

Obtained via FOIA by Judicial Watch, Inc.

Environment Hour by Hour

Assumptions: Pre-Prod is configured with all required hardware; All Software updates will occur in night		
Dates	Time of Day	Test Activities
19-Sep		
	5:00 a.m. - 5:30 a.m.	Switch End Points
	6:00 a.m. - 8:30 a.m.	FFM UI Stress Testing Scripting QSSI ACA FFM UI End to End Test (PST data)
	8:30 a.m. - 9:00 a.m.	Switch End Points
	9:30 a.m. – 8:00 p.m.	Issuer Testing & State ORR Demos State Initiated End to End FFM UI D1H31 Test (QSSI ACA)
	8:00 p.m. - 5:00 a.m.	Maintenance Window
20-Sep		
	5:00 a.m. - 5:30 a.m.	Switch End Points
	6:00 a.m. - 8:30 a.m.	FFM UI Stress Testing Scripting QSSI ACA FFM UI End to End Test
	8:30 a.m. - 9:00 a.m.	Switch End Points
	9:30 a.m. – 8:00 p.m.	Issuer Testing & State ORR Demos State Initiated End to End FFM UI D1H31 Test (QSSI ACA)
	8:00 p.m. - 5:00 a.m.	Maintenance Window
21-Sep		
	8:30 a.m. - 9:00 a.m.	Switch End Points
	9:30 a.m. – 8:00 p.m.	Issuer Testing & State ORR Demos State Initiated End to End
	8:00 p.m. - 12:00 a.m.	Maintenance Window

22-Sep		
	12:00 a.m. - 8:00 a.m.	Maintenance Window
	7:00 a.m. - 7:30 a.m.	IRS network connectivity (Prod to Prod)
	7:30 a.m. - 8:00 a.m.	DHS network connectivity (Prod to Prod)
	8:00 a.m. - 8:30 a.m.	VHA network connectivity (Prod to Prod)
	8:30 a.m. - 9:00 a.m.	TRICARE network connectivity (Prod to Prod)
	9:00 a.m. - 9:30 a.m.	SSA network connectivity (Prod to Prod)
	10:00 a.m. - 10:30 a.m.	CMS EES network connectivity (Prod to Prod)
	10:30 p.m. - 11:00 a.m.	Equifax network connectivity (Prod to Prod)
	11:30 p.m. - 12:00 p.m.	Experian network connectivity (Prod to Prod)
	8:00 a.m. - 8:30 a.m.	Switch End Points
	9:00 a.m. – 4:00 p.m.	FFM Initiated PST - EES and SSA Scenarios with no financial assistance
	5:00 p.m. - 12:00 a.m.	Maintenance Window
23-Sep		
	12:00 a.m. - 8:00 a.m.	Maintenance Window
	8:00 a.m. - 8:30 a.m.	Switch End Points
	8:00 a.m. - 9:00 a.m.	Federal network connectivity
	9:00 a.m. – 4:00 p.m.	FFM Initiated PST - EES and SSA Scenarios with no financial assistance
	5:00 p.m. - 12:00 a.m.	Maintenance Window
24-Sep		
	12:00 a.m. - 8:00 a.m.	Maintenance Window
	8:00 a.m. - 8:30 a.m.	Switch End Points
	8:00 a.m. - 9:00 a.m.	Federal network connectivity
	9:00 a.m. – 4:00 p.m.	FFM Initiated PST - EES and SSA Scenarios with no financial assistance
	5:00 p.m. - 12:00 a.m.	Maintenance Window

y maintenance windows.	
Data Type	
EES Prod	
IRS Prod Simulation	
SSA Integration	
EES Prod	
IRS Prod Simulation	
SSA Integration	
Test Harness	Without Harness
EES Prod	
IRS Prod Simulation	
SSA Integration	
EES Prod	
IRS Prod Simulation	
SSA Integration	
Test Harness	Without Harness
Test Harness	Without Harness

Hub to TDS Prod
EES Prod
SSA Integration
EES Prod
SSA Integration
EES Prod
SSA Integration

9 Federal Agencies and the remaining States and Issuers need Connected.			
Dates	Time of Day	Test Activities	Data Type
25-Sep	7:00 a.m. – 12:00 p.m.	External Partner Application layer Connectivity	All except IRS
	12:00 p.m. – 7:00 a.m.	Conversion to Prod	
26-Sep	7:00 a.m. – 12:00 p.m.	External Partner Application layer Connectivity	
	12:00 p.m. – 7:00 a.m.	Conversion to Prod	
27-Sep	7:00 a.m. – 12:00 p.m.	External Partner Application layer Connectivity	IRS starts
	12:00 p.m. – 7:00 a.m.	Conversion to Prod	
28-Sep	7:00 a.m. – 12:00 p.m.	External Partner Application layer Connectivity	
	12:00 p.m. – 7:00 a.m.	Conversion to Prod	
29-Sep	7:00 a.m. – 12:00 p.m.	External Partner Application layer Connectivity	
	12:00 p.m. – 7:00 a.m.	Conversion to Prod	
30-Sep	7:00 a.m. – 12:00 p.m.	External Partner Application layer Connectivity	
	12:00 p.m. – 7:00 a.m.	Conversion to Prod	

Assumptions: No Stress Testing is Planned with IMP1.A			
Dates	Time of Day	Test Activities	Data Type
20-Sep		SCA Complete	
21-Sep	All Day	Readiness / Smoke Testing	Includes Alphas
22-Sep	All Day	Readiness / Smoke Testing	Includes Alphas
23-Sep	8:00 am - 8:30 am	Switch Endpoints	
	9:00am to 3:00pm	Issuer Testing UAT Testing State Account Transfer Workaround	Test Harness
	3:00pm to 4:00pm	Maintenance (Test Harness)	Switch Harness Off
	4:00pm to 9:00pm	SBM Initiated End to End FFM UI D1H31 FFM UI End to End (QSSI ACA)	TDS / No IRS, Experian
	9:00 pm to 8:00 am	Maintenance	
24-Sep	8:00 am - 8:30 am	Switch Endpoints	
	9:00am to 3:00pm	Issuer Testing UAT Testing State Account Transfer Workaround	Test Harness
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	9:00 pm to 8:00 am	Maintenance	

28-Sep	All Day	Maintenance	
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29-Sep	All Day	Maintenance	
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30-Sep	All Day	Maintenance	
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Message

From: Trenkle, Tony (CMS/OIS) [REDACTED] **NotResp**
NotResp [REDACTED]
Sent: 9/19/2013 12:56:42 PM [REDACTED]
To: Snyder, Michelle (CMS/OA) [REDACTED] **NotResp**
Subject: Fw: Yesterday's Call

FYI

From: Ekh, Jeannette [mailto:Jeannette.Ekh@bcbsfl.com]
Sent: Thursday, September 19, 2013 08:51 AM
To: Trenkle, Tony (CMS/OIS)
Subject: RE: Yesterday's Call

I understand why they were not happy. They expected a communication around what functions would be ready on 10/1 as was discussed in an earlier meeting with Marilyn.

I was disappointed as well (and so were many of the Alpha Plans) that the discussion was primarily covering the testing material that was covered in the call with all issuers the day before. We expected that Michelle, Jim or Henry would describe the functions that are expected to work, such as Plan Compare, and that other functions, such as Enrollment, would come online following 10/1. All issuers need to know what should be working correctly (and what will likely not work correctly) so they can communicate to their consumers, agents and internal staff. It's important to set expectations before 10/1.

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By the way, thanks for asking.

Sent with Good (www.good.com)

-----Original Message-----

From: Trenkle, Tony (CMS/OIS) [tony.trenkle@cms.hhs.gov]
Sent: Thursday, September 19, 2013 08:32 AM Eastern Standard Time
To: Ekh, Jeannette
Subject: Yesterday's Call

What did you think of the call? Both JT and JH were not happy. They felt that not enough detail was given on what is going to be available on 10/1. Was that your impression?

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From: Ekh, Jeannette [mailto:Jeannette.Ekh@bcbsfl.com]

Sent: Wednesday, September 18, 2013 5:56 PM
To: Trenkle, Tony (CMS/OIS)
Cc: Snyder, Michelle (CMS/OA)
Subject: Re: Pat

I think you meant 'Michelle' instead of me. But you are right. Pat's en route for the Board meeting now. He will read her email.

I was also able to talk to him around noon today, so he is quite up-to-date and should be supportive in keeping this all moving forward.

----- Original Message -----

From: Trenkle, Tony (CMS/OIS) [<mailto:tony.trenkle@cms.hhs.gov>]
Sent: Wednesday, September 18, 2013 05:52 PM
To: Ekh, Jeannette
Cc: Snyder, Michelle (CMS/OA) <Michelle.Snyder@cms.hhs.gov>
Subject: Pat

Jeannette tried to reach Pat but he's already in travel status. She sent him an email.

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Message

From: Trenkle, Tony (CMS/OIS) **NotResp**
NotResp

Sent: 9/19/2013 12:57:48 PM

To: 'Jeannette.Ekh@bcbsfl.com' [Jeannette.Ekh@bcbsfl.com]

Subject: Re: Yesterday's Call

This is great feedback. I hope you don't mind that I passed to Michelle. It is important that she get this info.

From: Ekh, Jeannette [mailto:Jeannette.Ekh@bcbsfl.com]

Sent: Thursday, September 19, 2013 08:51 AM

To: Trenkle, Tony (CMS/OIS)

Subject: RE: Yesterday's Call

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By the way, thanks for asking.

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From: Trenkle, Tony (CMS/OIS) [tony.trenkle@cms.hhs.gov]

Sent: Thursday, September 19, 2013 08:32 AM Eastern Standard Time

To: Ekh, Jeannette

Subject: Yesterday's Call

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Message

From: Ekh, Jeannette [Jeannette.Ekh@bcbsfl.com]

Sent: 9/19/2013 1:00:07 PM

To: Trenkle, Tony (CMS/OIS)

Subject: RE: Yesterday's Call

NotResp

I am fine with you passing it on to Michelle. Keep me posted.

Sent with Good (www.good.com)

-----Original Message-----

From: Trenkle, Tony (CMS/OIS) [tony.trenkle@cms.hhs.gov]

Sent: Thursday, September 19, 2013 08:58 AM Eastern Standard Time

To: Ekh, Jeannette

Subject: Re: Yesterday's Call

This is great feedback. I hope you don't mind that I passed to Michelle. It is important that she get this info.

From: Ekh, Jeannette [mailto:Jeannette.Ekh@bcbsfl.com]

Sent: Thursday, September 19, 2013 08:51 AM

To: Trenkle, Tony (CMS/OIS)

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Message

From: Ekh, Jeannette [Jeannette.Ekh@bcbsfl.com]
Sent: 9/19/2013 12:51:40 PM
To: Trenkle, Tony (CMS/OIS)
Subject: RE: Yesterday's Call

NotResp

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State's Authority to Connect – Talking points



Sep 19, 2013

HHS-0106366

CMS0011881

Summary of the Authority to Connect ATC review and communication process

Obtained via FOIA by Judicial Watch, Inc.

- State provides documentation to Not Resp
- SphereCom reviews security documents, IRS reviews SPR documents, OEM reviews privacy Information, MITRE reviews ISA documents.
- ATC checklist is filled out then SphereCom, ISSO, Privacy, CISO, provide feedback comments for CIO ATC decision.
- CIO signs the Interconnection Security Agreement (ISA)
- Once ISA is signed it is returned to CIISG; SphereCom will post it to Not Resp for states to receive, and SphereCom will notify their peer in the state who submitted the ISA for approval. (informal notification)
- CIISG will notify CCIIO and CMCS that the ISA has been signed and provide a copy for their records
- CCIIO State Exchange Group, or CMCS will formally notify their states

Authority to Connect for SBM States

- SBM States (forecast purposes only)
- Already Approved = KY (1 Package)
- Deliver to CISO by Friday Sep 20 = CT, VT, RI, CA, NY, WA, NV, **MD (FFM/SBM?)** (8 Packages)
- Deliver to CISO by Friday Sep 27 = **AL(FFM/SBM?)**, CO, DC, HI, MA, MN, OR (7 packages)
- Deliver After Sep 30 = None, all SBM's will be submitted

Recommendation: Accept temporary risk to accommodate a proper review by CISO and CIO of the ATC package. Allow all SBM states to technically connect for Day 1 Operations.

