

2/18/2014

Assumptions: Pre-Prod is configured with all required hardware; All Software updates will occur in nightl		
Dates	Time of Day	Test Activities
<b>19-Sep</b>		
	5:00 a.m. - 5:30 a.m.	Switch End Points
	6:00 a.m. - 8:30 a.m.	FFM UI Stress Testing Scripting QSSI ACA FFM UI End to End Test (PST data)
	8:30 a.m. - 9:00 a.m.	Switch End Points
	9:30 a.m. – 8:00 p.m.	Issuer Testing & State ORR Demos State Initiated End to End FFM UI D1H31 Test (QSSI ACA)
	8:00 p.m. - 5:00 a.m.	Maintenance Window
<b>20-Sep</b>		
	5:00 a.m. - 5:30 a.m.	Switch End Points
	6:00 a.m. - 8:30 a.m.	FFM UI Stress Testing Scripting QSSI ACA FFM UI End to End Test
	8:30 a.m. - 9:00 a.m.	Switch End Points
	9:30 a.m. – 8:00 p.m.	Issuer Testing & State ORR Demos State Initiated End to End FFM UI D1H31 Test (QSSI ACA)
	8:00 p.m. - 5:00 a.m.	Maintenance Window
<b>21-Sep</b>		
	8:30 a.m. - 9:00 a.m.	Switch End Points
	9:30 a.m. – 8:00 p.m.	Issuer Testing & State ORR Demos State Initiated End to End
	8:00 p.m. - 12:00 a.m.	Maintenance Window



<b>22-Sep</b>		
	12:00 a.m. - 8:00 a.m.	Maintenance Window
	7:00 a.m. - 7:30 a.m.	IRS network connectivity (Prod to Prod)
	7:30 a.m. - 8:00 a.m.	DHS network connectivity (Prod to Prod)
	8:00 a.m. - 8:30 a.m.	VHA network connectivity (Prod to Prod)
	8:30 a.m. - 9:00 a.m.	TRICARE network connectivity (Prod to Prod)
	9:00 a.m. - 9:30 a.m.	SSA network connectivity (Prod to Prod)
	10:00 a.m. - 10:30 a.m.	CMS EES network connectivity (Prod to Prod)
	10:30 p.m. - 11:00 a.m.	Equifax network connectivity (Prod to Prod)
	11:30 p.m. - 12:00 p.m.	Experian network connectivity (Prod to Prod)
	8:00 a.m. - 8:30 a.m.	Switch End Points
	9:00 a.m. – 4:00 p.m.	FFM Initiated PST - EES and SSA Scenarios with no financial assistance
	5:00 p.m. - 12:00 a.m.	Maintenance Window
<b>23-Sep</b>		
	12:00 a.m. - 8:00 a.m.	Maintenance Window
	8:00 a.m. - 8:30 a.m.	Switch End Points
	8:00 a.m. - 9:00 a.m.	Federal network connectivity
	9:00 a.m. – 4:00 p.m.	FFM Initiated PST - EES and SSA Scenarios with no financial assistance
	5:00 p.m. - 12:00 a.m.	Maintenance Window
<b>24-Sep</b>		
	12:00 a.m. - 8:00 a.m.	Maintenance Window
	8:00 a.m. - 8:30 a.m.	Switch End Points
	8:00 a.m. - 9:00 a.m.	Federal network connectivity
	9:00 a.m. – 4:00 p.m.	FFM Initiated PST - EES and SSA Scenarios with no financial assistance
	5:00 p.m. - 12:00 a.m.	Maintenance Window

y maintenance windows.	
Data Type	
EES Prod	
IRS Prod Simulation	
SSA Integration	
EES Prod	
IRS Prod Simulation	
SSA Integration	
Test Harness	Without Harness
EES Prod	
IRS Prod Simulation	
SSA Integration	
EES Prod	
IRS Prod Simulation	
SSA Integration	
Test Harness	Without Harness
Test Harness	Without Harness

Hub to TDS Prod
Hub to TDS Prod
Hub to TDS Prod
Hub to TDS Prod
Hub to TDS Prod
Hub to TDS Prod
Hub to TDS Prod
Hub to TDS Prod
EES Prod SSA Integration
EES Prod SSA Integration
EES Prod SSA Integration

9 Federal Agencies and the remaining States and Issuers need Connected.			
Dates	Time of Day	Test Activities	Data Type
25-Sep			
	7:00 a.m. – 12:00 p.m.	External Partner Application layer Connectivity	All except IRS
	12:00 p.m. – 7:00 a.m.	Conversion to Prod	
26-Sep			
	7:00 a.m. – 12:00 p.m.	External Partner Application layer Connectivity	
	12:00 p.m. – 7:00 a.m.	Conversion to Prod	
27-Sep			
	7:00 a.m. – 12:00 p.m.	External Partner Application layer Connectivity	IRS starts
	12:00 p.m. – 7:00 a.m.	Conversion to Prod	
28-Sep			
	7:00 a.m. – 12:00 p.m.	External Partner Application layer Connectivity	
	12:00 p.m. – 7:00 a.m.	Conversion to Prod	
29-Sep			
	7:00 a.m. – 12:00 p.m.	External Partner Application layer Connectivity	
	12:00 p.m. – 7:00 a.m.	Conversion to Prod	
30-Sep			
	7:00 a.m. – 12:00 p.m.	External Partner Application layer Connectivity	
	12:00 p.m. – 7:00 a.m.	Conversion to Prod	

Assumptions: No Stress Testing is Planned with IMP1.A			
Dates	Time of Day	Test Activities	Data Type
20-Sep		SCA Complete	
21-Sep	All Day	Readiness / Smoke Testing	Includes Alphas
22-Sep	All Day	Readiness / Smoke Testing	Includes Alphas
23-Sep	8:00 am - 8:30 am	Switch Endpoints	
	9:00am to 3:00pm	Issuer Testing UAT Testing State Account Transfer Workaround	Test Harness
	3:00pm to 4:00pm	Maintenance (Test Harness)	Switch Harness Off
	4:00pm to 9:00pm	SBM Initiated End to End FFM UI D1H31 FFM UI End to End (QSSI ACA)	TDS / No IRS, Experian
	9:00 pm to 8:00 am	Maintenance	
24-Sep	8:00 am - 8:30 am	Switch Endpoints	
	9:00am to 3:00pm	Issuer Testing UAT Testing State Account Transfer Workaround	Test Harness
	3:00pm to 4:00pm	Maintenance (Test Harness)	Switch Harness Off
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	9:00 pm to 8:00 am	Maintenance	
26-Sep	8:00 am - 8:30 am	Switch Endpoints	
	9:00am to 3:00pm	Issuer Testing UAT Testing State Account Transfer Workaround	Test Harness
	3:00pm to 4:00pm	Maintenance (Test Harness)	Switch Harness Off

		SBM Initiated End to End FFM UI D1H31	
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28-Sep	All Day	Maintenance	
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29-Sep	All Day	Maintenance	
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30-Sep	All Day	Maintenance	
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Message

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**From:** Trenkle, Tony (CMS/OIS) [redacted] NotResp  
[redacted] NotResp  
**Sent:** 9/19/2013 12:56:42 PM  
**To:** Snyder, Michelle (CMS/OA) [redacted] NotResp  
**Subject:** Fw: Yesterday's Call

FYI

**From:** Ekh, Jeannette [mailto:Jeannette.Ekh@bcbsfl.com]  
**Sent:** Thursday, September 19, 2013 08:51 AM  
**To:** Trenkle, Tony (CMS/OIS)  
**Subject:** RE: Yesterday's Call

I understand why they were not happy. They expected a communication around what functions would be ready on 10/1 as was discussed in an earlier meeting with Marilyn.

I was disappointed as well (and so were many of the Alpha Plans) that the discussion was primarily covering the testing material that was covered in the call with all issuers the day before. We expected that Michelle, Jim or Henry would describe the functions that are expected to work, such as Plan Compare, and that other functions, such as Enrollment, would come online following 10/1. All issuers need to know what should be working correctly (and what will likely not work correctly) so they can communicate to their consumers, agents and internal staff. It's important to set expectations before 10/1.

The Alpha Plans Are still reporting significant issues (e.g. Agent flow within Direct Enrollment) and know that your folks need to lock down the system soon for deployment in your production environment. Do you know what functions they at going to deploy?

By the way, thanks for asking.

Sent with Good (www.good.com)

-----Original Message-----

**From:** Trenkle, Tony (CMS/OIS) [mailto:tony.trenkle@cms.hhs.gov]  
**Sent:** Thursday, September 19, 2013 08:32 AM Eastern Standard Time  
**To:** Ekh, Jeannette  
**Subject:** Yesterday's Call

What did you think of the call? Both JT and JH were not happy. They felt that not enough detail was given on what is going to be available on 10/1. Was that your impression?

-----Original Message-----

From: Ekh, Jeannette [mailto:Jeannette.Ekh@bcbsfl.com]

Sent: Wednesday, September 18, 2013 5:56 PM  
To: Trenkle, Tony (CMS/OIS)  
Cc: Snyder, Michelle (CMS/OA)  
Subject: Re: Pat

I think you meant 'Michelle' instead of me. But you are right. Pat's en route for the Board meeting now. He will read her email.

I was also able to talk to him around noon today, so he is quite up-to-date and should be supportive in keeping this all moving forward.

----- Original Message -----

From: Trenkle, Tony (CMS/OIS) [<mailto:tony.trenkle@cms.hhs.gov>]  
Sent: Wednesday, September 18, 2013 05:52 PM  
To: Ekh, Jeannette  
Cc: Snyder, Michelle (CMS/OA) <Michelle.Snyder@cms.hhs.gov>  
Subject: Pat

Jeannette tried to reach Pat but he's already in travel status. She sent him an email.

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Message

**From:** Trenkle, Tony (CMS/OIS) [mailto:Trenkle.Tony@cms.hhs.gov] **NotResp**  
**Sent:** 9/19/2013 12:57:48 PM  
**To:** 'Jeannette.Ekh@bcbsfl.com' [Jeannette.Ekh@bcbsfl.com]  
**Subject:** Re: Yesterday's Call

This is great feedback. I hope you don't mind that I passed to Michelle. It is important that she get this info.

**From:** Ekh, Jeannette [mailto:Jeannette.Ekh@bcbsfl.com]  
**Sent:** Thursday, September 19, 2013 08:51 AM  
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By the way, thanks for asking.

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I think you meant 'Michelle' instead of me. But you are right. Pat's en route for the Board meeting now. He will read her email.

I was also able to talk to him around noon today, so he is quite up-to-date and should be supportive in keeping this all moving forward.

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Message

**From:** Ekh, Jeannette [Jeannette.Ekh@bcbsfl.com]  
**Sent:** 9/19/2013 1:00:07 PM  
**To:** Trenkle, Tony (CMS/OIS)  
**Subject:** RE: Yesterday's Call

NotResp

I am fine with you passing it on to Michelle. Keep me posted.

Sent with Good (www.good.com)

-----Original Message-----

**From:** Trenkle, Tony (CMS/OIS) [tony.trenkle@cms.hhs.gov]  
**Sent:** Thursday, September 19, 2013 08:58 AM Eastern Standard Time  
**To:** Ekh, Jeannette  
**Subject:** Re: Yesterday's Call

This is great feedback. I hope you don't mind that I passed to Michelle. It is important that she get this info.

**From:** Ekh, Jeannette [mailto:Jeannette.Ekh@bcbsfl.com]  
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**From:** Trenkle, Tony (CMS/OIS) [[tony.trenkle@cms.hhs.gov](mailto:tony.trenkle@cms.hhs.gov)]  
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**To:** Ekh, Jeannette  
**Subject:** Yesterday's Call

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Message

**From:** Ekh, Jeannette [Jeannette.Ekh@bcbsfl.com]  
**Sent:** 9/19/2013 12:51:40 PM  
**To:** Trenkle, Tony (CMS/OIS)  
**Subject:** RE: Yesterday's Call

NotResp

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Sent: Wednesday, September 18, 2013 05:52 PM

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Cc: Snyder, Michelle (CMS/OA) <[Michelle.Snyder@cms.hhs.gov](mailto:Michelle.Snyder@cms.hhs.gov)>

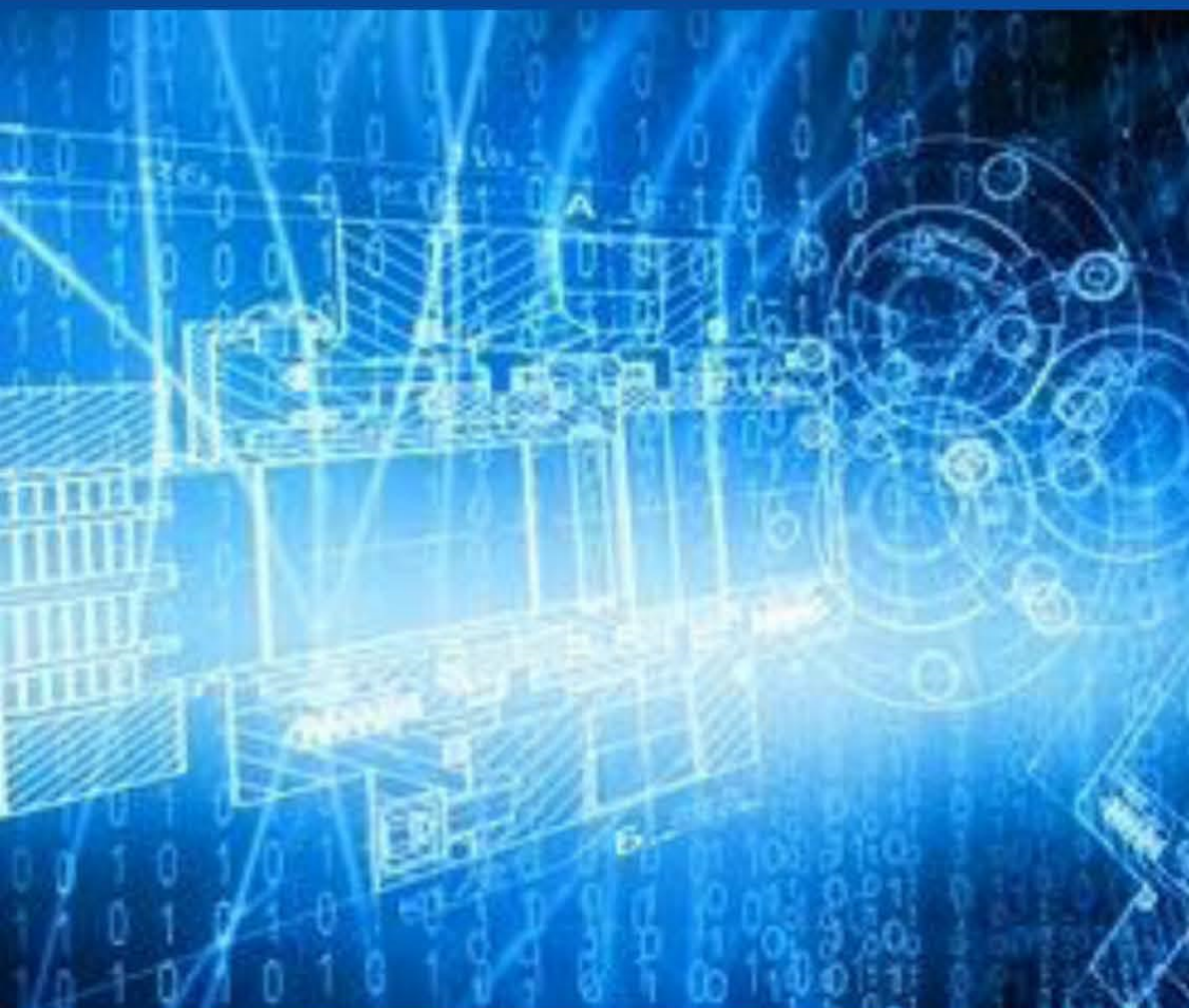
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# State's Authority to Connect – Talking points



*Sep 19, 2013*

HHS-0106366

CMS0011881

# Summary of the Authority to Connect ATC review and communication process

- State provides documentation to NotResp
- SphereCom reviews security documents, IRS reviews SPR documents, OEM reviews privacy Information, MITRE reviews ISA documents.
- ATC checklist is filled out then SphereCom, ISSO, Privacy, CISO, provide feedback comments for CIO ATC decision.
- CIO signs the Interconnection Security Agreement (ISA)
- Once ISA is signed it is returned to CIISG; SphereCom will post it to NotResp for states to receive, and SphereCom will notify their peer in the state who submitted the ISA for approval. (informal notification)
- CIISG will notify CCIIO and CMCS that the ISA has been signed and provide a copy for their records
- CCIIO State Exchange Group, or CMCS will formally notify their states

HHS-0106367



# Authority to Connect for SBM States

- SBM States (forecast purposes only)
- Already Approved = KY (1 Package)
- Deliver to CISO by Friday Sep 20 = CT, VT, RI, CA, NY, WA, NV, MD (FFM/SBM?) (8 Packages)
- Deliver to CISO by Friday Sep 27 = AL(FFM/SBM?) , CO, DC, HI, MA, MN, OR (7 packages)
- Deliver After Sep 30 = None, all SBM's will be submitted

**Recommendation:** Accept temporary risk to accommodate a proper review by CISO and CIO of the ATC package. Allow all SBM states to technically connect for Day 1 Operations.

**Communication:** CCIIO notifies all SBM states they are allowed to connect, and their ATC paperwork will be provided back in the month of October. OIS can assist CCCIO in preparing this memo.

**Risk:** Generally the states are still building, formal testing has not been completed by on an operational system, site visits by a CMS contractor has been conducted and in most cases one or more reviews of the security documentation has been completed, and weaknesses are generally known.

**Potential Issue:** What action(s) to follow if after review of documentation, the security risks are deemed high?

# Authority to Connect for FFM States Medicaid/CHIP

- SPE/FFM States
- Already Approved = DE (1 package)
- Deliver to CISO by Friday Sep 20 = AZ, VA (2 packages)
- Deliver to CISO by Friday Sep 27 = AL(FFM?SBM?), AK, AR, CO, IN, IA, MI, MT, NE, MD(SBM/FFM)?, OK, PA, SC, UT, WY (15 packages)
- Deliver to CISO by Oct 15 = ME, NM, FL, IL, KS, ME, MS, MO, NH, OH, SD, TX, VA (13 packages)

## Possibly deny access up to 30 days

- Some states have provided documentation to CMS, expecting remaining in October = KS, GA, LA, NJ, NC, TN, TX

## Possibly deny access until documentation is rcvd:

- Maine (ME) – No documentation has been submitted to CMS

**\*\*There are missing States like Idaho, Wisconsin and West Virginia**

**Potential Issue:** What action(s) to follow if after review of documentation, the security risks are deemed high?



# Authority to Connect for FFM States Medicaid/CHIP

Obtained via FOIA by Judicial Watch, Inc.

**Recommendation:** Accept temporary risk to accommodate a proper review by CISO and CIO of the ATC package. Allow all FFM states to technically connect for Day 1 Operations. Temporarily deny access to Kansas, Georgia, Louisiana, New Jersey, North Carolina, Tennessee, Texas, and Maine. **GL, we need to define temporarily**

**Communication:** CMCS notifies appropriate states they are allowed to connect, and their ATC paperwork will be provided back in the month of October via NotResp CMCS notifies the eight (8) states listed that they will not be allowed to connect until they have submitted all their security documentation. – **GL, What would be the messaging to the federal partners?**

**Risk:** Generally the states are still building, formal testing has not been completed by on an operational system, **no** site visits by a CMS contractor has been conducted and in most cases one or more reviews of the security documentation has **not** been completed, and weaknesses are **not** well known. FFM states are higher risk entities than SBM states.

# SphereCom **Actions**

Obtained via FOIA by Judicial Watch, Inc.

(b)(5)

HHS-0106371

CMS0011886

# CMCS Comments

Obtained via FOIA by Judicial Watch, Inc.

(b)(5)

HHS-0106372

CMS0011887

# CCIO Comments

Obtained via FOIA by Judicial Watch, Inc.

(b)(5)

HHS-0106373

CMS0011888

# CISO Comments

Obtained via FOIA by Judicial Watch, Inc.

(b)(5)

HHS-0106374

CMS0011889

# Things that will need to be done post 10-1

- Delayed SAR/POA&M submissions will need to be reviewed in October and even November depending on the State, with focus on tracking corrective actions of High risk items.
- As 3rd Party SARs are submitted, CMS will need to review these packages as well and ensure POA&M's are updated
- POA&Ms should be submitted by states on a monthly basis per MARS-E, but CMS will review submissions on at least quarterly basis.
- Travel to SBM and FFM states should resume in November to work with state security officers on improving their documentation and to provide subject matter assistance.



Appointment

**From:** Schankweiler, Thomas W. (CMS/OIS) NotResp  
NotResp

**Sent:** 9/19/2013 9:53:52 PM

**To:** Schankweiler, Thomas W. (CMS/OIS) NotResp  
NotResp; Fryer, Teresa M. (CMS/OIS) NotResp  
NotResp; Nelson, David J. (CMS/OEM)  
NotResp; Lyles, Darrin V.  
(CMS/OIS) NotResp  
NotResp; Jim.schifalacqua@serco-na.com; sonny.nasir@serco-na.com; Toomey, Jacqueline S.(CMS/OIS) NotResp  
NotResp

**Subject:** MARKETPLACE MTG: Present SIA for Serco; looking for a short-term ATO

**Location:** 7500 Security Blvd, N1-26-18

**Start:** 9/25/2013 3:00:00 PM

**End:** 9/25/2013 3:30:00 PM

**Show Time As:** Tentative

**Importance:** High

**Required Attendees:** Fryer, Teresa M. (CMS/OIS); Nelson, David J. (CMS/OEM); Lyles, Darrin V. (CMS/OIS) (Darrin.Lyles@cms.hhs.gov); Jim.schifalacqua@serco-na.com; sonny.nasir@serco-na.com; Toomey, Jacqueline S.(CMS/OIS)

Teresa,

Meeting request to present a Security Impact Assessment for SERCO to the CISO. The document is under development and will not be ready until the day of the presentation. Requesting an short-term ATO in support of the Marketplace launch of 10/1. An SCA is tentatively being planned for in FY14Q1.

Thanks,

Tom

Thomas Schankweiler invites you to an online meeting using NotResp

Meeting Number: (b)(6)

Meeting Password: This meeting does not require a password.

-----  
Audio conference information  
-----

1. Please call the following number:

NotResp (b)(6)

2. Follow the instructions you hear on the phone.

Your NotResp Meeting Number (b)(6)

-----  
To join from the Baltimore, Chicago, or Kansas City offices  
-----

1. Dial ext. (b)(6)

2. Enter the Meeting Number: (b)(6)

-----  
To join this meeting online

-----  
1. Go to NotResp

2. If requested, enter your name and email address.

3. If a password is required, enter the meeting password: This meeting does not require a password.

4. Click "Join".

5. Follow the instructions that appear on your screen.

Appointment

**From:** Schankweiler, Thomas W. (CMS/OIS) NotResp

**Sent:** 9/19/2013 9:53:52 PM

**To:** Schankweiler, Thomas W. (CMS/OIS) NotResp  
 NotResp Fryer, Teresa M. (CMS/OIS) NotResp  
 NotResp Nelson, David J. (CMS/OEM)  
 NotResp Lyles, Darrin V.  
 (CMS/OIS) NotResp  
 NotResp Jim.schifalacqua@serco-na.com; sonny.nasir@serco-na.com; Toomey, Jacqueline S.(CMS/OIS) NotResp  
 NotResp

**Subject:** Copy: MARKETPLACE MTG: Present SIA for Serco; looking for a short-term ATO

**Location:** 7500 Security Blvd, N1-26-18

**Start:** 9/25/2013 3:00:00 PM

**End:** 9/25/2013 3:30:00 PM

**Show Time As:** Tentative

**Importance:** High

**Required Attendees:** Fryer, Teresa M. (CMS/OIS); Nelson, David J. (CMS/OEM); Lyles, Darrin V. (CMS/OIS) (Darrin.Lyles@cms.hhs.gov); Jim.schifalacqua@serco-na.com; sonny.nasir@serco-na.com; Toomey, Jacqueline S.(CMS/OIS)

Teresa,

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Meeting Number: (b)(6)

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To join this meeting online

-----  
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2. If requested, enter your name and email address.

3. If a password is required, enter the meeting password: This meeting does not require a password.

4. Click "Join".

5. Follow the instructions that appear on your screen.

Message

**From:** Toomey, Jacqueline S. (CMS/OIS) [NotResp]  
[NotResp]  
**Sent:** 9/19/2013 5:42:31 PM  
**To:** Shomo, Milton [tshomo@mitre.org]; Bielski, Jim [jbielski@mitre.org]  
**CC:** Fryer, Teresa M. (CMS/OIS) [NotResp]  
[NotResp] Mellor, Michael (CMS/OIS) [NotResp]  
[NotResp]  
**Subject:** RE: Marketplace HIGH Finding

Thank you Milton/Jim!

*Jacqueline S Toomey*

Division Director (Acting)  
Division of Information Security Policy and Compliance (DISPC)  
Centers for Medicare & Medicaid Services  
7500 Security Blvd. Mailstop: N1-26-08  
Baltimore, Md. 21244-1870  
Location: N1-26-27 / Office Telephone: 410-786-5552 / Blackberry  
[jacqueline.toomey@cms.hhs.gov](mailto:jacqueline.toomey@cms.hhs.gov)

(b)(6)



Enterprise Information Security Group  
*Risk Management, Oversight, & Monitoring*

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**From:** Shomo, Milton [mailto:tshomo@mitre.org]  
**Sent:** Thursday, September 19, 2013 1:17 PM  
**To:** Toomey, Jacqueline S. (CMS/OIS); Bielski, Jim  
**Cc:** Fryer, Teresa M. (CMS/OIS); Mellor, Michael (CMS/OIS)  
**Subject:** RE: Marketplace HIGH Finding

NotResp

Row #6 below is the finding as it will appear in today's spreadsheet. It should be noted that Row #19 below is essentially the same finding that we had on **NotResp** in August. Row #19 finding was rated as a Moderate at that time since

**NotResp**

Row #	Weakness	Risk Level	CMS R Security Control Family	Reference	Affected Systems	Ease-of-Fix	Est Work Effort	Finding	Failed Test Description	Actual Test Results	Recommended Corrective Actions	Status
6	NotResp	HIGH	NotResp									Identified September 19, 2013. This is similar to Finding 19
												NotResp

NotResp |

Identified August 28, 2013.

NotResp



Regards,

Milton Shomo, CISSP  
Principal Information Systems Engineer  
MITRE Corp.  
Center for Connected Government  
(703) 983-2853 (O)

(b)(6) (C)

**From:** Toomey, Jacqueline S.(CMS/OIS) [<mailto:Jacqueline.Toomey@cms.hhs.gov>]  
**Sent:** Thursday, September 19, 2013 12:57 PM  
**To:** Shomo, Milton; Bielski, Jim  
**Cc:** Fryer, Teresa M. (CMS/OIS); Mellor, Michael (CMS/OIS)  
**Subject:** HIGH Finding  
**Importance:** High

Milton,

Could you forward us a description of today's HIGH finding? Teresa and Mike will want to push this up ASAP.

Thanks!

*Jacqueline S Toomey*

Division Director (Acting)  
Division of Information Security Policy and Compliance (DISPC)  
Centers for Medicare & Medicaid Services  
7500 Security Blvd. Mailstop: N1-26-08  
Baltimore, Md. 21244-1870  
Location: N1-26-27 / Office Telephone: 410-786-5552 / Blackberry:  
[jacqueline.toomey@cms.hhs.gov](mailto:jacqueline.toomey@cms.hhs.gov)

(b)(6)



Enterprise Information Security Group  
*Risk Management, Oversight, & Monitoring*

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Message

**From:** Shomo, Milton [tshomo@mitre.org]

**Sent:** 9/19/2013 12:03:44 PM

**To:** Bielski, Jim [jbielski@mitre.org]; Fryer, Teresa M. (CMS/OIS)

NotResp

NotResp

Toomey, Jacqueline S.(CMS/OIS)

NotResp

NotResp

**Subject:** RE: HIX-A Issues Update

(b)(5)

Milton Shomo, CISSP

Principal Information Systems Engineer

MITRE Corp.

Center for Connected Government

(703) 983-2853 (O)

NotResp

(C)

**From:** Bielski, Jim

**Sent:** Thursday, September 19, 2013 7:15 AM

**To:** Fryer, Teresa M. (CMS/OIS); Toomey, Jacqueline S.(CMS/OIS)

**Cc:** Shomo, Milton

**Subject:** RE: HIX-A Issues Update

They are switching environments so we can SCA the functionality.

(b)(5)

Due to testing in

NotResp

our rule of engagement have been limited in that we are not allowed to cause

NotResp

NotResp

or anything else that would disrupt the operation of the environment.

Jim Bielski

Information Systems Engineer, Principal

The MITRE Corporation

(b)(6)

(cell)

410 402-2717 (office)

**From:** Fryer, Teresa M. (CMS/OIS) [mailto:Teresa.Fryer@cms.hhs.gov]

**Sent:** Thursday, September 19, 2013 07:00

**To:** Bielski, Jim; Toomey, Jacqueline S.(CMS/OIS)

**Cc:** Shomo, Milton

**Subject:** RE: HIX-A Issues Update

(b)(5)

**From:** Bielski, Jim [<mailto:jbielski@mitre.org>]

**Sent:** Thursday, September 19, 2013 6:36 AM

**To:** Fryer, Teresa M. (CMS/OIS); Toomey, Jacqueline S.(CMS/OIS)

**Cc:** Shomo, Milton

**Subject:** HIX-A Issues Update

(b)(5)

Jim Bielski

Information Systems Engineer, Principal

The MITRE Corporation

(b)(6) cell)

410 402-2717 (office)

Message

**From:** Kreider, Brett (CMS/CTR) NotResp  
NotResp

**Sent:** 9/19/2013 1:21:50 PM

**To:** Mellor, Michael (CMS/OIS) NotResp  
Fryer, Teresa M. (CMS/OIS) NotResp  
NotResp

**CC:** Arnous, James Z. (CMS/CTR) NotResp  
Alexander, David (CMS/OIS) NotResp  
Reintges, Robert S. (CMS/OIS) NotResp  
NotResp

**Subject:** ACA Continuous Monitoring Findings (so far)

**Importance:** High

Mike/Teresa,

NotResp

<!--[if !supportLists]-->• <!--[endif]-->Next step would be to improve the coverage of authenticated devices and to follow up to make sure Terremark is addressing the vulnerabilities identified in the reports as generated **NotResp**

**NotResp**

<!--[if !supportLists]-->• <!--[endif]--> **NotResp** preproduction scanning results for ACA/Quality Health Plan (QHP) application have revealed the following results:

<!--[if !supportLists]-->○ <!--[endif]-->**8 HIGH Findings:**

**NotResp**

**NotResp**

<!--[if !supportLists]-->○ <!--[endif]-->**22 MEDIUM Findings:**

**NotResp**

**NotResp**

**NotResp**

**NotResp**

<!--[if !supportLists]-->• <!--[endif]-->MITRE generated **NotResp** reports based on layer 3 network device configurations sent to CMS manually from Terremark.

<!--[if !supportLists]-->• <!--[endif]-->Preliminary results of the Best Practices Violations Report were good: if we can verify that the **NotResp** and that the findings on the **NotResp** **NotResp** are either false positives or are fixed, then the reports will be clean.

<!--[if !supportLists]-->• <!--[endif]-->The Best Practices Violations Report does not address Access Control Lists/firewall rules or policies. From the preliminary **NotResp** **NotResp** These need to be validated, if a business or technical case exists, documented, otherwise addressed by Terremark and the Application Owners.

<!--[if !supportLists]-->• <!--[endif]-->Next steps would be to verify the mitigation activities (perhaps by reviewing updated configurations and generating new **NotResp**) and to automate the import of layer 3 network device configurations (which will make it much easier to run the reports).

I hope this is helpful in your communication on ACA applications.

Brett Kreider

MITRE

571-420-8208

Message

**From:** Shomo, Milton [tshomo@mitre.org]  
**Sent:** 9/19/2013 5:16:42 PM  
**To:** Toomey, Jacqueline S. (CMS/OIS) [NotResp]  
 [NotResp] [Bielski, Jim [jbielski@mitre.org]]  
**CC:** Erver, Teresa M. (CMS/OIS) [NotResp]  
 [NotResp] [Mellor, Michael (CMS/OIS)] [NotResp]  
 [NotResp]  
**Subject:** RE: Marketplace HIGH Finding

[NotResp]

Row #6 below is the finding as it will appear in today's spreadsheet. It should be noted that Row #19 below is essentially the same finding that we had on [NotResp] in August. Row #19 finding was rated as a Moderate at that time since

[NotResp]

Row #	Weakness	Risk Level	CMS R Security Control Family	Reference	Affected Systems	Ease-of-Fix	Est Work Effort	Finding	Failed Test Description	Actual Test Results	Recommended Corrective Actions	Status
6	[NotResp]	HIGH	[NotResp]								Identified September 19, 2013. This is similar to Finding 19	[NotResp]



#19 from August 2013 FF M SC A	Mode rate	Identified August 28, 2013.
---	--------------	--------------------------------------

NotResp

Milton Shomo, CISSP  
Principal Information Systems Engineer  
MITRE Corp.  
Center for Connected Government  
(703) 983-2853 (O)

(b)(6)

HHS-0124420  
CMS0011908

Obtained via FOIA by Judicial Watch, Inc.

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Message

**From:** Trenkle, Tony (CMS/OIS) [NotResp]  
[NotResp]  
**Sent:** 9/19/2013 3:41:58 PM  
**To:** Chao, Henry (CMS/OIS) [NotResp]  
**CC:** Linares, George E. (CMS/OIS) [NotResp]  
[NotResp] Fryer, Teresa M. (CMS/OIS) [NotResp]  
[NotResp] Outerbridge, Monique  
(CMS/OIS) [NotResp]  
**Subject:** Security briefing for the Kitchen Cabinet

Henry

FYI below. I also asked George to set up a call with you, Teresa, him, and me tomorrow.

**From:** Armstead, Andrea E. (CMS/OA)  
**Sent:** Thursday, September 19, 2013 11:37 AM  
**To:** Trenkle, Tony (CMS/OIS)  
**Cc:** Byczkowski, Roxanne (CMS/OIS); Morton, Tara R. (CMS/OA); Seth, Sharman M. (CMS/OOM)  
**Subject:** RE: Today's Kitchen Cabinet

Yes, that's fine Tony...thanks

*Andrea (Andi) Armstead*  
Special Assistant to Chief Operating Officer (COO)  
Office of the Administrator  
Center for Medicare & Medicaid Services  
[andrea.armstead@cms.hhs.gov](mailto:andrea.armstead@cms.hhs.gov)  
phone: 410-786-7851  
bb #: (b)(6)

**From:** Trenkle, Tony (CMS/OIS)  
**Sent:** Thursday, September 19, 2013 11:36 AM  
**To:** Armstead, Andrea E. (CMS/OA)  
**Cc:** Byczkowski, Roxanne (CMS/OIS); Morton, Tara R. (CMS/OA); Seth, Sharman M. (CMS/OOM)  
**Subject:** RE: Today's Kitchen Cabinet

Yes, it wont be ready until Monday though.

**From:** Armstead, Andrea E. (CMS/OA)  
**Sent:** Thursday, September 19, 2013 11:35 AM  
**To:** Trenkle, Tony (CMS/OIS)  
**Cc:** Byczkowski, Roxanne (CMS/OIS); Morton, Tara R. (CMS/OA); Seth, Sharman M. (CMS/OOM)  
**Subject:** RE: Today's Kitchen Cabinet

Tony, would you mind sending to Tara and Sharman so we are sure to get this in her book? thankx

*Andrea (Andi) Armstead*  
Special Assistant to Chief Operating Officer (COO)  
Office of the Administrator  
Center for Medicare & Medicaid Services

[andrea.armstead@cms.hhs.gov](mailto:andrea.armstead@cms.hhs.gov)

phone: 410-786-7851

bb # (b)(6)

**From:** Trenkle, Tony (CMS/OIS)  
**Sent:** Thursday, September 19, 2013 11:30 AM  
**To:** Bowen, Marianne (CMS/OA)  
**Cc:** Armstead, Andrea E. (CMS/OA); Byczkowski, Roxanne (CMS/OIS)  
**Subject:** Re: Today's Kitchen Cabinet

Yes, I just spoke to George and he, Tom, and Teresa are pulling a presentation together.

**From:** Bowen, Marianne (CMS/OA)  
**Sent:** Thursday, September 19, 2013 10:53 AM  
**To:** Trenkle, Tony (CMS/OIS)  
**Cc:** Armstead, Andrea E. (CMS/OA); Byczkowski, Roxanne (CMS/OIS)  
**Subject:** RE: Today's Kitchen Cabinet

Michelle is good with your plan, Tony. Can we plan on Monday at 4? Thanks.



Please consider the environment before printing my e-mail.

Marianne Bowen  
Senior Advisor to the Chief Operating Officer | Office of the Administrator | Centers for Medicare and Medicaid Services  
E-mail: [marianne.bowen3@cms.hhs.gov](mailto:marianne.bowen3@cms.hhs.gov)  
Office: C5-18-23  
Phone: 410-786-4610  
BB: (b)(6)

**From:** Bowen, Marianne (CMS/OA)  
**Sent:** Thursday, September 19, 2013 10:29 AM  
**To:** Trenkle, Tony (CMS/OIS)  
**Cc:** Armstead, Andrea E. (CMS/OA); Byczkowski, Roxanne (CMS/OIS)  
**Subject:** Today's Kitchen Cabinet

Hi Tony—issues around states Authority to Connect (ATC) were brought up to Michelle this morning. She'd like you to be prepared to tell folks at today's kitchen cabinet meeting how you plan to address these issues and get the ATCs finalized. Please let me know if you have any questions. Thanks.

