


UNITED STATES COURT OF APPEALS
157 CHURCH STREET
NEW HAVEN, CT 06510-2030

SELF INITIATED
AMENDMENT

CHAMBERS OF
JOHN M. WALKER, JR.
CIRCUIT JUDGE

(203) 773-2181


May 29, 2007

Committee on Financial Disclosure
Administrative Office of the United
States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, DC 20544

RECEIVED
2007 MAY 31 A 11:28
FINANCIAL
DISCLOSURE OFFICE

Re: Form AO 10 -Financial Disclosure Report
Judge John M. Walker, Jr.
Calendar Year 2006

Gentlemen:

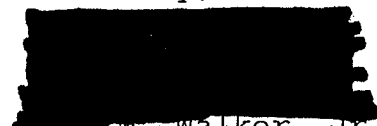
I write to amend my Financial Disclosure Report for calendar year 2006 as follows:

Section I. Positions

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
Director	Foundation for the Advancement of An Independent Judiciary and the Rule of Law

If you require anything further, please do not hesitate to contact me.

Sincerely,



M. Walker, Jr.
Circuit Judge

klb

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2006**

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Walker, John M	2. Court or Organization 2d Circuit Court of Appeals	3. Date of Report 05/9/2007
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior Circuit Judge	5a. Report Type (check appropriate type) <input type="checkbox"/> Nomination, Date <input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final 5b. <input type="checkbox"/> Amended Report	6. Reporting Period 01/01/2006 to 12/31/2006
7. Chambers or Office Address United States Court of Appeals 157 Church Street New Haven, CT 06510	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of instructions.)

NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Director		Dwight D. Opperman Institute of Judicial Administration (formerly known as Institute of Judicial Administration)
2. Past President		Federal Judges Association
3. Director		U.S. Association of Constitutional Law
4. Director		The CEELI Institute
5. Director		American Society of International Law, Judicial Advisory Board

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of instructions.)

NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of instructions.)***A. Filer's Non-Investment Income** NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. Feb-Apr	Income as Visiting Lecturer, Yale Law School	\$ 10,000.00
2.		
3.		
4.		
5.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)* NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	
5.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)* NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>
1. American Society of International Law	Jan 12-13, New York, NY Meeting of Board of Directors (hotel, transportation, meals)
2. American Judicature Society	Apr 10-11, New York, NY Meeting of the Devitt Award Committee (hotel, transportation, meals)
3. New York State Bar Association	May 6-7, New York, NY Recipient of the Haigf Award (hotel, transportation, meals)
4. Federal Judges Association	May 7-9, Pentagon City, Virginia Quadrennial Conference (hotel, transportation, meals)
5. American Bar Association, CEELI	July 13-15 Prague, Czech Republic Meeting of Board Directors & Conference (hotel,

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transportation, meals)

6. American Bar Association

Sept 16-17 Chicago, Illinois Rule of Law Symposium (hotel, transportation, meals)

7. American Bar Association, CEELI

Nov 4-Nov 15 Tirana, Albania Rule of Law Symposium (hotel, transportation, meals)

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V. GIFTS. *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)*

NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children. See pp. 32-33 of instructions.)*

NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT										
For: Walker, Jr., John M.										
VII. Investments and Trusts										
A.		B.		C.		D.				
		Income during reporting period		Gross Value at end of reporting period		Transactions during reporting period				
		(1)	(2)	(1)	(2)	If not exempt from disclosure				
Description of Assets		Amount	Type	Value	Value	Type	Date:	Value	Gain	Identity of
		Code		Code	Method		Month/day	Code	Code	if private trans
--COMMON STOCK--										
ABERCROMBIE & FITCH CO.		A	DIVIDEND			BUY	10/26	L		
						SELL	11/30	L		
AMERICAN INT'L GROUP			None			SELL	2/2	L	B	
AMGEN INC.			None			SELL	5/17	K	D	
APOLLO GROUP			None			BUY	3/16	J		
						SELL	10/20	K		
AUTO ZONE			None	K	T	Partial Sale	10/25	K	D	
BED BATH & BEYOND INC			None	K	T					
BRIGHT HORIZON FAMILY SOLUTIONS INC			None	K	T					
CARDINAL HEALTH INC COM		A	DIVIDEND	K	T					
CHEVRON CORP		B	DIVIDEND	L	T	BUY	3/8	J		
CINTAS CORP.			None	K	T	BUY	3/8	K		
CISCO SYST. INC			None	L	T					
COGENT INC			None			BUY	7/25	K		
						SELL	10/31	K		
DIGENE CORP.			None	L	T	BUY	3/8	J		
EBAY			None			SELL	7/21	K	C	
EMC CORP.			None			BUY	1/13	K		
						SELL	10/17	K		
ENCANA CORP		A	DIVIDEND			BUY	10/20	L		
						SELL	12/22	L		
GENERAL ELECTRIC CO.			None	J	T					
INTERNATIONAL BUSINESS MACHINES CORP		A	DIVIDEND	L	T					
INVITROGEN CORP.			None	L	T					
LOWES CO. INC.		A	DIVIDEND	L	T	BUY	11/9	K		
						Partial Sale	5/22	K	B	
LUKOIL			None	K	T	BUY	11/2	J		
MEDTRONIC INC		A	DIVIDEND	K	T	Partial Sale	10/26	K		