Communication: CCIIO notifies all SBM states they are allowed to connect, and their ATC paperwork will be provided back in the month of October. OIS can assist CCCIO in preparing this memo.

Risk: Generally the states are still building, formal testing has not been completed by on an operational system, site visits by a CMS contractor has been conducted and in most cases one or more reviews of the security documentation has been completed, and weaknesses are generally known.

Potential Issue: What action(s) to follow if after review of documentation, the security risks are deemed high?

Authority to Connect for FFM States Medicaid/CHIP

Obtained via FOIA by Judicial Watch, Inc.

- SPE/FFM States
- Already Approved = DE (1 package)
- Deliver to CISO by Friday Sep 20 = AZ, **VA** (2 packages)
- Deliver to CISO by Friday Sep 27 = **AL(FFM?SBM?)**, AK, AR, CO, IN, IA, MI, MT, NE, **MD(SBM/FFM)?**, OK, PA, SC, UT, WY (15 packages)
- Deliver to CISO by Oct 15 = **ME**, NM, FL, IL, **KS, ME**, MS, MO, NH, OH, SD, **TX, VA** (13 packages)

Possibly deny access up to 30 days

- Some states have provided documentation to CMS, expecting remaining in October = **KS**, GA, LA, NJ, NC, TN, **TX**

Possibly deny access until documentation is rcvd:

- **Maine (ME)** – No documentation has been submitted to CMS

****There are missing States like Idaho, Wisconsin and West Virginia**

Potential Issue: What action(s) to follow if after review of documentation, the security risks are deemed high?

Authority to Connect for FFM States

Obtained via FOIA by Judicial Watch, Inc.

Medicaid/CHIP

Recommendation: Accept temporary risk to accommodate a proper review by CISO and CIO of the ATC package. Allow all FFM states to technically connect for Day 1 Operations. Temporarily deny access to Kansas, Georgia, Louisiana, New Jersey, North Carolina, Tennessee, Texas, and Maine. **GL, we need to define temporarily**

Communication: CMCS notifies appropriate states they are allowed to connect, and their ATC paperwork will be provided back in the month of October via Not Resp CMCS notifies the eight (8) states listed that they will not be allowed to connect until they have submitted all their security documentation. **– GL, What would be the messaging to the federal partners?**

Risk: Generally the states are still building, formal testing has not been completed by on an operational system, **no** site visits by a CMS contractor has been conducted and in most cases one or more reviews of the security documentation has **not** been completed, and weaknesses are **not** well known. FFM states are higher risk entities than SBM states.

SphereCom Actions

(b)(5)

HHS-0106371

CMS0011886

CMCS Comments

(b)(5)

HHS-0106372

CMS0011887

CCIO Comments

(b)(5)

HHS-0106373

CMS0011888

CISO Comments

(b)(5)

HHS-0106374

CMS0011889

Things that will need to be done post 10-1

- Delayed SAR/POA&M submissions will need to be reviewed in October and even November depending on the State, with focus on tracking corrective actions of High risk items.
- As 3rd Party SARs are submitted, CMS will need to review these packages as well and ensure POA&M's are updated
- POA&Ms should be submitted by states on a monthly basis per MARS-E, but CMS will review submissions on at least quarterly basis.
- Travel to SBM and FFM states should resume in November to work with state security officers on improving their documentation and to provide subject matter assistance.

Appointment

From: Schankweiler, Thomas W. (CMS/OIS) NotResp
 [REDACTED] NotResp
Sent: 9/19/2013 9:53:52 PM
To: Schankweiler, Thomas W. (CMS/OIS) NotResp
 [REDACTED] NotResp; Fryer, Teresa M. (CMS/OIS) NotResp
 [REDACTED] NotResp; Nelson, David J. (CMS/OEM) NotResp
 [REDACTED] NotResp; Lyles, Darrin V.
 (CMS/OIS) NotResp
 [REDACTED] NotResp Jim.schifalacqua@serco-na.com; sonny.nasir@serco-na.com; Toomey, Jacqueline S.(CMS/OIS) NotResp
 [REDACTED] NotResp

Subject: MARKETPLACE MTG: Present SIA for Serco; looking for a short-term ATO
Location: 7500 Security Blvd, N1-26-18
Start: 9/25/2013 3:00:00 PM
End: 9/25/2013 3:30:00 PM
Show Time As: Tentative
Importance: High
Required Fryer, Teresa M. (CMS/OIS); Nelson, David J. (CMS/OEM); Lyles, Darrin V. (CMS/OIS) (Darrin.Lyles@cms.hhs.gov);
Attendees: Jim.schifalacqua@serco-na.com; sonny.nasir@serco-na.com; Toomey, Jacqueline S.(CMS/OIS)

Teresa,

Meeting request to present a Security Impact Assessment for SERCO to the CISO. The document is under development and will not be ready until the day of the presentation. Requesting an short-term ATO in support of the Marketplace launch of 10/1. An SCA is tentatively being planned for in FY14Q1.

Thanks,

Tom

Thomas Schankweiler invites you to an online meeting using [REDACTED] NotResp

Meeting Number: [REDACTED] (b)(6)

Meeting Password: This meeting does not require a password.

Audio conference information

1. Please call the following number:

NotResp [REDACTED] (b)(6)

2. Follow the instructions you hear on the phone.

Your NotResp Meeting Number [REDACTED] (b)(6)

To join from the Baltimore, Chicago, or Kansas City offices

1. Dial ext: (b)(6)

2. Enter the Meeting Number: (b)(6)

To join this meeting online

1. Go to (b)(6) **NotResp**
2. If requested, enter your name and email address.
3. If a password is required, enter the meeting password: This meeting does not require a password.
4. Click "Join".
5. Follow the instructions that appear on your screen.

Appointment

From: Schankweiler, Thomas W. (CMS/OIS) **NotResp**
 NotResp
Sent: 9/19/2013 9:53:52 PM
To: Schankweiler, Thomas W. (CMS/OIS) **NotResp**
 NotResp Fryer, Teresa M. (CMS/OIS) **NotResp**
 NotResp Nelson, David J. (CMS/OEM) **NotResp**
 NotResp Lyles, Darrin V.
 NotResp
 NotResp
 NotResp Jim.schifalacqua@serco-na.com; sonny.nasir@serco-na.com; Toomey, Jacqueline S.(CMS/OIS) **NotResp**
 NotResp

Subject: Copy: MARKETPLACE MTG: Present SIA for Serco; looking for a short-term ATO
Location: 7500 Security Blvd, N1-26-18
Start: 9/25/2013 3:00:00 PM
End: 9/25/2013 3:30:00 PM
Show Time As: Tentative
Importance: High
Required Fryer, Teresa M. (CMS/OIS); Nelson, David J. (CMS/OEM); Lyles, Darrin V. (CMS/OIS) (Darrin.Lyles@cms.hhs.gov);
Attendees: Jim.schifalacqua@serco-na.com; sonny.nasir@serco-na.com; Toomey, Jacqueline S.(CMS/OIS)

Teresa,

Meeting request to present a Security Impact Assessment for SERCO to the CISO. The document is under development and will not be ready until the day of the presentation. Requesting an short-term ATO in support of the Marketplace launch of 10/1. An SCA is tentatively being planned for in FY14Q1.

Thanks,

Tom

Thomas Schankweiler invites you to an online meeting using **NotResp**

Meeting Number: (b)(6)

Meeting Password: This meeting does not require a password.

Audio conference information

1. Please call the following number:

NotResp (b)(6)

2. Follow the instructions you hear on the phone.

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To join from the Baltimore, Chicago, or Kansas City offices

1. Dial ext. (b)(6)

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2. If requested, enter your name and email address.
3. If a password is required, enter the meeting password: This meeting does not require a password.
4. Click "Join".
5. Follow the instructions that appear on your screen.

Message

From: Toomey, Jacqueline S.(CMS/OIS) **NotResp**
 [REDACTED] **NotResp**

Sent: 9/19/2013 5:42:31 PM

To: Shomo, Milton [tshomo@mitre.org]; Bielski, Jim [jbielski@mitre.org]

CC: Fryer, Teresa M. (CMS/OIS) **NotResp**
 [REDACTED] **NotResp** [REDACTED] **Mellor, Michael (CMS/OIS)** [REDACTED] **NotResp**

Subject: RE: Marketplace HIGH Finding

Thank you Milton/Jim!

Jacqueline S Toomey

Division Director (Acting)
 Division of Information Security Policy and Compliance (DISPC)
 Centers for Medicare & Medicaid Services
 7500 Security Blvd. Mailstop: NI-26-08
 Baltimore, Md. 21244-1870
 Location: NI-26-27 / Office Telephone: 410-786-5552 / BlackBerry [REDACTED] **(b)(6)**
jacqueline.toomey@cms.hhs.gov



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From: Shomo, Milton [mailto:tshomo@mitre.org]
Sent: Thursday, September 19, 2013 1:17 PM
To: Toomey, Jacqueline S.(CMS/OIS); Bielski, Jim
Cc: Fryer, Teresa M. (CMS/OIS); Mellor, Michael (CMS/OIS)
Subject: RE: Marketplace HIGH Finding

[REDACTED] **NotResp**

Row #6 below is the finding as it will appear in today's spreadsheet. It should be noted that Row #19 below is essentially the same finding that we had on **NotResp** in August. Row #19 finding was rated as a Moderate at that time since

NotResp												
Row #	Weakness	Risk Level	CMS R Security Control Family	Reference	Affected Systems	Ease-of-Fix	Est Work Effort	Findings	Failed Test Description	Actual Test Results	Recommended Corrective Actions	Status
6		HIGH										Identified September 19, 2013. This is similar to Finding 19

NotResp

NotResp

							NotResp		NotResp
#19 from August 201 3 FF MSC A	NotResp	Mode rate							Identified August 28, 2013.

NotResp

NotResp

Regards,

Milton Shomo, CISSP
Principal Information Systems Engineer
MITRE Corp.
Center for Connected Government
(703) 983-2853 (O)

(b)(6) (C)

From: Toomey, Jacqueline S.(CMS/OIS) [mailto:Jacqueline.Toomey@cms.hhs.gov]
Sent: Thursday, September 19, 2013 12:57 PM
To: Shomo, Milton; Bielski, Jim
Cc: Fryer, Teresa M. (CMS/OIS); Mellor, Michael (CMS/OIS)
Subject: HIGH Finding
Importance: High

Milton,

Could you forward us a description of today's HIGH finding? Teresa and Mike will want to push this up ASAP.

Thanks!

Jacqueline S Toomey

Division Director (Acting)
Division of Information Security Policy and Compliance (DISPC)
Centers for Medicare & Medicaid Services
7500 Security Blvd. Mailstop: N1-26-08
Baltimore, Md. 21244-1870
Location: N1-26-27 / Office Telephone: 410-786-5552 / BlackBerry: (b)(6)
jacqueline.toomey@cms.hhs.gov



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Message

From: Shomo, Milton [tshomo@mitre.org]

Sent: 9/19/2013 12:03:44 PM

To: Bielski, Jim [jbielski@mitre.org]; Fryer, Teresa M. (CMS/OIS)

NotResp

NotResp

Toomey, Jacqueline S.(CMS/OIS)

NotResp

Subject: RE: HIX-A Issues Update

(b)(5)

Milton Shomo, CISSP
 Principal Information Systems Engineer
 MITRE Corp.
 Center for Connected Government
 (703) 983-2853 (O)

NotResp (C)

From: Bielski, Jim
Sent: Thursday, September 19, 2013 7:15 AM
To: Fryer, Teresa M. (CMS/OIS); Toomey, Jacqueline S.(CMS/OIS)
Cc: Shomo, Milton
Subject: RE: HIX-A Issues Update

They are switching environments so we can SCA the functionality.

(b)(5)

Due to testing in NotResp our rule of engagement have been limited in that we are not allowed to cause NotResp
 NotResp or anything else that would disrupt the operation of
 the environment.