<image001.jpg>Please consider the environment before printing my e-mail.

Marianne Bowen  
Senior Advisor to the Chief Operating Officer | Office of the Administrator | Centers for Medicare and Medicaid Services  
E-mail: [marianne.bowen3@cms.hhs.gov](mailto:marianne.bowen3@cms.hhs.gov)  
Office: C5-18-23

Phone: 410-786-4610

BB: (b)(6)



Message

**From:** King, Jason C. (CMS/OIS) [Redacted] **NotResp**  
**Sent:** 9/19/2013 3:14:19 PM  
**To:** Fryer, Teresa M. (CMS/OIS) [Redacted] **NotResp**  
[Redacted] **NotResp** Toomey, Jacqueline S.(CMS/OIS) [Redacted] **NotResp**  
[Redacted] **NotResp**  
**Subject:** RE: HHS Briefing

Several of those have had or are in the middle of assessments, but they have not submitted ATO requests yet. HICS is actually being assessed this week.

Jason King  
Division of Information Security Policy and Compliance (DISPC)  
Enterprise Information Security Group (EISG)  
Centers for Medicare & Medicaid Services  
PH: (410) 786-7578  
<http://www.cms.hhs.gov/informationsecurity/>  
Contact EISG at [CISO@cms.hhs.gov](mailto:CISO@cms.hhs.gov)

**From:** Fryer, Teresa M. (CMS/OIS)  
**Sent:** Thursday, September 19, 2013 11:11 AM  
**To:** King, Jason C. (CMS/OIS); Toomey, Jacqueline S.(CMS/OIS)  
**Subject:** Re: HHS Briefing

Question on slide 4, if a system doesn't have an ato where do the findings come from (hics, ecws)?

**From:** King, Jason C. (CMS/OIS)  
**Sent:** Thursday, September 19, 2013 06:53 AM  
**To:** Fryer, Teresa M. (CMS/OIS); Toomey, Jacqueline S.(CMS/OIS)  
**Subject:** RE: HHS Briefing

With additional summary slide.

Jason King  
Division of Information Security Policy and Compliance (DISPC)  
Enterprise Information Security Group (EISG)  
Centers for Medicare & Medicaid Services  
PH: (410) 786-7578  
<http://www.cms.hhs.gov/informationsecurity/>  
Contact EISG at [CISO@cms.hhs.gov](mailto:CISO@cms.hhs.gov)

**From:** Fryer, Teresa M. (CMS/OIS)  
**Sent:** Wednesday, September 18, 2013 4:55 PM  
**To:** King, Jason C. (CMS/OIS); Toomey, Jacqueline S.(CMS/OIS)  
**Subject:** RE: HHS Briefing

Were you sending this back to me with an additional slide with summary bullets?

Thanks,

Teresa

**From:** King, Jason C. (CMS/OIS)  
**Sent:** Wednesday, September 18, 2013 4:21 PM  
**To:** Fryer, Teresa M. (CMS/OIS); Toomey, Jacqueline S.(CMS/OIS)  
**Subject:** RE: HHS Briefing

(b)(5)

Jason King  
Division of Information Security Policy and Compliance (DISPC)  
Enterprise Information Security Group (EISG)  
Centers for Medicare & Medicaid Services  
PH: (410) 786-7578  
<http://www.cms.hhs.gov/informationsecurity/>  
Contact EISG at [CISO@cms.hhs.gov](mailto:CISO@cms.hhs.gov)

**From:** Fryer, Teresa M. (CMS/OIS)  
**Sent:** Wednesday, September 18, 2013 10:41 AM  
**To:** Toomey, Jacqueline S.(CMS/OIS); King, Jason C. (CMS/OIS)  
**Subject:** HHS Briefing

Here is the start of the HHS briefing with the slide from Mitre

Teresa Fryer, CISSP, CIPP/G  
Chief Information Security Officer and  
Director, Enterprise Information Security Group (EISG)  
Centers for Medicare & Medicaid Services  
Office of Information Services (OIS)  
7500 Security Blvd, N1-26-18  
Baltimore, MD 21244  
410-786-2614 (W)  
(b)(6) (C)  
[teresa.fryer@cms.hhs.gov](mailto:teresa.fryer@cms.hhs.gov)



Enterprise Information Security Group  
*Risk Management, Oversight, & Monitoring*

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**This space intentionally left blank.**

Message

**From:** Linares, George E. (CMS/OIS) [NotResp]  
[NotResp]  
**Sent:** 9/19/2013 4:17:17 PM  
**To:** Fryer, Teresa M. (CMS/OIS) [NotResp]  
[NotResp]  
**Subject:** RE: Security briefing for the Kitchen Cabinet

The meeting tomorrow (being scheduled) is to bring FO, CIISG and EISG in sync in preparation to the Monday meeting. I am including Tom as well

**From:** Fryer, Teresa M. (CMS/OIS)  
**Sent:** Thursday, September 19, 2013 12:15 PM  
**To:** Linares, George E. (CMS/OIS)  
**Subject:** Fw: Security briefing for the Kitchen Cabinet

George,

No where do I see ciisg security on these email strings. We have no idea what state these packages are in. Toms team and their scom contractors have been working months with the states and are the ones to know how well prepared they are to connect and how to get atcs finalized.

**From:** Trenkle, Tony (CMS/OIS)  
**Sent:** Thursday, September 19, 2013 11:41 AM  
**To:** Chao, Henry (CMS/OIS)  
**Cc:** Linares, George E. (CMS/OIS); Fryer, Teresa M. (CMS/OIS); Outerbridge, Monique (CMS/OIS)  
**Subject:** Security briefing for the Kitchen Cabinet

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**Subject:** RE: Today's Kitchen Cabinet

Yes, that's fine Tony...thanks

*Andrea (Andi) Armstead*

Special Assistant to Chief Operating Officer (COO)  
Office of the Administrator  
Center for Medicare & Medicaid Services  
[andrea.armstead@cms.hhs.gov](mailto:andrea.armstead@cms.hhs.gov)

phone: 410-786-7851

bb # [REDACTED] (b)(6)

**From:** Trenkle, Tony (CMS/OIS)  
**Sent:** Thursday, September 19, 2013 11:36 AM  
**To:** Armstead, Andrea E. (CMS/OA)  
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**Subject:** RE: Today's Kitchen Cabinet

Tony, would you mind sending to Tara and Sharman so we are sure to get this in her book? thankx

*Andrea (Andi) Armstead*

Special Assistant to Chief Operating Officer (COO)  
Office of the Administrator  
Center for Medicare & Medicaid Services  
[andrea.armstead@cms.hhs.gov](mailto:andrea.armstead@cms.hhs.gov)  
phone: 410-786-7851  
bb #: (b)(6)

**From:** Trenkle, Tony (CMS/OIS)  
**Sent:** Thursday, September 19, 2013 11:30 AM  
**To:** Bowen, Marianne (CMS/OA)  
**Cc:** Armstead, Andrea E. (CMS/OA); Byczkowski, Roxanne (CMS/OIS)  
**Subject:** Re: Today's Kitchen Cabinet

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**Sent:** Thursday, September 19, 2013 10:53 AM  
**To:** Trenkle, Tony (CMS/OIS)  
**Cc:** Armstead, Andrea E. (CMS/OA); Byczkowski, Roxanne (CMS/OIS)  
**Subject:** RE: Today's Kitchen Cabinet

Michelle is good with your plan, Tony. Can we plan on Monday at 4? Thanks.



Please consider the environment before printing my e-mail.

Marianne Bowen  
Senior Advisor to the Chief Operating Officer | Office of the Administrator | Centers for Medicare and Medicaid Services  
E-mail: [marianne.bowen3@cms.hhs.gov](mailto:marianne.bowen3@cms.hhs.gov)  
Office: C5-18-23  
Phone: 410-786-4610

BB: (b)(6)

**From:** Bowen, Marianne (CMS/OA)  
**Sent:** Thursday, September 19, 2013 10:29 AM  
**To:** Trenkle, Tony (CMS/OIS)  
**Cc:** Armstead, Andrea E. (CMS/OA); Byczkowski, Roxanne (CMS/OIS)  
**Subject:** Today's Kitchen Cabinet

Hi Tony—issues around states Authority to Connect (ATC) were brought up to Michelle this morning. She'd like you to be prepared to tell folks at today's kitchen cabinet meeting how you plan to address these issues and get the ATCs finalized. Please let me know if you have any questions. Thanks.

<image001.jpg>Please consider the environment before printing my e-mail.

Marianne Bowen  
Senior Advisor to the Chief Operating Officer | Office of the Administrator | Centers for Medicare and Medicaid Services  
E-mail: [marianne.bowen3@cms.hhs.gov](mailto:marianne.bowen3@cms.hhs.gov)

Office: C5-18-23

Phone: 410-786-4610

BB: (b)(6)

Message

**From:** Hoffman, Jessica (CMS/OIS) [NotResp]  
[NotResp]  
**Sent:** 9/19/2013 9:01:28 PM  
**To:** Toomey, Jacqueline S. (CMS/OIS) [NotResp]  
[NotResp] Fryer, Teresa M. (CMS/OIS) [NotResp]  
[NotResp]  
**Subject:** FW: HIX A --- Additional Testing Requested  
**Importance:** High

Jessica Hoffman  
Enterprise Information Security Group (EISG)  
Division of Information Security Policy and Compliance (DISPC)  
Office: 410.786.4458 | BB: [Redacted]  
CMS IS Library: <http://www.cms.hhs.gov/informationsecurity/>  
Contact EISG at [CISO@cms.hhs.gov](mailto:CISO@cms.hhs.gov)

**From:** Hoffman, Jessica (CMS/OIS)  
**Sent:** Thursday, September 19, 2013 2:23 PM  
**To:** Schankweiler, Thomas W. (CMS/OIS); Lyles, Darrin V. (CMS/OIS)  
**Cc:** King, Jason C. (CMS/OIS)  
**Subject:** FW: HIX A --- Additional Testing Requested  
**Importance:** High

Hi Tom & Darrin,

[Redacted]  
(b)(5)

Please let me know ASAP if you agree to these terms and we will let the BC PM know Adam is approved to come back next week.

Thanks!

Jessica Hoffman  
Enterprise Information Security Group (EISG)  
Division of Information Security Policy and Compliance (DISPC)  
Office: 410.786.4458 | BB: [Redacted]



CMS IS Library: <http://www.cms.hhs.gov/informationsecurity/>

Contact EISG at [CISO@cms.hhs.gov](mailto:CISO@cms.hhs.gov)

----- Original message -----

From: Adam Kerns <[AKerns@bluecanopy.com](mailto:AKerns@bluecanopy.com)>

Date: 09/19/2013 12:40 (GMT-05:00)

To: Gus Tome <[GTome@bcfedgroup.com](mailto:GTome@bcfedgroup.com)>

Cc: Farzan Karimi <[FKarimi@bluecanopy.com](mailto:FKarimi@bluecanopy.com)>

Subject: HIX A --- Additional Testing Requested

Gus – Tom Schankweiler (CMS CMS/OIS Facilitator - ISSO) and Darrin Lyles (CMS CMS/OIS Facilitator - ISSO) requested that we (BC) return next week to CGI to perform testing on the Call Center UI functionality within the HIX application.

The testing will require one person for 4-6 hours.

(b)(5)

Regards,

*Adam Kerns*

Associate - Cyber Security

**Blue Canopy Group, LLC**

**11091 Sunset Hills Road | Suite 777 | Reston, VA 20190**

(b)(6) (mobile)

\*\*\*\*\*  
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entity to whom they are addressed. If you have received this email in error please notify the system manager. This message contains confidential information and is intended only for the individual named. If you are not the named addressee you should not disseminate, distribute or copy this e-mail. Please notify the sender immediately by e-mail if you have received this e-mail by mistake and delete this e-mail from your system. If you are not the intended recipient you are notified that disclosing, copying, distributing or taking any action in reliance on the contents of this information is strictly prohibited.

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\*\*\*\*\*  
\*\*\*\*\*

Message

**From:** Hoffman, Jessica (CMS/OIS) [NotResp]  
[NotResp]  
**Sent:** 9/19/2013 9:01:00 PM  
**To:** Fryer, Teresa M. (CMS/OIS) [NotResp]  
[NotResp] Toomey, Jacqueline S. (CMS/OIS) [NotResp]  
[NotResp]  
**Subject:** FW: HIX A --- Additional Testing Requested

Jessica Hoffman  
Enterprise Information Security Group (EISG)  
Division of Information Security Policy and Compliance (DISPC)  
Office: 410.786.4458 | BB: [Redacted] (b)(6)  
CMS IS Library: <http://www.cms.hhs.gov/informationsecurity/>  
Contact EISG at [CISO@cms.hhs.gov](mailto:CISO@cms.hhs.gov)

**From:** Gus Tome [mailto:GTome@bcfedgroup.com]  
**Sent:** Thursday, September 19, 2013 1:48 PM  
**To:** Hoffman, Jessica (CMS/OIS); King, Jason C. (CMS/OIS)  
**Subject:** RE: HIX A --- Additional Testing Requested

I'm good with it. Thanks for the help!

Gus

Sent from my Samsung Galaxy Note® II

----- Original message -----

**From:** "Hoffman, Jessica (CMS/OIS)" <[Jessica.Hoffman@cms.hhs.gov](mailto:Jessica.Hoffman@cms.hhs.gov)>  
**Date:** 09/19/2013 13:38 (GMT-05:00)  
**To:** Gus Tome <[GTome@bcfedgroup.com](mailto:GTome@bcfedgroup.com)>, "King, Jason C. (CMS/OIS)" <[Jason.King@cms.hhs.gov](mailto:Jason.King@cms.hhs.gov)>  
**Subject:** RE: HIX A --- Additional Testing Requested

[Redacted]  
(b)(5)

Lmk if you agree and I will take action. Thanks!

Jessica Hoffman  
Enterprise Information Security Group (EISG)  
Division of Information Security Policy and Compliance (DISPC)  
Office: 410.786.4458 | BB: (b)(6)  
CMS IS Library: <http://www.cms.hhs.gov/informationsecurity/>  
Contact EISG at [CISO@cms.hhs.gov](mailto:CISO@cms.hhs.gov)

**From:** Gus Tome [<mailto:GTome@bcfedgroup.com>]  
**Sent:** Thursday, September 19, 2013 1:33 PM  
**To:** Hoffman, Jessica (CMS/OIS); King, Jason C. (CMS/OIS)  
**Subject:** RE: HIX A --- Additional Testing Requested

(b)(5)

Sent from my Samsung Galaxy Note® II

----- Original message -----

From: "Hoffman, Jessica (CMS/OIS)" <[Jessica.Hoffman@cms.hhs.gov](mailto:Jessica.Hoffman@cms.hhs.gov)>  
Date: 09/19/2013 13:16 (GMT-05:00)  
To: Gus Tome <[GTome@bcfedgroup.com](mailto:GTome@bcfedgroup.com)>, "King, Jason C. (CMS/OIS)" <[Jason.King@cms.hhs.gov](mailto:Jason.King@cms.hhs.gov)>  
Subject: RE: HIX A --- Additional Testing Requested

(b)(5)

Please let me know asap and I will contact tom/Darrin as appropriate.

Thanks!

Jessica Hoffman  
Enterprise Information Security Group (EISG)

Division of Information Security Policy and Compliance (DISPC)

Office: 410.786.4458 | BB: (b)(6)

CMS IS Library: <http://www.cms.hhs.gov/informationsecurity/>

Contact EISG at [CISO@cms.hhs.gov](mailto:CISO@cms.hhs.gov)

**From:** Gus Tome [<mailto:GTome@bcfedgroup.com>]

**Sent:** Thursday, September 19, 2013 1:13 PM

**To:** Hoffman, Jessica (CMS/OIS); King, Jason C. (CMS/OIS)

**Subject:** Fwd: HIX A --- Additional Testing Requested

Jason/Jessica,

(b)(5)

Gus

Sent from my Samsung Galaxy Note® II

----- Original message -----

From: Adam Kerns <[AKerns@bluecanopy.com](mailto:AKerns@bluecanopy.com)>

Date: 09/19/2013 12:40 (GMT-05:00)

To: Gus Tome <[GTome@bcfedgroup.com](mailto:GTome@bcfedgroup.com)>

Cc: Farzan Karimi <[FKarimi@bluecanopy.com](mailto:FKarimi@bluecanopy.com)>

Subject: HIX A --- Additional Testing Requested

(b)(5)

(b)(5)

Regards,

*Adam Kerns*

Associate - Cyber Security

**Blue Canopy Group, LLC**

**11091 Sunset Hills Road | Suite 777 | Reston, VA 20190**

(b)(6) (mobile)

\*\*\*\*\*  
\*\*\*\*\*

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reliance on the contents of this information is strictly prohibited.

\*\*\*\*\*

\*\*\*\*\*



Message

**From:** Hoffman, Jessica (CMS/OIS) [redacted] NotResp  
[redacted] NotResp  
**Sent:** 9/19/2013 9:00:29 PM  
**To:** Fryer, Teresa M. (CMS/OIS) [redacted] NotResp  
[redacted] NotResp  
**CC:** Toomey, Jacqueline S.(CMS/OIS) [redacted] NotResp  
[redacted] NotResp  
**Subject:** RE: thanks

(b)(5)

Jessica Hoffman  
Enterprise Information Security Group (EISG)  
Division of Information Security Policy and Compliance (DISPC)  
Office: 410.786.4458 | BB: [redacted] (b)(6)  
CMS IS Library: <http://www.cms.hhs.gov/informationsecurity/>  
Contact EISG at [CISO@cms.hhs.gov](mailto:CISO@cms.hhs.gov)

-----Original Message-----

**From:** Fryer, Teresa M. (CMS/OIS)  
**Sent:** Thursday, September 19, 2013 4:49 PM  
**To:** Hoffman, Jessica (CMS/OIS)  
**Cc:** Toomey, Jacqueline S.(CMS/OIS)  
**Subject:** Re: thanks

(b)(4)

----- Original Message -----

**From:** Hoffman, Jessica (CMS/OIS)  
**Sent:** Thursday, September 19, 2013 04:36 PM  
**To:** Fryer, Teresa M. (CMS/OIS)  
**Cc:** Toomey, Jacqueline S.(CMS/OIS)

Subject: RE: thanks

(b)(5)

Jessica Hoffman  
Enterprise Information Security Group (EISG) Division of Information Security Policy and Compliance (DISPC)  
Office: 410.786.4458 | BB: (b)(6) CMS IS Library: <http://www.cms.hhs.gov/informationsecurity/>  
Contact EISG at [CISO@cms.hhs.gov](mailto:CISO@cms.hhs.gov)

-----Original Message-----

From: Fryer, Teresa M. (CMS/OIS)  
Sent: Thursday, September 19, 2013 4:32 PM  
To: Hoffman, Jessica (CMS/OIS)  
Cc: Toomey, Jacqueline S.(CMS/OIS)  
Subject: thanks

Thanks for speaking up about blue canopy coming back next week!

Message

---

**From:** Byczkowski, Roxanne (CMS/OIS) [NotResp]  
[NotResp]  
**Sent:** 9/19/2013 11:26:23 AM  
**To:** Fryer, Teresa M. (CMS/OIS) [NotResp]  
[NotResp]  
**Subject:** Marketplace support

Teresa –

Is the only person that you have 100% supporting marketplace Jane Kim? Or are there others?

**Roxanne Byczkowski, CBCP**

Special Assistant

Centers for Medicare & Medicaid Services (CMS)

Office of Information Services (OIS)

Phone: 410-786-5286 BlackBerry: [Redacted] (b)(6)

[Roxanne.byczkowski@cms.hhs.gov](mailto:Roxanne.byczkowski@cms.hhs.gov)

7500 Security Blvd., N3-14-26

Baltimore, MD 21244-1850

Message

**From:** King, Jason C. (CMS/OIS); [Redacted] **NotResp**  
**Sent:** 9/19/2013 10:53:24 AM  
**To:** Fryer, Teresa M. (CMS/OIS); [Redacted] **NotResp**  
[Redacted] **NotResp** Toomey, Jacqueline S.(CMS/OIS); [Redacted] **NotResp**  
[Redacted] **NotResp**  
**Subject:** RE: HHS Briefing  
**Attachments:** EISG HHS Pres DRAFT - 9 20 13 - V1 - JCK 2.pptx

With additional summary slide.

Jason King  
Division of Information Security Policy and Compliance (DISPC)  
Enterprise Information Security Group (EISG)  
Centers for Medicare & Medicaid Services  
PH: (410) 786-7578  
<http://www.cms.hhs.gov/informationsecurity/>  
Contact EISG at [CISO@cms.hhs.gov](mailto:CISO@cms.hhs.gov)

**From:** Fryer, Teresa M. (CMS/OIS)  
**Sent:** Wednesday, September 18, 2013 4:55 PM  
**To:** King, Jason C. (CMS/OIS); Toomey, Jacqueline S.(CMS/OIS)  
**Subject:** RE: HHS Briefing

Were you sending this back to me with an additional slide with summary bullets?

Thanks,

Teresa

**From:** King, Jason C. (CMS/OIS)  
**Sent:** Wednesday, September 18, 2013 4:21 PM  
**To:** Fryer, Teresa M. (CMS/OIS); Toomey, Jacqueline S.(CMS/OIS)  
**Subject:** RE: HHS Briefing

(b)(5)

Jason King  
Division of Information Security Policy and Compliance (DISPC)  
Enterprise Information Security Group (EISG)  
Centers for Medicare & Medicaid Services  
PH: (410) 786-7578  
<http://www.cms.hhs.gov/informationsecurity/>  
Contact EISG at [CISO@cms.hhs.gov](mailto:CISO@cms.hhs.gov)

**From:** Fryer, Teresa M. (CMS/OIS)  
**Sent:** Wednesday, September 18, 2013 10:41 AM  
**To:** Toomey, Jacqueline S.(CMS/OIS); King, Jason C. (CMS/OIS)  
**Subject:** HHS Briefing

Here is the start of the HHS briefing with the slide from Mitre

Teresa Fryer, CISSP, CIPP/G  
Chief Information Security Officer and  
Director, Enterprise Information Security Group (EISG)  
Centers for Medicare & Medicaid Services  
Office of Information Services (OIS)  
7500 Security Blvd, N1-26-18  
Baltimore, MD 21244  
410-786-2614 (W)

(b)(6) (C)

[teresa.fryer@cms.hhs.gov](mailto:teresa.fryer@cms.hhs.gov)



Enterprise Information Security Group  
*Risk Management, Oversight, & Monitoring*

This email and any attachments may contain Sensitive/Privacy Data which is intended for use only by the individuals to whom it is addressed, it may contain information that is confidential, privileged or otherwise protected from disclosure under applicable laws. If you are not the intended recipient please note that copying or distributing this email is strictly prohibited.



# Marketplace Information Security Update



*September 2013*

# Marketplace Information Security Update

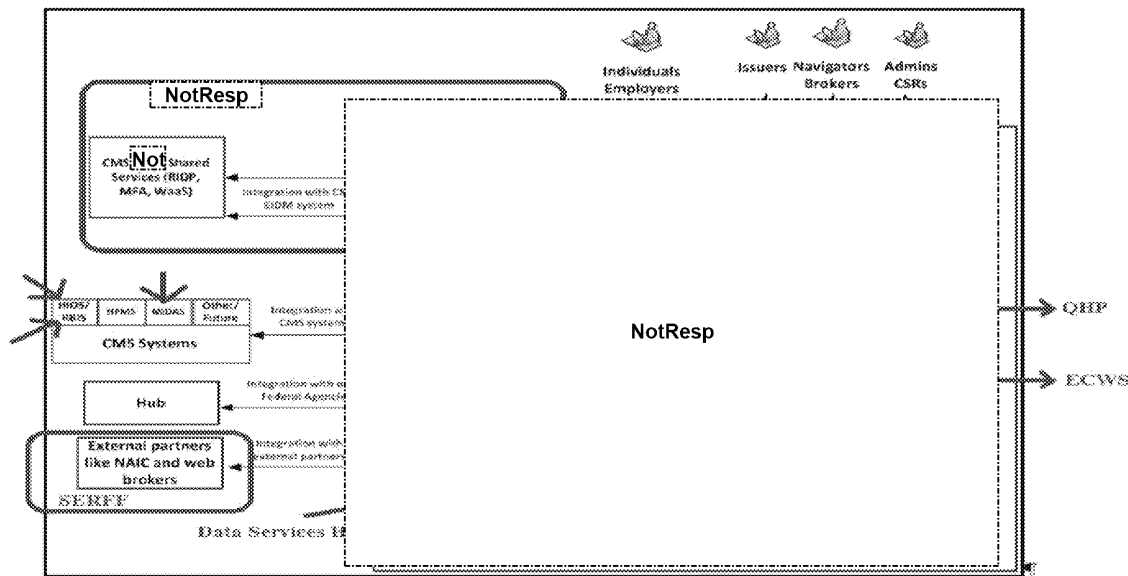


Figure 5: High-level System Interconnections

# Security Dashboard – ATO Dates for Federal Systems Supporting ACA – As of 09/18/2013

## Marketplace

System/Application	ATO Target Date	ATO Expiration
Infrastructure as a Service (IaaS)		03/31/2014
Platform as a Service (PaaS)		03/31/2014
Collaborative Application Lifecycle Management Tool (CALT)		10/26/2015
State Exchange Resource and Tracking System (SERTS/SERVIS)		01/25/2016
Data Analytics System (MIDAS)		01/30/2016
Opportunity to Network and Engage (ONE)		04/01/2016
Federally Facilitated Marketplace (FFM)		
• Qualified Health Plans (QHP)	09/03/2013	8/31/2014
• Dental	09/03/2013	8/31/2014
• Eligibility & Enrollment (E&E)	09/27/2013	
• Financial Management (FM)	09/27/2013	
• Plan Management (PM)	09/27/2013	
Enterprise Health Information Program (EHIP)		TBD
Enterprise Eligibility Service (EES)		TBD
Data Services Hub (DSH)	09/06/2013	08/30/2016
Co-Op Management System (CPMS)	09/17/2013	05/31/2016
Exchange Consumer Web Services (ECWS)	10/18/2013	
Virtual Audit Management System (VAMS)	10/25/2013	
Virtual Document Center (VDC)	9/ /2013	
Virtual Document Center (VDC)		TBD
Exchange Operations Center (XOC)	12/13/2013	
Enterprise Health Information Program (EHIP)		TBD
Enterprise Eligibility Service (EES)	01/17/2013	
Enterprise Eligibility Service (EES)		TBD

## Marketplace

System/ Application	ATO Target Date	ATO Expiration
Enterprise Health Information Program (EHIP)	TBD	
Enterprise Eligibility Service (EES)	TBD	
Enterprise Eligibility Service (EES)	TBD	
Enterprise Eligibility Service (EES)	TBD	
Enterprise Eligibility Service (EES)	TBD	
Enterprise Eligibility Service (EES)	TBD	

## Shared Services

System/ Application	ATO Target Date	ATO Expiration
Enterprise Identity Management (EIDM)		1/31/2016
Enterprise Portal		9/10/2015
Enterprise Eligibility Service (EES)		4/5/2016

## External Partners Agreements

Agency	ISA	ATC	CMA	SLA
IRS	09/03/2013	09/27/2013	09/15/2013	4/12/2013
SSA	09/03/2013	09/27/2013	09/08/2013	8/22/2013
IRS	09/03/2013	09/27/2013	09/20/2013	9/20/2013
IRS	09/03/2013	09/27/2013	09/15/2013	9/15/2013
IRS	09/03/2013	09/27/2013	09/03/2013	12/27/2013
IRS	09/03/2013	09/27/2013		
IRS	09/03/2013	09/27/2013		
IRS	05/24/2013	09/27/2013		
IRS	05/24/2013	09/27/2013		





**Security Dashboard – Current SCA Findings for Federal Systems Supporting ACA –****Marketplace As of 09/18/2013**

System/Application	Findings		
	H	M	L
Infrastructure as a Service (IaaS)	0	5	2
Platform as a Service (PaaS)	2	27	23
Collaborative Application Lifecycle Management Tool (CALT)	0	12	12
State Exchange Resource and Tracking System (SERTS/SERVIS)	0	1	24
Data Analytics System (MIDAS)	0	33	33
Opportunity to Network and Engage <b>NotRe</b>	0	0	1
Federally Facilitated Marketplace (FFM)	2	41	30
• Qualified Health Plans (QHP)	Refer to FFM		
• Dental	Refer to FFM		
• Eligibility & Enrollment (E&E)	SCA Underway		
• Financial Management (FM)	SCA Underway		
• Plan Management (PM)	SCA Underway		
FFM Small Business Health Options Program (SHOP)	No SCA		
FFM Eligibility Service Workers (ESW/ESD) - SERCO	No SCA		
Data Services Hub (DSH)	0	12	1
Co-Op Management System (CPMS)	0	10	8
Health Insurance Oversight System (HIOS)	1	37	15
Exchange Consumer Web Services (ECWS)	2	15	9
Virtual Audit Management System (VAMS)			
Health Insurance Casework System (HICS)	1	10	5
Digital Documents Correspondence System (Digi_Docs)	3	16	
Exchange Operations Center (XOC)	6	46	17
Health Insurance Assistance Database (HIAD)	No SCA		
Health Insurance Marketplace (LMI)	No SCA		
Health Insurance Marketplace Recovery Site (DR) @ HP	No SCA		

**Marketplace continued**

System/Application	Findings		
	H	M	L
SERCO marketplace call center system	No SCA		
Enrollment Resolution and Reconciliation (ERR)	No SCA		
Eligibility Appeals Case Management System (EACMS)	No SCA		
Marketplace survey system	No SCA		
Registration for Technical Assistance Portal (REGTAP)	No SCA		
Application Penetration Testing	13	2	0

**Shared Services**

System/ Application	Findings		
	H	M	L
Enterprise Identity Management (EIDM)	0	2	1
Enterprise Portal	0	0	1
Enterprise Eligibility Service (EES)	0	3	3



## SCA and ATO Summary and Conclusions

- The majority of the CMS ACA applications rely on and inherit controls from the IaaS and PaaS, located in CMS's NotResp. Many of the applications also have complex interdependencies.

- (b)(5)
- 
- 
- 



Message

---

**From:** Linares, George E. (CMS/OIS) [NotResp]  
[NotResp]  
**Sent:** 9/25/2013 8:09:31 PM  
**To:** Trudel, Karen (CMS/OIS) [NotResp]  
[NotResp]  
**Subject:** FW: FFM Decision memo 9-25 kt comments.docx  
**Attachments:** TTFFM Decision memo 9-25 Final.docx

Tony had comments...

**From:** Trenkle, Tony (CMS/OIS)  
**Sent:** Wednesday, September 25, 2013 3:50 PM  
**To:** Linares, George E. (CMS/OIS)  
**Cc:** Byczkowski, Roxanne (CMS/OIS)  
**Subject:** RE: FFM Decision memo 9-25 kt comments.docx

My feedback attached.

**From:** Linares, George E. (CMS/OIS)  
**Sent:** Wednesday, September 25, 2013 3:32 PM  
**To:** Trenkle, Tony (CMS/OIS)  
**Subject:** FW: FFM Decision memo 9-25 kt comments.docx

***George Linares***

*Acting Chief Technology Officer*

Centers for Medicare & Medicaid Services (CMS)

☎ 410.786.2866 ✉ [george.linares@cms.hhs.gov](mailto:george.linares@cms.hhs.gov)

7500 Security Blvd., N3-15-25

Baltimore, MD 21244-1850

Need more information? Visit [the OIS website](#).

**From:** Linares, George E. (CMS/OIS)  
**Sent:** Wednesday, September 25, 2013 3:15 PM  
**To:** Byczkowski, Roxanne (CMS/OIS)  
**Subject:** FW: FFM Decision memo 9-25 kt comments.docx

Roxanne,

Here it's the content for one of the Decision memos

Thanks

***George Linares***

*Acting Chief Technology Officer*

Centers for Medicare & Medicaid Services (CMS)

☎ 410.786.2866 ✉ [george.linares@cms.hhs.gov](mailto:george.linares@cms.hhs.gov)

7500 Security Blvd., N3-15-25

Baltimore, MD 21244-1850

Need more information? Visit [the OIS website](#).

**From:** Linares, George E. (CMS/OIS)

**Sent:** Wednesday, September 25, 2013 1:54 PM

**To:** Fryer, Teresa M. (CMS/OIS); Schankweiler, Thomas W. (CMS/OIS); Outerbridge, Monique (CMS/OIS)

**Cc:** Mellor, Michael (CMS/OIS); Trudel, Karen (CMS/OIS)

**Subject:** FFM Decision memo 9-25 kt comments.docx

Based on the 1:00pm meeting. Here it's the latest version. Please review and provide comments or concurrence by 3:30 today.

Thanks

George

Message

**From:** Linares, George E. (CMS/OIS); NotResp  
NotResp  
**Sent:** 9/25/2013 7:32:14 PM  
**To:** Trenkle, Tony (CMS/OIS); NotResp  
**Subject:** FW: FFM Decision memo 9-25 kt comments.docx  
**Attachments:** FFM Decision memo 9-25 Final.docx

***George Linares***

*Acting Chief Technology Officer*

Centers for Medicare & Medicaid Services (CMS)

☎ 410.786.2866 ✉ [george.linares@cms.hhs.gov](mailto:george.linares@cms.hhs.gov)

7500 Security Blvd., N3-15-25

Baltimore, MD 21244-1850

Need more information? Visit [the OIS website](#).

**From:** Linares, George E. (CMS/OIS)  
**Sent:** Wednesday, September 25, 2013 3:15 PM  
**To:** Byczkowski, Roxanne (CMS/OIS)  
**Subject:** FW: FFM Decision memo 9-25 kt comments.docx

Roxanne,

Here it's the content for one of the Decision memos

Thanks

***George Linares***

*Acting Chief Technology Officer*

Centers for Medicare & Medicaid Services (CMS)

☎ 410.786.2866 ✉ [george.linares@cms.hhs.gov](mailto:george.linares@cms.hhs.gov)

7500 Security Blvd., N3-15-25

Baltimore, MD 21244-1850

Need more information? Visit [the OIS website](#).

**From:** Linares, George E. (CMS/OIS)  
**Sent:** Wednesday, September 25, 2013 1:54 PM  
**To:** Fryer, Teresa M. (CMS/OIS); Schankweiler, Thomas W. (CMS/OIS); Outerbridge, Monique (CMS/OIS)  
**Cc:** Mellor, Michael (CMS/OIS); Trudel, Karen (CMS/OIS)  
**Subject:** FFM Decision memo 9-25 kt comments.docx

Based on the 1:00pm meeting. Here it's the latest version. Please review and provide comments or concurrence by 3:30 today.

Thanks

George

Message

---

**From:** Linares, George E. (CMS/OIS) [NotResp]  
[NotResp]

**Sent:** 9/25/2013 6:02:04 PM

**To:** Trudel, Karen (CMS/OIS) [NotResp]  
[NotResp]

**Subject:** Marketplace Security Briefing for COO Final.pptx

**Attachments:** Marketplace Security Briefing for COO Final.pptx

Message

**From:** Jim Jones [jjones\_con@qssinc.com]  
**Sent:** 9/26/2013 2:16:46 AM  
**To:** Zaman, Akhtar (CMS/OIS) [NotResp]  
 Deepak Bhatta [dbhatta@qssinc.com]; Venkatasubramanian, Ramesh (CGI Federal) [ramesh.venkatasubramanian@cgifederal.com]; Rubin, Keith (CGI Federal) [Keith.Rubin@cgifederal.com]  
**CC:** Speights, Richard A. (CMS/OIS) [NotResp]  
 [NotResp]; Donohoe, Paul X. (CMS/OIS) [NotResp]  
 [NotResp] Vishal Khaparde [vkhaparde\_con@qssinc.com]  
**Subject:** Update: PST Summary for Wednesday

Later in the evening, we ran two more cycles of testing. CGI had made changes and had run a 500 user smoke test. We attempted two cycles at 2,000 users. Neither was successful. The results were as follows:

Cycle 1

2000 Vuser test (8:40 pm)

Large number of Race and Ethnicity failures.

CGI suspects a load balancing issue. Made changes and restored the database.

Cycle 2

2000 Vusers (9:31 pm)

Saw very high Login response times. As the vusers ramped up there were a large number of login failures. Test was stopped.

Jim Jones

QSSI, FFM Performance Test Lead

Desk 301-977-7884 x329

Cell [Redacted]

**From:** Jim Jones

**Sent:** Wednesday, September 25, 2013 6:25 PM

**To:** Akhtar Zaman (Akhtar.Zaman@cms.hhs.gov); Deepak Bhatta; Venkatasubramanian, Ramesh (CGI Federal); Rubin, Keith (CGI Federal)

**Cc:** Speights, Richard A. (CMS/OIS) (Richard.Speights@cms.hhs.gov); Donohoe, Paul X. (CMS/OIS) (Paul.Donohoe@cms.hhs.gov); Vishal Khaparde

**Subject:** PST Summary for Wednesday

The summary of activities for today is that we were unable to replicate the results of the successful 500 user test from yesterday. We are now failing the 500 user test and CGI is working to address the issue.

The following is a summary of the progression of today's activities:

**Prior to each cycle, a 10 user test was executed to verify a working environment.**

### Cycle 1

Ran a 10 Vuser test to validate the data and script changes work fine.

500 Vuser Test (12:15 PM)

Test finished with a lot of errors. The Individual App, Race and Ethnicity Transactions were taking a long time and eventually the system reached a breakpoint at 12:21 pm, after which everything started failing.

This was an attempt to replicate the results of the successful 500 user test that was run on Tuesday.

CGI said they were investigating a problem in how the messages are being sent across the cluster under load.

### Cycle 2

CGI decided that they would like to run a test routing everything to 1 App Server to verify that all transactions are working.

Ran a 10 Vuser test to validate the data and script changes work fine.

