



United States Department of State

Washington, D.C. 20520

Case No. F-2011-03401

Ms. Lisette Garcia
Judicial Watch
425 Third St. SW, Suite 800
Washington, DC 20024

OCT 21 2013

Dear Ms. Garcia:

In response to your request dated May 2, 2011, under the Freedom of Information Act (5 U.S.C. § 552), we initiated a search of the files of the Bureau of Diplomatic Security, the Bureau of Public Affairs, the Executive Secretariat, the Office of the Legal Adviser, and the Bureau of Human Resources.

We have completed the search of the files of the Bureau of Diplomatic Security, the Bureau of Public Affairs, and the Executive Secretariat and have located no records responsive to your request. Please note that former Secretary Clinton's background investigation was not conducted by the Department of State. We have nearly completed the search of the files of the Office of the Legal Adviser and have found records that are potentially responsive to your request. We are currently reviewing these records in order to determine whether they are responsive to your request and subject to release under the FOIA.

We have completed the search of the files of the Bureau of Human Resources and have identified five records that are responsive to your request. After reviewing these records, we have determined that they may be released in part under FOIA exemption (b)(6), 5 U.S.C. § 552(b)(6). All released material is enclosed. An enclosure explains the Freedom of Information Act exemptions and other grounds for withholding material.

We will keep you informed as your case progresses. If you have any questions, you may contact Department of Justice attorney James Todd at

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(202) 514-3378. Please be sure to refer to the case number, F-2011-03401, and the civil action number, 13-772, in all communications about this case.

Sincerely,

A handwritten signature in blue ink that reads "Sheryl L. Walter/MT". The signature is written in a cursive style with a large initial 'S' and a clear 'MT' at the end.

Sheryl L. Walter, Director
Office of Information Programs and Services

Enclosures:
As stated.

The Freedom of Information Act (5 USC 552)

FOIA Exemptions

- (b)(1) Information specifically authorized by an executive order to be kept secret in the interest of national defense or foreign policy. Executive Order 13526 includes the following classification categories:

- 1.4(a) military plans, systems, or operations;
- 1.4(b) foreign government information;
- 1.4(c) intelligence activities, sources or methods, or cryptology;
- 1.4(d) foreign relations or foreign activities of the US, including confidential sources;
- 1.4(e) scientific, technological, or economic matters relating to national security, including defense against transnational terrorism;
- 1.4(f) U.S. Government programs for safeguarding nuclear materials or facilities;
- 1.4(g) vulnerabilities or capabilities of systems, installations, infrastructures, projects, plans, or protection services relating to US national security, including defense against transnational terrorism;
- 1.4(h) weapons of mass destruction;

- (b)(2) Related solely to the internal personnel rules and practices of an agency

- (b)(3) Specifically exempted from disclosure by statute (other than 5 USC 552), for example:

ARMEX	Arms Export Control Act, 22 USC 2778(e)
EXPORT	Export Administration Act of 1979, 50 App. USC 2411(c)(1)
FSA	Foreign Service Act of 1980, 22 USC 4003 & 4004
INA	Immigration and Nationality Act, 8 USC 1202(f)
IRAN	Iran Claims Settlement Act, Sec. 505, 50 USC 1701, note

- (b)(4) Trade secrets and confidential commercial or financial information

- (b)(5) Interagency or intra-agency communications forming part of the deliberative process, attorney-client privilege, or attorney work product

- (b)(6) Personal privacy information

- (b)(7) Law enforcement information whose disclosure would:

- (A) interfere with enforcement proceedings
- (B) deprive a person of a fair trial
- (C) constitute an unwarranted invasion of personal privacy
- (D) disclose confidential sources
- (E) disclose investigation techniques
- (F) endanger life or physical safety of an individual

Other Grounds for Withholding

- NR Material not responsive to a FOIA request excised with the agreement of the requester

RELEASED IN PART B6

Standard Form 50

Rev 7/91
U.S. Office of Personnel Management
Guide to Processing Personnel Actions, Chapter 4