Jim Bielski
 Information Systems Engineer, Principal
 The MITRE Corporation
 (b)(6) (cell)
 410 402-2717 (office)

From: Fryer, Teresa M. (CMS/OIS) [mailto:Teresa.Fryer@cms.hhs.gov]
Sent: Thursday, September 19, 2013 07:00
To: Bielski, Jim; Toomey, Jacqueline S.(CMS/OIS)

Cc: Shomo, Milton

Subject: RE: HIX-A Issues Update

(b)(5)

From: Bielski, Jim [mailto:jbielski@mitre.org]

Sent: Thursday, September 19, 2013 6:36 AM

To: Fryer, Teresa M. (CMS/OIS); Toomey, Jacqueline S.(CMS/OIS)

Cc: Shomo, Milton

Subject: HIX-A Issues Update

(b)(5)

Jim Bielski

Information Systems Engineer, Principal

The MITRE Corporation

(b)(6) cell)

410 402-2717 (office)

Message

From: Kreider, Brett (CMS/CTR) NotResp
[REDACTED] NotResp

Sent: 9/19/2013 1:21:50 PM

To: Mellor, Michael (CMS/OIS) NotResp
[REDACTED]
Fryer, Teresa M. (CMS/OIS) NotResp
[REDACTED]

CC: Arnous, James Z.(CMS/CTR) NotResp
[REDACTED]
Alexander, David (CMS/OIS) NotResp
[REDACTED]
Reintges, Robert S. (CMS/OIS) NotResp
[REDACTED]

Subject: ACA Continuous Monitoring Findings (so far)

Importance: High

Mike/Teresa,

NotResp

<!--[if !supportLists]-->● <!--[endif]--> Next step would be to improve the coverage of authenticated devices and to follow up to make sure Terremark is addressing the vulnerabilities identified in the reports as generated [NotResp]

NotResp

<!--[if !supportLists]-->● <!--[endif]--> [NotResp] reproduction scanning results for ACA/Quality Health Plan (QHP) application have revealed the following results:

<!--[if !supportLists]-->○ <!--[endif]--> **8 HIGH Findings**

NotResp

NotResp

<!--[if !supportLists]-->○ <!--[endif]--> **22 MEDIUM Findings:**

NotResp

NotResp

NotResp

NotResp

<!--[if !supportLists]-->● <!--[endif]--> MITRE generated [NotResp] reports based on layer 3 network device configurations sent to CMS manually from Terremark.

<!--[if !supportLists]-->● <!--[endif]--> Preliminary results of the Best Practices Violations Report were

good: if we can verify that the

NotResp

and that the findings on the

NotResp

NotResp

are either false positives or are fixed, then the reports will be clean.

<!--[if !supportLists]-->● <!--[endif]--> The Best Practices Violations Report does not address Access Control Lists/firewall rules or policies. From the preliminary

NotResp

NotResp

These need to be

validated, if a business or technical case exists, documented, otherwise addressed by Terremark and the Application Owners.

<!--[if !supportLists]-->● <!--[endif]--> Next steps would be to verify the mitigation activities (perhaps by reviewing updated configurations and generating new [NotResp]) and to automate the import of layer 3 network device configurations (which will make it much easier to run the reports).

I hope this is helpful in your communication on ACA applications.

Brett Kreider

MITRE

571-420-8208

Message

From: Shomo, Milton [tshomo@mitre.org]
Sent: 9/19/2013 5:16:42 PM
To: Toomey, Jacqueline S. (CMS/OIS) [redacted] NotResp [redacted]
[redacted] NotResp [redacted] Bielski, Jim [jbielski@mitre.org]
CC: Erver, Teresa M. (CMS/OIS) [redacted] NotResp [redacted]
[redacted] NotResp [redacted] Mellor, Michael (CMS/OIS) [redacted] NotResp
[redacted] NotResp [redacted]
Subject: RE: Marketplace HIGH Finding

NotResp

Row #6 below is the finding as it will appear in today's spreadsheet. It should be noted that Row #19 below is essentially the same finding that we had on **Not Resp** in August. Row #19 finding was rated as a Moderate at that time since

Not Resp

Row #	Weakness	Risk Level	CMS Reference	Affected Systems	Ease-of-Fix	Est. Work Effort	Finding	Failed Test Description	Actual Test Results	Recommended Corrective Actions	Status
6	Not Resp	HIGH						Not Resp			Identified September 19, 2013. This is similar to Finding 19

NotResp

#19 from August 2013 FF M SC A	NotResp	Mode rate	NotResp	Identified August 28, 2013.
---	---------	--------------	---------	--------------------------------------

NotResp

NotResp

Regards,

Milton Shomo, CISSP
Principal Information Systems Engineer
MITRE Corp.
Center for Connected Government
(703) 983-2853 (O)
[redacted] (b)(6) [redacted] C)

From: Toomey, Jacqueline S.(CMS/OIS) [mailto:Jacqueline.Toomey@cms.hhs.gov]
Sent: Thursday, September 19, 2013 12:57 PM
To: Shomo, Milton; Bielski, Jim
Cc: Fryer, Teresa M. (CMS/OIS); Mellor, Michael (CMS/OIS)
Subject: HIGH Finding
Importance: High

Milton,

Could you forward us a description of today's HIGH finding? Teresa and Mike will want to push this up ASAP.

Thanks!

Jacqueline S Toomey

Division Director (Acting)
Division of Information Security Policy and Compliance (DISPC)
Centers for Medicare & Medicaid Services
7500 Security Blvd. Mailstop: N1-26-08
Baltimore, Md. 21244-1870
Location: N1-26-27 / Office Telephone: 410-786-5552 / BlackBerry:
jacqueline.toomey@cms.hhs.gov

NotResp



[Obtained via FOIA by Judicial Watch, Inc.](#)

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Message

From: Trenkle, Tony (CMS/OIS) NotResp
 [REDACTED] NotResp
Sent: 9/19/2013 3:41:58 PM
To: Chao, Henry (CMS/OIS) NotResp
CC: Linares, George E. (CMS/OIS) NotResp
 [REDACTED] NotResp; Fryer, Teresa M. (CMS/OIS) NotResp
 [REDACTED] NotResp; Outerbridge, Monique (CMS/OIS) NotResp
Subject: Security briefing for the Kitchen Cabinet

Henry

FYI below. I also asked George to set up a call with you, Teresa, him, and me tomorrow.

From: Armstead, Andrea E. (CMS/OA)
Sent: Thursday, September 19, 2013 11:37 AM
To: Trenkle, Tony (CMS/OIS)
Cc: Byczkowski, Roxanne (CMS/OIS); Morton, Tara R. (CMS/OA); Seth, Sharman M. (CMS/OOM)
Subject: RE: Today's Kitchen Cabinet

Yes, that's fine Tony...thanks

Andrea (Andi) Armstead
 Special Assistant to Chief Operating Officer (COO)
 Office of the Administrator
 Center for Medicare & Medicaid Services
andrea.armstead@cms.hhs.gov
 phone: 410-736-7851
 bb #: [REDACTED] (b)(6)

From: Trenkle, Tony (CMS/OIS)
Sent: Thursday, September 19, 2013 11:36 AM
To: Armstead, Andrea E. (CMS/OA)
Cc: Byczkowski, Roxanne (CMS/OIS); Morton, Tara R. (CMS/OA); Seth, Sharman M. (CMS/OOM)
Subject: RE: Today's Kitchen Cabinet

Yes, it wont be ready until Monday though.

From: Armstead, Andrea E. (CMS/OA)
Sent: Thursday, September 19, 2013 11:35 AM
To: Trenkle, Tony (CMS/OIS)
Cc: Byczkowski, Roxanne (CMS/OIS); Morton, Tara R. (CMS/OA); Seth, Sharman M. (CMS/OOM)
Subject: RE: Today's Kitchen Cabinet

Tony, would you mind sending to Tara and Sharman so we are sure to get this in her book? thankx

Andrea (Andi) Armstead
 Special Assistant to Chief Operating Officer (COO)
 Office of the Administrator
 Center for Medicare & Medicaid Services

andrea.armstead@cms.hhs.gov

phone: 410-786-7851

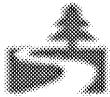
bb # (b)(6)

From: Trenkle, Tony (CMS/OIS)
Sent: Thursday, September 19, 2013 11:30 AM
To: Bowen, Marianne (CMS/OA)
Cc: Armstead, Andrea E. (CMS/OA); Byczkowski, Roxanne (CMS/OIS)
Subject: Re: Today's Kitchen Cabinet

Yes, I just spoke to George and he, Tom, and Teresa are pulling a presentation together.

From: Bowen, Marianne (CMS/OA)
Sent: Thursday, September 19, 2013 10:53 AM
To: Trenkle, Tony (CMS/OIS)
Cc: Armstead, Andrea E. (CMS/OA); Byczkowski, Roxanne (CMS/OIS)
Subject: RE: Today's Kitchen Cabinet

Michelle is good with your plan, Tony. Can we plan on Monday at 4? Thanks.



Please consider the environment before printing my e-mail.

Marianne Bowen
Senior Advisor to the Chief Operating Officer | Office of the Administrator | Centers for Medicare and Medicaid Services
E-mail: marianne.bowen3@cms.hhs.gov
Office: C5-18-23
Phone: 410-786-4610
BB: (b)(6)

From: Bowen, Marianne (CMS/OA)
Sent: Thursday, September 19, 2013 10:29 AM
To: Trenkle, Tony (CMS/OIS)
Cc: Armstead, Andrea E. (CMS/OA); Byczkowski, Roxanne (CMS/OIS)
Subject: Today's Kitchen Cabinet

Hi Tony—issues around states Authority to Connect (ATC) were brought up to Michelle this morning. She'd like you to be prepared to tell folks at today's kitchen cabinet meeting how you plan to address these issues and get the ATCs finalized. Please let me know if you have any questions. Thanks.

<image001.jpg>Please consider the environment before printing my e-mail.

Marianne Bowen
Senior Advisor to the Chief Operating Officer | Office of the Administrator | Centers for Medicare and Medicaid Services
E-mail: marianne.bowen3@cms.hhs.gov
Office: C5-18-23

Phone: 410-786-4610

BB: (b)(6)

Message

From: King, Jason C. (CMS/OIS) NotResp
Sent: 9/19/2013 3:14:19 PM
To: Fryer, Teresa M. (CMS/OIS) NotResp
NotResp
NotResp
NotResp
Subject: RE: HHS Briefing

Several of those have had or are in the middle of assessments, but they have not submitted ATO requests yet. HICS is actually being assessed this week.

Jason King
 Division of Information Security Policy and Compliance (DISPC)
 Enterprise Information Security Group (EISG)
 Centers for Medicare & Medicaid Services
 PH: (410) 786-7578
<http://www.cms.hhs.gov/informationsecurity/>
 Contact EISG at CISO@cms.hhs.gov

From: Fryer, Teresa M. (CMS/OIS)
Sent: Thursday, September 19, 2013 11:11 AM
To: King, Jason C. (CMS/OIS); Toomey, Jacqueline S.(CMS/OIS)
Subject: Re: HHS Briefing

Question on slide 4, if a system doesn't have an ato where do the findings come from (hics, ecws)?

From: King, Jason C. (CMS/OIS)
Sent: Thursday, September 19, 2013 06:53 AM
To: Fryer, Teresa M. (CMS/OIS); Toomey, Jacqueline S.(CMS/OIS)
Subject: RE: HHS Briefing

With additional summary slide.

Jason King
 Division of Information Security Policy and Compliance (DISPC)
 Enterprise Information Security Group (EISG)
 Centers for Medicare & Medicaid Services
 PH: (410) 786-7578
<http://www.cms.hhs.gov/informationsecurity/>
 Contact EISG at CISO@cms.hhs.gov

From: Fryer, Teresa M. (CMS/OIS)
Sent: Wednesday, September 18, 2013 4:55 PM
To: King, Jason C. (CMS/OIS); Toomey, Jacqueline S.(CMS/OIS)
Subject: RE: HHS Briefing

Were you sending this back to me with an additional slide with summary bullets?