250 Vuser Test (2:24 pm)

60 Vusers failed for the Login.

63 Failures. 187 successful applications completed.

CGI reported that they reviewed the logs from the test and had changed property files and needed to bounce the servers.

### Cycle 3

Data Row: 1

Ran a 10 Vuser test to validate the data and script changes work fine.

2 users failed for Contact Info but rest all passed.

500 Vuser Test (4:47 pm)

No Login failures but system reached a breakpoint around 4:53 pm after which the response time started increasing rapidly and users started failing.

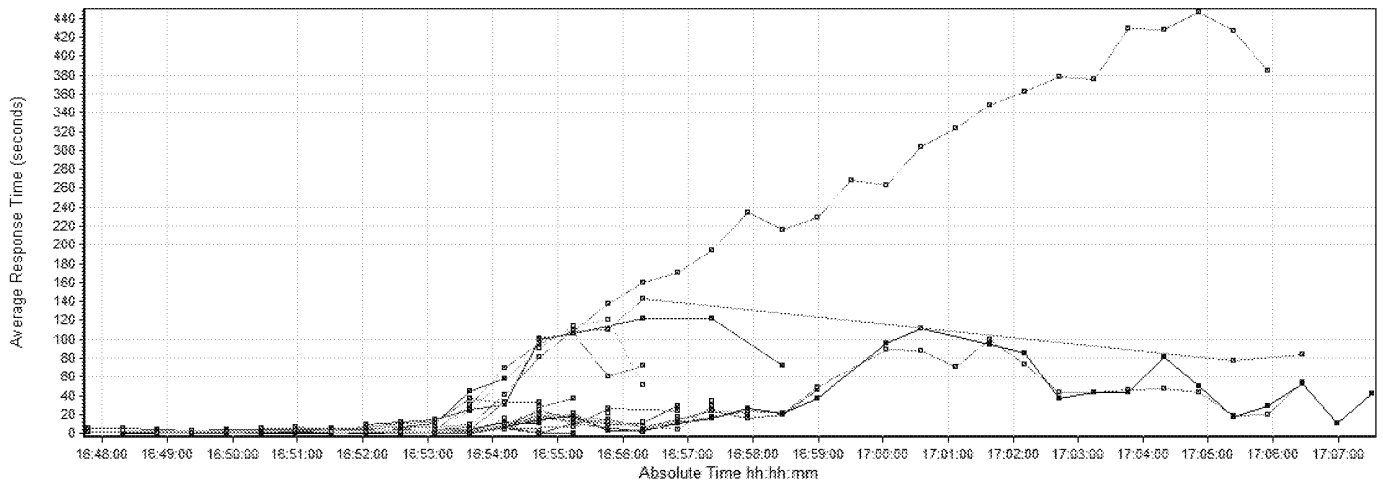
NotResp

CGI reported that they had identified an issue in NotResp and a Hotfix is going to be applied.

The following is the response time graph for the 500 Vuser test:



Average Transaction Response Time



Color	Scale	Measurement	Minimum	Average	Maximum	Std. Deviation
	1	FFM_APP_TC01_001_RegistrationPage	1.149	1.500	4.564	0.397
	1	FFM_APP_TC01_002_Login	2.910	4.144	26.985	1.924
	1	FFM_APP_TC01_002b_TermsAndConditions_Accept	0.359	0.732	15.580	1.154
	1	FFM_APP_TC01_002c_ChooseDifferentState	0.149	0.771	32.169	2.309
	1	FFM_APP_TC01_003_IndividualApplication	1.831	3.504	18.898	2.387
	1	FFM_APP_TC01_004_EnterContactInfo_SaveAndContinue	0.615	2.664	53.077	6.395
	1	FFM_APP_TC01_005_HelpApplyingForCoverage_HelpApplyingForCoverage	0.412	2.057	50.000	5.191
	1	FFM_APP_TC01_006_HelpApplyingForCoverage_SecurityQuestions	0.410	2.104	52.868	5.537
	1	FFM_APP_TC01_007_HelpPayingForCoverage_HelpPayingForCoverage	0.511	2.415	39.061	5.393
	1	FFM_APP_TC01_008_HelpPayingForCoverage_NeedFinancialAssistance	0.408	3.195	46.526	6.770
	1	FFM_APP_TC01_009_WhoNeedsCoverage_WhoNeedsCoverage	0.474	5.130	63.752	10.653
	1	FFM_APP_TC01_010_WhoNeedsCoverage_Person1_Info	0.460	3.646	45.116	7.187
	1	FFM_APP_TC01_011_WhoNeedsCoverage_Person2_Info	0.465	4.810	51.108	8.812
	1	FFM_APP_TC01_011b_WhoNeedsCoverage_ApplicantSummary_Save	4.090	119.166	491.444	154.582
	1	FFM_APP_TC01_012_FamilyAndHousehold_Person1_Gender	0.465	15.555	139.088	26.807
	1	FFM_APP_TC01_013_FamilyAndHousehold_Person1_SSNIInfo	0.478	14.860	119.642	24.775
	1	FFM_APP_TC01_014_FamilyAndHousehold_Person1_Citizenship	1.075	10.592	142.684	27.282
	1	FFM_APP_TC01_015_FamilyAndHousehold_Person1_RaceEthnicity	3.552	11.896	110.943	16.848
	1	FFM_APP_TC01_016_FamilyAndHousehold_Person2_Gender	0.560	1.013	12.329	1.481
	1	FFM_APP_TC01_017_FamilyAndHousehold_Person2_SSNIInfo	0.561	1.741	38.362	4.512
	1	FFM_APP_TC01_018_FamilyAndHousehold_Person2_Citizenship	1.118	9.843	120.285	25.424
	1	FFM_APP_TC01_019_FamilyAndHousehold_Person2_ConfirmAddress	0.638	1.986	33.171	4.406
	1	FFM_APP_TC01_020_FamilyAndHousehold_Person2_RaceEthnicity	4.553	22.785	199.101	30.439
	1	FFM_APP_TC01_021_FamilyAndHousehold_Summary_Save	3.511	9.975	59.207	10.529
	1	FFM_APP_TC01_022_AdditionalInfo_FederallyRecognizedTribe	0.740	1.147	7.993	1.104
	1	FFM_APP_TC01_023_ReviewAndSign_ReviewApp_AgreeStatements_SaveAndReturnLater	2.225	5.148	37.584	6.786
	1	FFM_APP_TC01_024_Logout	0.491	0.660	6.128	0.742

Jim Jones

QSSI, FFM Performance Test Lead

Desk 301-977-7884 x329

Cell (b)(6)

This electronic mail (including any attachments) may contain information that is privileged, confidential, and/or otherwise protected from disclosure to anyone other than its intended recipient(s). Any dissemination or use of this electronic email or its contents (including any attachments) by persons other than the intended recipient(s) is strictly prohibited. If you have received this message in error, please notify the sender by reply email and delete the original message (including any attachments) in its entirety.

**ISSUER TESTING STATUS**  
Reporting as of 9/23/13

Features	FFM Functionality	Direct Enrollment Functionality
Individual eligibility	Limited: APTC/CSR problems continue	Very Limited: Can't process applications with APTC / CSR
Family eligibility	Very Limited: APTC/CSR problems continue; Some applications don't process at all	Same
Calculate correct subsidy/premium	Limited: APTC not always calculating correctly	Very Limited: APTC/CSR not being returned
Shop on Plan Compare	Reliability issues; Data errors; missing QHPs (Was not available to test yesterday due to problem. Was supposed to be fixed in <b>NotResp</b> last night.)	N/A
Enroll in QHP	Limited: submit applications; 834 is inconsistent, lacking data or inaccurate data	Limited: inconsistent results; missing data in 834
Ability for consumer to arrange payment	Not yet: Data transfer and URL assignment errors	N/A
Ability for consumer to cancel QHP	Not yet	Same

Important to Note:

- Testing is on relatively simple scenarios, with simulated test data (as it relates to applicants, eligibility and subsidy).
- Inconsistent testing results have led to concern about overall integrity
- Only 7 issuers (Alpha Team) have had full insights and therefore lead time to prepare mitigation strategies; all remaining issuers have less than 1 week to prepare for significant issues and workarounds
- Scheduled to start yesterday (9/23); issuers were not allowed to start testing in **NotResp** until this morning ( 9/24)
- Must fix agent landing page for direct enrollment to work

Message

**From:** Trenkle, Tony (CMS/OIS) [NotResp]  
[NotResp]  
**Sent:** 9/25/2013 9:22:40 PM  
**To:** Bowen, Marianne (CMS/OA) [NotResp]  
[NotResp] Nelson, David J. (CMS/OEM)  
[NotResp]; Franey, Maribel R.  
(CMS/OEM) [NotResp] Fryer,  
Teresa M. (CMS/OIS) [NotResp]  
[NotResp]  
**Subject:** Fw: IMPORTANT: California IDP discussion  
**Attachments:** CA IDP Response 9-25-2013 (1).docx  
**Importance:** High

**From:** Linares, George E. (CMS/OIS)  
**Sent:** Wednesday, September 25, 2013 02:31 PM  
**To:** Trenkle, Tony (CMS/OIS); Chao, Henry (CMS/OIS)  
**Cc:** Trudel, Karen (CMS/OIS); Schankweiler, Thomas W. (CMS/OIS); Outerbridge, Monique (CMS/OIS); Grothe, Kirk A. (CMS/OIS)  
**Subject:** FW: IMPORTANT: California IDP discussion

Tony, Henry

This is the CA paper that was requested on Monday's meeting with Michelle. There was verbal concurrence on the 11:30 call this morning with everyone on this distribution list. Last, there were no further comments on the attached. I think this paper is ready for the COO. Let me know if you have any questions.

Thanks

**George Linares**

*Acting Chief Technology Officer*

Centers for Medicare & Medicaid Services (CMS)

☎ 410.786.2866 ✉ [george.linares@cms.hhs.gov](mailto:george.linares@cms.hhs.gov)

7500 Security Blvd., N3-15-25

Baltimore, MD 21244-1850

Need more information? Visit [the OIS website](#).

**From:** Schankweiler, Thomas W. (CMS/OIS)  
**Sent:** Wednesday, September 25, 2013 12:35 PM  
**To:** Fryer, Teresa M. (CMS/OIS); Chen, Jenny C. (CMS/CCIIO); Linares, George E. (CMS/OIS); Outerbridge, Monique (CMS/OIS); Grothe, Kirk A. (CMS/OIS); Schmidt, Donna W. (CMS/OIS); Greene-Horace, Andrea D. (CMS/CCIIO); Mercer, Karen L. (CMS/CCIIO)  
**Cc:** Cowley, Amanda D. (CMS/CCIIO); Comeaux, Nicole (CMS/CCIIO); Franey, Maribel R. (CMS/OEM); Blodgett, Bennett W. (CMS/CCIIO)  
**Subject:** IMPORTANT: California IDP discussion  
**Importance:** High

All,

Please see the updated response document. We have 30 minutes to make edits, corrections, etc. I am also available by phone (b)(6) and I am on Lync.

Thanks,

Tom

(b)(5)

09/24/2013

HHS-0123944  
CMS0011947

Message

**From:** Franey, Maribel R. (CMS/OEM) [NotResp]  
[NotResp]  
**Sent:** 9/25/2013 9:34:37 PM  
**To:** Trenkle, Tony (CMS/OIS) [NotResp]  
[NotResp] Bowen, Marianne (CMS/OA) [NotResp]  
[NotResp]  
Nelson, David J. (CMS/OEM) [NotResp]  
[NotResp] Fryer, Teresa M. (CMS/OIS) [NotResp]  
[NotResp] Schankweiler, Thomas W.  
(CMS/OIS) [NotResp] Outerbridge,  
Monique (CMS/OIS) [NotResp]  
Grothe, Kirk A. (CMS/OIS) [NotResp]  
[NotResp]  
**CC:** Trudel, Karen (CMS/OIS) [NotResp]  
[NotResp] Wills, Theodora (CMS/OEM) [NotResp]  
[NotResp]  
**Subject:** RE: IMPORTANT: California IDP discussion

We concur and thank you for including our privacy comments on attestation.

*Best Regards,  
Maribel Franey*

Director| Privacy Policy Compliance Group | Office of E-Health Standards & Services | Centers for Medicare & Medicaid Services | 7500 Security Boulevard| Baltimore, MD 21244| Mailstop: S2-26-17| Office Location: S2-25-27 Office: (410) 786-0757 | E-Mail: Maribel.Franey@cms.hhs.gov

**From:** Trenkle, Tony (CMS/OIS)  
**Sent:** Wednesday, September 25, 2013 5:23 PM  
**To:** Bowen, Marianne (CMS/OA); Nelson, David J. (CMS/OEM); Franey, Maribel R. (CMS/OEM); Fryer, Teresa M. (CMS/OIS)  
**Subject:** Fw: IMPORTANT: California IDP discussion  
**Importance:** High

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**Sent:** Wednesday, September 25, 2013 02:31 PM  
**To:** Trenkle, Tony (CMS/OIS); Chao, Henry (CMS/OIS)  
**Cc:** Trudel, Karen (CMS/OIS); Schankweiler, Thomas W. (CMS/OIS); Outerbridge, Monique (CMS/OIS); Grothe, Kirk A. (CMS/OIS)  
**Subject:** FW: IMPORTANT: California IDP discussion

Tony, Henry

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Thanks

***George Linares***

*Acting Chief Technology Officer*

Centers for Medicare & Medicaid Services (CMS)

☎ 410.786.2866 ✉ [george.linares@cms.hhs.gov](mailto:george.linares@cms.hhs.gov)

7500 Security Blvd., N3-15-25

Baltimore, MD 21244-1850

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**Sent:** Wednesday, September 25, 2013 12:35 PM

**To:** Fryer, Teresa M. (CMS/OIS); Chen, Jenny C. (CMS/CCIIO); Linares, George E. (CMS/OIS); Outerbridge, Monique (CMS/OIS); Grothe, Kirk A. (CMS/OIS); Schmidt, Donna W. (CMS/OIS); Greene-Horace, Andrea D. (CMS/CCIIO); Mercer, Karen L. (CMS/CCIIO)

**Cc:** Cowley, Amanda D. (CMS/CCIIO); Comeaux, Nicole (CMS/CCIIO); Franey, Maribel R. (CMS/OEM); Blodgett, Bennett W. (CMS/CCIIO)

**Subject:** IMPORTANT: California IDP discussion

**Importance:** High

All,

Please see the updated response document. We have 30 minutes to make edits, corrections, etc. I am also available by phone (b)(6) and I am on Lync.

Thanks,

Tom

Message

**From:** Schankweiler, Thomas W. (CMS/OIS) [NotResp]  
[NotResp]

**Sent:** 9/25/2013 4:09:04 PM

**To:** Fryer, Teresa M. (CMS/OIS) [NotResp]  
[NotResp] England-Gordon, John E. (CMS/OIS) [NotResp]  
[NotResp]  
[NotResp] Schmidt, Donna W. (CMS/OIS) [NotResp]  
[NotResp] Ambrosini, Ellen M. (CMS/OEM) [NotResp]  
[NotResp] Linares, George E. (CMS/OIS) [NotResp]  
[NotResp] Franey, Maribel R. (CMS/OEM) [NotResp]  
[NotResp]

**CC:** Cooper, Dennis (CMS/CTR) [NotResp]  
[NotResp] Davis, Angela (CMS/OIS) [NotResp]  
[NotResp]  
Schmidt, Donna W. (CMS/OIS) [NotResp]  
[NotResp] Grothe, Kirk A. (CMS/OIS) [NotResp]  
[NotResp] Outerbridge, Monique  
(CMS/OIS) [NotResp]

**Subject:** RE: Marketplace and States Decision Memo outlines\_JKahn.docx

That is likely what will happen is packages will be coming in on Saturday and Sunday.

**From:** Fryer, Teresa M. (CMS/OIS)  
**Sent:** Wednesday, September 25, 2013 12:06 PM  
**To:** Schankweiler, Thomas W. (CMS/OIS); England-Gordon, John E. (CMS/OIS); Schmidt, Donna W. (CMS/OIS); Ambrosini, Ellen M. (CMS/OEM); Linares, George E. (CMS/OIS); Franey, Maribel R. (CMS/OEM)  
**Cc:** Cooper, Dennis (CMS/CTR); Davis, Angela (CMS/OIS); Schmidt, Donna W. (CMS/OIS); Grothe, Kirk A. (CMS/OIS); Outerbridge, Monique (CMS/OIS)  
**Subject:** RE: Marketplace and States Decision Memo outlines\_JKahn.docx

We have contractor support available over the weekend, however, if Tony wants the packages delivered to him by Monday, EISG cannot be receiving them Sunday night or Monday for review.

**From:** Schankweiler, Thomas W. (CMS/OIS)  
**Sent:** Wednesday, September 25, 2013 11:29 AM  
**To:** England-Gordon, John E. (CMS/OIS); Schmidt, Donna W. (CMS/OIS); Fryer, Teresa M. (CMS/OIS); Ambrosini, Ellen M. (CMS/OEM); Linares, George E. (CMS/OIS); Franey, Maribel R. (CMS/OEM)  
**Cc:** Cooper, Dennis (CMS/CTR); Davis, Angela (CMS/OIS); Schmidt, Donna W. (CMS/OIS); Grothe, Kirk A. (CMS/OIS); Outerbridge, Monique (CMS/OIS)  
**Subject:** FW: Marketplace and States Decision Memo outlines\_JKahn.docx  
**Importance:** High

See below,

Is everyone on board with trying to make this happen? Including weekend support. I know SphereCom is cleared to work. If not we need to be talking to George ASAP.

Tom



**From:** Linares, George E. (CMS/OIS)  
**Sent:** Wednesday, September 25, 2013 11:24 AM  
**To:** Boughn, Julie C. (CMS/CMCS); Kahn, Jessica (CMS/CMCS)  
**Cc:** Schankweiler, Thomas W. (CMS/OIS); Trudel, Karen (CMS/OIS)  
**Subject:** RE: Marketplace and States Decision Memo outlines\_JKahn.docx

Julie,

Yes, OIS is planning to review all packages as they arrived. To that end, Tony has requested to have all packages received this week to be delivered to him by Monday, at the latest. He has authorized weekend support for this effort as well. Hopefully, this answers your questions.

Thanks

***George Linares***

*Acting Chief Technology Officer*

Centers for Medicare & Medicaid Services (CMS)

☎ 410.786.2866 ✉ [george.linares@cms.hhs.gov](mailto:george.linares@cms.hhs.gov)

7500 Security Blvd., N3-15-25

Baltimore, MD 21244-1850

Need more information? Visit [the OIS website](#).

**From:** Boughn, Julie C. (CMS/CMCS)  
**Sent:** Wednesday, September 25, 2013 10:16 AM  
**To:** Kahn, Jessica (CMS/CMCS); Linares, George E. (CMS/OIS)  
**Cc:** Schankweiler, Thomas W. (CMS/OIS); Trudel, Karen (CMS/OIS)  
**Subject:** RE: Marketplace and States Decision Memo outlines\_JKahn.docx

So it looks like all the states that need connection by 10/1 have now submitted their documentation. George and Tom- what's the plan on the OIS side to review the documentation that has been submitted? I know we're going to allow ATC based upon the fact that the states have submitted. Presumably, we are still planning to review, right? Have you all established a timeline, or even some prioritization, to those reviews?

Julie Boughn  
Deputy Director, Center for Medicaid & CHIP  
Twitter: @julieboughn

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**From:** Kahn, Jessica (CMS/CMCS)  
**Sent:** Tuesday, September 24, 2013 10:40 PM  
**To:** Linares, George E. (CMS/OIS)  
**Cc:** Schankweiler, Thomas W. (CMS/OIS); Trudel, Karen (CMS/OIS); Boughn, Julie C. (CMS/CMCS)  
**Subject:** Re: Marketplace and States Decision Memo outlines\_JKahn.docx

Thanks- also NC just uploaded their remaining documentation a little while ago so more progress!  
Jess

On Sep 24, 2013, at 8:11 PM, "Linares, George E. (CMS/OIS)" <[George.Linares@cms.hhs.gov](mailto:George.Linares@cms.hhs.gov)> wrote:  
Thanks Jess. Karen and myself will review, format it and then we'll send out for final ok.

---

**From:** Kahn, Jessica (CMS/CMCS)

**Sent:** Tuesday, September 24, 2013 6:13 PM

**To:** Linares, George E. (CMS/OIS); Schankweiler, Thomas W. (CMS/OIS); Trudel, Karen (CMS/OIS)

**Cc:** Boughn, Julie C. (CMS/CMCS)

**Subject:** Marketplace and States Decision Memo outlines\_JKahn.docx

Attached includes the CMCS input into the decision memo on the state side of things. I used the security status report received from OIS at 4pm today from Dennis. Also attached is the appendix that indicates States' status.

Message

**From:** Schankweiler, Thomas W. (CMS/OIS); [NotResp]  
[NotResp]

**Sent:** 9/25/2013 4:12:32 PM

**To:** Fryer, Teresa M. (CMS/OIS); [NotResp]  
[NotResp] England-Gordon, John E. (CMS/OIS); [NotResp]  
[NotResp]  
[NotResp] Schmidt, Donna W. (CMS/OIS); [NotResp]  
[NotResp]; Ambrosini, Ellen M. (CMS/OEM); [NotResp]  
[NotResp]; Linares, George E. (CMS/OIS); [NotResp]  
[NotResp] Franey, Maribel R. (CMS/OEM); [NotResp]  
[NotResp]

**CC:** Cooper, Dennis (CMS/CTR); [NotResp]  
[NotResp] Davis, Angela (CMS/OIS); [NotResp]  
[NotResp]  
Schmidt, Donna W. (CMS/OIS); [NotResp]  
[NotResp] Grothe, Kirk A. (CMS/OIS); [NotResp]  
[NotResp] Outerbridge, Monique  
(CMS/OIS); [NotResp]

**Subject:** RE: Marketplace and States Decision Memo outlines\_JKahn.docx

We will be at the Baltimore location assembling package. You are welcome to have folks come in to be a part of it. Invite to follow sometime today. I hope you can have someone attend.

Tom

**From:** Fryer, Teresa M. (CMS/OIS)  
**Sent:** Wednesday, September 25, 2013 12:11 PM  
**To:** Schankweiler, Thomas W. (CMS/OIS); England-Gordon, John E. (CMS/OIS); Schmidt, Donna W. (CMS/OIS); Ambrosini, Ellen M. (CMS/OEM); Linares, George E. (CMS/OIS); Franey, Maribel R. (CMS/OEM)  
**Cc:** Cooper, Dennis (CMS/CTR); Davis, Angela (CMS/OIS); Schmidt, Donna W. (CMS/OIS); Grothe, Kirk A. (CMS/OIS); Outerbridge, Monique (CMS/OIS)  
**Subject:** RE: Marketplace and States Decision Memo outlines\_JKahn.docx

Just saying, we will need some time to review as well. Will there be some notification of package drop off or do you expect someone to be in the office 24/7?

**From:** Schankweiler, Thomas W. (CMS/OIS)  
**Sent:** Wednesday, September 25, 2013 12:09 PM  
**To:** Fryer, Teresa M. (CMS/OIS); England-Gordon, John E. (CMS/OIS); Schmidt, Donna W. (CMS/OIS); Ambrosini, Ellen M. (CMS/OEM); Linares, George E. (CMS/OIS); Franey, Maribel R. (CMS/OEM)  
**Cc:** Cooper, Dennis (CMS/CTR); Davis, Angela (CMS/OIS); Schmidt, Donna W. (CMS/OIS); Grothe, Kirk A. (CMS/OIS); Outerbridge, Monique (CMS/OIS)  
**Subject:** RE: Marketplace and States Decision Memo outlines\_JKahn.docx

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**To:** Schankweiler, Thomas W. (CMS/OIS); England-Gordon, John E. (CMS/OIS); Schmidt, Donna W. (CMS/OIS); Ambrosini, Ellen M. (CMS/OEM); Linares, George E. (CMS/OIS); Franey, Maribel R. (CMS/OEM)  
**Cc:** Cooper, Dennis (CMS/CTR); Davis, Angela (CMS/OIS); Schmidt, Donna W. (CMS/OIS); Grothe, Kirk A. (CMS/OIS); Outerbridge, Monique (CMS/OIS)  
**Subject:** RE: Marketplace and States Decision Memo outlines\_JKahn.docx

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**From:** Schankweiler, Thomas W. (CMS/OIS)  
**Sent:** Wednesday, September 25, 2013 11:29 AM  
**To:** England-Gordon, John E. (CMS/OIS); Schmidt, Donna W. (CMS/OIS); Fryer, Teresa M. (CMS/OIS); Ambrosini, Ellen M. (CMS/OEM); Linares, George E. (CMS/OIS); Franey, Maribel R. (CMS/OEM)  
**Cc:** Cooper, Dennis (CMS/CTR); Davis, Angela (CMS/OIS); Schmidt, Donna W. (CMS/OIS); Grothe, Kirk A. (CMS/OIS); Outerbridge, Monique (CMS/OIS)  
**Subject:** FW: Marketplace and States Decision Memo outlines\_JKahn.docx  
**Importance:** High

See below,

Is everyone on board with trying to make this happen? Including weekend support. I know SphereCom is cleared to work. If not we need to be talking to George ASAP.

Tom

**From:** Linares, George E. (CMS/OIS)  
**Sent:** Wednesday, September 25, 2013 11:24 AM  
**To:** Boughn, Julie C. (CMS/CMCS); Kahn, Jessica (CMS/CMCS)  
**Cc:** Schankweiler, Thomas W. (CMS/OIS); Trudel, Karen (CMS/OIS)  
**Subject:** RE: Marketplace and States Decision Memo outlines\_JKahn.docx

Julie,

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Thanks

***George Linares***

*Acting Chief Technology Officer*

Centers for Medicare & Medicaid Services (CMS)

☎ 410.786.2866 ✉ [george.linares@cms.hhs.gov](mailto:george.linares@cms.hhs.gov)

7500 Security Blvd., N3-15-25

Baltimore, MD 21244-1850

Need more information? Visit [the OIS website](#).

**From:** Boughn, Julie C. (CMS/CMCS)  
**Sent:** Wednesday, September 25, 2013 10:16 AM  
**To:** Kahn, Jessica (CMS/CMCS); Linares, George E. (CMS/OIS)

**Cc:** Schankweiler, Thomas W. (CMS/OIS); Trudel, Karen (CMS/OIS)

**Subject:** RE: Marketplace and States Decision Memo outlines\_JKahn.docx

So it looks like all the states that need connection by 10/1 have now submitted their documentation. George and Tom- what's the plan on the OIS side to review the documentation that has been submitted? I know we're going to allow ATC based upon the fact that the states have submitted. Presumably, we are still planning to review, right? Have you all established a timeline, or even some prioritization, to those reviews?

Julie Boughn

Deputy Director, Center for Medicaid & CHIP

Twitter: @julieboughn

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**From:** Kahn, Jessica (CMS/CMCS)

**Sent:** Tuesday, September 24, 2013 10:40 PM

**To:** Linares, George E. (CMS/OIS)

**Cc:** Schankweiler, Thomas W. (CMS/OIS); Trudel, Karen (CMS/OIS); Boughn, Julie C. (CMS/CMCS)

**Subject:** Re: Marketplace and States Decision Memo outlines\_JKahn.docx

Thanks- also NC just uploaded their remaining documentation a little while ago so more progress!

Jess

On Sep 24, 2013, at 8:11 PM, "Linares, George E. (CMS/OIS)" <[George.Linares@cms.hhs.gov](mailto:George.Linares@cms.hhs.gov)> wrote:

Thanks Jess. Karen and myself will review, format it and then we'll send out for final ok.

---

**From:** Kahn, Jessica (CMS/CMCS)

**Sent:** Tuesday, September 24, 2013 6:13 PM

**To:** Linares, George E. (CMS/OIS); Schankweiler, Thomas W. (CMS/OIS); Trudel, Karen (CMS/OIS)

**Cc:** Boughn, Julie C. (CMS/CMCS)

**Subject:** Marketplace and States Decision Memo outlines\_JKahn.docx

Attached includes the CMCS input into the decision memo on the state side of things. I used the security status report received from OIS at 4pm today from Dennis. Also attached is the appendix that indicates States' status.

Message

**From:** Richardson, Marc D. (CMS/OIS) [NotResp]  
[NotResp]  
**Sent:** 9/25/2013 7:44:27 PM  
**To:** Schankweiler, Thomas W. (CMS/OIS) [NotResp]  
[NotResp] Mansaray, Sharlene (CMS/OIS) [NotResp]  
[NotResp] Fryer, Teresa M.  
(CMS/OIS) [NotResp]  
[NotResp]  
**CC:** Outerbridge, Monique (CMS/OIS) [NotResp]  
[NotResp]  
**Subject:** RE: Quick question on RIDP

(b)(5)

Regards,



Marc Richardson, PMP, Director

Centers for Medicare & Medicaid Services (CMS)  
Office of Information Services (OIS)  
Innovative Healthcare Delivery Systems Group (IHDSG)  
Division of Healthcare Information Systems (DHIS)  
☎ 410.786.0016 ✉ marc.richardson@cms.hhs.gov

(b)(6)

7500 Security Blvd., N3-17-07  
Baltimore, MD 21244-1850

Need more information? Please visit [the OIS website](#).



**From:** Schankweiler, Thomas W. (CMS/OIS)  
**Sent:** Friday, September 20, 2013 10:06 AM  
**To:** Richardson, Marc D. (CMS/OIS); Mansaray, Sharlene (CMS/OIS); Fryer, Teresa M. (CMS/OIS)  
**Cc:** Outerbridge, Monique (CMS/OIS)  
**Subject:** FW: Quick question on RIDP

Marc, Teresa, and Sharlene.

(b)(5)

Thoughts?

Tom

Message

**From:** Ryan Brewer [rbrewer@grayscout.com]  
**Sent:** 9/25/2013 6:51:57 PM  
**To:** Fryer, Teresa M. (CMS/OIS) [NotResp]  
[NotResp]  
**CC:** Jones, Paul (CMS/CTR) [NotResp], Cording,  
Kristina (CMS/OIS) [NotResp]  
**Subject:** Re: FW: Risk acceptance doc

Sure, no problem. We will review and discuss to provide an updated response.

Ryan

On Wed, Sep 25, 2013 at 2:45 PM, Fryer, Teresa M. (CMS/OIS) <[Teresa.Fryer@cms.hhs.gov](mailto:Teresa.Fryer@cms.hhs.gov)> wrote:

Ryan and Erik,

I hate to ask you this, but CCIIO has submitted an updated RA for this. Could you please review the attached RA to see if your identified risks associated with their approach still stand and update your document if needed. Then I will send this back to them with the below response. If possible, can I have this by noon on Friday?

Thanks,

Teresa

**From:** Ryan Brewer [mailto:[rbrewer@grayscout.com](mailto:rbrewer@grayscout.com)]  
**Sent:** Wednesday, September 18, 2013 3:00 PM  
**To:** Fryer, Teresa M. (CMS/OIS)  
**Cc:** Jones, Paul (CMS/CTR); Cording, Kristina (CMS/OIS)  
**Subject:** Re: FW: Risk acceptance doc

Hi Teresa,

(b)(5)



(b)(5)

On Tue, Sep 17, 2013 at 3:46 PM, Fryer, Teresa M. (CMS/OIS) <[Teresa.Fryer@cms.hhs.gov](mailto:Teresa.Fryer@cms.hhs.gov)> wrote:

Here is a risk acceptance sent by CCIIO for the manual method of remote identity proofing. Please review and provide your feedback, as well as our recommendation to Senior Leadership on accepting this level of risk. If possible, please have this back by COB tomorrow. If this is not possible, please let me know.

Thanks,

Teresa

**From:** Carter, Cathy T. (CMS/OIS)  
**Sent:** Tuesday, September 17, 2013 1:14 PM  
**To:** Trenkle, Tony (CMS/OIS); King, Terris (CMS/OIS); Fryer, Teresa M. (CMS/OIS)  
**Cc:** Richardson, Marc D. (CMS/OIS); Gass, Carole F. (CMS/OIS)  
**Subject:** FW: Risk acceptance doc

Here is what ccio sent

**From:** Richardson, Marc D. (CMS/OIS)  
**Sent:** Monday, September 16, 2013 11:32 PM  
**To:** Carter, Cathy T. (CMS/OIS); Gass, Carole F. (CMS/OIS)  
**Subject:** FW: Risk acceptance doc

**From:** Grothe, Kirk A. (CMS/OIS)  
**Sent:** Monday, September 16, 2013 3:50 PM  
**To:** Richardson, Marc D. (CMS/OIS)  
**Subject:** FW: Risk acceptance doc

Fyi.

Kirk Grothe, PMP®

Deputy Group Director, Consumer Information and Insurance Systems Group

Office of Information Services

Centers for Medicare and Medicaid Services

Phone: (301) 492-4377

Mobile: (b)(6)

[kirk.grothe@cms.hhs.gov](mailto:kirk.grothe@cms.hhs.gov)

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**From:** Kerr, James T. (CMS/CMHPO)  
**Sent:** Monday, September 16, 2013 12:13 PM  
**To:** Chao, Henry (CMS/OIS)  
**Cc:** Outerbridge, Monique (CMS/OIS); Grothe, Kirk A. (CMS/OIS); Lorenz, Samara A. (CMS/CCIIO)  
**Subject:** FW: Risk acceptance doc

Henry, Please review and advise if this can be used to take the next step. Thanks, Jim

***James T. Kerr***

James T. Kerr, acting Deputy Director, Operations, CCIIO and Consortium Administrator CMHPO | Centers for Medicare and Medicaid Services | phone: 301-492-4124

**From:** Walker, Benjamin L. (CMS/CCIIO)  
**Sent:** Monday, September 16, 2013 11:33 AM  
**To:** Kerr, James T. (CMS/CMHPO)  
**Cc:** Deboy, Alissa M. (CMS/CCIIO); Roche, Jacqueline R. (CMS/CCIIO)  
**Subject:** RE: Risk acceptance doc

Hi Jim,

See attached. Please let me know if you have any questions, or if you are comfortable with it.

Thanks,

Ben

**From:** Kerr, James T. (CMS/CMHPO)  
**Sent:** Monday, September 16, 2013 5:39 AM  
**To:** Walker, Benjamin L. (CMS/CCIIO)  
**Cc:** Deboy, Alissa M. (CMS/CCIIO); Roche, Jacqueline R. (CMS/CCIIO)  
**Subject:** RE: Risk acceptance doc

OK thx

***James T. Kerr***

James T. Kerr, acting Deputy Director, Operations, CCIIO and Consortium Administrator CMHPO | Centers for Medicare and Medicaid Services | phone: 301-492-4124

**From:** Walker, Benjamin L. (CMS/CCIIO)  
**Sent:** Sunday, September 15, 2013 11:56 PM  
**To:** Kerr, James T. (CMS/CMHPO)  
**Cc:** Deboy, Alissa M. (CMS/CCIIO); Roche, Jacqueline R. (CMS/CCIIO)  
**Subject:** Risk acceptance doc

Hi Jim,

I just wanted to let you know that I just wrapped up the first draft of the risk acceptance document. After Jackie has an opportunity to take a look, we will send it your way.

Thanks,

Ben

--

**C. Ryan Brewer GCIH, C|CISO, CISSP**

Principal

GrayScout LLC

Tel/Ofc: +1 202-904-2220 | Mobile: (b)(6)

[rbrewer@grayscout.com](mailto:rbrewer@grayscout.com) | [www.grayscout.com](http://www.grayscout.com)

--

**C. Ryan Brewer GCIH, C|CISO, CISSP**

Principal

GrayScout LLC

Tel/Ofc: +1 202-904-2220 | Mobile: (b)(6)

[rbrewer@grayscout.com](mailto:rbrewer@grayscout.com) | [www.grayscout.com](http://www.grayscout.com)

**This space intentionally left blank.**

Message

**From:** Schankweiler, Thomas W. (CMS/OIS) [NotResp]  
[NotResp]  
**Sent:** 9/25/2013 5:26:27 PM  
**To:** Fryer, Teresa M. (CMS/OIS) [NotResp]  
[NotResp]  
**Subject:** Fw: Wed POAM mtg

(b)(5)

Tom

**From:** Warren, Kevin (CMS/OIS)  
**Sent:** Wednesday, September 25, 2013 12:53 PM  
**To:** Schankweiler, Thomas W. (CMS/OIS)  
**Cc:** Villar, Manuel (CMS/CTR); Lyles, Darrin V. (CMS/OIS); jpeterson@ccsin.com <jpeterson@ccsin.com>; Brackett, Stacie D. (CMS/CCIIO); England-Gordon, John E. (CMS/OIS)  
**Subject:** RE: Wed POAM mtg

Tom,

This is an update to the email below.

Based on our meeting with EISG this morning and the explicit guidance they provided with the new CFACTS-POAM process, it will be impossible to have the weaknesses/findings identified below moved from pending verification to a closed status today.

Both James and Desmond communicated that all ISSOs will only be responsible for addressing Low findings as it pertains to Component (CAP) Validation. Subsequently, the CISO/EISG will be responsible for validating each High, Moderate, Low and weakness and in turn, closing them out.

The weaknesses currently in Pending Verification (PV) as it relates to the new EISG POAM process effective August 31, 2013, includes the following:

1. Business owner, System Maintainer and contract support staff are responsible to ensure all milestones, resources and appropriate artifacts are submitted/updated in CFACTS; as it pertains to their respective weakness for the system.

2. Once the appropriate information for the weakness has been updated in CFACTS, EISG will receive notification to review the information.
3. Once EISG receives the notification, they will review and validate the weakness to ensure the corresponding information and artifacts have been provided.
4. If the information is sufficient to close the weakness, EISG will disseminate an e-mail indicating successful OCISO Validation. The content of the email will include information that states we have completed all of our milestones and provided the appropriate
5. If the information is not sufficient to close the weakness, EISG will disseminate an e-mail indicating Failed OCISO Validation and a report indicating the steps needed for remediation.