NOTIFICATION OF PERSONNEL ACTION

1. Name (Last, First, Middle) CLINTON, HILLARY RODHAM				2. Social Security Number [REDACTED]		3. Date of Birth [REDACTED]		4. Effective Date 02-01-2013			
FIRST ACTION					SECOND ACTION						
5-A. Code 312		5-B. Nature of Action RESIGNATION-ILIA			6-A. Code		6-B. Nature of Action				
5-C. Code RPM		5-D. Legal Authority Reg 715.202. Resignation			6-C. Code		6-D. Legal Authority				
5-E. Code		5-F. Legal Authority			6-E. Code		6-F. Legal Authority				
7. FROM: Position Title and Number SECRETARY OF STATE PD:E00744 Position: E0074400					15. TO: Position Title and Number						
8. Pay Plan EX		9. Occ. Code 00130		10. Grade/Level 01		11. Step/Rate 00		12. Total Salary \$196,700.00		13. Pay Basis PA	
12A. Basic Pay \$196,700.00		12B. Locality Adj. \$0.00		12C. Adj. Basic Pay \$196,700.00		12D. Other Pay \$0.00		20A. Basic Pay 7		20B. Locality Adj.	
								20C. Adj. Basic Pay		20D. Other Pay	
14. Name and Location of Position's Organization IMMEDIATE OFFICE OF THE SECRETARY OFFICE OF THE SECRETARY					22. Name and Location of Position's Organization						
EMPLOYEE DATA											
23. Veterans Preference 1 - None 2 - 5 Point 3 - 10 Point/Disability 4 - 10 Point/Compensable 5 - 10 Point/Other 6 - 10 Point/Compensable/30%				24. Tenure 0 - None 1 - Permanent 2 - Conditional 3 - Indefinite		25. DoS Tenure 17		26. Veterans Preference for RIF YES ☒ NO ☐			
27. FEGLI [REDACTED]				28. Annuitant Indicator 9 - Not Applicable				29. Pay Rate Determinant 0			
30. Retirement Plan [REDACTED]				31. Service Comp. Date (Leave) 05-11-2000		32. Work Schedule F Full Time		33. Part-Time Hours Per Biweekly Pay Period [REDACTED]			
POSITION DATA											
34. Position Occupied 2 - 1 - Competitive Service 2 - Excepted Service 3 - SES General 4 - SES Career Reserved				35. FLSA Category E - Exempt N - Nonexempt		36. Appropriation Code 0113.0-1097 1111 0000				37. Bargaining Unit Status 8888	
38. Duty Station Code 110010001				39. Duty Station (City-County-State or Overseas Location) WASHINGTON, DIST OF COLUMBIA							
40. DOG 21-JAN-2009		41. WGI Due N/A		42. Prim Skill 0010		43. HR Processor SESCPC		44. EMPLID [REDACTED]			
45. Remarks - OFF MAINTAINED BY DEPT OF STATE, HR/EX/RIM, ROOM 804, SA-1, WASH, D.C. 20037-0000. - SF 2819 WAS PROVIDED. LIFE INSURANCE COVERAGE IS EXTENDED FOR 31 DAYS DURING WHICH YOU ARE ELIGIBLE TO CONVERT TO AN INDIVIDUAL POLICY (NONGROUP CONTRACT). - SF-8 PROVIDED TO EMPLOYEE. - NOT ENTITLED TO SEVERANCE PAY. - REASON FOR RESIGNATION: [REDACTED] - FORWARDING ADD: [REDACTED]											
REVIEW AUTHORITY: Frank Tumminia, Senior Reviewer											
46. Employing Department or Agency DEPARTMENT OF STATE						50. Signature/Authentication and Title of Approving Official Electronically signed by: LINDA THOMAS-GREENFIELD DIR GEN OF FS / DIR OF HR					
47. Agency Code ST00		48. Personnel Office ID 2951		49. Approval Date 02-01-2013							

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Standard Form 50

Rev 7/91
U.S. Office of Personnel Management
Guide to Processing Personnel Actions, Chapter 4