Thanks,

Teresa

From: King, Jason C. (CMS/OIS)
Sent: Wednesday, September 18, 2013 4:21 PM
To: Fryer, Teresa M. (CMS/OIS); Toomey, Jacqueline S.(CMS/OIS)
Subject: RE: HHS Briefing

(b)(5)

Jason King
Division of Information Security Policy and Compliance (DISPC)
Enterprise Information Security Group (EISG)
Centers for Medicare & Medicaid Services
PH: (410) 786-7578
<http://www.cms.hhs.gov/informationsecurity/>
Contact EISG at CISO@cms.hhs.gov

From: Fryer, Teresa M. (CMS/OIS)
Sent: Wednesday, September 18, 2013 10:41 AM
To: Toomey, Jacqueline S.(CMS/OIS); King, Jason C. (CMS/OIS)
Subject: HHS Briefing

Here is the start of the HHS briefing with the slide from Mitre

Teresa Fryer, CISSP, CIPP/G
Chief Information Security Officer and
Director, Enterprise Information Security Group (EISG)
Centers for Medicare & Medicaid Services
Office of Information Services (OIS)
7500 Security Blvd, N1-26-18
Baltimore, MD 21244
410-786-2614 (W)
(b)(6) (C)
teresa.fryer@cms.hhs.gov



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This space intentionally left blank.

Message

From: Linares, George E. (CMS/OIS) [REDACTED] **NotResp**
NotResp [REDACTED]

Sent: 9/19/2013 4:17:17 PM [REDACTED]

To: Fryer, Teresa M. (CMS/OIS) [REDACTED] **NotResp**
NotResp [REDACTED]

Subject: RE: Security briefing for the Kitchen Cabinet

The meeting tomorrow (being scheduled) is to bring FO, CIISG and EISG in sync in preparation to the Monday meeting. I am including Tom as well

From: Fryer, Teresa M. (CMS/OIS)
Sent: Thursday, September 19, 2013 12:15 PM
To: Linares, George E. (CMS/OIS)
Subject: Fw: Security briefing for the Kitchen Cabinet

George,

No where do I see ciisg security on these email strings. We have no idea what state these packages are in. Toms team and their scorn contractors have been working months with the states and are the ones to know how well prepared they are to connect and how to get atcs finalized.

From: Trenkle, Tony (CMS/OIS)
Sent: Thursday, September 19, 2013 11:41 AM
To: Chao, Henry (CMS/OIS)
Cc: Linares, George E. (CMS/OIS); Fryer, Teresa M. (CMS/OIS); Outerbridge, Monique (CMS/OIS)
Subject: Security briefing for the Kitchen Cabinet

Henry

FYI below. I also asked George to set up a call with you, Teresa, him, and me tomorrow.

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To: Trenkle, Tony (CMS/OIS)
Cc: Byczkowski, Roxanne (CMS/OIS); Morton, Tara R. (CMS/OA); Seth, Sharman M. (CMS/OOM)
Subject: RE: Today's Kitchen Cabinet

Yes, that's fine Tony...thanks

Andrea (Andi) Armstead

Special Assistant to Chief Operating Officer (COO)
Office of the Administrator
Center for Medicare & Medicaid Services
andrea.armstead@cms.hhs.gov
phone: 410-786-7851
bb # [REDACTED] (b)(6) [REDACTED]

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Sent: Thursday, September 19, 2013 11:36 AM
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Subject: RE: Today's Kitchen Cabinet

Tony, would you mind sending to Tara and Sharman so we are sure to get this in her book? thankx

Andrea (Andi) Armstead
Special Assistant to Chief Operating Officer (COO)
Office of the Administrator
Center for Medicare & Medicaid Services
andrea.armstead@cms.hhs.gov
phone: 410-786-7851
bb #: (b)(6)

From: Trenkle, Tony (CMS/OIS)
Sent: Thursday, September 19, 2013 11:30 AM
To: Bowen, Marianne (CMS/OA)
Cc: Armstead, Andrea E. (CMS/OA); Byczkowski, Roxanne (CMS/OIS)
Subject: Re: Today's Kitchen Cabinet

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From: Bowen, Marianne (CMS/OA)
Sent: Thursday, September 19, 2013 10:53 AM
To: Trenkle, Tony (CMS/OIS)
Cc: Armstead, Andrea E. (CMS/OA); Byczkowski, Roxanne (CMS/OIS)
Subject: RE: Today's Kitchen Cabinet

Michelle is good with your plan, Tony. Can we plan on Monday at 4? Thanks.



Please consider the environment before printing my e-mail.

Marianne Bowen
Senior Advisor to the Chief Operating Officer | Office of the Administrator | Centers for Medicare and Medicaid Services
E-mail: marianne.bowen3@cms.hhs.gov
Office: C5-18-23
Phone: 410-786-4610

From: Bowen, Marianne (CMS/OA)
Sent: Thursday, September 19, 2013 10:29 AM
To: Trenkle, Tony (CMS/OIS)
Cc: Armstead, Andrea E. (CMS/OA); Byczkowski, Roxanne (CMS/OIS)
Subject: Today's Kitchen Cabinet

Hi Tony—issues around states Authority to Connect (ATC) were brought up to Michelle this morning. She'd like you to be prepared to tell folks at today's kitchen cabinet meeting how you plan to address these issues and get the ATCs finalized. Please let me know if you have any questions. Thanks.

<image001.jpg>Please consider the environment before printing my e-mail.

Marianne Bowen
Senior Advisor to the Chief Operating Officer | Office of the Administrator | Centers for Medicare and Medicaid Services
E-mail: marianne.bowen3@cms.hhs.gov
Office: C5-18-23
Phone: 410-786-4610
BB: (b)(6)

Message

From: Hoffman, Jessica (CMS/OIS) NotResp
 [REDACTED] NotResp

Sent: 9/19/2013 9:01:28 PM

To: Toomey, Jacqueline S. (CMS/OIS) NotResp
 [REDACTED] NotResp
 [REDACTED] Fryer, Teresa M. (CMS/OIS) NotResp

Subject: FW: HIX A --- Additional Testing Requested

Importance: High

Jessica Hoffman
 Enterprise Information Security Group (EISG)
 Division of Information Security Policy and Compliance (DISPC)
 Office: 410.786.4458 | BB [REDACTED] (b)(6)
 CMS IS Library: <http://www.cms.hhs.gov/informationsecurity/>
 Contact EISG at CISO@cms.hhs.gov

From: Hoffman, Jessica (CMS/OIS)
Sent: Thursday, September 19, 2013 2:23 PM
To: Schankweiler, Thomas W. (CMS/OIS); Lyles, Darrin V. (CMS/OIS)
Cc: King, Jason C. (CMS/OIS)
Subject: FW: HIX A --- Additional Testing Requested
Importance: High

Hi Tom & Darrin,

[REDACTED]
 (b)(5)

Please let me know ASAP if you agree to these terms and we will let the BC PM know Adam is approved to come back next week.

Thanks!

Jessica Hoffman
 Enterprise Information Security Group (EISG)
 Division of Information Security Policy and Compliance (DISPC)
 Office: 410.786.4458 | BB [REDACTED] (b)(6)

CMS IS Library: <http://www.cms.hhs.gov/informationsecurity/>

Contact EISG at CISO@cms.hhs.gov

----- Original message -----

From: Adam Kerns <AKerns@bluecanopy.com>

Date: 09/19/2013 12:40 (GMT-05:00)

To: Gus Tome <GTome@bcfedgroup.com>

Cc: Farzan Karimi <FKarimi@bluecanopy.com>

Subject: HIX A --- Additional Testing Requested

Gus – Tom Schankweiler (CMS CMS/OIS Facilitator - ISSO) and Darrin Lyles (CMS CMS/OIS Facilitator - ISSO) requested that we (BC) return next week to CGI to perform testing on the Call Center UI functionality within the HIX application.

The testing will require one person for 4-6 hours.

(b)(5)

Regards,

Adam Kerns

Associate - Cyber Security

Blue Canopy Group, LLC

11091 Sunset Hills Road | Suite 777 | Reston, VA 20190

(b)(6)

(mobile)

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Message

From: Hoffman, Jessica (CMS/OIS) NotResp
 NotResp

Sent: 9/19/2013 9:01:00 PM

To: Fryer, Teresa M. (CMS/OIS) NotResp
 NotResp Toomey, Jacqueline S. (CMS/OIS) NotResp

Subject: FW: HIX A --- Additional Testing Requested

Jessica Hoffman
 Enterprise Information Security Group (EISG)
 Division of Information Security Policy and Compliance (DISPC)
 Office: 410.786.4458 | BB (b)(6)
 CMS IS Library: <http://www.cms.hhs.gov/informationsecurity/>
 Contact EISG at CISO@cms.hhs.gov

From: Gus Tome [mailto:GTome@bcfedgroup.com]
Sent: Thursday, September 19, 2013 1:48 PM
To: Hoffman, Jessica (CMS/OIS); King, Jason C. (CMS/OIS)
Subject: RE: HIX A --- Additional Testing Requested

I'm good with it. Thanks for the help!

Gus

Sent from my Samsung Galaxy Note® II

----- Original message -----

From: "Hoffman, Jessica (CMS/OIS)" <Jessica.Hoffman@cms.hhs.gov>
 Date: 09/19/2013 13:38 (GMT-05:00)
 To: Gus Tome <GTome@bcfedgroup.com>, "King, Jason C. (CMS/OIS)" <Jason.King@cms.hhs.gov>
 Subject: RE: HIX A --- Additional Testing Requested

(b)(5)

Lmk if you agree and I will take action. Thanks!

Jessica Hoffman
Enterprise Information Security Group (EISG)
Division of Information Security Policy and Compliance (DISPC)
Office: 410.786.4458 | BB: [REDACTED] (b)(6)
CMS IS Library: <http://www.cms.hhs.gov/informationsecurity/>
Contact EISG at CISO@cms.hhs.gov

From: Gus Tome [mailto:GTome@bcfedgroup.com]
Sent: Thursday, September 19, 2013 1:33 PM
To: Hoffman, Jessica (CMS/OIS); King, Jason C. (CMS/OIS)
Subject: RE: HIX A --- Additional Testing Requested

[REDACTED] (b)(5)

Sent from my Samsung Galaxy Note® II

----- Original message -----

From: "Hoffman, Jessica (CMS/OIS)" <Jessica.Hoffman@cms.hhs.gov>
Date: 09/19/2013 13:16 (GMT-05:00)
To: Gus Tome <GTome@bcfedgroup.com>, "King, Jason C. (CMS/OIS)" <Jason.King@cms.hhs.gov>
Subject: RE: HIX A --- Additional Testing Requested

[REDACTED] (b)(5)

Please let me know asap and I will contact tom/Darrin as appropriate.

Thanks!

Jessica Hoffman
Enterprise Information Security Group (EISG)

Division of Information Security Policy and Compliance (DISPC)

Office: 410.786.4458 | BB: (b)(6)

CMS IS Library: <http://www.cms.hhs.gov/informationsecurity/>

Contact EISG at CISO@cms.hhs.gov

From: Gus Tome [mailto:GTome@bcfedgroup.com]

Sent: Thursday, September 19, 2013 1:13 PM

To: Hoffman, Jessica (CMS/OIS); King, Jason C. (CMS/OIS)

Subject: Fwd: HIX A --- Additional Testing Requested

Jason/Jessica,

(b)(5)

Gus

Sent from my Samsung Galaxy Note® II

----- Original message -----

From: Adam Kerns <AKerns@bluecanopy.com>

Date: 09/19/2013 12:40 (GMT-05:00)

To: Gus Tome <GTome@bcfedgroup.com>

Cc: Farzan Karimi <FKarimi@bluecanopy.com>

Subject: HIX.A --- Additional Testing Requested

(b)(5)

(b)(5)

Regards,

Adam Kerns

Associate - Cyber Security

Blue Canopy Group, LLC

11091 Sunset Hills Road | Suite 777 | Reston, VA 20190

(b)(6) (mobile)

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reliance on the contents of this information is strictly prohibited.

Message

From: Hoffman, Jessica (CMS/OIS) NotResp
[REDACTED] NotResp
Sent: 9/19/2013 9:00:29 PM
To: Fryer, Teresa M. (CMS/OIS) NotResp
[REDACTED] NotResp
CC: Toomey, Jacqueline S.(CMS/OIS) NotResp
[REDACTED] NotResp
Subject: RE: thanks

(b)(5)

Jessica Hoffman
Enterprise Information Security Group (EISG)
Division of Information Security Policy and Compliance (DISPC)
Office: 410.786.4458 | BB: [REDACTED] (b)(6)
CMS IS Library: <http://www.cms.hhs.gov/informationsecurity/>
Contact EISG at CISO@cms.hhs.gov

-----Original Message-----

From: Fryer, Teresa M. (CMS/OIS)
Sent: Thursday, September 19, 2013 4:49 PM
To: Hoffman, Jessica (CMS/OIS)
Cc: Toomey, Jacqueline S.(CMS/OIS)
Subject: Re: thanks

[REDACTED] (b)(4)

----- Original Message -----

From: Hoffman, Jessica (CMS/OIS)
Sent: Thursday, September 19, 2013 04:36 PM
To: Fryer, Teresa M. (CMS/OIS)
Cc: Toomey, Jacqueline S.(CMS/OIS)

Subject: RE: thanks

Obtained via FOIA by Judicial Watch, Inc.