(b)(5)

As a result of us reviewing a good portion of the Pending Verification items in CFACTS with Desmond, we will continue to work with each Business owner, System Maintainer and contract support staff to educate them on the new EISG POAM process as well as the necessary information needed for successful closure of findings.

Kevin Warren | Centers for Medicare and Medicaid Services (CMS)| Office of Information Services (OIS)| Consumer Information and Insurance Systems Group (CIISG) | 7700 Wisconsin Ave (9380-A)| Bethesda, Maryland | 301.492.4381 (O)

**From:** Schankweiler, Thomas W. (CMS/OIS)  
**Sent:** Monday, September 23, 2013 8:52 PM  
**To:** Warren, Kevin (CMS/OIS)  
**Cc:** Villar, Manuel (CMS/CTR); Lyles, Darrin V. (CMS/OIS)  
**Subject:** Wed POAM mtg

Kevin,

Here is what I need you and Jason to review with Desmond and James. All of these should get closed out. If you need to make any updates to get them closed, then work through that during the session to reach closure. All of these are pending verification so they should be easy enough to close out.

FFM #2, 7, 9,

HIOS – (2) high findings #54 and #55. Also address any items marked as pending verification 7,11, 29, 36, 57, 61, 62, 63, 64, 67, 68, 70, 72, 74, 75, 76, 77, 78, 79, 80, 82, 83, 84, 85, 87, 89, 102

SERTS - items marked as pending verification #20

MIDAS items marked as pending verification #65, #68

PaaS – (2) High Findings (refer to PaaS findings on HUB SCA (DSH)).

Regards,

Tom



Message

**From:** Shomo, Milton [tshomo@mitre.org]  
**Sent:** 9/25/2013 2:09:19 PM  
**To:** Mellor, Michael (CMS/OIS) [NotResp]  
**CC:** Fryer, Teresa M. (CMS/OIS) [NotResp]; Toomey, Jacqueline S.(CMS/OIS) [NotResp]; Bielski, Jim [jbielski@mitre.org]  
**Subject:** RE: FFM testing

Mike —

(b)(5)

Milton Shomo, CISSP  
Principal Information Systems Engineer  
MITRE Corp.  
Center for Connected Government  
(703) 983-2853 (O)  
(b)(6) (C)

**From:** Mellor, Michael (CMS/OIS) [mailto:Michael.Mellor@cms.hhs.gov]  
**Sent:** Tuesday, September 24, 2013 4:48 PM  
**To:** Shomo, Milton  
**Cc:** Fryer, Teresa M. (CMS/OIS); Toomey, Jacqueline S.(CMS/OIS)  
**Subject:** RE: FFM testing

(b)(5)

**From:** Mellor, Michael (CMS/OIS)  
**Sent:** Tuesday, September 24, 2013 4:42 PM  
**To:** Shomo, Milton (tshomo@mitre.org)  
**Cc:** Fryer, Teresa M. (CMS/OIS); Toomey, Jacqueline S.(CMS/OIS)  
**Subject:** FFM testing

Milton — Can you please send me the following? Need this ASAP please.

- Brief description of the FFM testing issues. (testing done in different environments, code not developed)
- What percentage of FFM (from a function / system model) was tested?
  - If you can list out specific components, that would be helpful
- What security controls were and were not tested?

Thanks.

**This space intentionally left blank.**

Message

**From:** Jones, Paul (CMS/CTR) [NotResp]  
**Sent:** 9/25/2013 6:57:07 PM  
**To:** Fryer, Teresa M. (CMS/OIS) [NotResp]; Ryan Brewer [rbrewer@grayscout.com]  
**CC:** Cording, Kristina (CMS/OIS) [NotResp]  
**Subject:** RE: FW: Risk acceptance doc  
**Attachments:** 130925 FFM identity proofing risk acceptance v3 (REDLINE).docx

(b)(5)

Erik Jones (Contractor)  
CISO Security Team  
Enterprise Information Security Group (EISG)  
Centers for Medicare & Medicaid Services  
703-393-2608 (voice)

(b)(6) (cell)

<http://www.cms.hhs.gov/informationsecurity/>  
Contact the CISO Team [CISO@cms.hhs.gov](mailto:CISO@cms.hhs.gov)

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**From:** Fryer, Teresa M. (CMS/OIS)  
**Sent:** Wednesday, September 25, 2013 2:46 PM  
**To:** Ryan Brewer  
**Cc:** Jones, Paul (CMS/CTR); Cording, Kristina (CMS/OIS)  
**Subject:** RE: FW: Risk acceptance doc

Ryan and Erik,

I hate to ask you this, but CCIIO has submitted an updated RA for this. Could you please review the attached RA to see if your identified risks associated with their approach still stand and update your document if needed. Then I will send this back to them with the below response. If possible, can I have this by noon on Friday?

Thanks,

Teresa

**From:** Ryan Brewer [mailto:rbrewer@grayscout.com]  
**Sent:** Wednesday, September 18, 2013 3:00 PM  
**To:** Fryer, Teresa M. (CMS/OIS)  
**Cc:** Jones, Paul (CMS/CTR); Cording, Kristina (CMS/OIS)  
**Subject:** Re: FW: Risk acceptance doc

Hi Teresa,

(b)(5)

On Tue, Sep 17, 2013 at 3:46 PM, Fryer, Teresa M. (CMS/OIS) <[Teresa.Fryer@cms.hhs.gov](mailto:Teresa.Fryer@cms.hhs.gov)> wrote:

Here is a risk acceptance sent by CCIIO for the manual method of remote identity proofing. Please review and provide your feedback, as well as our recommendation to Senior Leadership on accepting this level of risk. If possible, please have this back by COB tomorrow. If this is not possible, please let me know.

Thanks,

Teresa

**From:** Carter, Cathy T. (CMS/OIS)  
**Sent:** Tuesday, September 17, 2013 1:14 PM  
**To:** Trenkle, Tony (CMS/OIS); King, Terris (CMS/OIS); Fryer, Teresa M. (CMS/OIS)  
**Cc:** Richardson, Marc D. (CMS/OIS); Gass, Carole F. (CMS/OIS)  
**Subject:** FW: Risk acceptance doc

Here is what ccio sent

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**Sent:** Monday, September 16, 2013 11:32 PM  
**To:** Carter, Cathy T. (CMS/OIS); Gass, Carole F. (CMS/OIS)  
**Subject:** FW: Risk acceptance doc

**From:** Grothe, Kirk A. (CMS/OIS)  
**Sent:** Monday, September 16, 2013 3:50 PM  
**To:** Richardson, Marc D. (CMS/OIS)  
**Subject:** FW: Risk acceptance doc

Fyi.

Kirk Grothe, PMP®

Deputy Group Director, Consumer Information and Insurance Systems Group

Office of Information Services

Centers for Medicare and Medicaid Services

Phone: (301) 492-4377

Mobile: (b)(6)

[kirk.grothe@cms.hhs.gov](mailto:kirk.grothe@cms.hhs.gov)

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**From:** Kerr, James T. (CMS/CMHPO)  
**Sent:** Monday, September 16, 2013 12:13 PM  
**To:** Chao, Henry (CMS/OIS)  
**Cc:** Outerbridge, Monique (CMS/OIS); Grothe, Kirk A. (CMS/OIS); Lorenz, Samara A. (CMS/CCIIO)  
**Subject:** FW: Risk acceptance doc

Henry, Please review and advise if this can be used to take the next step. Thanks, Jim

### *James T. Kerr*

James T. Kerr, acting Deputy Director, Operations, CCIIO and Consortium Administrator CMHPO | Centers for Medicare and Medicaid Services | phone: 301-492-4124

**From:** Walker, Benjamin L. (CMS/CCIIO)  
**Sent:** Monday, September 16, 2013 11:33 AM  
**To:** Kerr, James T. (CMS/CMHPO)  
**Cc:** Deboy, Alissa M. (CMS/CCIIO); Roche, Jacqueline R. (CMS/CCIIO)  
**Subject:** RE: Risk acceptance doc

Hi Jim,

See attached. Please let me know if you have any questions, or if you are comfortable with it.

Thanks,

Ben

**From:** Kerr, James T. (CMS/CMHPO)  
**Sent:** Monday, September 16, 2013 5:39 AM  
**To:** Walker, Benjamin L. (CMS/CCIIO)  
**Cc:** Deboy, Alissa M. (CMS/CCIIO); Roche, Jacqueline R. (CMS/CCIIO)  
**Subject:** RE: Risk acceptance doc

OK thx

***James T. Kerr***

James T. Kerr, acting Deputy Director, Operations, CCIIO and Consortium Administrator CMHPO | Centers for Medicare and Medicaid Services | phone: 301-492-4124

**From:** Walker, Benjamin L. (CMS/CCIIO)  
**Sent:** Sunday, September 15, 2013 11:56 PM  
**To:** Kerr, James T. (CMS/CMHPO)  
**Cc:** Deboy, Alissa M. (CMS/CCIIO); Roche, Jacqueline R. (CMS/CCIIO)  
**Subject:** Risk acceptance doc

Hi Jim,

I just wanted to let you know that I just wrapped up the first draft of the risk acceptance document. After Jackie has an opportunity to take a look, we will send it your way.

Thanks,

Ben

--

**C. Ryan Brewer GCIH, C|CISO, CISSP**  
Principal  
GrayScout LLC  
Tel/Ofc: +1 202-904-2220 | Mobile: (b)(6)





# CMS INFORMATION SECURITY POLICY/STANDARD RISK ACCEPTANCE

<b>Component:</b> Consumer Information and Insurance Oversight (CCHIO)	<b>System Name:</b> Federally-Facilitated Marketplace (FFM)	<b>Subsystem:</b> N/A	<b>Date:</b> 9/17/2013
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(b)(5)

# **CMS INFORMATION SECURITY POLICY/STANDARD RISK ACCEPTANCE**

(b)(5)

# **CMS INFORMATION SECURITY POLICY/STANDARD RISK ACCEPTANCE**

(b)(5)

## CMS INFORMATION SECURITY POLICY/STANDARD RISK ACCEPTANCE

(b)(5)

### Endorsement of Risk Analysis Understanding and Acceptance

Concur ☐ Non-Concur ☐ See Comments ☐

Business Owner & Title

Date:

Comments: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Concur ☐ Non-Concur ☐ See Comments ☐

Date:

Comments: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Concur ☐ Non-Concur ☐ See Comments ☐

Date:

Comments: \_\_\_\_\_

Concur ☐ Non-Concur ☐ See Comments ☐

Date:

Comments:

**Please note:** If granted, this risk acceptance must be reviewed at least annually by the requesting component. Waivers must be renewed every three years or when significant changes which affect the system categorization, justification for noncompliance and/or compensating controls are made.

Message

**From:** King, Jason C. (CMS/OIS) [NotResp]  
**Sent:** 9/25/2013 7:09:08 PM  
**To:** Fryer, Teresa M. (CMS/OIS) [NotResp]  
[NotResp] Kim, Jane (CMS/OIS) [NotResp]  
[NotResp]  
**CC:** Toomey, Jacqueline S. (CMS/OIS) [NotResp]  
[NotResp]  
**Subject:** RE: Hub Security fact sheet

(b)(5)

Jason King  
Division of Information Security Policy and Compliance (DISPC)  
Enterprise Information Security Group (EISG)  
Centers for Medicare & Medicaid Services  
PH: (410) 786-7578  
<http://www.cms.hhs.gov/informationsecurity/>  
Contact EISG at [CISO@cms.hhs.gov](mailto:CISO@cms.hhs.gov)

**From:** Fryer, Teresa M. (CMS/OIS)  
**Sent:** Wednesday, September 25, 2013 10:44 AM  
**To:** King, Jason C. (CMS/OIS); Kim, Jane (CMS/OIS)

**Cc:** Toomey, Jacqueline S.(CMS/OIS)

**Subject:** FW: Hub Security fact sheet

Was FEPS tested and can we confirm that the files transfer process for OPM and peace corp is under the FEPS boundary now?

Thanks

Teresa

**From:** Linares, George E. (CMS/OIS)

**Sent:** Tuesday, September 10, 2013 12:15 PM

**To:** Trenkle, Tony (CMS/OIS); King, Terris (CMS/OIS); Chao, Henry (CMS/OIS)

**Cc:** Mellor, Michael (CMS/OIS); Fryer, Teresa M. (CMS/OIS); Outerbridge, Monique (CMS/OIS); Schankweiler, Thomas W. (CMS/OIS)

**Subject:** RE: Hub Security fact sheet

I just spoke to Henry and the OPM and Peace Corps files will be moved to be under FEPS (FFM).

Tom – we need include this file transfer process under the FFM boundary for the SCA

Thanks

**George Linares**

*Acting Chief Technology Officer*

Centers for Medicare & Medicaid Services (CMS)

☎ 410.786.2866 ✉ [george.linares@cms.hhs.gov](mailto:george.linares@cms.hhs.gov)

7500 Security Blvd., N3-15-25

Baltimore, MD 21244-1850

Need more information? Visit [the OIS website](#).

**From:** Linares, George E. (CMS/OIS)

**Sent:** Tuesday, September 10, 2013 12:00 PM

**To:** Trenkle, Tony (CMS/OIS); King, Terris (CMS/OIS); Chao, Henry (CMS/OIS)

**Cc:** Mellor, Michael (CMS/OIS); Fryer, Teresa M. (CMS/OIS); Outerbridge, Monique (CMS/OIS)

**Subject:** FW: Hub Security fact sheet

(b)(5)

Thanks

**George Linares**

*Acting Chief Technology Officer*

Centers for Medicare & Medicaid Services (CMS)

☎ 410.786.2866 ✉ [george.linares@cms.hhs.gov](mailto:george.linares@cms.hhs.gov)

7500 Security Blvd., N3-15-25

Baltimore, MD 21244-1850

Need more information? Visit [the OIS website](#).

**From:** Karlton Kim [<mailto:kkim@qssinc.com>]  
**Sent:** Tuesday, September 10, 2013 11:55 AM  
**To:** Mellor, Michael (CMS/OIS)  
**Cc:** Linares, George E. (CMS/OIS); Fryer, Teresa M. (CMS/OIS)  
**Subject:** Re: Hub Security fact sheet

I think it is a done deal for year one (although we could change if they were willing). I think it is more of an issue from their side.

--

Karlton Kim  
Sr. Vice President of Program Delivery  
10480 Little Patuxent Parkway, Suite 1100, Columbia MD 21044  
Office (301) 977-7884 | mobile (b)(6)

**From:** <Mellor>, "Michael (CMS/OIS)" <[Michael.Mellor@cms.hhs.gov](mailto:Michael.Mellor@cms.hhs.gov)>  
**Date:** Tuesday, September 10, 2013 11:45 AM  
**To:** Karlton Kim <[kkim@qssinc.com](mailto:kkim@qssinc.com)>  
**Cc:** "Linares, George E. (CMS/OIS)" <[George.Linares@cms.hhs.gov](mailto:George.Linares@cms.hhs.gov)>, "Fryer, Teresa M. (CMS/OIS)" <[Teresa.Fryer@cms.hhs.gov](mailto:Teresa.Fryer@cms.hhs.gov)>  
**Subject:** Re: Hub Security fact sheet

Is that a work around (temporary) or a permanent solution?

Thanks

Sent from mobile device

On Sep 10, 2013, at 11:16 AM, "Karlton Kim" <[kkim@qssinc.com](mailto:kkim@qssinc.com)> wrote:

(b)(5)

--

Karlton Kim  
Sr. Vice President of Program Delivery  
10480 Little Patuxent Parkway, Suite 1100, Columbia MD 21044  
Office (301) 977-7884 | mobile (b)(6)

**From:** <Mellor>, "Michael (CMS/OIS)" <[Michael.Mellor@cms.hhs.gov](mailto:Michael.Mellor@cms.hhs.gov)>  
**Date:** Tuesday, September 10, 2013 11:12 AM  
**To:** Karlton Kim <[kkim@qssinc.com](mailto:kkim@qssinc.com)>  
**Subject:** RE: Hub Security fact sheet

Where is peace corps mentioned? I missed that

**From:** Karlton Kim [mailto:kkim@qssinc.com]

**Sent:** Tuesday, September 10, 2013 10:55 AM

**To:** Chao, Henry (CMS/OIS); Schankweiler, Thomas W. (CMS/OIS); Linares, George E. (CMS/OIS); Mellor, Michael (CMS/OIS)

**Subject:** Re: Hub Security fact sheet

(b)(5)

--

Karlton Kim

Sr. Vice President of Program Delivery

10480 Little Patuxent Parkway, Suite 1100, Columbia MD 21044

Office (301) 977-7884 | mobile (b)(6)

**From:** <Chao>, "henry.chao@cms.hhs.gov" <henry.chao@cms.hhs.gov>

**Date:** Tuesday, September 10, 2013 10:43 AM

**To:** Thomas Schankweiler <thomas.schankweiler@cms.hhs.gov>, Karlton Kim <kkim@qssinc.com>, "Linares, George E. (CMS/OIS)" <George.Linares@cms.hhs.gov>, "Mellor, Michael (CMS/OIS)" <Michael.Mellor@cms.hhs.gov>

**Subject:** FW: Hub Security fact sheet

Please check to see if this is ok.

Henry Chao

Deputy CIO & Deputy Director,

Office of Information Services

Centers for Medicare & Medicaid Services

410-786-1800

301-492-4456

**From:** Cook, Brian T. (CMS/OC)

**Sent:** Tuesday, September 10, 2013 10:15 AM

**To:** Khalid, Aryana C. (CMS/OA); Bataille, Julie (CMS/OC); Aronson, Lauren (CMS/OL); Hash, Michael (HHS/OHR); Choe, Ken (HHS/OGC); Flamberg, Gemma (HHS/OGC); Sivak, Bryan (HHS/IOS); Cohen, Gary M. (CMS/CCIIO); Salcido, Dori (HHS/ASPA); Shields, Erin E. (OS/ASPA); Sye, Tait (OS/ASPA); Young, Jason (OS/ASPA); Levy, Fabien M. (OS/ASPA); Peters, Joanne (OS/ASPA); Kerr, James T. (CMS/CMHPO); Snyder, Michelle (CMS/OA); Trenkle, Tony (CMS/OIS); Chao, Henry (CMS/OIS); Outerbridge, Monique (CMS/OIS)

**Cc:** Jackson, Veronica (HHS/ASPA); Bradley, Tasha (CMS/OC); Unruh, Patti (CMS/OC); Strumolo, Adaline (CMS/CCIIO); Wilson, Lisa J. (CMS/CCIIO)

**Subject:** Hub Security fact sheet

FOR LIMITED, QUICK TURNAROUND CLEARANCE

Attached is a fact sheet pulled almost entirely from pre-cleared materials and the latest version of the Homeland Security Committee letter. Let me know if you have any questions on this. The goal would be to push this out tomorrow morning, ahead of the Committee hearing.

Your thoughts would be greatly appreciated – by 2 PM if possible. Happy to give more flexibility.



**This space intentionally left blank.**

Message

---

**From:** Toomey, Jacqueline S.(CMS/OIS) [NotResp]  
[NotResp]  
**Sent:** 9/25/2013 6:36:31 PM  
**To:** Fryer, Teresa M. (CMS/OIS); [NotResp]  
[NotResp]  
**Subject:** Re: Wed POAM mtg

NO! But I worried about it!

Jacquie Toomey

Excuse the typos, sent from my blackberry.

**From:** Fryer, Teresa M. (CMS/OIS)  
**Sent:** Wednesday, September 25, 2013 02:35 PM  
**To:** Toomey, Jacqueline S.(CMS/OIS)  
**Subject:** RE: Wed POAM mtg

You didn't trip did you?

**From:** Toomey, Jacqueline S.(CMS/OIS)  
**Sent:** Wednesday, September 25, 2013 2:34 PM  
**To:** Fryer, Teresa M. (CMS/OIS)  
**Subject:** Re: Wed POAM mtg

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Jacquie Toomey

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**Subject:** Re: Wed POAM mtg

Breathe....don't allow him to suck you in.....

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**From:** Fryer, Teresa M. (CMS/OIS)

**Sent:** Wednesday, September 25, 2013 02:05 PM

**To:** Toomey, Jacqueline S.(CMS/OIS); Young, Desmond C. (CMS/OIS); Mensah, James K. (CMS/OIS)

**Subject:** FW: Wed POAM mtg

FYI

**From:** Fryer, Teresa M. (CMS/OIS)

**Sent:** Wednesday, September 25, 2013 2:05 PM

**To:** Schankweiler, Thomas W. (CMS/OIS)

**Subject:** RE: Wed POAM mtg

(b)(5)

**From:** Schankweiler, Thomas W. (CMS/OIS)

**Sent:** Wednesday, September 25, 2013 2:03 PM

**To:** Fryer, Teresa M. (CMS/OIS)

**Subject:** Re: Wed POAM mtg

(b)(5)

**From:** Fryer, Teresa M. (CMS/OIS)

**Sent:** Wednesday, September 25, 2013 01:43 PM

**To:** Schankweiler, Thomas W. (CMS/OIS)

**Cc:** Linares, George E. (CMS/OIS)

**Subject:** RE: Wed POAM mtg

(b)(5)

**From:** Fryer, Teresa M. (CMS/OIS)

**Sent:** Wednesday, September 25, 2013 1:41 PM

**To:** Schankweiler, Thomas W. (CMS/OIS)

**Cc:** Linares, George E. (CMS/OIS)

**Subject:** RE: Wed POAM mtg

(b)(5)

(b)(5)

**From:** Schankweiler, Thomas W. (CMS/OIS)  
**Sent:** Wednesday, September 25, 2013 1:26 PM  
**To:** Fryer, Teresa M. (CMS/OIS)  
**Subject:** Fw: Wed POAM mtg

(b)(5)

Tom

**From:** Warren, Kevin (CMS/OIS)  
**Sent:** Wednesday, September 25, 2013 12:53 PM  
**To:** Schankweiler, Thomas W. (CMS/OIS)  
**Cc:** Villar, Manuel (CMS/CTR); Lyles, Darrin V. (CMS/OIS); [jpeterson@ccsin.com](mailto:jpeterson@ccsin.com) <[jpeterson@ccsin.com](mailto:jpeterson@ccsin.com)>; Brackett, Stacie D. (CMS/CCIIO); England-Gordon, John E. (CMS/OIS)  
**Subject:** RE: Wed POAM mtg

Tom,

This is an update to the email below.

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(b)(5)

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Kevin Warren | Centers for Medicare and Medicaid Services (CMS)| Office of Information Services (OIS)| Consumer Information and Insurance Systems Group (CIISG) | 7700 Wisconsin Ave (9380-A)| Bethesda, Maryland | 301.492.4381 (O)

**From:** Schankweiler, Thomas W. (CMS/OIS)  
**Sent:** Monday, September 23, 2013 8:52 PM  
**To:** Warren, Kevin (CMS/OIS)  
**Cc:** Villar, Manuel (CMS/CTR); Lyles, Darrin V. (CMS/OIS)  
**Subject:** Wed POAM mtg

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FFM #2, 7, 9,

HIOS – (2) high findings #54 and #55. Also address any items marked as pending verification 7,11, 29, 36, 57, 61, 62, 63, 64, 67, 68, 70, 72, 74, 75, 76, 77, 78, 79, 80, 82, 83, 84, 85, 87, 89, 102

SERTS - items marked as pending verification #20

MIDAS items marked as pending verification #65, #68

PaaS – (2) High Findings (refer to PaaS findings on HUB SCA (DSH)).

Regards,

Tom

Message

---

**From:** Toomey, Jacqueline S.(CMS/OIS) [NotResp]  
[NotResp]  
**Sent:** 9/25/2013 6:34:27 PM  
**To:** Fryer, Teresa M. (CMS/OIS) [NotResp]  
[NotResp]  
**Subject:** Re: Wed POAM mtg

Done!

Jacquie Toomey

Excuse the typos, sent from my blackberry.

**From:** Toomey, Jacqueline S.(CMS/OIS)  
**Sent:** Wednesday, September 25, 2013 02:12 PM  
**To:** Fryer, Teresa M. (CMS/OIS)  
**Subject:** Re: Wed POAM mtg

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**Sent:** Wednesday, September 25, 2013 01:43 PM  
**To:** Schankweiler, Thomas W. (CMS/OIS)  
**Cc:** Linares, George E. (CMS/OIS)  
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**To:** Schankweiler, Thomas W. (CMS/OIS)  
**Cc:** Linares, George E. (CMS/OIS)  
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(b)(5)

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(b)(5)



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**To:** Schankweiler, Thomas W. (CMS/OIS)

**Cc:** Villar, Manuel (CMS/CTR); Lyles, Darrin V. (CMS/OIS); [jpeterson@ccsin.com](mailto:jpeterson@ccsin.com) <[jpeterson@ccsin.com](mailto:jpeterson@ccsin.com)>; Brackett, Stacie D. (CMS/CCIIO); England-Gordon, John E. (CMS/OIS)

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PaaS – (2) High Findings (refer to PaaS findings on HUB SCA (DSH)).

Regards,

Tom

Message

**From:** Toomey, Jacqueline S.(CMS/OIS) [NotResp]  
[NotResp]  
**Sent:** 9/25/2013 6:38:48 PM  
**To:** Fryer, Teresa M. (CMS/OIS) [NotResp]  
[NotResp]  
**Subject:** Re: Wed POAM mtg

Giggling.....

Jacquie Toomey

Excuse the typos, sent from my blackberry.

**From:** Fryer, Teresa M. (CMS/OIS)  
**Sent:** Wednesday, September 25, 2013 02:37 PM  
**To:** Toomey, Jacqueline S.(CMS/OIS)  
**Subject:** RE: Wed POAM mtg

When CIISG gets theirs, can you make a gagging sound for me?

**From:** Toomey, Jacqueline S.(CMS/OIS)  
**Sent:** Wednesday, September 25, 2013 2:37 PM  
**To:** Fryer, Teresa M. (CMS/OIS)  
**Subject:** Re: Wed POAM mtg

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**Sent:** Wednesday, September 25, 2013 02:35 PM  
**To:** Toomey, Jacqueline S.(CMS/OIS)  
**Subject:** RE: Wed POAM mtg

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**To:** Fryer, Teresa M. (CMS/OIS)  
**Subject:** Re: Wed POAM mtg

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**Subject:** Re: Wed POAM mtg

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**From:** Fryer, Teresa M. (CMS/OIS)

**Sent:** Wednesday, September 25, 2013 01:43 PM

**To:** Schankweiler, Thomas W. (CMS/OIS)

**Cc:** Linares, George E. (CMS/OIS)

**Subject:** RE: Wed POAM mtg

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**From:** Fryer, Teresa M. (CMS/OIS)

**Sent:** Wednesday, September 25, 2013 1:41 PM

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**Cc:** Linares, George E. (CMS/OIS)

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**To:** Schankweiler, Thomas W. (CMS/OIS)

**Cc:** Villar, Manuel (CMS/CTR); Lyles, Darrin V. (CMS/OIS); [jpeterson@ccsin.com](mailto:jpeterson@ccsin.com) <[jpeterson@ccsin.com](mailto:jpeterson@ccsin.com)>; Brackett, Stacie D. (CMS/CCIO); England-Gordon, John E. (CMS/OIS)

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MIDAS items marked as pending verification #65, #68

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Tom



## Message

**From:** Coutts, Todd (CMS/OIS) [NotResp]  
 [NotResp]  
**Sent:** 9/26/2013 2:14:28 PM  
**To:** Trenkle, Tony (CMS/OIS) [NotResp]  
 [NotResp]; Linares, George E. (CMS/OIS) [NotResp]  
 [NotResp]  
**CC:** Rhones, Rhonda D. (CMS/OIS) [NotResp]  
 [NotResp]; Outerbridge, Monique (CMS/OIS)  
 [NotResp]; Grothe, Kirk A. (CMS/OIS)  
 [NotResp]  
**Subject:** RE: Defects

Tony and George,

Here is the list we sent Marianne.

**Todd Coutts**

Centers for Medicare & Medicaid Services

Office of Information Services

301-492-5139 (office) | (b)(6) (mobile) | [todd.coutts1@cms.hhs.gov](mailto:todd.coutts1@cms.hhs.gov)

7700 Wisconsin Ave Bethesda MD 20814 | Location: 9308

---

**From:** Coutts, Todd (CMS/OIS)  
**Sent:** Wednesday, September 25, 2013 2:03 PM  
**To:** Bowen, Marianne (CMS/OA); Outerbridge, Monique (CMS/OIS)  
**Cc:** Rhones, Rhonda D. (CMS/OIS)  
**Subject:** RE: Defects

Marianne,

Below, please find the defects and issues that we are focusing on for 10/1. This is "hot off the presses" based on the Issuer calls and an extensive walk through session yesterday with the CGI POD loads. There is a target build for either tonight or tomorrow night for those items that are still in development. We will keep you updated and also updated with when these are available for Issuer testing.

Description	Status
Custom enrollment group logic causing infinite loop	In CMS Testing
DOB should be updated to correctly update on the user interface	In CMS Testing
[NotResp] Adobe setup for notices	In CMS Testing
Comment out the Remove the Request full Medicaid determination button for tomorrow because of the following issues, which need to be addressed	In CMS Testing
834 Issue: Subscriber last (vs. 1st) in transaction (Subscriber and member data appearing in the wrong loop structure (2000 INS through the 2100A N4 member City State Zip)	In CMS Testing
834 Issue: Subscriber and member data appearing in the wrong loop structure (2000 INS through the 2100A N4 member City State Zip)	In CMS Testing
834 Issuer Missing APTC amount not appearing in the 2750 loop	In CMS Testing
834 Issue: Premium Amount 1 not appearing	In CMS Testing
834 Issue: Missing County Code and qualifier in the N4 segment	In CMS Testing

834 Issue: Missing Communication Numbers	In CMS Testing
834 Issue: Missing Race/Ethnicity	In CMS Testing
834 Issue: Missing Language Code	In CMS Testing
834 Issue: Missing Rating Area	In CMS Testing
834 Issue: Note on Items Fixed in <b>NotRes</b> Inclusion of the dash '-' character in the zip code field (N4) segment	In CMS Testing
Agent / Broker landing page / login	In CMS Testing
Invalid APTC for direct enrollment	In CMS Testing
Allow for 9 Zip code	In CMS Testing
Date/Time stamp is not stored consistently through the application	In Development
Editing from review and sign section	In Development
Full Medicaid language on Eligibility Results	In Development
Trying to create a new application when saving and returning is treating as a new application	Development Complete
CSR not picking up annual income amount	In Development
Typo in after review results 'Choose' instead of 'Chose'	In Development
Ability to complete Eligibility Notices	In Development
The text informing the applicant they are a multi-tax household that must "wait until 12/01" to enroll incorrectly appears on the screen; this does not affect the actual enrollment	In Development
Attestation attributes not persistent in Individual App	In Development
834 Issue: InsuranceLineCode is 'HLT' (health) when the dental plan is enrolled	In CMS Testing
834 Issue: Payment transaction ID should only be for Subscriber and not any dependents	In CMS Testing
834 Issue: Issuer Name is listed as "Unavailable" and Tax ID is listed as "123456789"	In CMS Testing
834 Issue: Tobacco usage indicator not always matching Application (UI) data	In CMS Testing
834 Issue: Gender always displays "M"	In CMS Testing
834 Issue: Missing CSR amount not appearing in the 2750 loop	In CMS Testing
834 Issue: Missing member contact info on 834	In CMS Testing
Saving plans results is acting as if saved in the 'to do' list	In Development
Effective Date 12/31 instead of 1/1	In Development
Remainder of APTC only showing monthly amount instead of annual amount	In Development
Smoking premium - related to tobacco usage indicator on UI issue.	In Development
Pay Redirect SAML assertions	In Development
Data disappearing	Worked with TN BCBS and determined scenario involved Medicaid Individuals and data should not be appearing - Issue has been resolved
Submitted application not going to Plan Compare	Under Analysis
Identifiers - Member IDs. Differences between DE response and 834	Under Analysis
Did not find enrollment group	Under Analysis
Warning on unauthorized access did not work first time in Plan Compare	Under Analysis

Todd Coutts

Centers for Medicare & Medicaid Services

Office of Information Services

301-492-5139 (office) (b)(6) mobile) | todd.coutts1@cms.hhs.gov

7700 Wisconsin Ave Bethesda MD 20814 | Location: 9308

Message

**From:** Chao, Henry (CMS/OIS) [NotResp]  
**Sent:** 9/27/2013 2:53:55 AM  
**To:** Snyder, Michelle (CMS/OA) [NotResp]  
Kerr, James T. (CMS/CMHPO) [NotResp]  
[NotResp]  
**CC:** Nelson, David J. (CMS/OEM) [NotResp]  
[NotResp] Bowen, Marianne (CMS/OA) [NotResp]  
[NotResp]  
Wallace, Mary H. (CMS/OC) [NotResp]  
[NotResp] Booth, Jon G. (CMS/OC) [NotResp]  
[NotResp] Patel, Ketan (CMS/OC) [NotResp]  
[NotResp] Linares, George E. (CMS/OIS) [NotResp]  
[NotResp] Oh, Mark U. (CMS/OIS)  
[NotResp]  
Taylor, Deborah A. (CMS/OFM) [NotResp]  
[NotResp] Kane, Daniel F. (CMS/OAGM) [NotResp]  
[NotResp]  
**Subject:** Concons on System Peformance and Capacity  
**Importance:** High

In trying to gauge where we may have some issues with capacity and performance

(b)(4)

(b)(5)

Performance testing needs to continue in various environments so the jury is still out for now but by Saturday we will have better factual answers.

(b)(4)

Will have more information in the morning.

Henry Chao  
Deputy CIO & Deputy Director,  
Office of Information Services

HHS-0017288  
CMS0012001

Centers for Medicare & Medicaid Services  
410-786-1800

Message

**From:** Basavaraju, Venkat (CMS/OIS); [Redacted] NotResp  
[Redacted] NotResp

**Sent:** 9/26/2013 12:02:36 PM

**To:** Richardson, Marc D. (CMS/OIS); [Redacted] NotResp  
[Redacted] NotResp CMS - EIDM CMS Team [Redacted] NotResp  
[Redacted] NotResp

**CC:** Carter, Cathy T. (CMS/OIS); [Redacted] NotResp  
[Redacted] NotResp Gass, Carole F. (CMS/OIS); [Redacted] NotResp  
[Redacted] NotResp Linares, George E. (CMS/OIS); [Redacted] NotResp  
[Redacted] NotResp

**Subject:** RE: [Redacted] Elasticity Plan | Infrastructure Request  
[Redacted] NotRe

CMS ONLY !!!!

I just want to bring couple of other items that we touched at the discussion (I did not document them as they are not elasticity related):

[Redacted]

(b)(5)

-Venkat

**From:** Basavaraju, Venkat (CMS/OIS)  
**Sent:** Wednesday, September 25, 2013 10:25 PM  
**To:** Richardson, Marc D. (CMS/OIS); CMS - EIDM CMS Team  
**Cc:** Carter, Cathy T. (CMS/OIS); Gass, Carole F. (CMS/OIS); Linares, George E. (CMS/OIS)  
**Subject:** RE: [Redacted] Elasticity Plan | Infrastructure Request

(b)(4)

-Venkat

**From:** Richardson, Marc D. (CMS/OIS)  
**Sent:** Wednesday, September 25, 2013 7:54 PM  
**To:** Basavaraju, Venkat (CMS/OIS); CMS - EIDM CMS Team  
**Cc:** Carter, Cathy T. (CMS/OIS); Gass, Carole F. (CMS/OIS); Linares, George E. (CMS/OIS)  
**Subject:** Re: NotRes Elasticity Plan | Infrastructure Request

Venkat, thanks for making this happen.

(b)(5)

(b)(5)

Regards,  
Marc Richardson, PMP, Director  
Centers for Medicare & Medicaid Services (CMS)  
Office of Information Services (OIS)  
410.786.0016  
[marc.richardson@cms.hhs.gov](mailto:marc.richardson@cms.hhs.gov)

(b)(6)

7500 Security Blvd.,  
N3-17-07  
Baltimore, MD 21244-1850

**From:** Basavaraju, Venkat (CMS/OIS)  
**Sent:** Wednesday, September 25, 2013 07:44 PM  
**To:** CMS - NotRe CMS Team; 'mfinkel@qssinc.com' ([mfinkel@qssinc.com](mailto:mfinkel@qssinc.com)) <[mfinkel@qssinc.com](mailto:mfinkel@qssinc.com)>; 'Ivan Vinogradov' <[ivinogradov@qssinc.com](mailto:ivinogradov@qssinc.com)>  
**Cc:** Girish Shetty ([gshetty@qssinc.com](mailto:gshetty@qssinc.com)) <[gshetty@qssinc.com](mailto:gshetty@qssinc.com)>; Krishnamoorthi Ganesan <[kganesan@qssinc.com](mailto:kganesan@qssinc.com)>; Margush, Doug C. (CMS/OIS); Carter, Cathy T. (CMS/OIS); Gass, Carole F. (CMS/OIS); Linares, George E. (CMS/OIS)  
**Subject:** FW: NotRe Elasticity Plan | Infrastructure Request

This afternoon we had a very good meeting with Doug/ QSSI (Mike/ Ivan/ James) / URS (Rich) and CMS NotResp (me). Below is the capture (Mike/ Ivan – Please chime in if I miss any):

(b)(5)

-Venkat

**From:** Vignesh Srinivasan [<mailto:vsrinivasan@qssinc.com>]  
**Sent:** Wednesday, September 25, 2013 3:15 PM  
**To:** Margush, Doug C. (CMS/OIS); Basavaraju, Venkat (CMS/OIS); Schwarzkopf, Rich ([Rich.Schwarzkopf@URS.com](mailto:Rich.Schwarzkopf@URS.com)); Owings, Michael ([Michael.Owings@URS.com](mailto:Michael.Owings@URS.com))

**Cc:** Michael Finkel; [NotRes] Team\_Leads  
**Subject:** [NotRes] Elasticity Plan | Infrastructure Request

Rich/Michael,

Please assist in expediting the following requests...