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For: Walker, Jr., John M.									
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A.	B.		C.		D.				
	Income during reporting period		Gross Value at end of reporting period		Transactions during reporting period				
	(1)	(2)	(1)	(2)	If not exempt from disclosure				
Description of Assets	Amount Code	Type	Value Code	Value Method	Type	Date: Month/day	Value Code	Gain Code	Identity of buyer/seller if private trans
MICROSOFT CORP.	A	DIVIDEND	K	T					
MORGAN STANLEY D W	A	DIVIDEND	L	T	BUY	10/31	J		
ODYSSEY HEALTHCARE		None	K	T					
ORACLE CORPORATION		None	L	T	BUY	3/22	K		
PEPSICO INC.	A	DIVIDEND	L	T	BUY	3/8	K		
RED HAT INC. COMPANY		None	K	T	BUY	12/18	K		
					Partial Sale	11/3	J		
ROBERT HALF INTERNATIONAL INC	A	DIVIDEND	L	T	BUY	11/9	L		
ROCHE HOLDINGS LTD		None	K	T	BUY	9/18	K		
SASOL LTD ADR	B	DIVIDEND			SELL	10/19	L	C	
SMUCKER JM & CO	A	DIVIDEND	K	T					
UNITED SURGICAL PARTNERS		None	L	T	BUY	12/13	J		
UNITEDHEALTH GROUP INC	A	DIVIDEND	L	T	BUY	3/8	J		
URSTADT BIDDLE PPT A	B	DIVIDEND	K	T					
VALERO ENERGY CORP	A	DIVIDEND	L	T	BUY	11/1	L		
VARIAN MEDICAL SYSTEMS		None	L	T					
WAL MART STORES INC	B	DIVIDEND	M	T					
WALGREEN CO.	A	DIVIDEND	L	T	BUY	3/8	K		
WASHINGTON MUTUAL	A	DIVIDEND			SELL	6/13	K	C	
WELLS FARGO & CO	A	DIVIDEND			BUY	3/8	K		
					SELL	10/13	K	C	
WHOLE FOODS MKT INC	C	DIVIDEND	L	T	BUY	11/8	K		
					Partial Sale	11/3	K	E	
--CASH & EQUIVALENTS--									
PERSHING GOVERNMENT ACCOUNT		None	M	T					
PRIME MANAGEMENT OBLIGATIONS CAP.	C	DIVIDEND	M	T					

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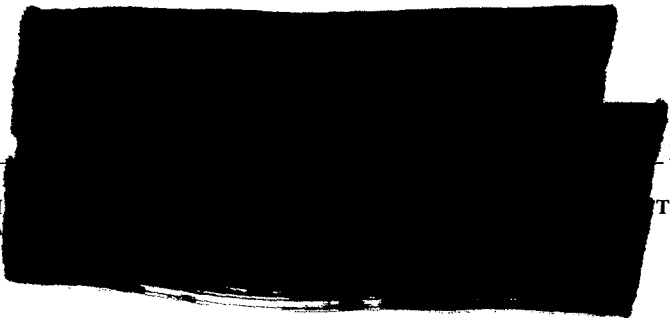
05/9/2007

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature _____



Date _____

May 10, 2007

NOTE: ANY INDIVIDUAL WHOSE NAME APPEARS ON THIS REPORT AND CRIMINAL SA

TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544