(b)(5)

Jessica Hoffman
Enterprise Information Security Group (EISG) Division of Information Security Policy and Compliance
(DISPC)
Office: 410.786.4458 | BB: (b)(6) CMS IS Library: <http://www.cms.hhs.gov/informationsecurity/>
Contact EISG at CISO@cms.hhs.gov

-----Original Message-----

From: Fryer, Teresa M. (CMS/OIS)
Sent: Thursday, September 19, 2013 4:32 PM
To: Hoffman, Jessica (CMS/OIS)
Cc: Toomey, Jacqueline S.(CMS/OIS)
Subject: thanks

Thanks for speaking up about blue canopy coming back next week!

Message

From: Byczkowski, Roxanne (CMS/OIS) **NotResp**
[REDACTED]
NotResp

Sent: 9/19/2013 11:26:23 AM

To: Fryer, Teresa M. (CMS/OIS) **NotResp**
[REDACTED]
NotResp

Subject: Marketplace support

Teresa –

Is the only person that you have 100% supporting marketplace Jane Kim? Or are there others?

Roxanne Byczkowski, CBCP

Special Assistant
Centers for Medicare & Medicaid Services (CMS)
Office of Information Services (OIS)
Phone: 410-786-5286 BlackBerry [REDACTED] (b)(6)
Roxanne.byczkowski@cms.hhs.gov
7500 Security Blvd., N3-14-26
Baltimore, MD 21244-1850

Message

From: King, Jason C. (CMS/OIS) NotResp
Sent: 9/19/2013 10:53:24 AM
To: Fryer, Teresa M. (CMS/OIS) NotResp
NotResp
NotResp
NotResp
Subject: RE: HHS Briefing
Attachments: EISG HHS Pres DRAFT - 9 20 13 - V1 - JCK 2.pptx

With additional summary slide.

Jason King
 Division of Information Security Policy and Compliance (DISPC)
 Enterprise Information Security Group (EISG)
 Centers for Medicare & Medicaid Services
 PH: (410) 786-7578
<http://www.cms.hhs.gov/informationsecurity/>
 Contact EISG at CISO@cms.hhs.gov

From: Fryer, Teresa M. (CMS/OIS)
Sent: Wednesday, September 18, 2013 4:55 PM
To: King, Jason C. (CMS/OIS); Toomey, Jacqueline S.(CMS/OIS)
Subject: RE: HHS Briefing

Were you sending this back to me with an additional slide with summary bullets?

Thanks,

Teresa

From: King, Jason C. (CMS/OIS)
Sent: Wednesday, September 18, 2013 4:21 PM
To: Fryer, Teresa M. (CMS/OIS); Toomey, Jacqueline S.(CMS/OIS)
Subject: RE: HHS Briefing

(b)(5)

Jason King
 Division of Information Security Policy and Compliance (DISPC)
 Enterprise Information Security Group (EISG)
 Centers for Medicare & Medicaid Services
 PH: (410) 786-7578
<http://www.cms.hhs.gov/informationsecurity/>
 Contact EISG at CISO@cms.hhs.gov

From: Fryer, Teresa M. (CMS/OIS)
Sent: Wednesday, September 18, 2013 10:41 AM
To: Toomey, Jacqueline S.(CMS/OIS); King, Jason C. (CMS/OIS)
Subject: HHS Briefing

Here is the start of the HHS briefing with the slide from Mitre

Teresa Fryer, CISSP, CIPP/G
Chief Information Security Officer and
Director, Enterprise Information Security Group (EISG)
Centers for Medicare & Medicaid Services
Office of Information Services (OIS)
7500 Security Blvd, N1-26-18
Baltimore, MD 21244
410-786-2614 (W)

(b)(6) (C)

teresa.fryer@cms.hhs.gov



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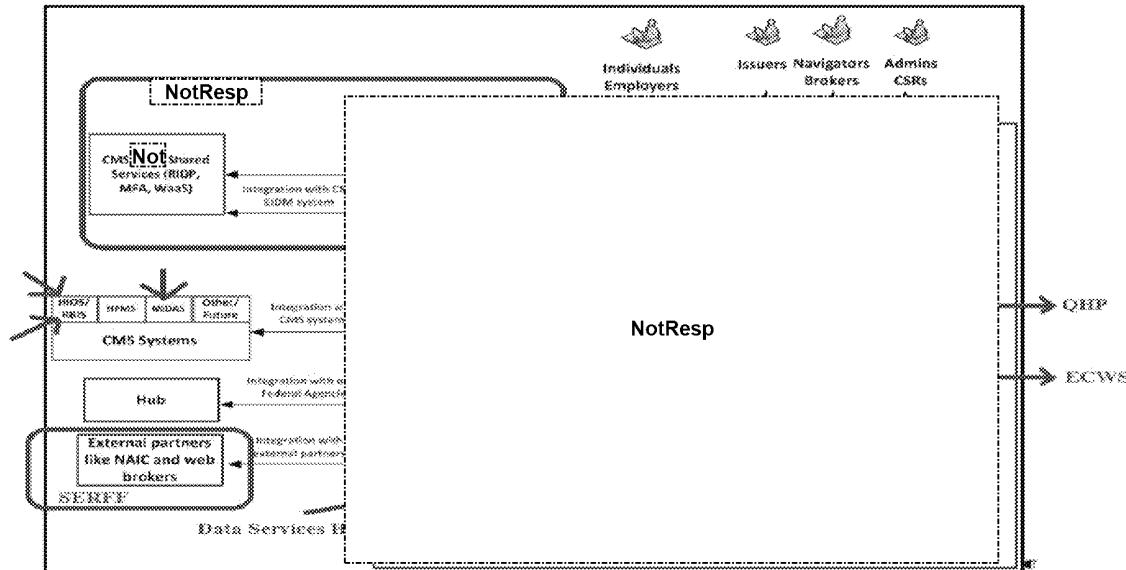


Marketplace Information Security Update



September 2013

Marketplace Information Security Update



Security Dashboard – ATO Dates for Federal Systems Supporting ACA – As of 09/18/2013

Marketplace			Marketplace		
System/Application	ATO Target Date	ATO Expiration	System/Application	ATO Target Date	ATO Expiration
Infrastructure as a Service (IaaS)		03/31/2014		TBD	
Platform as a Service (PaaS)		03/31/2014		TBD	
Collaborative Application Lifecycle Management Tool (CALM)		10/26/2015		TBD	
State Exchange Resource and Tracking System (SERTS) (SERS)		01/25/2016		TBD	
Contracting System (COTS)		01/30/2016		TBD	
Opportunity Network and Engine (rONE)		04/01/2016		TBD	
Federally Facilitated Marketplace (FFM)					
• Qualified Health Plans (QHP)	09/03/2013	8/31/2014			
• Eligibility & Enrollment (E&E)	09/27/2013				
• Financial Management (FM)	09/27/2013				
• Plan Management (PM)	09/27/2013				
• Health Insurance Exchange (HIX) Data	TBD				
• Exchange Operations Center (XOC) Data	TBD				
Data Services Hub (DSH)	09/06/2013	08/30/2016			
Virtual Audit Management System (VAMS)	09/17/2013	05/31/2016			
	09/01/2013				
Exchange Consumer Web Services (ECWS)	10/18/2013				
Virtual Audit Management System (VAMS)	10/25/2013				
	9/ /2013				
	TBD				
Exchange Operations Center (XOC)	12/13/2013				
	TBD				
	01/17/2013				
	TBD				
Shared Services					
System/Application	ATO Target Date	ATO Expiration			
Enterprise Identity Management (EIM)			1/31/2016		
Enterprise Portal			9/10/2015		
Enterprise Eligibility Service (EES)			4/5/2016		
External Partners Agreements					
Agency	ISA	ATC	CMA	SLA	
HHS	09/03/2013	09/27/2013	09/15/2013	4/12/2013	
HHS	09/03/2013	09/27/2013	09/08/2013	8/22/2013	
HHS	09/03/2013	09/27/2013	09/20/2013	9/20/2013	
HHS	09/03/2013	09/27/2013	09/15/2013	9/15/2013	
HHS	09/03/2013	09/27/2013	09/03/2013	12/27/2013	
HHS	09/03/2013	09/27/2013			
HHS	09/03/2013	09/27/2013			
HHS	05/24/2013	09/27/2013			
HHS	05/24/2013	09/27/2013			



Security Dashboard – Current SCA Findings for Federal Systems Supporting ACA –**Marketplace****As of 09/18/2013**

System/Application	Findings		
	H	M	L
Infrastructure as a Service (IaaS)	0	5	2
Platform as a Service (PaaS)	2	27	23
Collaborative Application Lifecycle Management Tool (CALT)	0	12	12
State Exchange Resource and Tracking System (SERTS/SERVIS)	0	1	24
Data Analytics System (MIDAS)	0	33	33
Opportunity to Network and Engage [Not Re]	0	0	1
Federally Facilitated Marketplace (FFM)	2	41	30
• Qualified Health Plans (QHP)	Refer to FFM		
• Dental	Refer to FFM		
• Eligibility & Enrollment (E&E)	SCA Underway		
• Financial Management (FM)	SCA Underway		
• Plan Management (PM)	SCA Underway		
FFM Small Business Health Options Program (SHOP)	No SCA		
FFM Eligibility Service Workers (ESW/ESD) - SERCO	No SCA		
Data Services Hub (DSH)	0	12	1
Co-Op Management System (CPMS)	0	10	8
Health Insurance Oversight System (HIOS)	1	37	15
Exchange Consumer Web Services (ECWS)	2	15	9
Virtual Audit Management System (VAMS)			
Health Insurance Casework System (HICS)	1	10	5
Digital Documents Correspondence System (Digi_Docs)	3	16	
Exchange Operations Center (XOC)	6	46	17
Health Insurance Assistance Database (HIAD)	No SCA		
Local Mailbox (LMI)	No SCA		
WDC Disaster Recovery Site (DR) @ HP	No SCA		



WDC Disaster Recovery Site (DR) @ HP

Marketplace continued

System/Application	Findings		
	H	M	L
SERCO marketplace call center system	No SCA		
Enrollment Resolution and Reconciliation (ERR)	No SCA		
Eligibility Appeals Case Management System (EACMS)	No SCA		
Marketplace survey system	No SCA		
Registration for Technical Assistance Portal (REGTAP)	No SCA		
Application Penetration Testing	13	2	0

Shared Services

System/ Application	Findings		
	H	M	L
Enterprise Identity Management (EIDM)	0	2	1
Enterprise Portal	0	0	1
Enterprise Eligibility Service (EES)	0	3	3

SCA and ATO Summary and Conclusions

- The majority of the CMS ACA applications rely on and inherit controls from the IaaS and PaaS, located in CMS's [REDACTED] NotResp Many of the applications also have complex interdependencies.

- [REDACTED]

- [REDACTED]

(b)(5)

- [REDACTED]

- [REDACTED]



Message

From: Linares, George E. (CMS/OIS) [REDACTED] **NotResp**
[REDACTED] **NotResp**

Sent: 9/25/2013 8:09:31 PM

To: Trudel, Karen (CMS/OIS) [REDACTED] **NotResp**
[REDACTED] **NotResp**

Subject: FW: FFM Decision memo 9-25 kt comments.docx

Attachments: TFFFM Decision memo 9-25 Final.docx

Tony had comments...

From: Trenkle, Tony (CMS/OIS)
Sent: Wednesday, September 25, 2013 3:50 PM
To: Linares, George E. (CMS/OIS)
Cc: Byczkowski, Roxanne (CMS/OIS)
Subject: RE: FFM Decision memo 9-25 kt comments.docx

My feedback attached.