(b)(5)

Doug/Venkat,

Please assist in fast tracking CMS Approval...

Thanks,

Vignesh Srinivasan, MS, CCNP, Security+, MCTS, Linux+

[NotRes] Operations Lead

131 Elden Street

Suite 200

Herndon, VA 20170

(O) 301-977-7884 x 789/337

(c) [NotRes] (b)(6)



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Message

**From:** Fletcher, John A. (CMS/OIS) [redacted] NotResp  
[redacted] NotResp  
**Sent:** 9/26/2013 9:02:33 PM  
**To:** Nelson, David J. (CMS/OEM) [redacted] NotResp  
[redacted] NotResp  
**CC:** Linares, George E. (CMS/OIS) [redacted] NotResp  
[redacted] NotResp  
**Subject:** RE: Review Change and Release Management - Post 10/1

Dave -

(b)(5)

Situation fluid.

I hope this helps.

I will be with eGlobalTech team tomorrow morning in Columbia if you would like to come by and visit and meet the team. If not, please let me know when you would like to and we will make the arrangements.

Please advise.

Thanks...Jack

---

**From:** Nelson, David J. (CMS/OEM)  
**Sent:** Thursday, September 26, 2013 2:32 PM  
**To:** Fletcher, John A. (CMS/OIS)  
**Cc:** Linares, George E. (CMS/OIS)  
**Subject:** RE: Review Change and Release Management - Post 10/1

Thanks Jack — [redacted] (b)(5)

---

**From:** Fletcher, John A. (CMS/OIS)  
**Sent:** Thursday, September 26, 2013 11:22 AM  
**To:** Nelson, David J. (CMS/OEM)  
**Cc:** Linares, George E. (CMS/OIS)  
**Subject:** FW: Review Change and Release Management - Post 10/1



Sorry, this is the right info. Being presented today. Jack

---

**From:** Coutts, Todd (CMS/OIS)

**Sent:** Thursday, September 26, 2013 11:08 AM

**To:** Adkins, Laura J. (CMS/OIS); Cole, Reba R. (CMS/OIS); Gray, Brian (CMS/OIS); Donohoe, Paul X. (CMS/OIS); Ross, Cassandra (CMS/OIS); Fletcher, John A. (CMS/OIS)

**Subject:** RE: Review Change and Release Management - Post 10/1

Hi guys,

Sorry I did not get this to you first for a second round of your input. Just too many things to do for all of us.

<< File: ChangeControl.pptx >>

**Todd Coutts**

Centers for Medicare & Medicaid Services

Office of Information Services

301-492-5139 (office) | (b)(6) mobile) | [todd.coutts1@cms.hhs.gov](mailto:todd.coutts1@cms.hhs.gov)

7700 Wisconsin Ave Bethesda MD 20814 | Location: 9308

---

**From:** Coutts, Todd (CMS/OIS)

**Sent:** Thursday, September 26, 2013 11:05 AM

**To:** Outerbridge, Monique (CMS/OIS); Quijada, Jose A. (CMS/OIS); Adkins, Laura J. (CMS/OIS); Cole, Reba R. (CMS/OIS); Gray, Brian (CMS/OIS); Donohoe, Paul X. (CMS/OIS); Ross, Cassandra (CMS/OIS); Fletcher, John A. (CMS/OIS); Trudel, Karen (CMS/OIS)

**Subject:** RE: Review Change and Release Management - Post 10/1

Here are the materials for today.

<< File: ChangeControl.pptx >>

**Todd Coutts**

Centers for Medicare & Medicaid Services

Office of Information Services

301-492-5139 (office) | (b)(6) mobile) | [todd.coutts1@cms.hhs.gov](mailto:todd.coutts1@cms.hhs.gov)

7700 Wisconsin Ave Bethesda MD 20814 | Location: 9308

---

**From:** Outerbridge, Monique (CMS/OIS)

**Sent:** Thursday, September 26, 2013 10:17 AM

**To:** Quijada, Jose A. (CMS/OIS); Coutts, Todd (CMS/OIS); Adkins, Laura J. (CMS/OIS); Cole, Reba R. (CMS/OIS); Gray, Brian (CMS/OIS); Donohoe, Paul X. (CMS/OIS); Ross, Cassandra (CMS/OIS); Fletcher, John A. (CMS/OIS); Trudel, Karen

(CMS/OIS)

**Subject:** RE: Review Change and Release Management - Post 10/1

Are we still having this meeting? Just checking.

-----Original Appointment-----

**From:** Quijada, Jose A. (CMS/OIS)

**Sent:** Monday, September 23, 2013 8:48 AM

**To:** Quijada, Jose A. (CMS/OIS); Outerbridge, Monique (CMS/OIS); Coutts, Todd (CMS/OIS); Adkins, Laura J. (CMS/OIS); Cole, Reba R. (CMS/OIS); Gray, Brian (CMS/OIS); Donohoe, Paul X. (CMS/OIS); Ross, Cassandra (CMS/OIS); Fletcher, John A. (CMS/OIS); Trudel, Karen (CMS/OIS)

**Subject:** Review Change and Release Management - Post 10/1

**When:** Thursday, September 26, 2013 11:00 AM-12:00 PM (UTC-05:00) Eastern Time (US & Canada).

**Where:** Dial in (b)(6)

Message

**From:** Richardson, Marc D. (CMS/OIS) [NotResp]  
 [NotResp]  
**Sent:** 9/26/2013 10:33:56 AM  
**To:** Carter, Cathy T. (CMS/OIS) [NotResp]  
 [NotResp] Gass, Carole F. (CMS/OIS) [NotResp]  
 [NotResp] Linares, George E. (CMS/OIS) [NotResp]  
**Subject:** RE: Weekly IDP Activity 21 September

Numbers are looking good. Overall we are at a cumulative 98.25 success rate including phone proofing by Experian.

(b)(5)

Normal Transactions

- ACC (Pass)—They passed online without a hitch.
- REF (Refer to Call Center)—Total of all referrals regardless of reason for referral
- RF2 (Refer to Call Center)—Referral for RF2 reason code, one of which is listed below as Cannot Generate Questions.
- R3A (Refer to Call center)—Old Code no longer in user [ (b)(5) ]  
 [ (b)(5) ]
- Cannot Locate Consumer (RF2)—Experian cannot find them at all—Referral to call center
- SSN Required to Access Consumer's File—Still a little unclear myself on how this fits in with the others, but it would generate a call.

(b)(5)

**From:** Carter, Cathy T. (CMS/OIS)  
**Sent:** Monday, September 23, 2013 3:43 PM  
**To:** Richardson, Marc D. (CMS/OIS); Gass, Carole F. (CMS/OIS); Linares, George E. (CMS/OIS)  
**Subject:** RE: Weekly IDP Activity 21 September

So these numbers look pretty good to me. is that how I should interpret them? how do you read them going down the column? (difference between the three kinds of referrals and do they all go to the Experian call center?)

**From:** Richardson, Marc D. (CMS/OIS)  
**Sent:** Monday, September 23, 2013 2:17 PM  
**To:** Carter, Cathy T. (CMS/OIS); Gass, Carole F. (CMS/OIS); Linares, George E. (CMS/OIS)  
**Subject:** Fw: Weekly IDP Activity 21 September

Latest RIDP numbers

Regards,

Marc Richardson, PMP, Director

Centers for Medicare & Medicaid Services (CMS)

Office of Information Services (OIS)

410.786.0016

[marc.richardson@cms.hhs.gov](mailto:marc.richardson@cms.hhs.gov)

(b)(6)

7500 Security Blvd.,

N3-17-07

Baltimore, MD 21244-1850

**From:** Vee, Christopher H. [<mailto:CHRISTOPHER.VEE@saic.com>]  
**Sent:** Monday, September 23, 2013 02:05 PM  
**To:** CMS NotRes CMS Team; Harry Sandhu <[hsandhu4@csc.com](mailto:hsandhu4@csc.com)>; Hamill, Linda F. (CMS/CCSQ); Liu, June H. <[JUNE.H.LIU@saic.com](mailto:JUNE.H.LIU@saic.com)>; Mansaray, Sharlene (CMS/OIS); Romeo, Tara <[tara.romeo@experian.com](mailto:tara.romeo@experian.com)>; Scott Bonney <[bonneys@edaptivesys.com](mailto:bonneys@edaptivesys.com)>; Seate, Deborah K.(CMS/OIS); Lantz, Tom (CMS/CCSQ); Basavaraju, Venkat (CMS/OIS)  
**Subject:** Weekly IDP Activity 21 September

Good afternoon.

Please find below the Weekly ID Proofing Activity from 15 September through 21 September.

Remote Proofing										
	CMS Cumulative		CMS Total		NotResp: LoA 3		NotResp: LoA 2		CCSQ FIM	
	21-May-13 to 22-Sep-13		15-Sep-13 to 21-Sep-13		1980101		1967646		1959780	
	Count	Perc enta ge	Count	Perc enta ge	Count	Perc enta ge	Count	Perc enta ge	Count	Perc enta ge
Normal Transactions										

ACC (Pass)	18,705	85.7%	8,668	92.7%	806	82%	7,841	94%	21	70%
REF (Refer to Call Center)	1,926	8.8%	185	2.0%	176	18%	-	0%	9	30%
RF2 (Refer to Call Center)	206	0.9%	66	0.7%	-	0%	66	1%	-	0%
R3A (Refer to Call center)	-	0.0%	-	0.0%	-	0%	-	0%	-	0%
Cannot Locate Consumer (RF2)	778	3.6%	358	3.8%	3	0%	355	4%	-	0%
SSN Required to Access Consumer's File	214	1.0%	69	0.7%	-	0%	69	1%	-	0%
Exceptions										
Deceased (9001 - RF4)	47	0.2%	15	0.2%	3	0%	12	0%	-	0%
Frozen Credit File (9013 - RF1)	229	1.0%	117	1.2%	7	1%	110	1%	-	0%
Reference										
KIQ Timeouts	255	1.1%	130	1.3%	8	1%	122	1%	-	0%
KIQ Abandons	437	1.9%	104	1.1%	23	2%	78	1%	3	9%
KIQ Use Limit Violation (RF3)	385	1.6%	28	0.3%	-	0%	28	0%	-	0%
Cannot Generate Questions (RF2)	10	0.0%	4	0.0%	4	0%	-	0%	-	0%
Consumer is a Minor	11	0.0%	-	0.0%	-	0%	-	0%	-	0%
Input Data Error	134	0.6%	55	0.6%	15	1%	40	0%	-	0%
Total										

23,33	9,79	1,04	8,72	3
7	9	5	1	3

Experian Call Center										
	CMS Cumulative		CMS Total		NotRes	LoA 3	NotRes	LoA 2	CCSQ FIM	
	21-May-13 to 22-Sep-13		15-Sep-13 to 21-Sep-13		1978382		2230160		1964970	
	Count	Percentage	Count	Percentage	Count	Percentage	Count	Percentage	Count	Percentage
ACC (Pass)	1,908	88%	108	77.1%	13	62%	90	81%	4	57%
REF (Refer to Manual processing)	265	12%	32	22.9%	8	38%	21	19%	3	43%
Total	2,173		140		21		111		7	

Blended Pass Rate	98.25%	Not Available for report period	Not Available for report period	Not Available for report period	Not Available for report period
-------------------	--------	---------------------------------	---------------------------------	---------------------------------	---------------------------------

KIQ Timeouts - instances where the user took more than 10 minutes to respond to Out of Wallet Questions  
 KIQ Abandons - instances where the user never submits responses to Out of Wallet questions  
 Input Data Error - Any consumer input related errors (i.e. address format, DOB entered incorrectly)

**Christopher H. Vee** | SAIC  
 Program Manager | Cybersecurity Solutions Operations  
 mobile: (b)(6) | [Christopher.H.Vee@saic.com](mailto:Christopher.H.Vee@saic.com) | [saic.com](http://saic.com)  
 CISSP, PMP, CISA, CRISC, MCP

Science Applications International Corporation  
 6841 Benjamin Franklin Drive  
 Columbia, MD 21046

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SAIC's national security business will soon be...



Portions of SAIC to be renamed Leidos, Inc., subject to stockholder approval and consummation of a separation transaction if approved by SAIC board of directors.

Obtained via FOIA by Judicial Watch, Inc.

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Message

**From:** Linares, George E. (CMS/OIS) [NotResp]  
 [NotResp]  
**Sent:** 9/26/2013 8:51:24 PM  
**To:** Basavaraju, Venkat (CMS/OIS) [NotResp]  
 [NotResp]; Richardson, Marc D. (CMS/OIS) [NotResp]  
 [NotResp] CMS - [NotRe] CMS Team [NotResp]  
 [NotResp]  
**CC:** Carter, Cathy T. (CMS/OIS) [NotResp]  
 [NotResp] Gass, Carole F. (CMS/OIS) [NotResp]  
 [NotResp]  
**Subject:** RE: [NotRe] Elasticity Plan | Infrastructure Request

I agree. If nothing else the current version [NotResp] should help bridge the gap that we currently have. We could keep a close eye on its performance to determine if it's best to move to its own VM, but that could be down the road. For now, we should pursue reusing what we already have in place.

Thanks

**George Linares**

*Acting Chief Technology Officer*

Centers for Medicare & Medicaid Services (CMS)

☎ 410.786.2866 ✉ [george.linares@cms.hhs.gov](mailto:george.linares@cms.hhs.gov)

7500 Security Blvd., N3-15-25

Baltimore, MD 21244-1850

Need more information? Visit [the OIS website](#).

**From:** Basavaraju, Venkat (CMS/OIS)

**Sent:** Thursday, September 26, 2013 8:03 AM

**To:** Richardson, Marc D. (CMS/OIS); CMS - EIDM CMS Team

**Cc:** Carter, Cathy T. (CMS/OIS); Gass, Carole F. (CMS/OIS); Linares, George E. (CMS/OIS)

**Subject:** RE: [NotRes] Elasticity Plan | Infrastructure Request

CMS ONLY !!!!

I just want to bring couple of other items that we touched at the discussion (I did not document them as they are not elasticity related):

(b)(5)



(b)(5)

-Venkat

**From:** Basavaraju, Venkat (CMS/OIS)  
**Sent:** Wednesday, September 25, 2013 10:25 PM  
**To:** Richardson, Marc D. (CMS/OIS); CMS - EIDM CMS Team  
**Cc:** Carter, Cathy T. (CMS/OIS); Gass, Carole F. (CMS/OIS); Linares, George E. (CMS/OIS)  
**Subject:** RE: **NotRe** Elasticity Plan | Infrastructure Request

(b)(4)

-Venkat

**From:** Richardson, Marc D. (CMS/OIS)  
**Sent:** Wednesday, September 25, 2013 7:54 PM  
**To:** Basavaraju, Venkat (CMS/OIS); CMS - EIDM CMS Team  
**Cc:** Carter, Cathy T. (CMS/OIS); Gass, Carole F. (CMS/OIS); Linares, George E. (CMS/OIS)  
**Subject:** Re: **NotRes** Elasticity Plan | Infrastructure Request

Venkat, thanks for making this happen.

(b)(5)

(b)(5)

Regards,  
Marc Richardson, PMP, Director  
Centers for Medicare & Medicaid Services (CMS)  
Office of Information Services (OIS)  
410.786.0016  
[marc.richardson@cms.hhs.gov](mailto:marc.richardson@cms.hhs.gov)

(b)(6)

7500 Security Blvd.,  
N3-17-07  
Baltimore, MD 21244-1850

**From:** Basavaraju, Venkat (CMS/OIS)  
**Sent:** Wednesday, September 25, 2013 07:44 PM  
**To:** CMS **NotResp** CMS Team; 'mfinkel@qssinc.com' ([mfinkel@qssinc.com](mailto:mfinkel@qssinc.com)) <[mfinkel@qssinc.com](mailto:mfinkel@qssinc.com)>; 'Ivan Vinogradov' <[ivinogradov@qssinc.com](mailto:ivinogradov@qssinc.com)>  
**Cc:** Girish Shetty ([gshetty@qssinc.com](mailto:gshetty@qssinc.com)) <[gshetty@qssinc.com](mailto:gshetty@qssinc.com)>; Krishnamoorthi Ganesan <[kganesan@qssinc.com](mailto:kganesan@qssinc.com)>; Margush, Doug C. (CMS/OIS); Carter, Cathy T. (CMS/OIS); Gass, Carole F. (CMS/OIS); Linares, George E. (CMS/OIS)  
**Subject:** FW: **NotRe** Elasticity Plan | Infrastructure Request

This afternoon we had a very good meeting with Doug/ QSSI (Mike/ Ivan/ James) / URS (Rich) and CMS (me). Below is the capture (Mike/ Ivan – Please chime in if I miss any):

NotResp

(b)(5)

-Venkat

**From:** Vignesh Srinivasan [mailto:vsrinivasan@qssinc.com]

**Sent:** Wednesday, September 25, 2013 3:15 PM

**To:** Margush, Doug C. (CMS/OIS); Basavaraju, Venkat (CMS/OIS); Schwarzkopf, Rich (Rich.Schwarzkopf@URS.com); Owings, Michael (Michael.Owings@URS.com)

**Cc:** Michael Finkel; NotResp Team\_Leads

**Subject:** NotResp Elasticity Plan | Infrastructure Request

Rich/Michael,

Please assist in expediting the following requests...

(b)(5)

Doug/Venkat,

Please assist in fast tracking CMS Approval...

Thanks,

Vignesh Srinivasan, MS, CCNP, Security+, MCTS, Linux+

NotResp Operations Lead

131 Elden Street

Suite 200

Herndon, VA 20170

(O) 301-977-7884 x 789/337

(c) (b)(6)



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## Appointment

**From:** Zaman, Akhtar (CMS/OIS) [NotResp]

**Sent:** 9/26/2013 5:08:10 PM

**To:** Jim Jones [jjones\_con@qssinc.com]; Linares, George E. (CMS/OIS) [NotResp]; Zaman, Akhtar (CMS/OIS) [NotResp]; Venkatasubramanian, Ramesh (CGI Federal) [ramesh.venkatasubramanian@cgifederal.com]; Dill, Walter (CMS/OIS); 'Jim Jones'; Rishi Srivastav (rsrivastav@qssinc.com) [rsrivastav@qssinc.com]; Karlton Kim (kkim@qssinc.com) [kkim@qssinc.com]; Thomas Swoboda (tswoboda@qssinc.com) [tswoboda@qssinc.com]; Mike Battles; Deepak Bhatta (dbhatta@qssinc.com) [dbhatta@qssinc.com]; Vishal Khaparde (vkhaparde\_con@qssinc.com) [vkhaparde\_con@qssinc.com]; Jagadish Gangahanumaiah (jgangahanumaiah@qssinc.com) [jgangahanumaiah@qssinc.com]; 'Keith.Rubin@cgifederal.com'; Speights; Richard A. (CMS/OIS); Donohoe; Paul X. (CMS/OIS); Chaitanya Reddy (chreddy@qssinc.com) [chreddy@qssinc.com]; 'mike.battles@amentra.com'; Ramesh (CGI Federal); Sharma; 'Peter Um' (peter@t1cg.com) (peter@t1cg.com) [peter@t1cg.com]; Basavaraju; Venkat (CMS/OIS); Margush, Doug C. (CMS/OIS) [NotResp]; Doug C. (CMS/OIS); Thurston; Robert (CMS/CTR); Walter; Stephen J. (CMS/OIS); Shao; Lijun (CMS/CPI); Oh; Mark U. (CMS/OIS); Fletcher; John A. (CMS/OIS); Patel; Ketan; carter; daniel; Lindenstruth, Gregory W. (CMS/OC) [NotResp]; gregory; Ivan Vinogradov (ivinogradov@qssinc.com) [ivinogradov@qssinc.com]; Purcell; Timothy J. (CMS/OIS); Mansaray; Sharlene (CMS/OIS); Jayaprakash Thambusamy (jthambusamy@qssinc.com) [jthambusamy@qssinc.com] [jthambusamy@qssinc.com]; Girish Shetty (gshetty@qssinc.com) [gshetty@qssinc.com] [gshetty@qssinc.com]; Duraimurugan Govindasamy (dgoindasamy@qssinc.com) [dgoindasamy@qssinc.com]; Eranda Samarasinghe (esamarasinghe@qssinc.com) [esamarasinghe@qssinc.com]; Bavani Murari (bmurari@qssinc.com) [bmurari@qssinc.com]; Minze Chien (MChien@qssinc.com) [MChien@qssinc.com]; Dill, Walter (CMS/OIS) [NotResp]; 'Jim Jones' [jjones\_con@qssinc.com]; Mike Battles [mbattles\_hix@qssinc.com]; Speights, Richard A. (CMS/OIS) [NotResp]; Donohoe, Paul X. (CMS/OIS) [NotResp]; Basavaraju, Venkat (CMS/OIS) [NotResp]; Thurston, Robert (CMS/CTR); Walter, Stephen J. (CMS/OIS); Shao, Lijun (CMS/CPI) [NotResp]; Oh, Mark U. (CMS/OIS) [NotResp]; Fletcher, John A. (CMS/OIS); Patel, Ketan (CMS/OC); Carter, Daniel (CMS/OC); Purcell, Timothy J. (CMS/OIS); Mansaray, Sharlene (CMS/OIS) [NotResp]

**CC:** Sharma, Hemant (CGI Federal) [Hemant.Sharma@cgifederal.com]; Rubin, Keith (CGI Federal) [Keith.Rubin@cgifederal.com]

**Subject:** FW: 3-4pm tomorrow: Review PST results, Thursday (09/25)

**Location:** Conf line: (b)(6)

**Start:** 9/26/2013 7:00:00 PM

**End:** 9/26/2013 8:00:00 PM

**Show Time As:** Busy

-----Original Appointment-----

**From:** Jim Jones [mailto:jjones\_con@qssinc.com]

**Sent:** Wednesday, September 25, 2013 7:57 PM

**To:** Jim Jones; Zaman, Akhtar (CMS/OIS); Venkatasubramanian, Ramesh (CGI Federal); Dill; Walter (CMS/OIS); 'Jim Jones'; Rishi Srivastav (rsrivastav@qssinc.com); Karlton Kim (kkim@qssinc.com) (kkim@qssinc.com); Thomas Swoboda (tswoboda@qssinc.com); Mike Battles; Deepak Bhatta (dbhatta@qssinc.com); Vishal Khaparde (vkhaparde\_con@qssinc.com); Jagadish Gangahanumaiah (jgangahanumaiah@qssinc.com); 'Keith.Rubin@cgifederal.com'; Speights; Richard A. (CMS/OIS); Donohoe; Paul X. (CMS/OIS); Chaitanya Reddy (chreddy@qssinc.com); 'mike.battles@amentra.com'; Ramesh (CGI Federal); Sharma; 'Peter Um' (peter@t1cg.com) (peter@t1cg.com); Basavaraju; Venkat (CMS/OIS); Margush, Doug C. (CMS/OIS); Doug C. (CMS/OIS); Thurston; Robert (CMS/CTR); Walter; Stephen J. (CMS/OIS); Shao; Lijun (CMS/CPI); Oh; Mark U. (CMS/OIS); Fletcher; John A. (CMS/OIS); Patel; Ketan; carter; daniel; Lindenstruth, Gregory W. (CMS/OC); gregory; Ivan Vinogradov (ivinogradov@qssinc.com); Purcell; Timothy J. (CMS/OIS); Mansaray; Sharlene (CMS/OIS); Jayaprakash Thambusamy (jthambusamy@qssinc.com) (jthambusamy@qssinc.com); Girish Shetty (gshetty@qssinc.com) (gshetty@qssinc.com); Duraimurugan Govindasamy (dgovindasamy@qssinc.com); Eranda Samarasinghe (esamarasinghe@qssinc.com); Bavani Murari (bmurari@qssinc.com); Minze Chien (MChien@qssinc.com); Dill, Walter (CMS/OIS); 'Jim Jones'; 'Mike Battles'; Speights, Richard A. (CMS/OIS); Donohoe, Paul X. (CMS/OIS); Basavaraju, Venkat (CMS/OIS); Thurston, Robert (CMS/CTR); Walter, Stephen J. (CMS/OIS); Shao, Lijun (CMS/CPI); Oh, Mark U. (CMS/OIS); Fletcher, John A. (CMS/OIS); Patel, Ketan (CMS/OC); Carter, Daniel (CMS/OC); Purcell, Timothy J. (CMS/OIS); Mansaray, Sharlene (CMS/OIS)

**Cc:** Sharma, Hemant (CGI Federal); Rubin, Keith (CGI Federal)

**Subject:** 3-4pm tomorrow: Review PST results, Thursday (09/25)

**When:** Thursday, September 26, 2013 3:00 PM-4:00 PM (UTC-05:00) Eastern Time (US & Canada).

**Where:** Conf line: (b)(6)

#### Meeting Details

Date: September 26, 2013

Time: 3:00 PM (GMT-05:00) Eastern Time (US & Canada); Indiana (East), Bogota, Lima, Quito

Duration: 1 hour

Attendee: NotResp

Password: NotResp

Conductor: qssi\jjones\_con

---

**From:** Zaman, Akhtar (CMS/OIS) [mailto:Akhtar.Zaman@cms.hhs.gov]

**Sent:** Wednesday, September 25, 2013 7:28 PM

**To:** Jim Jones; Venkatasubramanian, Ramesh (CGI Federal)

**Cc:** Sharma, Hemant (CGI Federal); Rubin, Keith (CGI Federal)

**Subject:** RE: 3-4pm tomorrow: Review PST results, Thursday (09/25) at 1pm

There will be other folks but these are the key people for that discussion. I would prefer you initiate it as you did earlier thru webinar. In that way you could share your testing results and give the control to Ramesh & others for sharing any of their metrics. By the way are you planning to be at Herndon tomorrow?

---

**From:** Jim Jones [mailto:jjones\_con@qssinc.com]

**Sent:** Wednesday, September 25, 2013 7:16 PM

**To:** Zaman, Akhtar (CMS/OIS); Venkatasubramanian, Ramesh (CGI Federal)

**Cc:** Sharma, Hemant (CGI Federal); Rubin, Keith (CGI Federal)

**Subject:** RE: 3-4pm tomorrow: Review PST results, Thursday (09/25) at 1pm

Sure Akhtar.

I need little more guidance. Is this just with the folks on this email? I can provide an on-line meeting and dial-in if you want to do it that way. If you want to hold this at CGI, we should have one of them set it up so they can arrange for a room.

Jim

**From:** Zaman, Akhtar (CMS/OIS) [mailto:Akhtar.Zaman@cms.hhs.gov]  
**Sent:** Wednesday, September 25, 2013 7:07 PM  
**To:** Venkatasubramanian, Ramesh (CGI Federal)  
**Cc:** Jim Jones; Sharma, Hemant (CGI Federal); Rubin, Keith (CGI Federal)  
**Subject:** 3-4pm tomorrow: Review PST results, Thursday (09/25) at 1pm

Sure, thx.

Jim – Would you pls set-up the discussion between 3-4pm tomorrow. Thx.

**From:** Venkatasubramanian, Ramesh (CGI Federal) [mailto:ramesh.venkatasubramanian@cgifederal.com]  
**Sent:** Wednesday, September 25, 2013 5:46 PM  
**To:** Zaman, Akhtar (CMS/OIS)  
**Cc:** Jim Jones (jjones\_con@qssinc.com); Sharma, Hemant (CGI Federal); Rubin, Keith (CGI Federal)  
**Subject:** RE: Review PST results, Thursday (09/25) at 1pm

Can we do 3 PM?

**From:** Zaman, Akhtar (CMS/OIS) [mailto:Akhtar.Zaman@cms.hhs.gov]  
**Sent:** Wednesday, September 25, 2013 3:51 PM  
**To:** Venkatasubramanian, Ramesh (CGI Federal)  
**Cc:** Jim Jones (jjones\_con@qssinc.com); Sharma, Hemant (CGI Federal); Rubin, Keith (CGI Federal)  
**Subject:** RE: Review PST results, Thursday (09/25) at 1pm

Sure, you're the key person for that discussion, pls let us know what time you prefer?

**From:** Venkatasubramanian, Ramesh (CGI Federal) [mailto:ramesh.venkatasubramanian@cgifederal.com]  
**Sent:** Wednesday, September 25, 2013 3:10 PM  
**To:** Zaman, Akhtar (CMS/OIS)  
**Cc:** Jim Jones (jjones\_con@qssinc.com); Sharma, Hemant (CGI Federal); Rubin, Keith (CGI Federal)  
**Subject:** RE: Review PST results, Thursday (09/25) at 1pm

Akhtar,

I [REDACTED] (b)(6) will not be able to attend the call if it is at 1 PM. Can we schedule it for another time?

Thanks,  
Ramesh

**From:** Zaman, Akhtar (CMS/OIS) [mailto:Akhtar.Zaman@cms.hhs.gov]  
**Sent:** Wednesday, September 25, 2013 2:17 PM  
**To:** Dill, Walter (CMS/OIS); 'Jim Jones'; Rishi Srivastav; Karlton Kim; Thomas Swoboda; Mike Battles; Deepak Bhatta; Vishal Khaparde; Jagadish Gangahanumaiah; 'Keith.Rubin@cgifederal.com'; Speights, Richard A. (CMS/OIS); Donohoe, Paul X. (CMS/OIS); Chaitanya Reddy; 'mike.battles@amentra.com'; Venkatasubramanian, Ramesh (CGI Federal); Sharma, Hemant (CGI Federal); 'Peter Um' (peter@t1cg.com) (peter@t1cg.com); Basavaraju, Venkat (CMS/OIS); Margush, Doug C. (CMS/OIS); Thurston, Robert (CMS/CTR); Walter, Stephen J. (CMS/OIS); Shao, Lijun (CMS/CPI); Oh, Mark U. (CMS/OIS); Fletcher, John A. (CMS/OIS); Patel, Ketan; carter, daniel; lindenstruth, gregory; Ivan Vinogradov  
**Cc:** Purcell, Timothy J. (CMS/OIS); Mansaray, Sharlene (CMS/OIS); Jayaprakash Thambusamy; Girish Shetty;

Duraimurugan Govindasamy; Eranda Samarasinghe; Bavani Murari; Minze Chien

**Subject:** Review PST results, Thursday (09/25) at 1pm

Hi All,

Let's have a meeting tomorrow (09/26) noon to review the recent Performance & Stress Testing (PST) results along with the performance metrics collected and shared by TRMRK & others. We need to summarize what has been achieved so far and what not and the strategy to continue PST in one of our Implementation environment. Also what's our takeaway from the PST towards the production configuration for 10/01. Pls feel bring to bring any other related topics.

Jim – Pls set up a 1hr meeting tomorrow around 1pm. I believe quite a few of us will be at Herndon tomorrow but pls set-up a webinar session. Thx,

Akhtar

This electronic mail (including any attachments) may contain information that is privileged, confidential, and/or otherwise protected from disclosure to anyone other than its intended recipient(s). Any dissemination or use of this electronic email or its contents (including any attachments) by persons other than the intended recipient(s) is strictly prohibited. If you have received this message in error, please notify the sender by reply email and delete the original message (including any attachments) in its entirety.

Message

**From:** Trenkle, Tony (CMS/OIS) [NotResp]  
[NotResp]  
**Sent:** 9/26/2013 6:24:25 PM  
**To:** Linares, George E. (CMS/OIS) [NotResp]  
[NotResp]  
**Subject:** RE: Important: For Action Today - ATC letters

I signed the ATC not an ISA. Shouldn't that language be changed?

**From:** Linares, George E. (CMS/OIS)  
**Sent:** Thursday, September 26, 2013 2:17 PM  
**To:** Trenkle, Tony (CMS/OIS)  
**Subject:** FW: Important: For Action Today - ATC letters

These are the two boiler plate letters that need to be sent tonight out to the states. Please provide comments. CIISG wants to use these by tomorrow

***George Linares***

*Acting Chief Technology Officer*

Centers for Medicare & Medicaid Services (CMS)

☎ 410.786.2866 ✉ [george.linares@cms.hhs.gov](mailto:george.linares@cms.hhs.gov)

7500 Security Blvd., N3-15-25

Baltimore, MD 21244-1850

Need more information? Visit [the OIS website](#).

**From:** Schankweiler, Thomas W. (CMS/OIS)  
**Sent:** Thursday, September 26, 2013 10:40 AM  
**To:** Linares, George E. (CMS/OIS)  
**Subject:** RE: Important: For Action Today - ATC letters

See attached for update

**From:** Linares, George E. (CMS/OIS)  
**Sent:** Thursday, September 26, 2013 10:31 AM  
**To:** Schankweiler, Thomas W. (CMS/OIS)  
**Subject:** Re: Important: For Action Today - ATC letters

Yes please, Make it 60 days so it matches the decision memo. Thanks

George Linares

Office of Information Services

Centers for Medicare & Medicaid Services

----- Sent using BlackBerry -----



**From:** Schankweiler, Thomas W. (CMS/OIS)  
**Sent:** Thursday, September 26, 2013 10:29 AM  
**To:** Linares, George E. (CMS/OIS)  
**Subject:** RE: Important: For Action Today - ATC letters

It can be 60 days.

**From:** Linares, George E. (CMS/OIS)  
**Sent:** Thursday, September 26, 2013 10:28 AM  
**To:** Schankweiler, Thomas W. (CMS/OIS)  
**Subject:** Re: Important: For Action Today - ATC letters

Tom,

(b)(5)

Thanks  
Sent from my iPad

On Sep 26, 2013, at 9:34 AM, "Schankweiler, Thomas W. (CMS/OIS)" <[thomas.schankweiler@cms.hhs.gov](mailto:thomas.schankweiler@cms.hhs.gov)> wrote:  
George,

Please see attached.

(b)(5)

Alabama	AL
Alaska	AK
Arkansas	AR
California	CA
Colorado (CBMS)	CO
Colorado (C4HCO)	CO
District of Columbia	DC
Florida	FL
Hawai'i	HI

Hawai'i	HI
Idaho	ID
Illinois	IL
Indiana	IN
Iowa	IA
Michigan	MI
Minnesota	MN
Mississippi	MS
Missouri	MO
Montana	MT
Nebraska	NE
Nevada	NV
New Hampshire	NH
New Mexico	NM
North Dakota	ND
Ohio	OH
Oklahoma	OK
Oregon	OR
Pennsylvania	PA
South Carolina	SC
South Dakota	SD
Utah	UT
Virginia	VA
West Virginia	WV
Wisconsin	WI
Wyoming	WY

(b)(5)

&lt;Interim\_ATC Letter 9252014\_(1).docx&gt;

<CIO Approval\_ATC Letter 9252014\_(1) .docx>

Message

**From:** Coutts, Todd (CMS/OIS) [NotResp]  
 [NotResp]  
**Sent:** 9/26/2013 2:14:28 PM  
**To:** Trenkle, Tony (CMS/OIS) [NotResp]  
 [NotResp]; Linares, George E. (CMS/OIS) [NotResp]  
 [NotResp]  
**CC:** Rhones, Rhonda D. (CMS/OIS) [NotResp]  
 [NotResp]; Outerbridge, Monique (CMS/OIS)  
 [NotResp]; Grothe, Kirk A. (CMS/OIS)  
 [NotResp]  
**Subject:** RE: Defects  
**Flag:** Follow up

Tony and George,

Here is the list we sent Marianne.

**Todd Coutts**

Centers for Medicare & Medicaid Services  
 Office of Information Services

301-492-5139 (office) | (b)(6) mobile) | todd.coutts1@cms.hhs.gov  
 7700 Wisconsin Ave Bethesda MD 20814 | Location: 9308

---

**From:** Coutts, Todd (CMS/OIS)  
**Sent:** Wednesday, September 25, 2013 2:03 PM  
**To:** Bowen, Marianne (CMS/OA); Outerbridge, Monique (CMS/OIS)  
**Cc:** Rhones, Rhonda D. (CMS/OIS)  
**Subject:** RE: Defects

Marianne,

Below, please find the defects and issues that we are focusing on for 10/1. This is "hot off the presses" based on the Issuer calls and an extensive walk through session yesterday with the CGI POD loads. There is a target build for either tonight or tomorrow night for those items that are still in development. We will keep you updated and also updated with when these are available for Issuer testing.