NOTIFICATION OF PERSONNEL ACTION

1. Name (Last, First, Middle) CLINTON, HILLARY RODHAM				2. Social Security Number [REDACTED]		3. Date of Birth [REDACTED]		4. Effective Date 01-22-2011			
FIRST ACTION				SECOND ACTION							
5-A. Code 002		5-B. Nature of Action Correction		6-A. Code 921		6-B. Nature of Action AGENCY REASSIGNMENT					
5-C. Code		5-D. Legal Authority		6-C. Code		6-D. Legal Authority					
5-E. Code		5-F. Legal Authority		6-E. Code		6-F. Legal Authority					
7. FROM: Position Title and Number				15. TO: Position Title and Number PD:E00744 Position: E0074400 SECRETARY OF STATE							
8. Pay Plan		9. Occ. Code		10. Grade/Level		11. Step/Rate		12. Total Salary		13. Pay Basis	
12A. Basic Pay		12B. Locality Adj.		12C. Adj. Basic Pay		12D. Other Pay		20A. Basic Pay		20B. Locality Adj.	
14. Name and Location of Position's Organization				22. Name and Location of Position's Organization 010101 IMMEDIATE OFFICE OF THE SECRETARY OFFICE OF THE SECRETARY							
EMPLOYEE DATA											
23. Veterans Preference 1 - None 2 - 5 Point 3 - 10 Point/Disability 4 - 10 Point/Compensable 5 - 10 Point/Other 6 - 10 Point/Compensable/30%				24. Tenure 0 - None 1 - Permanent 2 - Conditional 3 - Indefinite		25. DoS Tenure 17		26. Veterans Preference for RIF YES X NO			
27. FEGLI [REDACTED]				28. Annuitant Indicator 9 - Not Applicable		29. Pay Rate Determinant 0					
30. Retirement Plan [REDACTED]				31. Service Comp. Date (Leave) 05-11-2000		32. Work Schedule F Full Time		33. Part-Time Hours Per Biweekly Pay Period			
POSITION DATA											
34. Position Occupied 2 - Competitive Service 3 - SES General 4 - SES Career Reserved				35. FLSA Category E - Exempt N - Nonexempt		36. Appropriation Code 0113.0-1097 1111 0000				37. Bargaining Unit Status 8888	
38. Duty Station Code 110010001				39. Duty Station (City-County-State or Overseas Location) WASHINGTON, DIST OF COLUMBIA							
40. DOG 21-JAN-2009		41. WGI Due N/A		42. Prim Skill 0010		43. HR Processor SESALR		44. EMPLID [REDACTED]			
45. Remarks - ALSO CORRECTS SAME ITEM(S) ON ALL PREVIOUS ACTIONS FROM 1/21/2009 TO 1/22/2011. - CORRECTS ITEM 50 FROM NOT INDICATED ON THE SF-52. REVIEW AUTHORITY: Frank Tumminia, Senior Reviewer											
46. Employing Department or Agency DEPARTMENT OF STATE						50. Signature/Authentication and Title of Approving Official Electronically signed by: LINDA THOMAS-GREENFIELD DIR GEN OF FS /DIR OF HR					
47. Agency Code ST00		48. Personnel Office ID 2951		49. Approval Date 09-11-2012							

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Guide to Processing Personnel Actions, Chapter 4

NOTIFICATION OF PERSONNEL ACTION

1. Name (Last, First, Middle) CLINTON, HILLARY RODHAM				2. Social Security Number [REDACTED]		3. Date of Birth [REDACTED]		4. Effective Date 01-21-2009		
FIRST ACTION					SECOND ACTION					
5-A. Code 002		5-B. Nature of Action Correction			6-A. Code 170		6-B. Nature of Action Exc Appt			
5-C. Code		5-D. Legal Authority			6-C. Code ZNM		6-D. Legal Authority 5 USC 2651			
5-E. Code		5-F. Legal Authority			6-E. Code		6-F. Legal Authority			
7. FROM: Position Title and Number					15. TO: Position Title and Number SECRETARY OF STATE PD:100430 Position: E0074400					
8. Pay Plan		9. Occ. Code		10. Grade/Level		11. Step/Rate		12. Total Salary		
EX		00130		01		00		\$196,700.00		
12A. Basic Pay		12B. Locality Adj.		12C. Adj. Basic Pay		12D. Other Pay		20A. Basic Pay		
\$196,700.00		\$0.00		\$196,700.00		\$0.00		\$0.00		
14. Name and Location of Position's Organization					22. Name and Location of Position's Organization IMMEDIATE OFFICE OF THE SECRETARY OFFICE OF THE SECRETARY 010101					
EMPLOYEE DATA										
23. Veterans Preference 1 - None 2 - 5 Point 3 - 10 Point/Disability 4 - 10 Point/Compensable 5 - 10 Point/Other 6 - 10 Point/Compensable/10%					24. Tenure 0 - None 1 - Permanent 2 - Conditional 3 - Indefinite		25. DoS Tenure 17		26. Veterans Preference for RIF YES ☒ NO ☐	
27. FEGLI					28. Annuity Indicator 9 - Not Applicable		29. Pay Rate Determinant 0			
30. Retirement Plan					31. Service Comp. Date (Leave) 05-11-2000		32. Work Schedule F Full Time		33. Part-Time Hours Per Biweekly Pay Period	
POSITION DATA										
34. Position Occupied 2 - Excepted Service				35. FLSA Category E - Exempt N - Nonexempt		36. Appropriation Code 0113.0-1097 1111 0000		37. Bargaining Unit Status 8888		
38. Duty Station Code 110010001				39. Duty Station (City-County-State or Overseas Location) WASHINGTON, DIST OF COLUMBIA						
40. DOG 21-JAN-2009		41. WGI Due N/A		42. Prim Skill 0010		43. HR Processor HRCSPVDP		44. EMPLID [REDACTED]		
45. Remarks CORRECTS SALARY TO 183,500.00 According to Public Law 110-455										
REVIEW AUTHORITY: Frank Tumminia, Senior Reviewer										
46. Employing Department or Agency DEPARTMENT OF STATE					50. Signature/Authentication and Title of Approving Official Electronically signed by: THOMAS JR, HARRY KEELS DIR GEN OF FS /DIR OF PERS					
47. Agency Code ST00		48. Personnel Office ID 2951		49. Approval Date 01-30-2009						