From: Linares, George E. (CMS/OIS)
Sent: Wednesday, September 25, 2013 3:32 PM
To: Trenkle, Tony (CMS/OIS)
Subject: FW: FFM Decision memo 9-25 kt comments.docx

George Linares

Acting Chief Technology Officer
Centers for Medicare & Medicaid Services (CMS)
410.786.2866 george.linares@cms.hhs.gov
7500 Security Blvd., N3-15-25
Baltimore, MD 21244-1850
Need more information? Visit [the OIS website](#).

From: Linares, George E. (CMS/OIS)
Sent: Wednesday, September 25, 2013 3:15 PM
To: Byczkowski, Roxanne (CMS/OIS)
Subject: FW: FFM Decision memo 9-25 kt comments.docx

Roxanne,
Here it's the content for one of the Decision memos

Thanks

George Linares
Acting Chief Technology Officer
Centers for Medicare & Medicaid Services (CMS)
410.786.2866 george.linares@cms.hhs.gov

7500 Security Blvd., N3-15-25

Baltimore, MD 21244-1850

Need more information? Visit [the OIS website](#).

From: Linares, George E. (CMS/OIS)

Sent: Wednesday, September 25, 2013 1:54 PM

To: Fryer, Teresa M. (CMS/OIS); Schankweiler, Thomas W. (CMS/OIS); Outerbridge, Monique (CMS/OIS)

Cc: Mellor, Michael (CMS/OIS); Trudel, Karen (CMS/OIS)

Subject: FFM Decision memo 9-25 kt comments.docx

Based on the 1:00pm meeting. Here it's the latest version. Please review and provide comments or concurrence by 3:30 today.

Thanks

George

Message

From: Linares, George E. (CMS/OIS) **NotResp**
[redacted]
[redacted] **NotResp**
Sent: 9/25/2013 7:32:14 PM
To: Trenkle, Tony (CMS/OIS) **NotResp**
Subject: FW: FFM Decision memo 9-25 kt comments.docx
Attachments: FFM Decision memo 9-25 Final.docx

George Linares

Acting Chief Technology Officer
Centers for Medicare & Medicaid Services (CMS)
410.786.2866 george.linares@cms.hhs.gov
7500 Security Blvd., N3-15-25
Baltimore, MD 21244-1850
Need more information? Visit [the OIS website](#).

From: Linares, George E. (CMS/OIS)
Sent: Wednesday, September 25, 2013 3:15 PM
To: Byczkowski, Roxanne (CMS/OIS)
Subject: FW: FFM Decision memo 9-25 kt comments.docx

Roxanne,
Here it's the content for one of the Decision memos

Thanks

George Linares

Acting Chief Technology Officer
Centers for Medicare & Medicaid Services (CMS)
410.786.2866 george.linares@cms.hhs.gov
7500 Security Blvd., N3-15-25
Baltimore, MD 21244-1850
Need more information? Visit [the OIS website](#).

From: Linares, George E. (CMS/OIS)
Sent: Wednesday, September 25, 2013 1:54 PM
To: Fryer, Teresa M. (CMS/OIS); Schankweiler, Thomas W. (CMS/OIS); Outerbridge, Monique (CMS/OIS)
Cc: Mellor, Michael (CMS/OIS); Trudel, Karen (CMS/OIS)
Subject: FFM Decision memo 9-25 kt comments.docx

Based on the 1:00pm meeting. Here it's the latest version. Please review and provide comments or concurrence by 3:30 today.

Thanks
George

Message

From: Linares, George E. (CMS/OIS) [REDACTED] **NotResp**
[REDACTED] **NotResp**

Sent: 9/25/2013 6:02:04 PM

To: Trudel, Karen (CMS/OIS) [REDACTED] **NotResp**
[REDACTED] **NotResp**

Subject: Marketplace Security Briefing for COO Final.pptx

Attachments: Marketplace Security Briefing for COO Final.pptx

Message

From: Jim Jones [jjones_con@qssinc.com]
Sent: 9/26/2013 2:16:46 AM
To: Zaman, Akhtar (CMS/OIS) [REDACTED] NotResp
Deepak Bhatta [dbhatta@qssinc.com]; Venkatasubramanian, Ramesh (CGI Federal) [ramesh.venkatasubramanian@cgifederal.com]; Rubin, Keith (CGI Federal) [Keith.Rubin@cgifederal.com]
CC: Speights, Richard A. (CMS/OIS) [REDACTED] NotResp
[REDACTED] NotResp [REDACTED]; Donohoe, Paul X. (CMS/OIS) [REDACTED] NotResp
[REDACTED] NotResp [REDACTED] Vishal Khaparde [vkhaparde_con@qssinc.com]
Subject: Update: PST Summary for Wednesday

Later in the evening, we ran two more cycles of testing. CGI had made changes and had run a 500 user smoke test. We attempted two cycles at 2,000 users. Neither was successful. The results were as follows:

Cycle 1

2000 Vuser test (8:40 pm)

Large number of Race and Ethnicity failures.

CGI suspects a load balancing issue. Made changes and restored the database.

Cycle 2

2000 Vusers (9:31 pm)

Saw very high Login response times. As the vusers ramped up there were a large number of login failures. Test was stopped.

Jim Jones
QSSI, FFM Performance Test Lead
Desk 301-977-7884 x329
Cell [REDACTED] (b)(6) [REDACTED]

From: Jim Jones
Sent: Wednesday, September 25, 2013 6:25 PM
To: Akhtar Zaman (Akhtar.Zaman@cms.hhs.gov); Deepak Bhatta; Venkatasubramanian, Ramesh (CGI Federal); Rubin, Keith (CGI Federal)
Cc: Speights, Richard A. (CMS/OIS) (Richard.Speights@cms.hhs.gov); Donohoe, Paul X. (CMS/OIS) (Paul.Donohoe@cms.hhs.gov); Vishal Khaparde
Subject: PST Summary for Wednesday

The summary of activities for today is that we were unable to replicate the results of the successful 500 user test from yesterday. We are now failing the 500 user test and CGI is working to address the issue.

The following is a summary of the progression of today's activities:

Prior to each cycle, a 10 user test was executed to verify a working environment.

Cycle 1

Ran a 10 Vuser test to validate the data and script changes work fine.

500 Vuser Test (12:15 PM)

Test finished with a lot of errors. The Individual App, Race and Ethnicity Transactions were taking a long time and eventually the system reached a breakpoint at 12:21 pm, after which everything started failing.

This was an attempt to replicate the results of the successful 500 user test that was run on Tuesday.

CGI said they were investigating a problem in how the messages are being sent across the cluster under load.

Cycle 2

CGI decided that they would like to run a test routing everything to 1 App Server to verify that all transactions are working.

Ran a 10 Vuser test to validate the data and script changes work fine.

250 Vuser Test (2:24 pm)

60 Vusers failed for the Login.

63 Failures. 187 successful applications completed.

CGI reported that they reviewed the logs from the test and had changed property files and needed to bounce the servers.

Cycle 3

Data Row: 1

Ran a 10 Vuser test to validate the data and script changes work fine.

2 users failed for Contact Info but rest all passed.

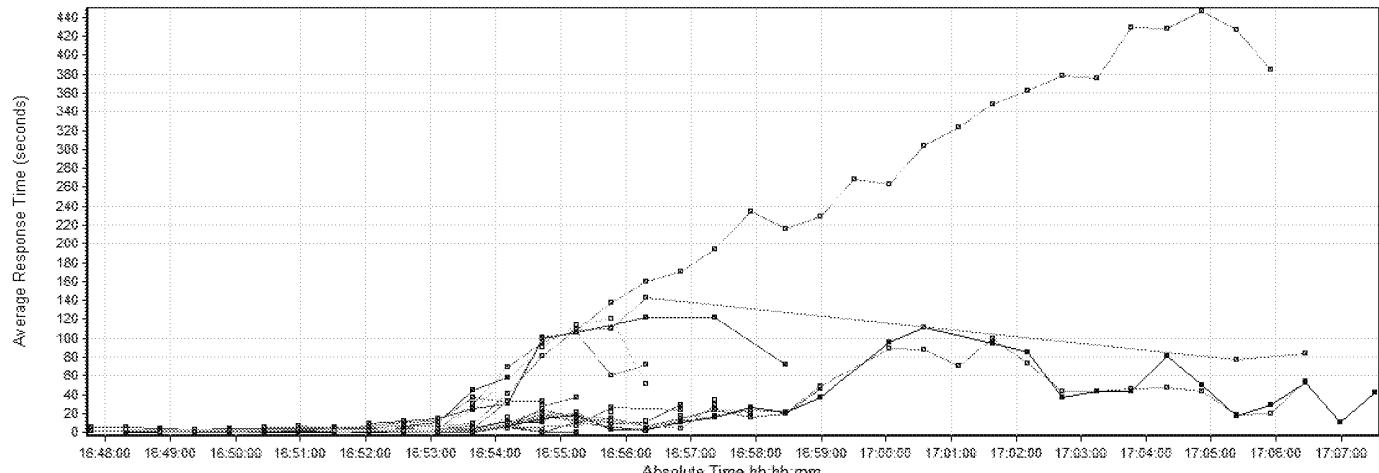
500 Vuser Test (4:47 pm)

No Login failures but system reached a breakpoint around 4:53 pm after which the response time started increasing rapidly and users started failing.

NotResp

CGI reported that they had identified an issue in NotResp and a Hotfix is going to be applied.

The following is the response time graph for the 500 Vuser test:



Color	ScaleMeasurement	Min	Avg	Max	Std. Deviation
1	FFM_APP_TC01_001_RegistrationPage	1.149	1.500	4.564	0.397
1	FFM_APP_TC01_002_Login	2.910	4.144	26.988	1.924
1	FFM_APP_TC01_002b_TermsAndConditions_Accept	0.359	0.732	15.580	1.154
1	FFM_APP_TC01_002c_ChooseADifferentState	0.149	0.771	32.163	2.309
1	FFM_APP_TC01_003_IndividualApplication	1.831	3.504	18.898	2.387
1	FFM_APP_TC01_004_EnterContactInfo_SaveAndContinue	0.615	2.664	53.077	6.395
1	FFM_APP_TC01_005_HelpApplyingForCoverage_HelpApplyingForCoverage	0.412	2.057	50.000	5.191
1	FFM_APP_TC01_006_HelpApplyingForCoverage_SecurityQuestions	0.410	2.104	52.868	5.537
1	FFM_APP_TC01_007_HelpPayingForCoverage_HelpPayingForCoverage	0.511	2.415	39.067	5.393
1	FFM_APP_TC01_008_HelpPayingForCoverage_NeedFinancialAssistance	0.408	3.195	46.526	6.770
1	FFM_APP_TC01_009_WhoNeedsCoverage_WhoNeedsCoverage	0.474	5.130	63.752	10.653
1	FFM_APP_TC01_010_WhoNeedsCoverage_Person1_Info	0.460	3.648	45.116	7.187
1	FFM_APP_TC01_011_WhoNeedsCoverage_Person2_Info	0.465	4.810	51.108	8.812
1	FFM_APP_TC01_011b_WhoNeedsCoverage_ApplicantSummary_Save	4.090	119.166	491.444	154.592
1	FFM_APP_TC01_012_FamilyAndHousehold_Person1_Gender	0.465	15.555	139.088	26.807
1	FFM_APP_TC01_013_FamilyAndHousehold_Person1_SSNIInfo	0.478	14.860	119.642	24.775
1	FFM_APP_TC01_014_FamilyAndHousehold_Person1_Citizenship	1.075	10.592	142.684	27.282
1	FFM_APP_TC01_015_FamilyAndHousehold_Person1_RaceEthnicity	3.552	11.856	110.943	16.848
1	FFM_APP_TC01_016_FamilyAndHousehold_Person2_Gender	0.560	1.013	12.328	1.481
1	FFM_APP_TC01_017_FamilyAndHousehold_Person2_SSNIInfo	0.561	1.741	38.362	4.512
1	FFM_APP_TC01_018_FamilyAndHousehold_Person2_Citizenship	1.118	3.843	120.285	25.424
1	FFM_APP_TC01_019_FamilyAndHousehold_Person2_ConfirmAddress	0.638	1.986	33.171	4.406
1	FFM_APP_TC01_020_FamilyAndHousehold_Person2_RaceEthnicity	4.553	22.765	199.101	30.439
1	FFM_APP_TC01_021_FamilyAndHousehold_Summary_Save	3.511	9.975	59.207	10.529
1	FFM_APP_TC01_022_AdditionalInfo_FederallyRecognizedTribe	0.740	1.147	7.993	1.104
1	FFM_APP_TC01_023_ReviewAndSign_ReviewApp_AgreeStatements_SaveAndReturnLater	2.225	5.148	37.584	5.786
1	FFM_APP_TC01_024_Logout	0.491	0.660	6.128	0.742

Jim Jones
 QSSI, FFM Performance Test Lead
 Desk 301-977-7884 x329

Cell (b)(6)

This electronic mail (including any attachments) may contain information that is privileged, confidential, and/or otherwise protected from disclosure to anyone other than its intended recipient(s). Any dissemination or use of this electronic email or its contents (including any attachments) by persons other than the intended recipient(s) is strictly prohibited. If you have received this message in error, please notify the sender by reply email and delete the original message (including any attachments) in its entirety.