Description	Status
Custom enrollment group logic causing infinite loop	In CMS Testing
DOB should be updated to correctly update on the user interface	In CMS Testing
[NotRes] Adobe setup for notices	In CMS Testing
Comment out the Remove the Request full Medicaid determination button for tomorrow because of the following issues, which need to be addressed	In CMS Testing
834 Issue: Subscriber last (vs. 1st) in transaction (Subscriber and member data appearing in the wrong loop structure (2000 INS through the 2100A N4 member City State Zip)	In CMS Testing
834 Issue: Subscriber and member data appearing in the wrong loop structure (2000 INS through the 2100A N4 member City State Zip)	In CMS Testing
834 Issuer Missing APTC amount not appearing in the 2750 loop	In CMS Testing
834 Issue: Premium Amount 1 not appearing	In CMS Testing

834 Issue: Missing County Code and qualifier in the N4 segment	In CMS Testing
834 Issue: Missing Communication Numbers	In CMS Testing
834 Issue: Missing Race/Ethnicity	In CMS Testing
834 Issue: Missing Language Code	In CMS Testing
834 Issue: Missing Rating Area	In CMS Testing
834 Issue: Note on Items Fixed in <b>NotRes</b> : Inclusion of the dash '-' character in the zip code field (N4) segment	In CMS Testing
Agent / Broker landing page / login	In CMS Testing
Invalid APTC for direct enrollment	In CMS Testing
Allow for 9 Zip code	In CMS Testing
Date/Time stamp is not stored consistently through the application	In Development
Editing from review and sign section	In Development
Full Medicaid language on Eligibility Results	In Development
Trying to create a new application when saving and returning is treating as a new application	Development Complete
CSR not picking up annual income amount	In Development
Typo in after review results 'Choose' instead of 'Chose'	In Development
Ability to complete Eligibility Notices	In Development
The text informing the applicant they are a multi-tax household that must "wait until 12/01" to enroll incorrectly appears on the screen; this does not affect the actual enrollment	In Development
Attestation attributes not persistent in Individual App	In Development
834 Issue: InsuranceLineCode is 'HLT' (health) when the dental plan is enrolled	In CMS Testing
834 Issue: Payment transaction ID should only be for Subscriber and not any dependents	In CMS Testing
834 Issue: Issuer Name is listed as "Unavailable" and Tax ID is listed as "123456789"	In CMS Testing
834 Issue: Tobacco usage indicator not always matching Application (UI) data	In CMS Testing
834 Issue: Gender always displays "M"	In CMS Testing
834 Issue: Missing CSR amount not appearing in the 2750 loop	In CMS Testing
834 Issue: Missing member contact info on 834	In CMS Testing
Saving plans results is acting as if saved in the 'to do' list	In Development
Effective Date 12/31 instead of 1/1	In Development
Remainder of APTC only showing monthly amount instead of annual amount	In Development
Smoking premium - related to tobacco usage indicator on UI issue.	In Development
Pay Redirect SAML assertions	In Development
Data disappearing	Worked with TN BCBS and determined scenario involved Medicaid Individuals and data should not be appearing - Issue has been resolved
Submitted application not going to Plan Compare	Under Analysis
Identifiers - Member IDs. Differences between DE response and 834	Under Analysis
Did not find enrollment group	Under Analysis
Warning on unauthorized access did not work first time in Plan Compare	Under Analysis

Todd Coutts

Centers for Medicare & Medicaid Services

Office of Information Services

301-492-5139 (office) | (b)(6) (mobile) | todd.coutts1@cms.hhs.gov

7700 Wisconsin Ave Bethesda MD 20814 | Location: 9308

Message

**From:** Mundy, Gordon D. (CMS/OIS) [NotResp]  
[NotResp]

**Sent:** 9/26/2013 8:28:18 PM

**To:** Donohoe, Paul X. (CMS/OIS) [NotResp]  
[NotResp] Outerbridge, Monique (CMS/OIS) [NotResp]  
[NotResp] Speights, Richard A. (CMS/OIS) [NotResp]  
[NotResp]  
Stevenson, Corey B. (CMS/OIS) [NotResp]  
[NotResp]

**CC:** Zaman, Akhtar (CMS/OIS) [NotResp]  
Linares, George E. (CMS/OIS) [NotResp]  
[NotResp] Grothe, Kirk A. (CMS/OIS) [NotResp]  
[NotResp] Thompson, William L.  
(CMS/OIS) [NotResp]  
[NotResp] Boswell, Brenda M. (CMS/CTR) [NotResp]  
[NotResp] Johnson, Din K (CMS/CTR) [NotResp]  
[NotResp]

**Subject:** RE: IRS Prod Support and SSA Integration

The ETC knows you have top priority. Let them know what you need

**From:** Donohoe, Paul X. (CMS/OIS)  
**Sent:** Thursday, September 26, 2013 3:59 PM  
**To:** Outerbridge, Monique (CMS/OIS); Speights, Richard A. (CMS/OIS); Mundy, Gordon D. (CMS/OIS); Stevenson, Corey B. (CMS/OIS)  
**Cc:** Zaman, Akhtar (CMS/OIS); Linares, George E. (CMS/OIS); Grothe, Kirk A. (CMS/OIS)  
**Subject:** RE: IRS Prod Support and SSA Integration

Hello,

(b)(5)

Thanks,

*Paul Donohoe*

410-786-6344

Senior Technical Advisor, CIISG, OIS

**From:** Donohoe, Paul X. (CMS/OIS)  
**Sent:** Thursday, September 26, 2013 3:56 PM  
**To:** Outerbridge, Monique (CMS/OIS); Speights, Richard A. (CMS/OIS)  
**Cc:** Zaman, Akhtar (CMS/OIS); Linares, George E. (CMS/OIS); Grothe, Kirk A. (CMS/OIS)  
**Subject:** RE: IRS Prod Support and SSA Integration  
**Importance:** High

Agree totally,

(b)(5)

Please advise.

*Paul Donohoe*

410-786-6344

Senior Technical Advisor, CHSG, OIS

**From:** Outerbridge, Monique (CMS/OIS)

**Sent:** Thursday, September 26, 2013 3:38 PM

**To:** Donohoe, Paul X. (CMS/OIS); Speights, Richard A. (CMS/OIS)

**Cc:** Zaman, Akhtar (CMS/OIS); Linares, George E. (CMS/OIS); Grothe, Kirk A. (CMS/OIS)

**Subject:** Re: IRS Prod Support and SSA Integration

What new time frame? You guys need to run changes to the Fed Partner schedule by me. I catch you know what from them when you keep asking for exceptions to what was previously agreed to.

Monique Outerbridge

Director

Consumer Information and Insurance Systems Group

Office of Information Services, CMS

301-492-4376

410-786-5308 (Alt. Office)

**From:** Donohoe, Paul X. (CMS/OIS)

**Sent:** Thursday, September 26, 2013 03:33 PM

**To:** Speights, Richard A. (CMS/OIS)

**Cc:** Zaman, Akhtar (CMS/OIS); Linares, George E. (CMS/OIS); Outerbridge, Monique (CMS/OIS); Grothe, Kirk A. (CMS/OIS)

**Subject:** IRS Prod Support and SSA Integration

Richard,

CMS leadership directed us to keep the PST moving.

Can you reach out ASAP to both SSA and IRS to see if we can keep testing?

If you want, I or Akhtar can join with you.

Thanks,

*Paul Donohoe*

410-786-6344

Senior Technical Advisor, CIISG, OIS



Message

---

**From:** Mellor, Michael (CMS/OIS) [NotResp]  
[NotResp]  
**Sent:** 9/26/2013 9:52:08 PM  
**To:** Fryer, Teresa M. (CMS/OIS) [NotResp]  
[NotResp]  
**Subject:** Re: Marketplace and States Decision Memo outlines\_JKahn.docx

Will do

**From:** Fryer, Teresa M. (CMS/OIS)  
**Sent:** Thursday, September 26, 2013 05:29 PM  
**To:** Mellor, Michael (CMS/OIS)  
**Subject:** FW: Marketplace and States Decision Memo outlines\_JKahn.docx

Please pass to Ryan.

---

**From:** Fryer, Teresa M. (CMS/OIS)  
**Sent:** Thursday, September 26, 2013 5:26 PM  
**To:** England-Gordon, John E. (CMS/OIS)  
**Subject:** Re: Marketplace and States Decision Memo outlines\_JKahn.docx  
You can leave them outside my office on the cabinet.

Thanks,

Teresa

**From:** England-Gordon, John E. (CMS/OIS)  
**Sent:** Thursday, September 26, 2013 02:26 PM  
**To:** Fryer, Teresa M. (CMS/OIS)  
**Subject:** RE: Marketplace and States Decision Memo outlines\_JKahn.docx

Where would you like us to drop the packages on Saturday? There should be between 6 and 11.

We can also leave them on Tom's table for pickup after 5pm on Saturday if that works. Please let know.

Regards,  
John England-Gordon



Centers for Medicare & Medicaid Services

**From:** Fryer, Teresa M. (CMS/OIS)  
**Sent:** Wednesday, September 25, 2013 12:16 PM  
**To:** Schankweiler, Thomas W. (CMS/OIS); England-Gordon, John E. (CMS/OIS); Schmidt, Donna W. (CMS/OIS);

Ambrosini, Ellen M. (CMS/OEM); Linares, George E. (CMS/OIS); Franey, Maribel R. (CMS/OEM)

**Cc:** Cooper, Dennis (CMS/CTR); Davis, Angela (CMS/OIS); Schmidt, Donna W. (CMS/OIS); Grothe, Kirk A. (CMS/OIS); Outerbridge, Monique (CMS/OIS)

**Subject:** RE: Marketplace and States Decision Memo outlines\_JKahn.docx

When packages are dropped off, please send an email to myself, Mike Mellor and Ryan Brewer ([Charles@brewer2@cms.hhs.gov](mailto:Charles@brewer2@cms.hhs.gov)).

**From:** Schankweiler, Thomas W. (CMS/OIS)

**Sent:** Wednesday, September 25, 2013 12:13 PM

**To:** Fryer, Teresa M. (CMS/OIS); England-Gordon, John E. (CMS/OIS); Schmidt, Donna W. (CMS/OIS); Ambrosini, Ellen M. (CMS/OEM); Linares, George E. (CMS/OIS); Franey, Maribel R. (CMS/OEM)

**Cc:** Cooper, Dennis (CMS/CTR); Davis, Angela (CMS/OIS); Schmidt, Donna W. (CMS/OIS); Grothe, Kirk A. (CMS/OIS); Outerbridge, Monique (CMS/OIS)

**Subject:** RE: Marketplace and States Decision Memo outlines\_JKahn.docx

We will be at the Baltimore location assembling package. You are welcome to have folks come in to be a part of it. Invite to follow sometime today. I hope you can have someone attend.

Tom

**From:** Fryer, Teresa M. (CMS/OIS)

**Sent:** Wednesday, September 25, 2013 12:11 PM

**To:** Schankweiler, Thomas W. (CMS/OIS); England-Gordon, John E. (CMS/OIS); Schmidt, Donna W. (CMS/OIS); Ambrosini, Ellen M. (CMS/OEM); Linares, George E. (CMS/OIS); Franey, Maribel R. (CMS/OEM)

**Cc:** Cooper, Dennis (CMS/CTR); Davis, Angela (CMS/OIS); Schmidt, Donna W. (CMS/OIS); Grothe, Kirk A. (CMS/OIS); Outerbridge, Monique (CMS/OIS)

**Subject:** RE: Marketplace and States Decision Memo outlines\_JKahn.docx

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**Cc:** Cooper, Dennis (CMS/CTR); Davis, Angela (CMS/OIS); Schmidt, Donna W. (CMS/OIS); Grothe, Kirk A. (CMS/OIS); Outerbridge, Monique (CMS/OIS)

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**To:** England-Gordon, John E. (CMS/OIS); Schmidt, Donna W. (CMS/OIS); Fryer, Teresa M. (CMS/OIS); Ambrosini, Ellen M. (CMS/OEM); Linares, George E. (CMS/OIS); Franey, Maribel R. (CMS/OEM)  
**Cc:** Cooper, Dennis (CMS/CTR); Davis, Angela (CMS/OIS); Schmidt, Donna W. (CMS/OIS); Grothe, Kirk A. (CMS/OIS); Outerbridge, Monique (CMS/OIS)  
**Subject:** FW: Marketplace and States Decision Memo outlines\_JKahn.docx  
**Importance:** High

See below,

Is everyone on board with trying to make this happen? Including weekend support. I know SphereCom is cleared to work. If not we need to be talking to George ASAP.

Tom

**From:** Linares, George E. (CMS/OIS)  
**Sent:** Wednesday, September 25, 2013 11:24 AM  
**To:** Boughn, Julie C. (CMS/CMCS); Kahn, Jessica (CMS/CMCS)  
**Cc:** Schankweiler, Thomas W. (CMS/OIS); Trudel, Karen (CMS/OIS)  
**Subject:** RE: Marketplace and States Decision Memo outlines\_JKahn.docx

Julie,

Yes, OIS is planning to review all packages as they arrived. To that end, Tony has requested to have all packages received this week to be delivered to him by Monday, at the latest. He has authorized weekend support for this effort as well. Hopefully, this answers your questions.

Thanks

**George Linares**

*Acting Chief Technology Officer*

Centers for Medicare & Medicaid Services (CMS)

📞 410.786.2866 ✉ [george.linares@cms.hhs.gov](mailto:george.linares@cms.hhs.gov)

7500 Security Blvd., N3-15-25

Baltimore, MD 21244-1850

Need more information? Visit [the OIS website](#).

**From:** Boughn, Julie C. (CMS/CMCS)  
**Sent:** Wednesday, September 25, 2013 10:16 AM  
**To:** Kahn, Jessica (CMS/CMCS); Linares, George E. (CMS/OIS)  
**Cc:** Schankweiler, Thomas W. (CMS/OIS); Trudel, Karen (CMS/OIS)  
**Subject:** RE: Marketplace and States Decision Memo outlines\_JKahn.docx

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based upon the fact that the states have submitted. Presumably, we are still planning to review, right? Have you all established a timeline, or even some prioritization, to those reviews?

Julie Boughn  
Deputy Director, Center for Medicaid & CHIP  
Twitter: @julieboughn

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**From:** Kahn, Jessica (CMS/CMCS)  
**Sent:** Tuesday, September 24, 2013 10:40 PM  
**To:** Linares, George E. (CMS/OIS)  
**Cc:** Schankweiler, Thomas W. (CMS/OIS); Trudel, Karen (CMS/OIS); Boughn, Julie C. (CMS/CMCS)  
**Subject:** Re: Marketplace and States Decision Memo outlines\_JKahn.docx

Thanks- also NC just uploaded their remaining documentation a little while ago so more progress!  
Jess

On Sep 24, 2013, at 8:11 PM, "Linares, George E. (CMS/OIS)" <[George.Linares@cms.hhs.gov](mailto:George.Linares@cms.hhs.gov)> wrote:  
Thanks Jess. Karen and myself will review, format it and then we'll send out for final ok.

---

**From:** Kahn, Jessica (CMS/CMCS)  
**Sent:** Tuesday, September 24, 2013 6:13 PM  
**To:** Linares, George E. (CMS/OIS); Schankweiler, Thomas W. (CMS/OIS); Trudel, Karen (CMS/OIS)  
**Cc:** Boughn, Julie C. (CMS/CMCS)  
**Subject:** Marketplace and States Decision Memo outlines\_JKahn.docx

Attached includes the CMCS input into the decision memo on the state side of things. I used the security status report received from OIS at 4pm today from Dennis. Also attached is the appendix that indicates States' status.

Message

---

**From:** Marantan, James (CMS/OIS) [redacted] NotResp  
[redacted] NotResp

**Sent:** 9/26/2013 5:17:49 PM

**To:** Mellor, Michael (CMS/OIS) [redacted] NotResp  
Fryer, Teresa M. (CMS/OIS) [redacted] NotResp  
[redacted] NotResp

**Subject:** FW: Ready to close out pen-test support from Brian

fyi

---

**From:** Schatoff, Jack H. (CMS/OIS)  
**Sent:** Thursday, September 26, 2013 1:15 PM  
**To:** Marantan, James (CMS/OIS)  
**Subject:** FW: Ready to close out pen-test support from Brian

fyi

**From:** Schankweiler, Thomas W. (CMS/OIS)  
**Sent:** Thursday, September 26, 2013 1:11 PM  
**To:** Schatoff, Jack H. (CMS/OIS)  
**Cc:** Mellor, Michael (CMS/OIS); Fryer, Teresa M. (CMS/OIS)  
**Subject:** Ready to close out pen-test support from Brian

Jack,

I wanted to thank you for your teams support. Brian and Darryl's support for the past month in supporting reviews of the Marketplace has been helpful. I think we are at a point where we are ready to roll Brian off the testing team. I am going to request Adam to reach out to Brian to get a copy of his final results and to close out his portion of the project. Teresa has mentioned that there will external pen-testing on a weekly basis of the marketplace, so I figured it was a good time for us to start with the new strategy. If you have any questions please let me know.

Sincerely,

Tom

Message

**From:** Mellor, Michael (CMS/OIS) [NotResp]  
[NotResp]  
**Sent:** 9/27/2013 2:05:49 AM  
**To:** Fryer, Teresa M. (CMS/OIS) [NotResp]  
[NotResp]  
**Subject:** Re: HIX-A Draft SCA Report in Question

(b)(5)

Sent from mobile device

On Sep 26, 2013, at 9:36 PM, "Fryer, Teresa M. (CMS/OIS)" <[Teresa.Fryer@cms.hhs.gov](mailto:Teresa.Fryer@cms.hhs.gov)> wrote:

(b)(5)

---

**From:** Lyles, Darrin V. (CMS/OIS)  
**Sent:** Thursday, September 26, 2013 6:06 PM  
**To:** Fryer, Teresa M. (CMS/OIS)  
**Cc:** Schankweiler, Thomas W. (CMS/OIS)  
**Subject:** HIX-A Draft SCA Report in Question  
Teresa,

(b)(5)

Regards,

**Darrin Lyles**

Information Systems Security Officer (ISSO)  
Security Controls Assessment (SCA) Coordinator for CIISG  
Consumer Information & Insurance Systems Group (CIISG)  
Office of Information Services (OIS)  
Centers for Medicare & Medicaid Services (CMS)  
U.S. Department of Health and Human Services (HHS)  
410-786-4744, Desk

(b)(6) BB

[darrin.lyles@cms.hhs.gov](mailto:darrin.lyles@cms.hhs.gov)

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<HIX-A Draft SCA Report September 23 2013 with CGI's comments.docx>

Message

**From:** Mellor, Michael (CMS/OIS) [NotResp]

[NotResp]

**Sent:** 9/27/2013 2:37:00 AM

**To:** Fryer, Teresa M. (CMS/OIS) [NotResp]

[NotResp]

**Subject:** Re: HIX-A Draft SCA Report in Question

Ok - ill route this through the team tomorrow. I know the SCA team has calls with the maintainer to review findings; not sure about the draft report review

Sent from mobile device

On Sep 26, 2013, at 10:11 PM, "Fryer, Teresa M. (CMS/OIS)" <Teresa.Fryer@cms.hhs.gov> wrote:

(b)(5)

**From:** Mellor, Michael (CMS/OIS)

**Sent:** Thursday, September 26, 2013 10:05 PM

**To:** Fryer, Teresa M. (CMS/OIS)

**Subject:** Re: HIX-A Draft SCA Report in Question

(b)(5)

Sent from mobile device

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(b)(5)

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**Cc:** Schankweiler, Thomas W. (CMS/OIS)

**Subject:** HIX-A Draft SCA Report in Question

Teresa,

(b)(5)

Regards,

**Darrin Lyles**

Information Systems Security Officer (ISSO)

Security Controls Assessment (SCA) Coordinator for CIISG

Consumer Information & Insurance Systems Group (CIISG)

Office of Information Services (OIS)

Centers for Medicare & Medicaid Services (CMS)

U.S. Department of Health and Human Services (HHS)

410-786-4744, Desk

(b)(6) 3B

[darrin.lyles@cms.hhs.gov](mailto:darrin.lyles@cms.hhs.gov)

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Message

**From:** England-Gordon, John E. (CMS/OIS) [NotResp]  
[NotResp]  
**Sent:** 9/26/2013 6:26:02 PM  
**To:** Fryer, Teresa M. (CMS/OIS) [NotResp]  
[NotResp]  
**Subject:** RE: Marketplace and States Decision Memo outlines\_JKahn.docx

Where would you like us to drop the packages on Saturday? There should be between 6 and 11.

We can also leave them on Tom's table for pickup after 5pm on Saturday if that works. Please let know.

Regards,  
John England-Gordon



Centers for Medicare & Medicaid Services

**From:** Fryer, Teresa M. (CMS/OIS)  
**Sent:** Wednesday, September 25, 2013 12:16 PM  
**To:** Schankweiler, Thomas W. (CMS/OIS); England-Gordon, John E. (CMS/OIS); Schmidt, Donna W. (CMS/OIS); Ambrosini, Ellen M. (CMS/OEM); Linares, George E. (CMS/OIS); Franey, Maribel R. (CMS/OEM)  
**Cc:** Cooper, Dennis (CMS/CTR); Davis, Angela (CMS/OIS); Schmidt, Donna W. (CMS/OIS); Grothe, Kirk A. (CMS/OIS); Outerbridge, Monique (CMS/OIS)  
**Subject:** RE: Marketplace and States Decision Memo outlines\_JKahn.docx

When packages are dropped off, please send an email to myself, Mike Mellor and Ryan Brewer ([Charles@brewer2@cms.hhs.gov](mailto:Charles@brewer2@cms.hhs.gov)).

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**George Linares**

*Acting Chief Technology Officer*

Centers for Medicare & Medicaid Services (CMS)

☎ 410.786.2866 ✉ [george.linares@cms.hhs.gov](mailto:george.linares@cms.hhs.gov)

7500 Security Blvd., N3-15-25

Baltimore, MD 21244-1850

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Julie Boughn

Deputy Director, Center for Medicaid & CHIP

Twitter: @julieboughn

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**Cc:** Boughn, Julie C. (CMS/CMCS)

**Subject:** Marketplace and States Decision Memo outlines\_JKahn.docx

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Message

**From:** Schatoff, Jack H. (CMS/OIS) [NotResp]  
[NotResp]  
**Sent:** 9/26/2013 5:15:17 PM  
**To:** Schankweiler, Thomas W. (CMS/OIS) [NotResp]  
[NotResp]  
**CC:** Mellor, Michael (CMS/OIS) [NotResp]  
Fryer, Teresa M. (CMS/OIS) [NotResp]  
[NotResp]  
**Subject:** RE: Ready to close out pen-test support from Brian

Thanks Tom, glad we could help.

Jack

**From:** Schankweiler, Thomas W. (CMS/OIS)  
**Sent:** Thursday, September 26, 2013 1:11 PM  
**To:** Schatoff, Jack H. (CMS/OIS)  
**Cc:** Mellor, Michael (CMS/OIS); Fryer, Teresa M. (CMS/OIS)  
**Subject:** Ready to close out pen-test support from Brian

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Sincerely,

Tom

Message

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**From:** Schankweiler, Thomas W. (CMS/OIS) [NotResp]  
[NotResp]

**Sent:** 9/26/2013 5:10:32 PM

**To:** Schatoff, Jack H. (CMS/OIS) [NotResp]  
[NotResp]

**CC:** Mellor, Michael (CMS/OIS) [NotResp]  
Fryer, Teresa M. (CMS/OIS) [NotResp]  
[NotResp]

**Subject:** Ready to close out pen-test support from Brian

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Sincerely,

Tom

Message

**From:** Brown, Billie Jo J. (CMS/OIS) [NotResp]  
[NotResp]  
**Sent:** 9/26/2013 4:09:01 PM  
**To:** Fryer, Teresa M. (CMS/OIS) [NotResp]  
[NotResp] Pope, Crystal L. (CMS/OIS) [NotResp]  
[NotResp] Brown, Beatrice (CMS/OIS) [NotResp]  
[NotResp]  
Thomas, Kelly (CMS/OIS) [NotResp]  
[NotResp]  
**CC:** Linares, George E. (CMS/OIS) [NotResp]  
[NotResp]; Velasco, Linda J. (CMS/OIS) [NotResp]  
[NotResp] Patterson, Tiffany D. (CMS/OIS) [NotResp]  
[NotResp] Mellor, Michael (CMS/OIS)  
[NotResp]  
**Subject:** Re: Marketplace and States Decision Memo outlines\_JKahn.docx

You do not need action from the FO. We are authorized to give groups money for MarketPlace activities. Please have Linda verify the amount you have in BUCS. If you need additional, let me know how much and I will transfer it in. Thank you BJ

**From:** Fryer, Teresa M. (CMS/OIS)  
**Sent:** Thursday, September 26, 2013 12:06 PM  
**To:** Brown, Billie Jo J. (CMS/OIS); Pope, Crystal L. (CMS/OIS); Brown, Beatrice (CMS/OIS); Thomas, Kelly (CMS/OIS)  
**Cc:** Linares, George E. (CMS/OIS); Velasco, Linda J. (CMS/OIS); Patterson, Tiffany D. (CMS/OIS); Mellor, Michael (CMS/OIS)  
**Subject:** Re: Marketplace and States Decision Memo outlines\_JKahn.docx

My understanding was that any remaining funds were swept. If this is not the case then we will submit under our group. If there are not enough funds the employee can't work OT. George, we may need action from front office.

Teresa

**From:** Brown, Billie Jo J. (CMS/OIS)  
**Sent:** Thursday, September 26, 2013 11:10 AM  
**To:** Fryer, Teresa M. (CMS/OIS); Pope, Crystal L. (CMS/OIS); Brown, Beatrice (CMS/OIS); Thomas, Kelly (CMS/OIS)  
**Cc:** Linares, George E. (CMS/OIS); Velasco, Linda J. (CMS/OIS); Patterson, Tiffany D. (CMS/OIS)  
**Subject:** Re: Marketplace and States Decision Memo outlines\_JKahn.docx

This will be coming from your Groups OT allocation if the employee is in your Group.

**From:** Fryer, Teresa M. (CMS/OIS)  
**Sent:** Thursday, September 26, 2013 11:04 AM  
**To:** Brown, Billie Jo J. (CMS/OIS); Pope, Crystal L. (CMS/OIS); Brown, Beatrice (CMS/OIS); Thomas, Kelly (CMS/OIS)  
**Cc:** Linares, George E. (CMS/OIS); Velasco, Linda J. (CMS/OIS)  
**Subject:** FW: Marketplace and States Decision Memo outlines\_JKahn.docx

All,

We are submitting OT paperwork for this weekend, but need to know where the funding for this is coming from. So before Linda puts this into BUCS, can you please provide her with the correct funding source.

Thanks,

Teresa

**From:** Schankweiler, Thomas W. (CMS/OIS)  
**Sent:** Wednesday, September 25, 2013 11:29 AM  
**To:** England-Gordon, John E. (CMS/OIS); Schmidt, Donna W. (CMS/OIS); Fryer, Teresa M. (CMS/OIS); Ambrosini, Ellen M. (CMS/OEM); Linares, George E. (CMS/OIS); Franey, Maribel R. (CMS/OEM)  
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**Subject:** FW: Marketplace and States Decision Memo outlines\_JKahn.docx  
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See below,

Is everyone on board with trying to make this happen? Including weekend support. I know SphereCom is cleared to work. If not we need to be talking to George ASAP.

Tom

**From:** Linares, George E. (CMS/OIS)  
**Sent:** Wednesday, September 25, 2013 11:24 AM  
**To:** Boughn, Julie C. (CMS/CMCS); Kahn, Jessica (CMS/CMCS)  
**Cc:** Schankweiler, Thomas W. (CMS/OIS); Trudel, Karen (CMS/OIS)  
**Subject:** RE: Marketplace and States Decision Memo outlines\_JKahn.docx

Julie,

Yes, OIS is planning to review all packages as they arrived. To that end, Tony has requested to have all packages received this week to be delivered to him by Monday, at the latest. He has authorized weekend support for this effort as well. Hopefully, this answers your questions.

Thanks

***George Linares***

*Acting Chief Technology Officer*

Centers for Medicare & Medicaid Services (CMS)

☎ 410.786.2866 ✉ [george.linares@cms.hhs.gov](mailto:george.linares@cms.hhs.gov)

7500 Security Blvd., N3-15-25

Baltimore, MD 21244-1850

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Julie Boughn  
Deputy Director, Center for Medicaid & CHIP  
Twitter: @julieboughn

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Message

**From:** Patterson, Tiffany D. (CMS/OIS); [NotResp]  
[NotResp]  
**Sent:** 9/26/2013 4:08:24 PM  
**To:** Fryer, Teresa M. (CMS/OIS); [NotResp]  
[NotResp]; Brown, Billie Jo J. (CMS/OIS); [NotResp]  
[NotResp]; Pope, Crystal L. (CMS/OIS); [NotResp]  
[NotResp]; Brown, Beatrice (CMS/OIS)  
[NotResp]  
[NotResp]; Thomas, Kelly (CMS/OIS); [NotResp]  
[NotResp]  
**CC:** Linares, George E. (CMS/OIS); [NotResp]  
[NotResp]; Velasco, Linda J. (CMS/OIS); [NotResp]  
[NotResp]; Mellor, Michael (CMS/OIS); [NotResp]  
[NotResp]  
**Subject:** RE: Marketplace and States Decision Memo outlines\_JKahn.docx

Teresa,

All Marketplace OT will be funded and funding is available. We just need to know how much to move into your line.

***Tiffany D. Patterson***

*Director, Division of Executive Support (DES)*

Centers for Medicare & Medicaid Services (CMS)  
Office of Information Services (OIS)  
Resource & Acquisition Management Group (RAMG)  
☎ 410.786.8498 ☎ 443.934.3350 ✉ [tiffany.patterson@cms.hhs.gov](mailto:tiffany.patterson@cms.hhs.gov)  
7500 Security Blvd., N2-06-13  
Baltimore, MD 21244-1850

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**From:** Fryer, Teresa M. (CMS/OIS)  
**Sent:** Thursday, September 26, 2013 12:06 PM  
**To:** Brown, Billie Jo J. (CMS/OIS); Pope, Crystal L. (CMS/OIS); Brown, Beatrice (CMS/OIS); Thomas, Kelly (CMS/OIS)  
**Cc:** Linares, George E. (CMS/OIS); Velasco, Linda J. (CMS/OIS); Patterson, Tiffany D. (CMS/OIS); Mellor, Michael (CMS/OIS)  
**Subject:** Re: Marketplace and States Decision Memo outlines\_JKahn.docx

My understanding was that any remaining funds were swept. If this is not the case then we will submit under our group. If there are not enough funds the employee can't work OT. George, we may need action from front office.

Teresa

**From:** Brown, Billie Jo J. (CMS/OIS)  
**Sent:** Thursday, September 26, 2013 11:10 AM

HHS-0123914  
CMS0012048

**To:** Fryer, Teresa M. (CMS/OIS); Pope, Crystal L. (CMS/OIS); Brown, Beatrice (CMS/OIS); Thomas, Kelly (CMS/OIS)  
**Cc:** Linares, George E. (CMS/OIS); Velasco, Linda J. (CMS/OIS); Patterson, Tiffany D. (CMS/OIS)  
**Subject:** Re: Marketplace and States Decision Memo outlines\_JKahn.docx

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**Cc:** Linares, George E. (CMS/OIS); Velasco, Linda J. (CMS/OIS)

**Subject:** FW: Marketplace and States Decision Memo outlines\_JKahn.docx

All,

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**Sent:** Wednesday, September 25, 2013 11:29 AM

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**Cc:** Cooper, Dennis (CMS/CTR); Davis, Angela (CMS/OIS); Schmidt, Donna W. (CMS/OIS); Grothe, Kirk A. (CMS/OIS); Outerbridge, Monique (CMS/OIS)

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Twitter: @Julieboughn

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Message

**From:** Davis, Angela (CMS/OIS) [NotResp]  
[NotResp]

**Sent:** 9/26/2013 2:41:51 PM

**To:** Linares, George E. (CMS/OIS) [NotResp]  
[NotResp]

**CC:** England-Gordon, John E. (CMS/OIS) [NotResp]  
[NotResp]; Ambrosini, Ellen M.  
(CMS/OEM); [NotResp]; Bolden, Dawn P  
(CMS/OEM); [NotResp] Cooper, Dennis  
(CMS/CTR); [NotResp]  
[NotResp]; Blais, Kris M. (CMS/CTR) [NotResp]  
[NotResp]; Schmidt,  
Donna W. (CMS/OIS) [NotResp]  
Schankweiler, Thomas W. (CMS/OIS) [NotResp]  
[NotResp]; Fryer, Teresa M. (CMS/OIS) [NotResp]  
[NotResp]; Johnston, James  
(CMS/CMMI) [NotResp]  
[NotResp]

**Subject:** RE: Notes: ATC Touch Base 9/25

Correction: the second instance is a typo it should be IL that Spherecom anticipates completing weekend.

Idaho has been approved.

**From:** Linares, George E. (CMS/OIS)  
**Sent:** Thursday, September 26, 2013 10:37 AM  
**To:** Davis, Angela (CMS/OIS)  
**Cc:** England-Gordon, John E. (CMS/OIS); Ambrosini, Ellen M. (CMS/OEM); Bolden, Dawn P (CMS/OEM); Cooper, Dennis (CMS/CTR); Blais, Kris M. (CMS/CTR); Schmidt, Donna W. (CMS/OIS); Schankweiler, Thomas W. (CMS/OIS); Fryer, Teresa M. (CMS/OIS); Johnston, James (CMS/CMMI); Davis, Angela (CMS/OIS)  
**Subject:** Re: Notes: ATC Touch Base 9/25

Angela,

ID - Idaho is mentioned in two places, as signed and as coming this weekend. I thought it was signed already. Please confirm. Thanks

Sent from my iPad

On Sep 26, 2013, at 10:31 AM, "Davis, Angela (CMS/OIS)" <Angela.Davis@cms.hhs.gov> wrote:  
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If any of the information above was captured in error please feel free to send corrections.

Thank you,

**Angela Davis**

Centers for Medicare & Medicaid Services (CMS)

Office of Information Services (OIS)

<image001.jpg> 410.786.2990 <image002.jpg> [angela.davis@cms.hhs.gov](mailto:angela.davis@cms.hhs.gov)

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Message

**From:** Davis, Angela (CMS/OIS) [NotResp]  
[NotResp]

**Sent:** 9/26/2013 2:30:42 PM

**To:** England-Gordon, John E. (CMS/OIS) [NotResp]  
[NotResp] Ambrosini, Ellen M.  
(CMS/OEM) [NotResp] Bolden, Dawn P  
(CMS/OEM) [NotResp] Cooper, Dennis  
(CMS/CTR) [NotResp]  
[NotResp] Blais, Kris M. (CMS/CTR) [NotResp]  
[NotResp]  
Donna W. (CMS/OIS) [NotResp]  
Schankweiler, Thomas W. (CMS/OIS) [NotResp]  
[NotResp] Fryer, Teresa M. (CMS/OIS) [NotResp]  
[NotResp] Linares, George E. (CMS/OIS)  
[NotResp]; Johnston, James  
(CMS/CMMI) [NotResp]  
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[NotResp]

**Sent:** 9/26/2013 2:37:05 PM

**To:** Davis, Angela (CMS/OIS) [NotResp]  
[NotResp]

**CC:** England-Gordon, John E. (CMS/OIS) [NotResp]  
[NotResp]; Ambrosini, Ellen M.  
(CMS/OEM) [NotResp]; Bolden, Dawn P  
(CMS/OEM) [NotResp]; Cooper, Dennis  
(CMS/CTR) [NotResp]  
[NotResp]; Blais, Kris M. (CMS/CTR) [NotResp]  
[NotResp]; Schmidt,  
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Schankweiler, Thomas W. (CMS/OIS) [NotResp]  
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**From:** Brown, Billie Jo J. (CMS/OIS) [NotResp]  
[NotResp]  
**Sent:** 9/26/2013 3:10:12 PM  
**To:** Fryer, Teresa M. (CMS/OIS) [NotResp]  
[NotResp] Pope, Crystal L. (CMS/OIS) [NotResp]  
[NotResp] Brown, Beatrice (CMS/OIS) [NotResp]  
[NotResp]  
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[NotResp]  
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[NotResp]  
**Sent:** 9/26/2013 2:43:35 PM  
**To:** Davis, Angela (CMS/OIS) [NotResp]  
[NotResp]  
**CC:** England-Gordon, John E. (CMS/OIS) [NotResp]  
[NotResp] Ambrosini, Ellen M.  
(CMS/OEM) [NotResp] Bolden, Dawn P  
(CMS/OEM) [NotResp] Cooper, Dennis  
(CMS/CTR) [NotResp]  
[NotResp] Blais, Kris M. (CMS/CTR) [NotResp]  
[NotResp] Schmidt,  
Donna W. (CMS/OIS) [NotResp]  
Schankweiler, Thomas W. (CMS/OIS) [NotResp]  
[NotResp] Fryer, Teresa M. (CMS/OIS) [NotResp]  
[NotResp] Johnston, James  
(CMS/CMMI) [NotResp]  
[NotResp]  
**Subject:** Re: Notes: ATC Touch Base 9/25

Thanks

Sent from my iPad

On Sep 26, 2013, at 10:41 AM, "Davis, Angela (CMS/OIS)" <Angela.Davis@cms.hhs.gov> wrote:  
Correction: the second instance is a typo it should be IL that Spherecom anticipates completing weekend.

Idaho has been approved.

**From:** Linares, George E. (CMS/OIS)  
**Sent:** Thursday, September 26, 2013 10:37 AM  
**To:** Davis, Angela (CMS/OIS)  
**Cc:** England-Gordon, John E. (CMS/OIS); Ambrosini, Ellen M. (CMS/OEM); Bolden, Dawn P (CMS/OEM); Cooper, Dennis (CMS/CTR); Blais, Kris M. (CMS/CTR); Schmidt, Donna W. (CMS/OIS); Schankweiler, Thomas W. (CMS/OIS); Fryer, Teresa M. (CMS/OIS); Johnston, James (CMS/CMMI); Davis, Angela (CMS/OIS)  
**Subject:** Re: Notes: ATC Touch Base 9/25

Angela,

ID - Idaho is mentioned in two places, as signed and as coming this weekend. I thought it was signed already. Please confirm. Thanks

Sent from my iPad

On Sep 26, 2013, at 10:31 AM, "Davis, Angela (CMS/OIS)" <Angela.Davis@cms.hhs.gov> wrote:  
Good Morning All,

On today's touch base call I captured the following highlights:

- <!--[if !supportLists]--><!--[endif]-->On September 26, 2013, the CIO approved AK, NH, SC, IA, ID, and NV ATC packages. The A-ISA cover and signature pages will be uploaded [NotResp]. Spherecom will update the Security State Progress Tracker with the [NotResp] numbers for these documents.
- <!--[if !supportLists]--><!--[endif]-->On September 26, 2013, MI, MT, and DC ATC packages were submitted to the CISOs office for review.
- <!--[if !supportLists]--><!--[endif]-->Spherecom anticipates completing OR, HI, AR, and NC ATC packages by COB today, September 26, 2013.
- <!--[if !supportLists]--><!--[endif]-->Spherecom submitted the CO/Medicaid ATC package on 9/25/13 and it is under review with the CIISG ISSO.
  - <!--[if !supportLists]--><!--[endif]-->Spherecom is awaiting the POA&M from CO/SBM before an ATC can be initiated.
    - <!--[if !supportLists]--><!--[endif]-->Spherecom anticipates this documentation submission by COB today and to have this ATC package in cue for 9/27/13.
- <!--[if !supportLists]--><!--[endif]-->OEES is still awaiting privacy documentation for GA, NJ, TN, and UT.
- <!--[if !supportLists]--><!--[endif]-->Spherecom anticipates completing FL, IL, NE, WY, CO(SBM), NM, and VA ATC packages by COB tomorrow, September 27, 2013.
- <!--[if !supportLists]--><!--[endif]-->Spherecom anticipates completing IL, LA, WI, and WV ATC packages on Saturday, September 28, 2013

If any of the information above was captured in error please feel free to send corrections.

