America Investment Services, Inc. (IRA)	A	Dividend	M	T					
12. -Columbia Cash Reserve Daily									
13. -Federated Bond Class A									
14. -Federated American Leaders Class Mutual Fund									
15. -Federated Capital Appreciation Class A Mutual Fund									
16. -Federated INT'L Value Class A Mutual Fund									
17. -Federated Kaufman Class A									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. -Fed. Strat. Val. Fund Class A Mut. Fund									
19. CSX, Corp., Common Stock	A	Dividend	J	T					
20. Exxon Mobil Common Stock	A	Dividend	J	T					
21. Bell South/A T & T	A	Dividend	J	T					
22. Equitable Variable-Alliance Common Stock Fund	A	Dividend	J	T					
23. AXA Equitable-Incentive Life/Mod. Common Fund	A	Dividend	K	T					
24. Bank of America/Savings Acct.	A	Interest	J	T					
25. Vacant Real Estate Forsyth, NC /Parcel 1		None	J	W					
26. Vacant Real Estate Forsyth, NC /Parcel 2		None	K	W					
27. Vacant Real Estate/Union, SC		None	K	W					
28. NewBridge Bank FastForward Checking Acct.	A	Interest	M	T					
29. Palmetto Citizens Fed. Credit Union Money Market	A	Interest	J	T					
30. Palmetto Citizens Federal Credit Union CD	A	Interest	K	T					
31. Wachovia B&T CD	A	Interest			Closed	05/26/10	J	A	
32. Wachovia B&T CD	A	Interest			Closed	10/07/10	K	A	
33. Wachovia B & T Checking	A	Interest	K	T					
34. Wachovia B& T Money Market	A	Interest	J	T					

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U = Book Value
B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000
R = Cost (Real Estate Only)
V = Other
C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000
S = Assessment
W = Estimated
D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000
T = Cash Market
E = \$15,001 - \$50,000

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35. Frist National Bank CD	A	Interest			Closed	03/30/10	J	A		
36. First National Bank CD	A	Interest			Closed	03/30/10	J	A		
37. First National Bank CD	A	Interest			Closed	03/30/10	J	A		
38. First National Bank CD	A	Interest			Closed	03/30/10	K	A		

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(See Columns B1 and D4)

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H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII. Items 31-32 and 35-38 have been identified as closed during the 2010 reporting period, and have been added to the balance in #28.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ James A. Beaty Jr.**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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