ISSUER TESTING STATUS
Reporting as of 9/23/13

Features	FFM Functionality	Direct Enrollment Functionality
Individual eligibility	Limited: APTC/CSR problems continue	Very Limited: Can't process applications with APTC / CSR
Family eligibility	Very Limited: APTC/CSR problems continue; Some applications don't process at all	Same
Calculate correct subsidy/premium	Limited: APTC not always calculating correctly	Very Limited: APTC/CSR not being returned
Shop on Plan Compare	Reliability issues; Data errors; missing QHPs (Was not available to test yesterday due to problem. Was supposed to be fixed in NotResp last night.)	N/A
Enroll in QHP	Limited: submit applications; 834 is inconsistent, lacking data or inaccurate data	Limited: inconsistent results; missing data in 834
Ability for consumer to arrange payment	Not yet: Data transfer and URL assignment errors	N/A
Ability for consumer to cancel QHP	Not yet	Same

Important to Note:

- Testing is on relatively simple scenarios, with simulated test data (as it relates to applicants, eligibility and subsidy).
- Inconsistent testing results have led to concern about overall integrity
- Only 7 issuers (Alpha Team) have had full insights and therefore lead time to prepare mitigation strategies; all remaining issuers have less than 1 week to prepare for significant issues and workarounds
- Scheduled to start yesterday (9/23); issuers were not allowed to start testing in NotResp until this morning (9/24)
- Must fix agent landing page for direct enrollment to work

Message

From: Trenkle, Tony (CMS/OIS) [REDACTED] **NotResp**
Sent: 9/25/2013 9:22:40 PM [REDACTED] **NotResp**
To: Bowen, Marianne (CMS/OA) [REDACTED] **NotResp**
NotResp [REDACTED] **NotResp** [REDACTED] **Nelson, David J. (CMS/OEM)**
NotResp [REDACTED] **NotResp** [REDACTED] **Faney, Maribel R.**
(CMS/OEM) [REDACTED] **NotResp** [REDACTED] **Fryer,**
Teresa M. (CMS/OIS) [REDACTED] **NotResp** [REDACTED] **NotResp**
NotResp [REDACTED]

Subject: Fw: IMPORTANT: California IDP discussion
Attachments: CA IDP Response 9-25-2013 (1).docx
Importance: High

From: Linares, George E. (CMS/OIS)
Sent: Wednesday, September 25, 2013 02:31 PM
To: Trenkle, Tony (CMS/OIS); Chao, Henry (CMS/OIS)
Cc: Trudel, Karen (CMS/OIS); Schankweiler, Thomas W. (CMS/OIS); Outerbridge, Monique (CMS/OIS); Grothe, Kirk A. (CMS/OIS)
Subject: FW: IMPORTANT: California IDP discussion

Tony, Henry

This is the CA paper that was requested on Monday's meeting with Michelle. There was verbal concurrence on the 11:30 call this morning with everyone on this distribution list. Last, there were no further comments on the attached. I think this paper is ready for the COO. Let me know if you have any questions.

Thanks

George Linares
Acting Chief Technology Officer
 Centers for Medicare & Medicaid Services (CMS)
 410.786.2866  george.linares@cms.hhs.gov
 7500 Security Blvd., N3-15-25
 Baltimore, MD 21244-1850
 Need more information? Visit the [OIS website](#).

From: Schankweiler, Thomas W. (CMS/OIS)
Sent: Wednesday, September 25, 2013 12:35 PM
To: Fryer, Teresa M. (CMS/OIS); Chen, Jenny C. (CMS/CCIO); Linares, George E. (CMS/OIS); Outerbridge, Monique (CMS/OIS); Grothe, Kirk A. (CMS/OIS); Schmidt, Donna W. (CMS/OIS); Greene-Horace, Andrea D. (CMS/CCIO); Mercer, Karen L. (CMS/CCIO)
Cc: Cowley, Amanda D. (CMS/CCIO); Comeaux, Nicole (CMS/CCIO); Franey, Maribel R. (CMS/OEM); Blodgett, Bennett W. (CMS/CCIO)
Subject: IMPORTANT: California IDP discussion
Importance: High

All,

Please see the updated response document. We have 30 minutes to make edits, corrections, etc. I am also available by phone [REDACTED] (b)(6) and I am on Lync.

Thanks,

Tom

(b)(5)

09/24/2013

HHS-0123944
CMS0011947

Message

From: Franey, Maribel R. (CMS/OEM) NotResp
NotResp

Sent: 9/25/2013 9:34:37 PM

To: Trenkle, Tony (CMS/OIS) NotResp
NotResp

NotResp Bowen, Marianne (CMS/OA) NotResp
NotResp

Nelson, David J. (CMS/OEM) NotResp
NotResp

NotResp Fryer, Teresa M. (CMS/OIS) NotResp
NotResp

Schankweiler, Thomas W.
(CMS/OIS) NotResp Outerbridge,
Monique (CMS/OIS) NotResp

Grothe, Kirk A. (CMS/OIS) NotResp
NotResp

NotResp

Trudel, Karen (CMS/OIS) NotResp
NotResp

NotResp Wills, Theodora (CMS/OEM) NotResp
NotResp

CC:

Subject: RE: IMPORTANT: California IDP discussion

We concur and thank you for including our privacy comments on attestation.

*Best Regards,
Maribel Franey*

Director| Privacy Policy Compliance Group | Office of E-Health Standards & Services | Centers for Medicare & Medicaid Services | 7500 Security Boulevard| Baltimore, MD 21244| Mailstop: S2-26-17| Office Location: S2-25-27 Office: (410) 786-0757 | E-Mail: Maribel.Faney@cms.hhs.gov

From: Trenkle, Tony (CMS/OIS)
Sent: Wednesday, September 25, 2013 5:23 PM
To: Bowen, Marianne (CMS/OA); Nelson, David J. (CMS/OEM); Franey, Maribel R. (CMS/OEM); Fryer, Teresa M. (CMS/OIS)
Subject: Fw: IMPORTANT: California IDP discussion
Importance: High

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Sent: Wednesday, September 25, 2013 02:31 PM
To: Trenkle, Tony (CMS/OIS); Chao, Henry (CMS/OIS)
Cc: Trudel, Karen (CMS/OIS); Schankweiler, Thomas W. (CMS/OIS); Outerbridge, Monique (CMS/OIS); Grothe, Kirk A. (CMS/OIS)
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Tony, Henry

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Thanks

George Linares

Acting Chief Technology Officer

Centers for Medicare & Medicaid Services (CMS)

410.786.2866 george.linares@cms.hhs.gov

7500 Security Blvd., N3-15-25

Baltimore, MD 21244-1850

Need more information? Visit [the OIS website](#).

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To: Fryer, Teresa M. (CMS/OIS); Chen, Jenny C. (CMS/CCIO); Linares, George E. (CMS/OIS); Outerbridge, Monique (CMS/OIS); Grothe, Kirk A. (CMS/OIS); Schmidt, Donna W. (CMS/OIS); Greene-Horace, Andrea D. (CMS/CCIO); Mercer, Karen L. (CMS/CCIO)

Cc: Cowley, Amanda D. (CMS/CCIO); Comeaux, Nicole (CMS/CCIO); Franey, Maribel R. (CMS/OEM); Blodgett, Bennett W. (CMS/CCIO)

Subject: IMPORTANT: California IDP discussion

Importance: High

All,

Please see the updated response document. We have 30 minutes to make edits, corrections, etc. I am also available by phone (b)(6) and I am on Lync.

Thanks,

Tom

Message

From: Schankweiler, Thomas W. (CMS/OIS) NotResp
 NotResp

Sent: 9/25/2013 4:09:04 PM

To: Fryer, Teresa M. (CMS/OIS) NotResp
 NotResp; England-Gordon, John E. (CMS/OIS) NotResp
 NotResp

NotResp; Schmidt, Donna W. (CMS/OIS) NotResp
 NotResp; Ambrosini, Ellen M. (CMS/OEM) NotResp
 NotResp; Linares, George E. (CMS/OIS) NotResp
 NotResp; Franey, Maribel R. (CMS/OEM) NotResp
 NotResp

CC: Cooper, Dennis (CMS/CTR) NotResp
 NotResp; Davis, Angela (CMS/OIS) NotResp
 NotResp

NotResp; Schmidt, Donna W. (CMS/OIS) NotResp
 NotResp; Grothe, Kirk A. (CMS/OIS) NotResp
 NotResp; Outerbridge, Monique (CMS/OIS) NotResp

Subject: RE: Marketplace and States Decision Memo outlines_JKahn.docx

That is likely what will happen is packages will be coming in on Saturday and Sunday.

From: Fryer, Teresa M. (CMS/OIS)
Sent: Wednesday, September 25, 2013 12:06 PM
To: Schankweiler, Thomas W. (CMS/OIS); England-Gordon, John E. (CMS/OIS); Schmidt, Donna W. (CMS/OIS); Ambrosini, Ellen M. (CMS/OEM); Linares, George E. (CMS/OIS); Franey, Maribel R. (CMS/OEM)
Cc: Cooper, Dennis (CMS/CTR); Davis, Angela (CMS/OIS); Schmidt, Donna W. (CMS/OIS); Grothe, Kirk A. (CMS/OIS); Outerbridge, Monique (CMS/OIS)
Subject: RE: Marketplace and States Decision Memo outlines_JKahn.docx

We have contractor support available over the weekend, however, if Tony wants the packages delivered to him by Monday, EISG cannot be receiving them Sunday night or Monday for review.

From: Schankweiler, Thomas W. (CMS/OIS)
Sent: Wednesday, September 25, 2013 11:29 AM
To: England-Gordon, John E. (CMS/OIS); Schmidt, Donna W. (CMS/OIS); Fryer, Teresa M. (CMS/OIS); Ambrosini, Ellen M. (CMS/OEM); Linares, George E. (CMS/OIS); Franey, Maribel R. (CMS/OEM)
Cc: Cooper, Dennis (CMS/CTR); Davis, Angela (CMS/OIS); Schmidt, Donna W. (CMS/OIS); Grothe, Kirk A. (CMS/OIS); Outerbridge, Monique (CMS/OIS)
Subject: FW: Marketplace and States Decision Memo outlines_JKahn.docx
Importance: High

See below,

Is everyone on board with trying to make this happen? Including weekend support. I know SphereCom is cleared to work. If not we need to be talking to George ASAP.

Tom

From: Linares, George E. (CMS/OIS)
Sent: Wednesday, September 25, 2013 11:24 AM
To: Boughn, Julie C. (CMS/CMCS); Kahn, Jessica (CMS/CMCS)
Cc: Schankweiler, Thomas W. (CMS/OIS); Trudel, Karen (CMS/OIS)
Subject: RE: Marketplace and States Decision Memo outlines_JKahn.docx

Julie,

Yes, OIS is planning to review all packages as they arrived. To that end, Tony has requested to have all packages received this week to be delivered to him by Monday, at the latest. He has authorized weekend support for this effort as well. Hopefully, this answers your questions.

Thanks

George Linares

Acting Chief Technology Officer
Centers for Medicare & Medicaid Services (CMS)
410.786.2866 george.linares@cms.hhs.gov
7500 Security Blvd., N3-15-25
Baltimore, MD 21244-1850
Need more information? Visit [the OIS website](#).