Thank you,

**Angela Davis**

Centers for Medicare & Medicaid Services (CMS)

Office of Information Services (OIS)

<image001.jpg> 410.786.2990 <image002.jpg> [angela.davis@cms.hhs.gov](mailto:angela.davis@cms.hhs.gov)

7500 Security Blvd., N2-16-15

Baltimore, MD 21244-1850

Need more information? Please visit [the OIS website](#).

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Message

**From:** Toomey, Jacqueline S. (CMS/OIS) [NotResp]  
[NotResp]  
**Sent:** 9/26/2013 11:59:29 AM  
**To:** Fryer, Teresa M. (CMS/OIS) [NotResp]  
[NotResp]  
**CC:** Kim, Jane (CMS/OIS) [NotResp] Baer, David R.  
(CMS/OIS) [NotResp]  
[NotResp]  
**Subject:** FW: Marketplace  
**Importance:** High

Teresa,

(b)(5)

Thanks!

*Jacqueline S Toomey*

Division Director (Acting)  
Division of Information Security Policy and Compliance (DISPC)  
Centers for Medicare & Medicaid Services  
7500 Security Blvd. Mailstop: N1-26-08  
Baltimore, Md. 21244-1870  
Location: N1-26-27 / Office Telephone: 410-786-5552 / Blackberry: [NotResp]  
[jacqueline.toomey@cms.hhs.gov](mailto:jacqueline.toomey@cms.hhs.gov)



Enterprise Information Security Group  
*Risk Management, Oversight, & Monitoring*

This email and any attachments may contain Sensitive/Privacy Data which is intended for use only by the individuals to whom it is addressed, it may contain information that is confidential, privileged or otherwise protected from disclosure under applicable laws. If you are not the intended recipient please note that copying or distributing this email is strictly prohibited.

**From:** Jack, Kathleen M. (CMS//CCSQ)  
**Sent:** Thursday, September 26, 2013 7:51 AM  
**To:** Toomey, Jacqueline S. (CMS/OIS); Kim, Jane (CMS/OIS); Baer, David R. (CMS/OIS)  
**Subject:** RE: Marketplace

Thanks, Jacquie,

(b)(5)

Thanks so much for your advice and assistance,

Kathleen

**From:** Toomey, Jacqueline S.(CMS/OIS)  
**Sent:** Thursday, September 26, 2013 7:33 AM  
**To:** Kim, Jane (CMS/OIS); Baer, David R. (CMS/OIS)  
**Cc:** Jack, Kathleen M. (CMS//CCSQ)  
**Subject:** FW: Marketplace

Jane, Dave,

Could one of you reach out to Kathleen and provide guidance regarding her next steps? Please keep me in the loop.

Thanks!

*Jacqueline S Toomey*

Division Director (Acting)  
Division of Information Security Policy and Compliance (DISPC)  
Centers for Medicare & Medicaid Services  
7500 Security Blvd. Mailstop: N1-26-08  
Baltimore, Md. 21244-1870  
Location: N1-26-27 / Office Telephone: 410-786-5552 / Blackberry: (b)(6)  
[jacqueline.toomey@cms.hhs.gov](mailto:jacqueline.toomey@cms.hhs.gov)



Enterprise Information Security Group  
*Risk Management, Oversight, & Monitoring*

This email and any attachments may contain Sensitive/Privacy Data which is intended for use only by the individuals to whom it is addressed, it may contain information that is confidential, privileged or otherwise protected from disclosure under applicable laws. If you are not the intended recipient please note that copying or distributing this email is strictly prohibited.

**From:** Jack, Kathleen M. (CMS//CCSQ)  
**Sent:** Wednesday, September 25, 2013 2:20 PM  
**To:** Toomey, Jacqueline S.(CMS/OIS)  
**Subject:** RE: Marketplace

Hi Jacquie,

I'm the COR for the Marketplace surveys being developed by AIR with its subcontractor Ipsos, a survey vendor. We have two systems (a server and a website) that need to undergo the risk acceptance process. Would you point me in the right direction for how to start the process.

Thanks,

Kathleen

**From:** Fryer, Teresa M. (CMS/OIS)  
**Sent:** Wednesday, September 25, 2013 12:22 PM  
**To:** Goodrich, Kate (CMS/CCSQ)  
**Cc:** Frederick, Pamela R. (CMS/CCSQ); Jack, Kathleen M. (CMS//CCSQ); Durham, Maria (CMS/CCSQ); Henry, Renee G (CMS/CCSQ); Toomey, Jacqueline S.(CMS/OIS)  
**Subject:** RE: Marketplace

Kate,

(b)(5)

Thanks,

Teresa

**From:** Goodrich, Kate (CMS/CCSQ)  
**Sent:** Wednesday, September 25, 2013 9:46 AM  
**To:** Fryer, Teresa M. (CMS/OIS)  
**Cc:** Frederick, Pamela R. (CMS/CCSQ); Jack, Kathleen M. (CMS//CCSQ); Durham, Maria (CMS/CCSQ); Henry, Renee G (CMS/CCSQ)  
**Subject:** Marketplace

Hi Teresa,

(b)(5)

Much appreciated,

Kate

Kate Goodrich, MD MHS  
Director, Quality Measurement and Health Assessment Group  
Center for Clinical Standards and Quality  
Centers for Medicare and Medicaid Services

Message

**From:** Schankweiler, Thomas W. (CMS/OIS) [NotResp]  
 [NotResp]  
**Sent:** 9/27/2013 1:53:50 PM  
**To:** Mellor, Michael (CMS/OIS) [NotResp]  
 Lyles, Darrin V. (CMS/OIS) [NotResp]  
 [NotResp] Outerbridge, Monique (CMS/OIS) [NotResp]  
 [NotResp]  
**CC:** Fryer, Teresa M. (CMS/OIS) [NotResp]  
 [NotResp] Toomey, Jacqueline S. (CMS/OIS) [NotResp]  
 [NotResp] Grothe, Kirk A. (CMS/OIS) [NotResp]  
 [NotResp] Linares,  
 George E. (CMS/OIS) [NotResp]  
**Subject:** RE: HIX-A Draft SCA Report in Question

Mike and Teresa,

(b)(5)

Also, we need to take a hard look at the strategy for continuing testing for the rest of the year. There has been a request to conduct a comprehensive assessment, and there are a number of releases scheduled along with hot fixes expected throughout the next quarter. Kirk and I talked briefly with Teresa about the need to sit down after the first and look at the expected work and determine the best approach for moving forward with testing.

I appreciate your understanding in this matter.

Tom

**From:** Mellor, Michael (CMS/OIS)  
**Sent:** Friday, September 27, 2013 9:44 AM  
**To:** Lyles, Darrin V. (CMS/OIS)  
**Cc:** Fryer, Teresa M. (CMS/OIS); Schankweiler, Thomas W. (CMS/OIS); Toomey, Jacqueline S. (CMS/OIS)  
**Subject:** RE: HIX-A Draft SCA Report in Question

Darrin –

We are going to pass these comments on to our SCA team. Keep in mind – that the purpose of the SCA is to provide an independent assessment of the security posture of a system. As part of that independent assessment,

the maintainer of the system likely will not agree with all of the findings and the SCA report. We will take a look at the comments and make adjustments to the report if necessary. Thanks.

**From:** Lyles, Darrin V. (CMS/OIS)  
**Sent:** Thursday, September 26, 2013 06:06 PM  
**To:** Fryer, Teresa M. (CMS/OIS)  
**Cc:** Schankweiler, Thomas W. (CMS/OIS)  
**Subject:** HIX-A Draft SCA Report in Question

Teresa,

(b)(5)

Regards,

**Darrin Lyles**

Information Systems Security Officer (ISSO)  
Security Controls Assessment (SCA) Coordinator for CIISG  
Consumer Information & Insurance Systems Group (CIISG)  
Office of Information Services (OIS)  
Centers for Medicare & Medicaid Services (CMS)  
U.S. Department of Health and Human Services (HHS)  
410-786-4744, Desk

(b)(6) BB

[darrin.lyles@cms.hhs.gov](mailto:darrin.lyles@cms.hhs.gov)

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This information has not been publicly disclosed and may be privileged and confidential. It is for internal government use only and must not be disseminated, distributed, or copied to persons not authorized to receive the information. Unauthorized disclosure may result in prosecution to the full extent of the law.

Message

**From:** Kane, Daniel F. (CMS/OAGM) [NotResp]  
[NotResp]

**Sent:** 9/27/2013 1:47:59 PM

**To:** Chao, Henry (CMS/OIS) [NotResp]; Snyder, Michelle (CMS/OA) [NotResp]; Kerr, James T. (CMS/CMHPO) [NotResp]

**CC:** Nelson, David J. (CMS/OEM) [NotResp]; Bowen, Marianne (CMS/OA) [NotResp]; Wallace, Mary H. (CMS/OC) [NotResp]; Booth, Jon G. (CMS/OC) [NotResp]; Patel, Ketan (CMS/OC) [NotResp]; Linares, George E. (CMS/OIS) [NotResp]; Oh, Mark U. (CMS/OIS) [NotResp]; Taylor, Deborah A. (CMS/OFM) [NotResp]; [NotResp]

**Subject:** RE: Concrens on System Peformance and Capacity

Thank you Henry. This is very helpful.

(b)(4)

(b)(4)

**Daniel Kane**

**Director**

Office of Acquisition and Grants Management  
Centers for Medicare and Medicaid Services  
7500 Security Boulevard, Baltimore MD 21244  
(410) 786-1391

(b)(6)

BB

**From:** Chao, Henry (CMS/OIS)

**Sent:** Thursday, September 26, 2013 10:54 PM

**To:** Snyder, Michelle (CMS/OA); Kerr, James T. (CMS/CMHPO)

**Cc:** Nelson, David J. (CMS/OEM); Bowen, Marianne (CMS/OA); Wallace, Mary H. (CMS/OC); Booth, Jon G. (CMS/OC); Patel, Ketan (CMS/OC); Linares, George E. (CMS/OIS); Oh, Mark U. (CMS/OIS); Taylor, Deborah A. (CMS/OFM); Kane, Daniel F. (CMS/OAGM)

**Subject:** Concrens on System Peformance and Capacity

**Importance:** High

In trying to gauge where we may have some issues with capacity and performance

(b)(4)

(b)(4)

Performance testing needs to continue in various environments so the jury is still out for now but by Saturday we will have better factual answers.

(b)(4)

Will have more information in the morning.

Henry Chao  
Deputy CIO & Deputy Director,  
Office of Information Services  
Centers for Medicare & Medicaid Services  
410-786-1800



Message

**From:** Fasching, Laura [laura.fasching@verizon.com]  
**Sent:** 9/27/2013 3:47:36 PM  
**To:** Chao, Henry (CMS/OIS); [NotResp]; Oh, Mark U. (CMS/OIS); [NotResp]; Margush, Doug C. (CMS/OIS); [NotResp]; Murray, Ruairi S. (CMS/OIS); [NotResp]; Um, Peter (CMS/CTR); [NotResp]; Thurston, Robert (CMS/CTR); [NotResp]; Sharma, Hemant (CGI Federal) (Hemant.Sharma@cgifederal.com) [Hemant.Sharma@cgifederal.com]  
**CC:** Basavaraju, Venkat (CMS/OIS); [NotResp]; Zaman, Akhtar (CMS/OIS); [NotResp]; Linares, George E. (CMS/OIS); [NotResp]; Walter, Stephen J. (CMS/OIS); [NotResp]; Shao, Lijun (CMS/CPI); [NotResp]; Patel, Ketan (CMS/OC); [NotResp]; Booth, Jon G. (CMS/OC); [NotResp]  
**Subject:** RE: Application Environment sizes

(b)(4)

Thanks  
 Laura

**Laura Fasching**  
 Director of Public Sector Strategic Accounts | Verizon Terremark  
 Tel: +01 703 201 9495  
 222 W Las Colinas Blvd, Irving, Texas, 75039

**From:** Chao, Henry (CMS/OIS) [mailto:henry.chao@cms.hhs.gov]  
**Sent:** Thursday, September 26, 2013 11:15 PM  
**To:** Oh, Mark U. (CMS/OIS); Margush, Doug C. (CMS/OIS); Murray, Ruairi S. (CMS/OIS); Um, Peter (CMS/CTR); Thurston, Robert (CMS/CTR); Sharma, Hemant (CGI Federal) (Hemant.Sharma@cgifederal.com); Fasching, Laura  
**Cc:** Basavaraju, Venkat (CMS/OIS); Zaman, Akhtar (CMS/OIS); Linares, George E. (CMS/OIS); Walter, Stephen J. (CMS/OIS); Shao, Lijun (CMS/CPI); Patel, Ketan (CMS/OC); Booth, Jon G. (CMS/OC)  
**Subject:** FW: Application Environment sizes

Laura,

(b)(4)

Henry Chao  
Deputy CIO & Deputy Director,  
Office of Information Services  
Centers for Medicare & Medicaid Services  
410-786-1800

**From:** Fasching, Laura [<mailto:laura.fasching@verizon.com>]  
**Sent:** Thursday, September 26, 2013 10:27 PM  
**To:** Chao, Henry (CMS/OIS); Outerbridge, Monique (CMS/OIS)  
**Cc:** Fasching, Laura  
**Subject:** Application Environment sizes

(b)(4)

I meet with our VP of engineering in the morning to also review our capacity report to let you know how much space is in reserve.

Thanks  
Laura

**Laura Fasching**  
Director of Public Sector Strategic Accounts | Verizon Terremark  
Tel: +01 703 201 9495  
222 W Las Colinas Blvd, Irving, Texas, 75039

Message

**From:** Robinson, Carolyn E. (CMS/OIS); [Redacted] NotResp  
[Redacted] NotResp  
**Sent:** 9/27/2013 2:22:18 PM  
**To:** Trenkle, Tony (CMS/OIS); [Redacted] NotResp  
**CC:** Tierney, Janet L. (CMS/OIS); [Redacted] NotResp  
[Redacted] NotResp  
**Subject:** FW: Concrens on System Peformance and Capacity  
**Importance:** High

**From:** Knode, Donald (CMS/OAGM)  
**Sent:** Friday, September 27, 2013 10:17 AM  
**To:** Robinson, Carolyn E. (CMS/OIS)  
**Subject:** FW: Concrens on System Peformance and Capacity  
**Importance:** High

**From:** Kane, Daniel F. (CMS/OAGM)  
**Sent:** Friday, September 27, 2013 8:01 AM  
**To:** Heard, Derrick L. (CMS/OAGM); Mummert, Andrew R. (CMS/OAGM); Knode, Donald (CMS/OAGM)  
**Subject:** Fw: Concrens on System Peformance and Capacity  
**Importance:** High

FYI.

-----  
Sent from my BlackBerry Wireless Device

**From:** Chao, Henry (CMS/OIS)  
**Sent:** Thursday, September 26, 2013 10:53 PM  
**To:** Snyder, Michelle (CMS/OA); Kerr, James T. (CMS/CMHPO)  
**Cc:** Nelson, David J. (CMS/OEM); Bowen, Marianne (CMS/OA); Wallace, Mary H. (CMS/OC); Booth, Jon G. (CMS/OC); Patel, Ketan (CMS/OC); Linares, George E. (CMS/OIS); Oh, Mark U. (CMS/OIS); Taylor, Deborah A. (CMS/OFM); Kane, Daniel F. (CMS/OAGM)  
**Subject:** Concrens on System Peformance and Capacity

In trying to gauge where we may have some issues with capacity and performance

[Redacted] (b)(4)

[Redacted]  
(b)(4)

Performance testing needs to continue in various environments so the jury is still out for now but by Saturday we will have better factual answers.

(b)(4)

Will have more information in the morning.

Henry Chao  
Deputy CIO & Deputy Director,  
Office of Information Services  
Centers for Medicare & Medicaid Services  
410-786-1800

Message

**From:** Nelson, David J. (CMS/OEM) [NotResp]  
[NotResp]  
**Sent:** 9/27/2013 9:47:12 PM  
**To:** Snyder, Michelle (CMS/OA) [NotResp]  
Trenkle, Tony (CMS/OIS) [NotResp]  
[NotResp] Taylor, Deborah A. (CMS/OFM) [NotResp]  
[NotResp] Kane, Daniel F. (CMS/OAGM) [NotResp]  
[NotResp]  
**Subject:** Re: number

Its not clear what we have to deploy yet. Performance testing this weekend should help.  
On the bright side this is commodity hardware and can be deployed in a very flexible way - wherever it is needed. From my perspective I think it is prudent to have some on hand right away. I don't think we have any undeployed assets on hand.

-----  
David Nelson, Director  
Offices of Enterprise Management  
Centers for Medicare and Medicaid Services

Sent using BlackBerry

**From:** Snyder, Michelle (CMS/OA)  
**Sent:** Friday, September 27, 2013 05:16 PM  
**To:** Trenkle, Tony (CMS/OIS); Taylor, Deborah A. (CMS/OFM); Kane, Daniel F. (CMS/OAGM); Nelson, David J. (CMS/OEM)  
**Subject:** number

Deb

(b)(5)

Michelle

A.Michelle Snyder  
Chief Operating Officer  
DHHS/CMS/OA  
410-786-3151

**This space intentionally left blank.**

Message

**From:** Taylor, Deborah A. (CMS/OFM) [NotResp]  
[NotResp]  
**Sent:** 9/28/2013 2:13:49 AM  
**To:** Chao, Henry (CMS/OIS) [NotResp]; Kane, Daniel F. (CMS/OAGM) [NotResp]  
**CC:** Robinson, Carolyn E. (CMS/OIS) [NotResp]  
[NotResp] Trenkle, Tony (CMS/OIS) [NotResp]  
[NotResp] Nelson, David J. (CMS/OEM) [NotResp]  
[NotResp]; Outerbridge, Monique (CMS/OIS) [NotResp]  
[NotResp] Snyder, Michelle (CMS/OA)  
[NotResp] Worstell, Megan L.  
(CMS/OFM) [NotResp]; Tierney, Janet L. (CMS/OIS) [NotResp]  
**Subject:** Re: Cloud Expansion IGCE

(b)(4)

Sent from my BlackBerry Wireless Handheld

**From:** Chao, Henry (CMS/OIS)  
**Sent:** Friday, September 27, 2013 10:08 PM  
**To:** Kane, Daniel F. (CMS/OAGM); Taylor, Deborah A. (CMS/OFM)  
**Cc:** Robinson, Carolyn E. (CMS/OIS); Trenkle, Tony (CMS/OIS); Weiss, Paul (CMS/OIS); Blondell, Star (CMS/OIS); Nelson, David J. (CMS/OEM); Outerbridge, Monique (CMS/OIS); Snyder, Michelle (CMS/OA); Worstell, Megan L. (CMS/OFM); Hitchcock, Angela N. (CMS/OIS); Lewis, Melinda (CMS/OIS); Tierney, Janet L. (CMS/OIS); Mummert, Andrew R. (CMS/OAGM); Knodel, Donald (CMS/OAGM); Heard, Derrick L. (CMS/OAGM)  
**Subject:** RE: Cloud Expansion IGCE

If at all possible that OFM can do this tomorrow I would greatly appreciate it.  
If not then I guess the call will have to do and hope that it will be good enough.

Thanks

Henry Chao  
Deputy CIO & Deputy Director,  
Office of Information Services  
Centers for Medicare & Medicaid Services  
410-786-1800

**From:** Kane, Daniel F. (CMS/OAGM)  
**Sent:** Friday, September 27, 2013 9:54 PM  
**To:** Chao, Henry (CMS/OIS)  
**Cc:** Robinson, Carolyn E. (CMS/OIS); Taylor, Deborah A. (CMS/OFM); Trenkle, Tony (CMS/OIS); Weiss, Paul (CMS/OIS); Blondell, Star (CMS/OIS); Nelson, David J. (CMS/OEM); Outerbridge, Monique (CMS/OIS); Snyder, Michelle (CMS/OA); Worstell, Megan L. (CMS/OFM); Hitchcock, Angela N. (CMS/OIS); Lewis, Melinda (CMS/OIS); Tierney, Janet L. (CMS/OIS);

Mummert, Andrew R. (CMS/OAGM); Knode, Donald (CMS/OAGM); Heard, Derrick L. (CMS/OAGM)

**Subject:** Re: Cloud Expansion IGCE

(b)(4)

Sent from my iPad

On Sep 27, 2013, at 9:31 PM, "Chao, Henry (CMS/OIS)" <[henry.chao@cms.hhs.gov](mailto:henry.chao@cms.hhs.gov)> wrote:

(b)(4)

Henry Chao  
Deputy Chief Information Officer and Deputy Director  
Office of Information Services  
Centers for Medicare & Medicaid Services  
7500 Security Blvd  
Baltimore, MD 21244  
301-492-4100 (Pri)  
410-786-1800 (Alt)  
(b)(6) (BB)

**From:** Robinson, Carolyn E. (CMS/OIS)

**Sent:** Friday, September 27, 2013 09:28 PM

**To:** Taylor, Deborah A. (CMS/OFM); Kane, Daniel F. (CMS/OAGM)

**Cc:** Trenkle, Tony (CMS/OIS); Weiss, Paul (CMS/OIS); Blondell, Star (CMS/OIS); Chao, Henry (CMS/OIS); Nelson, David J. (CMS/OEM); Outerbridge, Monique (CMS/OIS); Snyder, Michelle (CMS/OA); Worstell, Megan L. (CMS/OFM); Hitchcock, Angela N. (CMS/OIS); Lewis, Melinda (CMS/OIS); Tierney, Janet L. (CMS/OIS); Mummert, Andrew R. (CMS/OAGM); Knode, Donald (CMS/OAGM)

**Subject:** Re: Cloud Expansion IGCE

(b)(4)

Sent using BlackBerry

**From:** Taylor, Deborah A. (CMS/OFM)

**Sent:** Friday, September 27, 2013 09:22 PM

**To:** Kane, Daniel F. (CMS/OAGM); Robinson, Carolyn E. (CMS/OIS)

**Cc:** Trenkle, Tony (CMS/OIS); Weiss, Paul (CMS/OIS); Blondell, Star (CMS/OIS); Chao, Henry (CMS/OIS); Nelson, David J. (CMS/OEM); Outerbridge, Monique (CMS/OIS); Snyder, Michelle (CMS/OA); Worstell, Megan L. (CMS/OFM); Hitchcock, Angela N. (CMS/OIS); Lewis, Melinda (CMS/OIS); Tierney, Janet L. (CMS/OIS); Mummert, Andrew R. (CMS/OAGM); Knode, Donald (CMS/OAGM)

**Subject:** Re: Cloud Expansion IGCE



(b)(4)

Sent from my BlackBerry Wireless Handheld

**From:** Kane, Daniel F. (CMS/OAGM)

**Sent:** Friday, September 27, 2013 08:36 PM

**To:** Robinson, Carolyn E. (CMS/OIS)

**Cc:** Taylor, Deborah A. (CMS/OFM); Trenkle, Tony (CMS/OIS); Weiss, Paul (CMS/OIS); Blondell, Star (CMS/OIS); Chao, Henry (CMS/OIS); Nelson, David J. (CMS/OEM); Outerbridge, Monique (CMS/OIS); Snyder, Michelle (CMS/OA); Worstell, Megan L. (CMS/OFM); Hitchcock, Angela N. (CMS/OIS); Lewis, Melinda (CMS/OIS); Tierney, Janet L. (CMS/OIS); Mummert, Andrew R. (CMS/OAGM); Knode, Donald (CMS/OAGM); Robinson, Carolyn E. (CMS/OIS)

**Subject:** Re: Cloud Expansion IGCE

(b)(4)

Sent from my iPad

On Sep 27, 2013, at 6:55 PM, "Robinson, Carolyn E. (CMS/OIS)" <Carolyn.Robinson@cms.hhs.gov> wrote:

(b)(4)

**From:** Taylor, Deborah A. (CMS/OFM)

**Sent:** Friday, September 27, 2013 6:51 PM

**To:** Robinson, Carolyn E. (CMS/OIS); Trenkle, Tony (CMS/OIS); Weiss, Paul (CMS/OIS); Blondell, Star (CMS/OIS)

**Cc:** Kane, Daniel F. (CMS/OAGM); Chao, Henry (CMS/OIS); Nelson, David J. (CMS/OEM); Outerbridge, Monique (CMS/OIS); Snyder, Michelle (CMS/OA); Worstell, Megan L. (CMS/OFM); Hitchcock, Angela N. (CMS/OIS); Lewis, Melinda (CMS/OIS); Tierney, Janet L. (CMS/OIS); Mummert, Andrew R. (CMS/OAGM); Knode, Donald (CMS/OAGM)

**Subject:** Re: Cloud Expansion IGCE

Will do. Thanks

Sent from my BlackBerry Wireless Handheld

**From:** Robinson, Carolyn E. (CMS/OIS)

**Sent:** Friday, September 27, 2013 06:47 PM

**To:** Taylor, Deborah A. (CMS/OFM); Trenkle, Tony (CMS/OIS); Weiss, Paul (CMS/OIS); Blondell, Star (CMS/OIS)

**Cc:** Kane, Daniel F. (CMS/OAGM); Chao, Henry (CMS/OIS); Nelson, David J. (CMS/OEM); Outerbridge, Monique (CMS/OIS); Snyder, Michelle (CMS/OA); Worstell, Megan L. (CMS/OFM); Hitchcock, Angela N. (CMS/OIS); Lewis, Melinda (CMS/OIS); Tierney, Janet L. (CMS/OIS); Mummert, Andrew R. (CMS/OAGM); Knode, Donald (CMS/OAGM)

**Subject:** RE: Cloud Expansion IGCE

(b)(4)

(b)(4)

**From:** Taylor, Deborah A. (CMS/OFM)

**Sent:** Friday, September 27, 2013 6:45 PM

**To:** Trenkle, Tony (CMS/OIS)

**Cc:** Kane, Daniel F. (CMS/OAGM); Robinson, Carolyn E. (CMS/OIS); Chao, Henry (CMS/OIS); Nelson, David J. (CMS/OEM); Outerbridge, Monique (CMS/OIS); Snyder, Michelle (CMS/OA); Worstell, Megan L. (CMS/OFM)

**Subject:** Re: Cloud Expansion IGCE

Ok thanks for the information we will work on this first thing Monday.

-----  
Sent from my BlackBerry Wireless Handheld

**From:** Trenkle, Tony (CMS/OIS)

**Sent:** Friday, September 27, 2013 06:41 PM

**To:** Taylor, Deborah A. (CMS/OFM)

**Cc:** Kane, Daniel F. (CMS/OAGM); Robinson, Carolyn E. (CMS/OIS); Chao, Henry (CMS/OIS); Nelson, David J. (CMS/OEM); Outerbridge, Monique (CMS/OIS); Snyder, Michelle (CMS/OA)

**Subject:** Cloud Expansion IGCE

Deb,

(b)(4)

Thanks.

Tony

Message

---

**From:** Outerbridge, Monique (CMS/OIS) [NotResp]  
[NotResp]  
**Sent:** 9/27/2013 11:09:23 PM  
**To:** Trenkle, Tony (CMS/OIS) [NotResp]  
**Subject:** Re: Per our conversation

Got it

Monique Outerbridge  
Director  
Consumer Information and Insurance Systems Group  
Office of Information Services, CMS  
301-492-4376  
410-786-5308 (Alt. Office)

**From:** Trenkle, Tony (CMS/OIS)  
**Sent:** Friday, September 27, 2013 06:48 PM  
**To:** Outerbridge, Monique (CMS/OIS)  
**Subject:** Per our conversation

(b)(5)

Message

**From:** Trenkle, Tony (CMS/OIS) [NotResp]  
[NotResp]  
**Sent:** 9/27/2013 10:43:41 PM  
**To:** Kahn, Jessica (CMS/CMCS) [NotResp]  
[NotResp] Boughn, Julie C. (CMS/CMCS) [NotResp]  
[NotResp]  
**CC:** Linares, George E. (CMS/OIS) [NotResp]  
[NotResp]; Schankweiler, Thomas W. (CMS/OIS) [NotResp]  
[NotResp] Fryer, Teresa M. (CMS/OIS) [NotResp]  
[NotResp] Mellor,  
Michael (CMS/OIS) [NotResp]  
**Subject:** RE: ATC update

Ok, just let us know.

---

**From:** Kahn, Jessica (CMS/CMCS)  
**Sent:** Friday, September 27, 2013 6:19 PM  
**To:** Boughn, Julie C. (CMS/CMCS); Trenkle, Tony (CMS/OIS)  
**Cc:** Linares, George E. (CMS/OIS); Schankweiler, Thomas W. (CMS/OIS)  
**Subject:** ATC update

(b)(5)

- Jess

**Jessica P. Kahn MPH | Acting Director | Division of State Systems | Data Systems Group - Center for Medicaid and CHIP Services | Centers for Medicare & Medicaid Services | 410-786-9361 | [www.medicaid.gov](http://www.medicaid.gov) | Twitter: @CMSGOV**

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Message

**From:** Kahn, Jessica (CMS/CMCS) [NotResp]  
[NotResp]  
**Sent:** 9/27/2013 10:19:36 PM  
**To:** Boughn, Julie C. (CMS/CMCS) [NotResp]  
Trenkle, Tony (CMS/OIS) [NotResp]  
**CC:** Linares, George E. (CMS/OIS) [NotResp]  
[NotResp]; Schankweiler, Thomas W. (CMS/OIS) [NotResp]  
[NotResp]  
**Subject:** ATC update

(b)(5)

- Jess

**Jessica P. Kahn MPH | Acting Director | Division of State Systems | Data Systems Group - Center for Medicaid and CHIP Services | Centers for Medicare & Medicaid Services | 410-786-9361 | [www.medicaid.gov](http://www.medicaid.gov) | Twitter: @CMSGOV**

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Message

**From:** King, Jason C. (CMS/OIS) [NotResp]  
**Sent:** 9/27/2013 1:27:39 PM  
**To:** Fryer, Teresa M. (CMS/OIS) [NotResp]  
[NotResp] Toomey, Jacqueline S.(CMS/OIS) [NotResp]  
[NotResp]  
**CC:** Kim, Jane (CMS/OIS) [NotResp]  
**Subject:** RE: HIX-A Draft SCA Report in Question

Actually, Jacquie was right, I mistyped. Findings are only clarified at most from the draft report review, and closures do need to take place prior to the draft report.

Jason King  
Division of Information Security Policy and Compliance (DISPC)  
Enterprise Information Security Group (EISG)  
Centers for Medicare & Medicaid Services  
PH: (410) 786-7578  
<http://www.cms.hhs.gov/informationsecurity/>  
Contact EISG at [CISO@cms.hhs.gov](mailto:CISO@cms.hhs.gov)

**From:** Fryer, Teresa M. (CMS/OIS)  
**Sent:** Friday, September 27, 2013 9:24 AM  
**To:** Toomey, Jacqueline S.(CMS/OIS); King, Jason C. (CMS/OIS)  
**Cc:** Kim, Jane (CMS/OIS)  
**Subject:** RE: HIX-A Draft SCA Report in Question

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**From:** Toomey, Jacqueline S.(CMS/OIS)  
**Sent:** Friday, September 27, 2013 9:21 AM  
**To:** Fryer, Teresa M. (CMS/OIS); King, Jason C. (CMS/OIS)  
**Cc:** Kim, Jane (CMS/OIS)  
**Subject:** RE: HIX-A Draft SCA Report in Question

I'm sorry Jason – I thought the team had a week to provide evidence after the final out brief meeting (before the report is created) and the week after the draft report was only for review? Is this yet another difference for the MP or has the process changed?

*Jacqueline S Toomey*

Division Director (Acting)  
Division of Information Security Policy and Compliance (DISPC)  
Centers for Medicare & Medicaid Services  
7500 Security Blvd. Mailstop: N1-26-08  
Baltimore, Md. 21244-1870  
Location: N1-26-27 / Office Telephone: 410-786-5552 / Blackberry:  
[jacqueline.toomey@cms.hhs.gov](mailto:jacqueline.toomey@cms.hhs.gov)

(b)(6)



Enterprise Information Security Group  
Risk Management, Oversight, & Monitoring

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**Cc:** Kim, Jane (CMS/OIS)  
**Subject:** RE: HIX-A Draft SCA Report in Question

Oh, okay...

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**Sent:** Friday, September 27, 2013 8:45 AM  
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**Cc:** Kim, Jane (CMS/OIS)  
**Subject:** RE: HIX-A Draft SCA Report in Question

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Thanks,

Teresa

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**Cc:** Kim, Jane (CMS/OIS)  
**Subject:** RE: HIX-A Draft SCA Report in Question

(b)(5)

Jason King  
Division of Information Security Policy and Compliance (DISPC)  
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<http://www.cms.hhs.gov/informationsecurity/>  
Contact EISG at [CISO@cms.hhs.gov](mailto:CISO@cms.hhs.gov)

**From:** Toomey, Jacqueline S.(CMS/OIS)  
**Sent:** Friday, September 27, 2013 5:56 AM  
**To:** Fryer, Teresa M. (CMS/OIS); King, Jason C. (CMS/OIS)  
**Subject:** RE: HIX-A Draft SCA Report in Question

(b)(5)

*Jacqueline S Toomey*

Division Director (Acting)  
Division of Information Security Policy and Compliance (DISPC)  
Centers for Medicare & Medicaid Services  
7500 Security Blvd. Mailstop: N1-26-08  
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[jacqueline.toomey@cms.hhs.gov](mailto:jacqueline.toomey@cms.hhs.gov)

(b)(6)





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**From:** Fryer, Teresa M. (CMS/OIS)  
**Sent:** Thursday, September 26, 2013 10:15 PM  
**To:** Toomey, Jacqueline S.(CMS/OIS); King, Jason C. (CMS/OIS)  
**Subject:** Fw: HIX-A Draft SCA Report in Question  
**Importance:** High

Is this normal process? Mike doesn't think this is an issue because they are the system developers. I've asked him to get with you on this.

**From:** Lyles, Darrin V. (CMS/OIS)  
**Sent:** Thursday, September 26, 2013 06:06 PM  
**To:** Fryer, Teresa M. (CMS/OIS)  
**Cc:** Schankweiler, Thomas W. (CMS/OIS)  
**Subject:** HIX-A Draft SCA Report in Question

Teresa,

(b)(5)

Regards,

**Darrin Lyles**

Information Systems Security Officer (ISSO)  
Security Controls Assessment (SCA) Coordinator for CIISG  
Consumer Information & Insurance Systems Group (CIISG)  
Office of Information Services (OIS)  
Centers for Medicare & Medicaid Services (CMS)  
U.S. Department of Health and Human Services (HHS)

410-786-4744, Desk

(b)(6) BB

[darrin.lyles@cms.hhs.gov](mailto:darrin.lyles@cms.hhs.gov)

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Message

**From:** Toomey, Jacqueline S. (CMS/OIS) [NotResp]  
[NotResp]  
**Sent:** 9/27/2013 1:28:57 PM  
**To:** Fryer, Teresa M. (CMS/OIS) [NotResp]  
[NotResp] King, Jason C. (CMS/OIS) [NotResp]  
[NotResp]  
**CC:** Kim, Jane (CMS/OIS) [NotResp]  
**Subject:** RE: HIX-A Draft SCA Report in Question

True.

*Jacqueline S Toomey*

Division Director (Acting)  
Division of Information Security Policy and Compliance (DISPC)  
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7500 Security Blvd. Mailstop: N1-26-08  
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[NotResp]



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**From:** Fryer, Teresa M. (CMS/OIS)  
**Sent:** Friday, September 27, 2013 9:28 AM  
**To:** Toomey, Jacqueline S.(CMS/OIS); King, Jason C. (CMS/OIS)  
**Cc:** Kim, Jane (CMS/OIS)  
**Subject:** RE: HIX-A Draft SCA Report in Question

(b)(5)

**From:** Toomey, Jacqueline S.(CMS/OIS)  
**Sent:** Friday, September 27, 2013 9:26 AM  
**To:** Fryer, Teresa M. (CMS/OIS); King, Jason C. (CMS/OIS)  
**Cc:** Kim, Jane (CMS/OIS)  
**Subject:** RE: HIX-A Draft SCA Report in Question

I don't think we have time to do that. Do we?