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1. Name (Last, First, Middle) CLINTON, HILLARY RODHAM				2. Social Security Number [REDACTED]		3. Date of Birth [REDACTED]		4. Effective Date 01-21-2009				
FIRST ACTION				SECOND ACTION								
5-A. Code 170		5-B. Nature of Action Exc Appt		6-A. Code		6-B. Nature of Action						
5-C. Code ZNM		5-D. Legal Authority 5 USC 2651		6-C. Code		6-D. Legal Authority						
5-E. Code		5-F. Legal Authority		6-E. Code		6-F. Legal Authority						
7. FROM: Position Title and Number				15. TO: Position Title and Number PD:100430 Position: E0074400 SECRETARY OF STATE								
8. Pay Plan	9. Occ. Code	10. Grade/Level	11. Step/Rate	12. Total Salary	13. Pay Basis	16. Pay Plan EX	17. Occ. Code 00130	18. Grade/Level 01	19. Step/Rate 00	20. Total Salary/Award \$196,700.00	21. Pay Basis PA	
12A. Basic Pay		12B. Locality Adj.		12C. Adj. Basic Pay		12D. Other Pay		20A. Basic Pay \$196,700.00		20B. Locality Adj. \$0.00	20C. Adj. Basic Pay \$196,700.00	20D. Other Pay \$0.00
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EMPLOYEE DATA												
23. Veterans Preference 1. None 2. 5 Point 3. 10 Point/Disability 4. 10 Point/Compensable 5. 10 Point/Other 6. 10 Point/Compensable/30%						24. Tenure 0. None 1. Permanent 2. Conditional 3. Indefinite		25. DoS Tenure 17		26. Veterans Preference for RIF YES X NO		
27. FEGLI [REDACTED]						28. Annuitant Indicator 9 - Not Applicable				29. Pay Rate Determinant 0		
30. Retirement Plan [REDACTED]				31. Service Comp. Date (Leave) 05-11-2000		32. Work Schedule F Full Time		33. Part-Time Hours Per Biweekly Pay Period				
POSITION DATA												
34. Position Occupied 2. Competitive Service 3. SES General 4. SES Career Reserved				35. FLSA Category E Exempt N Nonexempt		36. Appropriation Code 0113.0-1097 1111 0000				37. Bargaining Unit Status 8888		
38. Duty Station Code 110010001				39. Duty Station (City-County-State or Overseas Location) WASHINGTON, DIST OF COLUMBIA								
40. DOG 21-JAN-2009		41. WGI Due N/A		42. Prim Skill 0010		43. HR Processor HRCSPVDP		44. EMPLID [REDACTED]				
45. Remarks - FROZEN SERVICE: None. - CREDITABLE MILITARY SERVICE: None. - PREVIOUS RETIREMENT COVERAGE: Previously covered. - EMPLOYEE IS AUTOMATICALLY COVERED UNDER PERS. - Appointee was appointed as Secretary of State on January 21, 2009, at 4:45 p.m. after she had tendered her resignation as a Member of the U.S. Senate and so notified the Governor of New York. - The State Department will begin to pay the salary of the appointee on January 22, 2009; the United State Senate paid her salary on January 21, 2009 - Nominated: 01/20/2009 Confirmed: 01/21/2009 Attested: 01/21/2009 Exempt from annual and sick leave laws by 5 USC 6301 (2)												
REVIEW AUTHORITY: Frank Tumminia, Senior Reviewer												
46. Employing Department or Agency DEPARTMENT OF STATE						50. Signature/Authentication and Title of Approving Official Electronically signed by: THOMAS JR, HARRY KEELS DIR GEN OF FS / DIR OF PERS						
47. Agency Code ST00		48. Personnel Office ID 2951		49. Approval Date 01-27-2009								

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