*Jacqueline S Toomey*

Division Director (Acting)  
Division of Information Security Policy and Compliance (DISPC)  
Centers for Medicare & Medicaid Services  
7500 Security Blvd. Mailstop: N1-26-08  
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NotResp



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(b)(5)

Jason King  
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(b)(5)

*Jacqueline S Toomey*

Division Director (Acting)  
Division of Information Security Policy and Compliance (DISPC)  
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NotResp



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Risk Management, Oversight, & Monitoring

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**To:** Fryer, Teresa M. (CMS/OIS)  
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**Subject:** HIX-A Draft SCA Report in Question

Teresa,

(b)(5)

Regards,

**Darrin Lyles**

Information Systems Security Officer (ISSO)  
Security Controls Assessment (SCA) Coordinator for CIISG  
Consumer Information & Insurance Systems Group (CIISG)  
Office of Information Services (OIS)  
Centers for Medicare & Medicaid Services (CMS)  
U.S. Department of Health and Human Services (HHS)  
410-786-4744, Desk

NotResp BB

[darrin.lyles@cms.hhs.gov](mailto:darrin.lyles@cms.hhs.gov)

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Message

**From:** King, Jason C. (CMS/OIS) [Redacted] **NotResp**  
**Sent:** 9/27/2013 12:45:53 PM  
**To:** Fryer, Teresa M. (CMS/OIS) [Redacted] **NotResp**  
[Redacted] **NotResp** Toomey, Jacqueline S.(CMS/OIS) [Redacted] **NotResp**  
[Redacted] **NotResp**  
**CC:** Kim, Jane (CMS/OIS) [Redacted] **NotResp**  
**Subject:** RE: HIX-A Draft SCA Report in Question

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**Subject:** RE: HIX-A Draft SCA Report in Question

(b)(5)

*Jacqueline S Toomey*

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Division of Information Security Policy and Compliance (DISPC)  
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**Subject:** HIX-A Draft SCA Report in Question

Teresa,

(b)(5)

Regards,

**Darrin Lyles**

Information Systems Security Officer (ISSO)  
Security Controls Assessment (SCA) Coordinator for CIISG  
Consumer Information & Insurance Systems Group (CIISG)  
Office of Information Services (OIS)  
Centers for Medicare & Medicaid Services (CMS)  
U.S. Department of Health and Human Services (HHS)  
410-786-4744, Desk

(b)(6)

BB

[darrin.lyles@cms.hhs.gov](mailto:darrin.lyles@cms.hhs.gov)

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Message

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**From:** Schatoff, Jack H. (CMS/OIS) [NotResp]  
[NotResp]  
**Sent:** 9/27/2013 1:23:55 PM  
**To:** Willard, Adam (CMS/CTR) [NotResp]  
[NotResp], Schankweiler, Thomas W.  
(CMS/OIS) [NotResp]  
**CC:** Marantan, James (CMS/OIS) [NotResp]  
Mellor, Michael (CMS/OIS) [NotResp]  
Fryer, Teresa M. (CMS/OIS) [NotResp]  
[NotResp]  
**Subject:** RE: ACA Testing

Adam,

Please advise when the corrections are made. We plan to test again on Monday.

Regards,

Jack

**From:** Willard, Adam (CMS/CTR)  
**Sent:** Friday, September 27, 2013 9:22 AM  
**To:** Schatoff, Jack H. (CMS/OIS); Schankweiler, Thomas W. (CMS/OIS)  
**Cc:** Marantan, James (CMS/OIS); Mellor, Michael (CMS/OIS); Fryer, Teresa M. (CMS/OIS)  
**Subject:** RE: ACA Testing

Jack,

Thank you very much.

**Adam Willard** (Contractor)  
703-354-2229 x513 (Direct)  
[b)(6)] (Mobile)  
[Adam.Willard@cms.hhs.gov](mailto:Adam.Willard@cms.hhs.gov)

**CMS XOC Security Team**  
Consumer Information & Insurance Systems Group (CIISG)  
Centers for Medicare & Medicaid Services (CMS)  
703-594-4961/703-910-3993  
[ciisg-soc@cms.hhs.gov](mailto:ciisg-soc@cms.hhs.gov)

---

**From:** Schatoff, Jack H. (CMS/OIS)  
**Sent:** Friday, September 27, 2013 8:42 AM  
**To:** Willard, Adam (CMS/CTR); Schankweiler, Thomas W. (CMS/OIS)  
**Cc:** Marantan, James (CMS/OIS); Mellor, Michael (CMS/OIS); Fryer, Teresa M. (CMS/OIS); Schatoff, Jack H. (CMS/OIS)  
**Subject:** ACA Testing  
Adam, Tom,

Please see attached summary report for testing against the externally facing components for ACA. The findings will be uploaded into CFACTS today.

Regards,

Jack

Jack Schatoff

Centers for Medicare and Medicaid Services

Enterprise Information Security Group (EISG)

Division of Information Security Operations (DISO)

[jack.schatoff@cms.hhs.gov](mailto:jack.schatoff@cms.hhs.gov)

Office: 410-786-2554

Mobile: (b)(6)



Enterprise Information Security Group  
*Risk Management, Oversight, & Monitoring*

Message

**From:** Schankweiler, Thomas W. (CMS/OIS) [NotResp]  
[NotResp]  
**Sent:** 9/27/2013 1:36:22 PM  
**To:** Schatoff, Jack H. (CMS/OIS) [NotResp]  
[NotResp] Willard, Adam (CMS/CTR) [NotResp]  
[NotResp]  
**CC:** Marantan, James (CMS/OIS) [NotResp]  
Mellor, Michael (CMS/OIS) [NotResp]  
Fryer, Teresa M. (CMS/OIS) [NotResp]  
[NotResp]  
**Subject:** RE: ACA Testing

All,

It make sense to upload the report, however POAM's are not to be created for these three items. These are duplicate items flagged by MITRE in their reports and is already targeted for inclusion in a POAM.

Thanks,

Tom

**From:** Schatoff, Jack H. (CMS/OIS)  
**Sent:** Friday, September 27, 2013 8:43 AM  
**To:** Willard, Adam (CMS/CTR); Schankweiler, Thomas W. (CMS/OIS)  
**Cc:** Marantan, James (CMS/OIS); Mellor, Michael (CMS/OIS); Fryer, Teresa M. (CMS/OIS); Schatoff, Jack H. (CMS/OIS)  
**Subject:** ACA Testing

Adam, Tom,

Please see attached summary report for testing against the externally facing components for ACA. The findings will be uploaded into CFACTS today.

Regards,

Jack

Jack Schatoff  
Centers for Medicare and Medicaid Services  
Enterprise Information Security Group (EISG)  
Division of Information Security Operations (DISO)  
[jack.schatoff@cms.hhs.gov](mailto:jack.schatoff@cms.hhs.gov)  
Office: 410-786-2554  
Mobile: (b)(6)



Message

**From:** Mellor, Michael (CMS/OIS) [NotResp]  
[NotResp]  
**Sent:** 9/27/2013 1:40:51 PM  
**To:** Toomey, Jacqueline S. (CMS/OIS) [NotResp]  
[NotResp] King, Jason C. (CMS/OIS) [NotResp]  
[NotResp]  
**CC:** Fryer, Teresa M. (CMS/OIS) [NotResp]  
[NotResp]  
**Subject:** FW: HIX-A Draft SCA Report in Question  
**Attachments:** HIX-A Draft SCA Report September 23 2013 with CGI's comments.docx

Jacque – Will you please pass these comments on to the SCA team for their response? Please ask the SCA team to respond directly back to you and Jason. Thanks.

**From:** Fryer, Teresa M. (CMS/OIS)  
**Sent:** Thursday, September 26, 2013 9:25 PM  
**To:** Mellor, Michael (CMS/OIS)  
**Subject:** Fw: HIX-A Draft SCA Report in Question  
**Importance:** High

**From:** Lyles, Darrin V. (CMS/OIS)  
**Sent:** Thursday, September 26, 2013 06:06 PM  
**To:** Fryer, Teresa M. (CMS/OIS)  
**Cc:** Schankweiler, Thomas W. (CMS/OIS)  
**Subject:** HIX-A Draft SCA Report in Question

Teresa,

[NotResp]

Regards,

**Darrin Lyles**

Information Systems Security Officer (ISSO)  
Security Controls Assessment (SCA) Coordinator for CIISG  
Consumer Information & Insurance Systems Group (CIISG)  
Office of Information Services (OIS)  
Centers for Medicare & Medicaid Services (CMS)  
U.S. Department of Health and Human Services (HHS)  
410-786-4744, Desk

(b)(6) BB



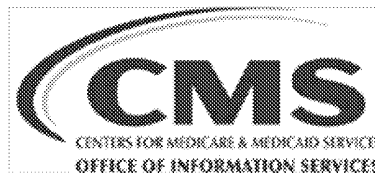
darrin.lyles@cms.hhs.gov

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**DEPARTMENT OF HEALTH & HUMAN SERVICES**

Centers for Medicare & Medicaid Services  
7500 Security Boulevard, Mail Stop N2-14-26  
Baltimore, MD 21244-1850



***CENTERS FOR MEDICARE & MEDICAID SERVICES***

***Office of Information Services***

7500 Security Boulevard  
Baltimore, MD 21244-1850

***Health Insurance eXchange (HIX) August  
– September 2013  
Security Control Assessment (SCA)  
Report***

***Draft Report***

**September 23, 2013**

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NotResp

Message

**From:** Mellor, Michael (CMS/OIS) [NotResp]  
[NotResp]  
**Sent:** 9/27/2013 2:02:32 PM  
**To:** Toomey, Jacqueline S. (CMS/OIS) [NotResp]  
[NotResp] Marantan, James (CMS/OIS) [NotResp]  
[NotResp]  
**CC:** Fryer, Teresa M. (CMS/OIS) [NotResp]  
[NotResp]  
**Subject:** FW: ACA Testing

James & Jacquie –

I'm including an email discussion between Teresa and I to provide some context for this request. I'm going to schedule a meeting towards the end of next week to discuss this. Jacquie – I realize you will be out of the office; please delegate as necessary.

If possible – please get together prior to the meeting and discuss ideas. Thanks.

**From:** Fryer, Teresa M. (CMS/OIS)  
**Sent:** Friday, September 27, 2013 9:52 AM  
**To:** Mellor, Michael (CMS/OIS)  
**Subject:** RE: ACA Testing

agree

---

**From:** Mellor, Michael (CMS/OIS)  
**Sent:** Friday, September 27, 2013 9:33 AM  
**To:** Fryer, Teresa M. (CMS/OIS)  
**Subject:** RE: ACA Testing

(b)(5)

**From:** Fryer, Teresa M. (CMS/OIS)  
**Sent:** Friday, September 27, 2013 9:31 AM  
**To:** Mellor, Michael (CMS/OIS)  
**Subject:** RE: ACA Testing

(b)(5)

---

**From:** Mellor, Michael (CMS/OIS)  
**Sent:** Friday, September 27, 2013 9:29 AM

**To:** Fryer, Teresa M. (CMS/OIS)

**Subject:** RE: ACA Testing

(b)(5)

**From:** Fryer, Teresa M. (CMS/OIS)

**Sent:** Friday, September 27, 2013 9:26 AM

**To:** Mellor, Michael (CMS/OIS)

**Subject:** FW: ACA Testing

(b)(5)

Thanks,

Teresa

---

**From:** Schatoff, Jack H. (CMS/OIS)

**Sent:** Friday, September 27, 2013 8:42 AM

**To:** Willard, Adam (CMS/CTR); Schankweiler, Thomas W. (CMS/OIS)

**Cc:** Marantan, James (CMS/OIS); Mellor, Michael (CMS/OIS); Fryer, Teresa M. (CMS/OIS); Schatoff, Jack H. (CMS/OIS)

**Subject:** ACA Testing

Adam, Tom,

Please see attached summary report for testing against the externally facing components for ACA. The findings will be uploaded into CFACTS today.

Regards,

Jack

Jack Schatoff

Centers for Medicare and Medicaid Services

Enterprise Information Security Group (EISG)

Division of Information Security Operations (DISO)

[jack.schatoff@cms.hhs.gov](mailto:jack.schatoff@cms.hhs.gov)

Office: 410-786-2554

Mobile: (b)(6)



Enterprise Information Security Group  
Risk Management, Oversight, & Monitoring

**This space intentionally left blank.**

Message

**From:** Mellor, Michael (CMS/OIS) [NotResp]  
[NotResp]  
**Sent:** 9/27/2013 1:57:41 PM  
**To:** Fryer, Teresa M. (CMS/OIS) [NotResp]  
[NotResp]  
**Subject:** RE: HIX-A Draft SCA Report in Question

That was re-write number 3 – you should have seen my first one =)

**From:** Fryer, Teresa M. (CMS/OIS)  
**Sent:** Friday, September 27, 2013 9:53 AM  
**To:** Mellor, Michael (CMS/OIS)  
**Subject:** RE: HIX-A Draft SCA Report in Question

Nice message Mike!

---

**From:** Mellor, Michael (CMS/OIS)  
**Sent:** Friday, September 27, 2013 9:44 AM  
**To:** Lyles, Darrin V. (CMS/OIS)  
**Cc:** Fryer, Teresa M. (CMS/OIS); Schankweiler, Thomas W. (CMS/OIS); Toomey, Jacqueline S.(CMS/OIS)  
**Subject:** RE: HIX-A Draft SCA Report in Question  
Darrin –

We are going to pass these comments on to our SCA team. Keep in mind – that the purpose of the SCA is to provide an independent assessment of the security posture of a system. As part of that independent assessment, the maintainer of the system likely will not agree with all of the findings and the SCA report. We will take a look at the comments and make adjustments to the report if necessary. Thanks.

**From:** Lyles, Darrin V. (CMS/OIS)  
**Sent:** Thursday, September 26, 2013 06:06 PM  
**To:** Fryer, Teresa M. (CMS/OIS)  
**Cc:** Schankweiler, Thomas W. (CMS/OIS)  
**Subject:** HIX-A Draft SCA Report in Question

Teresa,

(b)(5)

Regards,

**Darrin Lyles**  
Information Systems Security Officer (ISSO)  
Security Controls Assessment (SCA) Coordinator for CIISG



Consumer Information & Insurance Systems Group (CIISG)

Office of Information Services (OIS)

Centers for Medicare & Medicaid Services (CMS)

U.S. Department of Health and Human Services (HHS)

410-786-4744, Desk

(b)(6)

BB

darrin.lyles@cms.hhs.gov

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Message

**From:** Kim, Jane (CMS/OIS) [Redacted] NotResp  
**Sent:** 9/27/2013 11:51:53 AM  
**To:** King, Jason C. (CMS/OIS) [Redacted] NotResp  
Jacqueline S.(CMS/OIS) [Redacted] NotResp  
Fryer, Teresa M. (CMS/OIS) [Redacted] NotResp  
[Redacted] NotResp  
**Subject:** RE: HIX-A Draft SCA Report in Question

[Redacted]  
(b)(5)

-----  
Jane Kim, PhD, CISSP, CIPP/G  
Division of Information Security Policy and Compliance (DISPC)  
Enterprise Information Security Group (EISG)  
Centers for Medicare & Medicaid Services  
PH: (410) 786-5430  
<http://www.cms.hhs.gov/informationsecurity/>  
Contact the EISG Team [CISO@cms.hhs.gov](mailto:CISO@cms.hhs.gov)



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*Risk Management, Oversight, & Monitoring*

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---

**From:** King, Jason C. (CMS/OIS)  
**Sent:** Friday, September 27, 2013 7:47 AM  
**To:** Toomey, Jacqueline S.(CMS/OIS); Fryer, Teresa M. (CMS/OIS)  
**Cc:** Kim, Jane (CMS/OIS)  
**Subject:** RE: HIX-A Draft SCA Report in Question

[Redacted]  
(b)(5)

Jason King  
Division of Information Security Policy and Compliance (DISPC)  
Enterprise Information Security Group (EISG)  
Centers for Medicare & Medicaid Services  
PH: (410) 786-7578  
<http://www.cms.hhs.gov/informationsecurity/>  
Contact EISG at [CISO@cms.hhs.gov](mailto:CISO@cms.hhs.gov)

---

**From:** Toomey, Jacqueline S.(CMS/OIS)  
**Sent:** Friday, September 27, 2013 5:56 AM  
**To:** Fryer, Teresa M. (CMS/OIS); King, Jason C. (CMS/OIS)  
**Subject:** RE: HIX-A Draft SCA Report in Question

(b)(5)

*Jacqueline S Toomey*

Division Director (Acting)  
Division of Information Security Policy and Compliance (DISPC)  
Centers for Medicare & Medicaid Services  
7500 Security Blvd. Mailstop: N1-26-08  
Baltimore, Md. 21244-1870  
Location: N1-26-27 / Office Telephone: 410-786-5552 / Blackberry: (b)(6)  
[jacqueline.toomey@cms.hhs.gov](mailto:jacqueline.toomey@cms.hhs.gov)



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---

**From:** Fryer, Teresa M. (CMS/OIS)  
**Sent:** Thursday, September 26, 2013 10:15 PM  
**To:** Toomey, Jacqueline S.(CMS/OIS); King, Jason C. (CMS/OIS)  
**Subject:** Fw: HIX-A Draft SCA Report in Question  
**Importance:** High

Is this normal process? Mike doesn't think this is an issue because they are the system developers. I've asked him to get with you on this.

---

**From:** Lyles, Darrin V. (CMS/OIS)  
**Sent:** Thursday, September 26, 2013 06:06 PM  
**To:** Fryer, Teresa M. (CMS/OIS)  
**Cc:** Schankweiler, Thomas W. (CMS/OIS)  
**Subject:** HIX-A Draft SCA Report in Question

Teresa,

(b)(5)

Regards,

**Darrin Lyles**

Information Systems Security Officer (ISSO)  
Security Controls Assessment (SCA) Coordinator for CIISG  
Consumer Information & Insurance Systems Group (CIISG)  
Office of Information Services (OIS)  
Centers for Medicare & Medicaid Services (CMS)  
U.S. Department of Health and Human Services (HHS)  
410-786-4744, Desk  
(b)(6) BB  
[darrin.lyles@cms.hhs.gov](mailto:darrin.lyles@cms.hhs.gov)

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Message

**From:** King, Jason C. (CMS/OIS) [NotResp]  
**Sent:** 9/27/2013 11:47:03 AM  
**To:** Toomey, Jacqueline S. (CMS/OIS) [NotResp]  
[NotResp] Fryer, Teresa M. (CMS/OIS) [NotResp]  
[NotResp]  
**CC:** Kim, Jane (CMS/OIS) [NotResp]  
**Subject:** RE: HIX-A Draft SCA Report in Question

(b)(5)

Jason King  
Division of Information Security Policy and Compliance (DISPC)  
Enterprise Information Security Group (EISG)  
Centers for Medicare & Medicaid Services  
PH: (410) 786-7578  
<http://www.cms.hhs.gov/informationsecurity/>  
Contact EISG at [CISO@cms.hhs.gov](mailto:CISO@cms.hhs.gov)

**From:** Toomey, Jacqueline S. (CMS/OIS)  
**Sent:** Friday, September 27, 2013 5:56 AM  
**To:** Fryer, Teresa M. (CMS/OIS); King, Jason C. (CMS/OIS)  
**Subject:** RE: HIX-A Draft SCA Report in Question

(b)(5)

*Jacqueline S Toomey*

Division Director (Acting)  
Division of Information Security Policy and Compliance (DISPC)  
Centers for Medicare & Medicaid Services  
7500 Security Blvd. Mailstop: NI-26-08

Baltimore, Md. 21244-1870

Location: NI-26-27 / Office Telephone: 410-786-5552 / Blackberry:

(b)(6)

[jacqueline.toomey@cms.hhs.gov](mailto:jacqueline.toomey@cms.hhs.gov)



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**From:** Fryer, Teresa M. (CMS/OIS)  
**Sent:** Thursday, September 26, 2013 10:15 PM  
**To:** Toomey, Jacqueline S.(CMS/OIS); King, Jason C. (CMS/OIS)  
**Subject:** Fw: HIX-A Draft SCA Report in Question  
**Importance:** High

Is this normal process? Mike doesn't think this is an issue because they are the system developers. I've asked him to get with you on this.

**From:** Lyles, Darrin V. (CMS/OIS)  
**Sent:** Thursday, September 26, 2013 06:06 PM  
**To:** Fryer, Teresa M. (CMS/OIS)  
**Cc:** Schankweiler, Thomas W. (CMS/OIS)  
**Subject:** HIX-A Draft SCA Report in Question

Teresa,

(b)(5)

Regards,

**Darrin Lyles**

Information Systems Security Officer (ISSO)  
Security Controls Assessment (SCA) Coordinator for CIISG  
Consumer Information & Insurance Systems Group (CIISG)  
Office of Information Services (OIS)  
Centers for Medicare & Medicaid Services (CMS)  
U.S. Department of Health and Human Services (HHS)  
410-786-4744, Desk

(b)(6)

BB

darrin.lyles@cms.hhs.gov

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Message

**From:** Mellor, Michael (CMS/OIS) [NotResp]  
[NotResp]  
**Sent:** 9/27/2013 6:48:51 PM  
**To:** Carter, Cathy T. (CMS/OIS) [NotResp]  
[NotResp]  
**CC:** Richardson, Marc D. (CMS/OIS) [NotResp]  
[NotResp] Trenkle, Tony (CMS/OIS) [NotResp]  
[NotResp] Fryer, Teresa M. (CMS/OIS) [NotResp]  
[NotResp] Gass,  
Carole F. (CMS/OIS) [NotResp]  
Chao, Henry (CMS/OIS) [NotResp]  
**Subject:** RE: [NotRe] 092813 final report

Cathy – This is a significant accomplishment from a security perspective for [NotRes]. Our penetration testing team is very good and almost always find exploitable vulnerabilities in the systems that are tested. Congratulations!

**From:** Schatoff, Jack H. (CMS/OIS)  
**Sent:** Friday, September 27, 2013 1:07 PM  
**To:** Marantan, James (CMS/OIS); Mellor, Michael (CMS/OIS); Fryer, Teresa M. (CMS/OIS)  
**Cc:** Gong, John (CMS/OIS); Burger, Robert (CMS/OIS)  
**Subject:** FW: [NotRes] 092813 final report

The attached final summary report covers pen testing on the CMS internal [NotRe] servers. No exploitable vulnerabilities were detected. Additional testing will occur on Monday.

Jack

**From:** Conte, Michael B. (CMS/CTR)  
**Sent:** Friday, September 27, 2013 12:30 PM  
**To:** Schatoff, Jack H. (CMS/OIS)  
**Subject:** [NotRes] 092813 final report

Good Morning Jack,

Attached is final report for [NotRe<sub>sp</sub>] 092813. No findings were identified this week. Testing will be rerun next week.

M. Brian Conte (Contractor)  
CISO Security Team  
Enterprise Information Security Group (EISG)  
Center for Medicare & Medicaid Services  
Contact the CISO Team [CISO@cms.hhs.gov](mailto:CISO@cms.hhs.gov) <<mailto:CISO@cms.hhs.gov>>



Message

**From:** Mellor, Michael (CMS/OIS) [NotResp]  
[NotResp]  
**Sent:** 9/27/2013 2:20:16 PM  
**To:** Fryer, Teresa M. (CMS/OIS) [NotResp]  
[NotResp]  
**Subject:** RE: HIX-A Draft SCA Report in Question

Right ==)

**From:** Fryer, Teresa M. (CMS/OIS)  
**Sent:** Friday, September 27, 2013 10:17 AM  
**To:** Mellor, Michael (CMS/OIS)  
**Subject:** FW: HIX-A Draft SCA Report in Question

And what about the ISRA that CGI wrote?

---

**From:** Schankweiler, Thomas W. (CMS/OIS)  
**Sent:** Friday, September 27, 2013 9:53 AM  
**To:** Mellor, Michael (CMS/OIS); Lyles, Darrin V. (CMS/OIS); Outerbridge, Monique (CMS/OIS)  
**Cc:** Fryer, Teresa M. (CMS/OIS); Toomey, Jacqueline S.(CMS/OIS); Grothe, Kirk A. (CMS/OIS); Linares, George E. (CMS/OIS)  
**Subject:** RE: HIX-A Draft SCA Report in Question  
Mike and Teresa,

(b)(6)

Tom

**From:** Mellor, Michael (CMS/OIS)  
**Sent:** Friday, September 27, 2013 9:44 AM  
**To:** Lyles, Darrin V. (CMS/OIS)

**Cc:** Fryer, Teresa M. (CMS/OIS); Schankweiler, Thomas W. (CMS/OIS); Toomey, Jacqueline S.(CMS/OIS)

**Subject:** RE: HIX-A Draft SCA Report in Question

Darrin –

We are going to pass these comments on to our SCA team. Keep in mind – that the purpose of the SCA is to provide an independent assessment of the security posture of a system. As part of that independent assessment, the maintainer of the system likely will not agree with all of the findings and the SCA report. We will take a look at the comments and make adjustments to the report if necessary. Thanks.

**From:** Lyles, Darrin V. (CMS/OIS)

**Sent:** Thursday, September 26, 2013 06:06 PM

**To:** Fryer, Teresa M. (CMS/OIS)

**Cc:** Schankweiler, Thomas W. (CMS/OIS)

**Subject:** HIX-A Draft SCA Report in Question

Teresa,

(b)(6)

Regards,

**Darrin Lyles**

Information Systems Security Officer (ISSO)

Security Controls Assessment (SCA) Coordinator for CIISG

Consumer Information & Insurance Systems Group (CIISG)

Office of Information Services (OIS)

Centers for Medicare & Medicaid Services (CMS)

U.S. Department of Health and Human Services (HHS)

410-786-4744, Desk

(b)(6) BB

[darrin.lyles@cms.hhs.gov](mailto:darrin.lyles@cms.hhs.gov)

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Message

**From:** Mellor, Michael (CMS/OIS) [NotResp]  
[NotResp]  
**Sent:** 9/27/2013 6:50:12 PM  
**To:** Toomey, Jacqueline S. (CMS/OIS) [NotResp]  
[NotResp]  
**CC:** Fryer, Teresa M. (CMS/OIS) [NotResp]  
[NotResp] King, Jason C. (CMS/OIS) [NotResp]  
[NotResp]  
**Subject:** RE: HIX-A Draft SCA Report in Question

Lets talk about this early next week with the 4 of us. Thanks.

**From:** Toomey, Jacqueline S.(CMS/OIS)  
**Sent:** Friday, September 27, 2013 11:19 AM  
**To:** Mellor, Michael (CMS/OIS)  
**Cc:** Fryer, Teresa M. (CMS/OIS); King, Jason C. (CMS/OIS)  
**Subject:** FW: HIX-A Draft SCA Report in Question

Mike,

Did you have specific concerns you wanted addressed?

*Jacqueline S Toomey*

Division Director (Acting)  
Division of Information Security Policy and Compliance (DISPC)  
Centers for Medicare & Medicaid Services  
7500 Security Blvd. Mailstop: N1-26-08  
Baltimore, Md. 21244-1870  
Location: N1-26-27 / Office Telephone: 410-786-5552 / Blackberry: (b)(6)  
[jacqueline.toomey@cms.hhs.gov](mailto:jacqueline.toomey@cms.hhs.gov)



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**From:** Bielski, Jim [<mailto:jbielski@mitre.org>]  
**Sent:** Friday, September 27, 2013 10:18 AM  
**To:** Toomey, Jacqueline S.(CMS/OIS); Shomo, Milton  
**Cc:** Mellor, Michael (CMS/OIS); Fryer, Teresa M. (CMS/OIS)  
**Subject:** RE: HIX-A Draft SCA Report in Question

Jacque,

(b)(6)

Jim Bielski

Information Systems Engineer, Principal

The MITRE Corporation

(b)(6) (cell)

410 402-2717 (office)

**From:** Toomey, Jacqueline S.(CMS/OIS) [<mailto:Jacqueline.Toomey@cms.hhs.gov>]

**Sent:** Friday, September 27, 2013 09:45

**To:** Shomo, Milton; Bielski, Jim

**Cc:** Mellor, Michael (CMS/OIS); Fryer, Teresa M. (CMS/OIS)

**Subject:** FW: HIX-A Draft SCA Report in Question

Milton, Jim

Can you please provide responses to the comments in the attached document? When completed, forward them to Jason King and I.

Thank you!

*Jacqueline S Toomey*

Division Director (Acting)

Division of Information Security Policy and Compliance (DISPC)

Centers for Medicare & Medicaid Services

7500 Security Blvd. Mailstop: N1-26-08

Baltimore, Md. 21244-1870

Location: N1-26-27 / Office Telephone: 410-786-5552 / Blackberry:

(b)(6)

[jacqueline.toomey@cms.hhs.gov](mailto:jacqueline.toomey@cms.hhs.gov)



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Message

**From:** Bielski, Jim [jbielski@mitre.org]  
**Sent:** 9/27/2013 2:17:42 PM  
**To:** Toomey, Jacqueline S. (CMS/OIS) [NotResp]  
[NotResp] Shomo, Milton [tshomo@mitre.org]  
**CC:** Mellor, Michael (CMS/OIS) [NotResp]  
Fryer, Teresa M. (CMS/OIS) [NotResp]  
[NotResp]  
**Subject:** RE: HIX-A Draft SCA Report in Question

Jacque,

(b)(6)

Jim Bielski  
Information Systems Engineer, Principal  
The MITRE Corporation  
[NotResp] (b)(6) [cell]  
410 402-2717 (office)

**From:** Toomey, Jacqueline S. (CMS/OIS) [mailto:Jacqueline.Toomey@cms.hhs.gov]  
**Sent:** Friday, September 27, 2013 09:45  
**To:** Shomo, Milton; Bielski, Jim  
**Cc:** Mellor, Michael (CMS/OIS); Fryer, Teresa M. (CMS/OIS)  
**Subject:** FW: HIX-A Draft SCA Report in Question

Milton, Jim

Can you please provide responses to the comments in the attached document? When completed, forward them to Jason King and I.

Thank you!

*Jacqueline S Toomey*

Division Director (Acting)  
Division of Information Security Policy and Compliance (DISPC)  
Centers for Medicare & Medicaid Services  
7500 Security Blvd. Mailstop: N1-26-08  
Baltimore, Md. 21244-1870

Location: N1-26-27 / Office Telephone: 410-786-5552 / Blackberry: (b)(6)

[jacqueline.toomey@cms.hhs.gov](mailto:jacqueline.toomey@cms.hhs.gov)



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Message

**From:** Schatoff, Jack H. (CMS/OIS) [NotResp]  
[NotResp]  
**Sent:** 9/27/2013 4:25:07 PM  
**To:** Schankweiler, Thomas W. (CMS/OIS) [NotResp]  
[NotResp] Willard, Adam (CMS/CTR) [NotResp]  
[NotResp]  
**CC:** Marantan, James (CMS/OIS) [NotResp]  
Mellor, Michael (CMS/OIS) [NotResp]  
Fryer, Teresa M. (CMS/OIS) [NotResp]  
[NotResp]  
**Subject:** RE: ACA Testing

Tom,

Sounds good. We will test again Monday.

jack

**From:** Schankweiler, Thomas W. (CMS/OIS)  
**Sent:** Friday, September 27, 2013 12:10 PM  
**To:** Schatoff, Jack H. (CMS/OIS); Willard, Adam (CMS/CTR)  
**Cc:** Marantan, James (CMS/OIS); Mellor, Michael (CMS/OIS); Fryer, Teresa M. (CMS/OIS)  
**Subject:** RE: ACA Testing

In regards to the weak-cyphers we have the ability to lock this down through Akamai which was tested last month in production in august. OC is working to get this addressed in the tasks for this weekend, to confirm the SSL protections are set and confirmed again. You should be able to check this again when you do your post Oct 1, pen testing activities. I would be interested in seeing the updated results from your team.

Thanks,

Tom

**From:** Schatoff, Jack H. (CMS/OIS)  
**Sent:** Friday, September 27, 2013 11:56 AM  
**To:** Schankweiler, Thomas W. (CMS/OIS); Willard, Adam (CMS/CTR)  
**Cc:** Marantan, James (CMS/OIS); Mellor, Michael (CMS/OIS); Fryer, Teresa M. (CMS/OIS)  
**Subject:** RE: ACA Testing

Tom,

Per your instructions, only the summary report is currently being uploaded into CFACTS.

Jack

**From:** Schankweiler, Thomas W. (CMS/OIS)  
**Sent:** Friday, September 27, 2013 9:36 AM



**To:** Schatoff, Jack H. (CMS/OIS); Willard, Adam (CMS/CTR)  
**Cc:** Marantan, James (CMS/OIS); Mellor, Michael (CMS/OIS); Fryer, Teresa M. (CMS/OIS)  
**Subject:** RE: ACA Testing

All,

It make sense to upload the report, however POAM's are not to be created for these three items. These are duplicate items flagged by MITRE in their reports and is already targeted for inclusion in a POAM.

Thanks,

Tom

**From:** Schatoff, Jack H. (CMS/OIS)  
**Sent:** Friday, September 27, 2013 8:43 AM  
**To:** Willard, Adam (CMS/CTR); Schankweiler, Thomas W. (CMS/OIS)  
**Cc:** Marantan, James (CMS/OIS); Mellor, Michael (CMS/OIS); Fryer, Teresa M. (CMS/OIS); Schatoff, Jack H. (CMS/OIS)  
**Subject:** ACA Testing

Adam, Tom,

Please see attached summary report for testing against the externally facing components for ACA. The findings will be uploaded into CFACTS today.

Regards,

Jack

Jack Schatoff  
Centers for Medicare and Medicaid Services  
Enterprise Information Security Group (EISG)  
Division of Information Security Operations (DISO)  
[jack.schatoff@cms.hhs.gov](mailto:jack.schatoff@cms.hhs.gov)  
Office: 410-786-2554  
Mobile: (b)(6)



Message

**From:** Schatoff, Jack H. (CMS/OIS) [NotResp]  
[NotResp]  
**Sent:** 9/27/2013 5:06:41 PM  
**To:** Marantan, James (CMS/OIS) [NotResp]  
Mellor, Michael (CMS/OIS) [NotResp]  
Fryer, Teresa M. (CMS/OIS) [NotResp]  
[NotResp]  
**CC:** Gong, John (CMS/OIS) [NotResp]  
Burger, Robert (CMS/OIS) [NotResp]  
**Subject:** FW: [NotR] 092813 final report  
**Attachments:** EISG [NotR] FINAL 092713 summary report v1.0.doc

The attached final summary report covers pen testing on the CMS internal [NotResp] servers. No exploitable vulnerabilities were detected. Additional testing will occur on Monday.

Jack

**From:** Conte, Michael B. (CMS/CTR)  
**Sent:** Friday, September 27, 2013 12:30 PM  
**To:** Schatoff, Jack H. (CMS/OIS)  
**Subject:** [NotRes] 092813 final report

Good Morning Jack,

Attached is final report for [NotResp] No findings were identified this week. Testing will be rerun next week.

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Enterprise Information Security Group  
*Risk Management, Oversight,  
and Monitoring*

# **CMS Enterprise Identity Management FINAL 092713 Summary**

**Version 1.0**  
**September 27, 2013**

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**Confidential and Proprietary Information: Need to Know**

Centers for Medicare and Medicaid Service  
Enterprise Information Security Group

CMS NotResp FINAL 092713 Summary  
September 27, 2013, Version 1.0

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## 1. Executive Summary

The Enterprise Information Security Group (EISG) of the Centers for Medicare and Medicaid Services (CMS) requested SphereCom Enterprises Inc. to conduct an internal penetration test against the CMS NotRes system from the CMS Central Office (CO) Office Automation (OA) network.

Penetration Testing is an analysis of the technical security controls required to protect information and information systems, which have been implemented in an operational environment. The Penetration Test determines the extent to which security requirements were met and identifies vulnerabilities susceptible to malicious exploitation.

The Penetration Tester's, hence known as PenTester, activities associated with the activities described within this summary report were not detected by the BDC during the course of the tests.

The Internal Penetration Test of the CMS NotRes system described within this summary report was conducted over 10 days from 8:00 AM on September 2, 2013 through 3 PM on September 13, 2013.

## 2. Background Information

The PenTester conducted this portion of the test of the BDC environment from the CMS BDC and was using an internal IP address utilizing Government Furnished Equipment (GFE). This GFE laptop, while recognized as a government asset does not conform to the CMS standard configuration as required under the restrictions and limitations defined within the *BDC Internal Penetration Testing Rules of Engagement*. This allows the PenTester to emulate a rogue laptop plugging into the CMS network.

## 3. Activities Timeline

### Information Gathering and Vulnerability Testing – 9/2/13 – 9/13/13

During a requested test of the CMS NotRes  
p system the PenTester performed NotRes NotRes scans of the targeted system. Manual testing was also performed. No Critical or High vulnerabilities were identified during this testing.

Testing was conducted but results were filtered and limited by ACL's between the testers' location and the NotRes servers.

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The following servers were tested.

[illegible]

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## 4. Vulnerabilities

This section contains the vulnerabilities, related to IT-based weaknesses, discovered during the tests described earlier in this summary report. These were assessed as being important regarding their impact upon CMS' overall security posture, and the BDC should take immediate steps to validate and remediate them.

No Vulnerabilities were identified.

## **5. Action Plan**

No Action Plan was needed.

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## 6. Acknowledgements & References

The OCISO's Security Assessment services are an initiative in compliance with Federal mandates and guidance such as, but not limited to, the following references:

- *CMS Policy for the Information Security Program (PISP)*
- *CMS Acceptable Risks Safeguards (ARS)*
- *CMS Risk Management Handbook (RMH)*
- *CMS System Security Levels*
- Federal Information Security Management Act (FISMA)
- National Institute of Standards and Technology (NIST) Special Publication (SP) 800-53 Revision (Rev) 4.0, *DRAFT Security and Privacy Controls for Federal Information Systems and Organizations*
- NIST SP 800-53 Rev 3.0, *Recommended Security Controls for Federal Information Systems and Organizations*
- NIST SP 800-53 A Rev 1, *Guide for Assessing the Security Controls in Federal Information Systems and Organizations, Building Effective Security Assessment Plans*
- NIST SP 800-142, *Practical Combinatorial Testing*
- NIST SP 800-137, *Information Security Continuous Monitoring for Federal Information Systems and Organizations*
- NIST SP 800-115, *Technical Guide to Information Security Testing and Assessment*
- NIST SP 800-40 Version 2.0, *Creating a Patch and Vulnerability Management Program*
- NIST SP 800-39, *Managing Information Security Risk: Organization, Mission, and Information System View*
- NIST SP 800-37 Rev 1, *Guide for Applying the Risk Management Framework to Federal Information Systems: A Security Life Cycle Approach*
- NIST SP 800-35, *Guide to Information Technology Security Services*
- NIST SP 800-30 Rev 1, *Guide for Conducting Risk Assessments*
- OMB M-07-24, *Updated Principles for Risk Analysis*
- OMB M-07-19, *Reporting Instructions for the FISMA and Agency Privacy Management*

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