From: Boughn, Julie C. (CMS/CMCS)
Sent: Wednesday, September 25, 2013 10:16 AM
To: Kahn, Jessica (CMS/CMCS); Linares, George E. (CMS/OIS)
Cc: Schankweiler, Thomas W. (CMS/OIS); Trudel, Karen (CMS/OIS)
Subject: RE: Marketplace and States Decision Memo outlines_JKahn.docx

So it looks like all the states that need connection by 10/1 have now submitted their documentation. George and Tom - what's the plan on the OIS side to review the documentation that has been submitted? I know we're going to allow ATC based upon the fact that the states have submitted. Presumably, we are still planning to review, right? Have you all established a timeline, or even some prioritization, to those reviews?

Julie Boughn
Deputy Director, Center for Medicaid & CHIP
Twitter: @julieboughn

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From: Kahn, Jessica (CMS/CMCS)
Sent: Tuesday, September 24, 2013 10:40 PM
To: Linares, George E. (CMS/OIS)
Cc: Schankweiler, Thomas W. (CMS/OIS); Trudel, Karen (CMS/OIS); Boughn, Julie C. (CMS/CMCS)
Subject: Re: Marketplace and States Decision Memo outlines_JKahn.docx

Thanks- also NC just uploaded their remaining documentation a little while ago so more progress!
Jess

On Sep 24, 2013, at 8:11 PM, "Linares, George E. (CMS/OIS)" <George.Linares@cms.hhs.gov> wrote:
Thanks Jess. Karen and myself will review, format it and then we'll send out for final ok.

From: Kahn, Jessica (CMS/CMCS)

Sent: Tuesday, September 24, 2013 6:13 PM

To: Linares, George E. (CMS/OIS); Schankweiler, Thomas W. (CMS/OIS); Trudel, Karen (CMS/OIS)

Cc: Boughn, Julie C. (CMS/CMCS)

Subject: Marketplace and States Decision Memo outlines_JKahn.docx

Attached includes the CMCS input into the decision memo on the state side of things. I used the security status report received from OIS at 4pm today from Dennis. Also attached is the appendix that indicates States' status.

Message

From: Schankweiler, Thomas W. (CMS/OIS) NotResp
 NotResp

Sent: 9/25/2013 4:12:32 PM NotResp

To: Fryer, Teresa M. (CMS/OIS) NotResp
 NotResp England-Gordon, John E. (CMS/OIS) NotResp
 NotResp
 NotResp Schmidt, Donna W. (CMS/OIS) NotResp
 NotResp; Ambrosini, Ellen M. (CMS/OEM) NotResp
 NotResp; Linares, George E. (CMS/OIS) NotResp
 NotResp Franey, Maribel R. (CMS/OEM) NotResp
 NotResp
 NotResp
CC: Cooper, Dennis (CMS/CTR) NotResp
 NotResp; Davis, Angela (CMS/OIS) NotResp
 NotResp
 Schmidt, Donna W. (CMS/OIS) NotResp
 NotResp; Grothe, Kirk A. (CMS/OIS) NotResp
 NotResp Outerbridge, Monique
 (CMS/OIS) NotResp

Subject: RE: Marketplace and States Decision Memo outlines_JKahn.docx

We will be at the Baltimore location assembling package. You are welcome to have folks come in to be a part of it. Invite to follow sometime today. I hope you can have someone attend.

Tom

From: Fryer, Teresa M. (CMS/OIS)
Sent: Wednesday, September 25, 2013 12:11 PM
To: Schankweiler, Thomas W. (CMS/OIS); England-Gordon, John E. (CMS/OIS); Schmidt, Donna W. (CMS/OIS); Ambrosini, Ellen M. (CMS/OEM); Linares, George E. (CMS/OIS); Franey, Maribel R. (CMS/OEM)
Cc: Cooper, Dennis (CMS/CTR); Davis, Angela (CMS/OIS); Schmidt, Donna W. (CMS/OIS); Grothe, Kirk A. (CMS/OIS); Outerbridge, Monique (CMS/OIS)
Subject: RE: Marketplace and States Decision Memo outlines_JKahn.docx

Just saying, we will need some time to review as well. Will there be some notification of package drop off or do you expect someone to be in the office 24/7?

From: Schankweiler, Thomas W. (CMS/OIS)
Sent: Wednesday, September 25, 2013 12:09 PM
To: Fryer, Teresa M. (CMS/OIS); England-Gordon, John E. (CMS/OIS); Schmidt, Donna W. (CMS/OIS); Ambrosini, Ellen M. (CMS/OEM); Linares, George E. (CMS/OIS); Franey, Maribel R. (CMS/OEM)
Cc: Cooper, Dennis (CMS/CTR); Davis, Angela (CMS/OIS); Schmidt, Donna W. (CMS/OIS); Grothe, Kirk A. (CMS/OIS); Outerbridge, Monique (CMS/OIS)
Subject: RE: Marketplace and States Decision Memo outlines_JKahn.docx

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Cc: Cooper, Dennis (CMS/CTR); Davis, Angela (CMS/OIS); Schmidt, Donna W. (CMS/OIS); Grothe, Kirk A. (CMS/OIS); Outerbridge, Monique (CMS/OIS)
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To: England-Gordon, John E. (CMS/OIS); Schmidt, Donna W. (CMS/OIS); Fryer, Teresa M. (CMS/OIS); Ambrosini, Ellen M. (CMS/OEM); Linares, George E. (CMS/OIS); Franey, Maribel R. (CMS/OEM)
Cc: Cooper, Dennis (CMS/CTR); Davis, Angela (CMS/OIS); Schmidt, Donna W. (CMS/OIS); Grothe, Kirk A. (CMS/OIS); Outerbridge, Monique (CMS/OIS)
Subject: FW: Marketplace and States Decision Memo outlines_JKahn.docx
Importance: High

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Tom

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Sent: Wednesday, September 25, 2013 11:24 AM
To: Boughn, Julie C. (CMS/CMCS); Kahn, Jessica (CMS/CMCS)
Cc: Schankweiler, Thomas W. (CMS/OIS); Trudel, Karen (CMS/OIS)
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Thanks

George Linares
Acting Chief Technology Officer
Centers for Medicare & Medicaid Services (CMS)
410.786.2866 george.linares@cms.hhs.gov
7500 Security Blvd., N3-15-25
Baltimore, MD 21244-1850
Need more information? Visit [the OIS website](#).

From: Boughn, Julie C. (CMS/CMCS)
Sent: Wednesday, September 25, 2013 10:16 AM
To: Kahn, Jessica (CMS/CMCS); Linares, George E. (CMS/OIS)

Cc: Schankweiler, Thomas W. (CMS/OIS); Trudel, Karen (CMS/OIS)
Subject: RE: Marketplace and States Decision Memo outlines_JKahn.docx

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Julie Boughn
Deputy Director, Center for Medicaid & CHIP
Twitter: @julieboughn

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To: Linares, George E. (CMS/OIS)
Cc: Schankweiler, Thomas W. (CMS/OIS); Trudel, Karen (CMS/OIS); Boughn, Julie C. (CMS/CMCS)
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Thanks- also NC just uploaded their remaining documentation a little while ago so more progress!
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To: Linares, George E. (CMS/OIS); Schankweiler, Thomas W. (CMS/OIS); Trudel, Karen (CMS/OIS)
Cc: Boughn, Julie C. (CMS/CMCS)
Subject: Marketplace and States Decision Memo outlines_JKahn.docx

Attached includes the CMCS input into the decision memo on the state side of things. I used the security status report received from OIS at 4pm today from Dennis. Also attached is the appendix that indicates States' status.

Message

From: Richardson, Marc D. (CMS/OIS) NotResp
 NotResp

Sent: 9/25/2013 7:44:27 PM

To: Schankweiler, Thomas W. (CMS/OIS) NotResp
 NotResp Mansaray, Sharlene (CMS/OIS) NotResp
 NotResp Fryer, Teresa M.
 (CMS/OIS) NotResp
 NotResp

CC: Outerbridge, Monique (CMS/OIS) NotResp

Subject: RE: Quick question on RIDP

(b)(5)

Regards,

*Marc Richardson, PMP, Director*

Centers for Medicare & Medicaid Services (CMS)
 Office of Information Services (OIS)
 Innovative Healthcare Delivery Systems Group (IHDSG)
 Division of Healthcare Information Systems (DHIS)
 ↗ 410.786.0016 ☐ marc.richardson@cms.hhs.gov

(b)(6)

7500 Security Blvd., N3-17-07
 Baltimore, MD 21244-1850

Need more information? Please visit [the OIS website](#).

From: Schankweiler, Thomas W. (CMS/OIS)
Sent: Friday, September 20, 2013 10:06 AM
To: Richardson, Marc D. (CMS/OIS); Mansaray, Sharlene (CMS/OIS); Fryer, Teresa M. (CMS/OIS)
Cc: Outerbridge, Monique (CMS/OIS)
Subject: FW: Quick question on RIDP

Marc, Teresa, and Sharlene.

(b)(5)

Thoughts?

Tom

Message

From: Ryan Brewer [rbrewer@grayscout.com]
Sent: 9/25/2013 6:51:57 PM
To: Fryer, Teresa M. (CMS/OIS) [REDACTED] NotResp
[REDACTED] NotResp
CC: Jones, Paul (CMS/CTR) [REDACTED] NotResp
Kristina (CMS/OIS) [REDACTED] NotResp
Subject: Re: FW: Risk acceptance doc

, Cording,

Sure, no problem. We will review and discuss to provide an updated response.

Ryan

On Wed, Sep 25, 2013 at 2:45 PM, Fryer, Teresa M. (CMS/OIS) <Teresa.Fryer@cms.hhs.gov> wrote:

Ryan and Erik,

I hate to ask you this, but CClIO has submitted an updated RA for this. Could you please review the attached RA to see if your identified risks associated with their approach still stand and update your document if needed. Then I will send this back to them with the below response. If possible, can I have this by noon on Friday?

Thanks,

Teresa

From: Ryan Brewer [mailto:rbrewer@grayscout.com]
Sent: Wednesday, September 18, 2013 3:00 PM
To: Fryer, Teresa M. (CMS/OIS)
Cc: Jones, Paul (CMS/CTR); Cording, Kristina (CMS/OIS)
Subject: Re: FW: Risk acceptance doc

Hi Teresa,

[REDACTED] (b)(5)

(b)(5)

On Tue, Sep 17, 2013 at 3:46 PM, Fryer, Teresa M. (CMS/OIS) <Teresa.Fryer@cms.hhs.gov> wrote:

Here is a risk acceptance sent by CClIO for the manual method of remote identity proofing. Please review and provide your feedback, as well as our recommendation to Senior Leadership on accepting this level of risk. If possible, please have this back by COB tomorrow. If this is not possible, please let me know.

Thanks,

Teresa

From: Carter, Cathy T. (CMS/OIS)
Sent: Tuesday, September 17, 2013 1:14 PM
To: Trenkle, Tony (CMS/OIS); King, Terris (CMS/OIS); Fryer, Teresa M. (CMS/OIS)
Cc: Richardson, Marc D. (CMS/OIS); Gass, Carole F. (CMS/OIS)
Subject: FW: Risk acceptance doc

Here is what cciio sent

From: Richardson, Marc D. (CMS/OIS)
Sent: Monday, September 16, 2013 11:32 PM
To: Carter, Cathy T. (CMS/OIS); Gass, Carole F. (CMS/OIS)
Subject: FW: Risk acceptance doc

From: Grothe, Kirk A. (CMS/OIS)
Sent: Monday, September 16, 2013 3:50 PM
To: Richardson, Marc D. (CMS/OIS)
Subject: FW: Risk acceptance doc

Fyi.

Kirk Grothe, PMP®

Deputy Group Director, Consumer Information and Insurance Systems Group

Office of Information Services

Centers for Medicare and Medicaid Services

Phone: (301) 492-4377

Mobile:

kirk.grothe@cms.hhs.gov

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From: Kerr, James T. (CMS/CMHPO)
Sent: Monday, September 16, 2013 12:13 PM
To: Chao, Henry (CMS/OIS)
Cc: Outerbridge, Monique (CMS/OIS); Grothe, Kirk A. (CMS/OIS); Lorenz, Samara A. (CMS/CCIIO)
Subject: FW: Risk acceptance doc

Henry, Please review and advise if this can be used to take the next step. Thanks, Jim

James T. Kerr

James T. Kerr, acting Deputy Director, Operations, CCIIO and Consortium Administrator CMHPO | Centers for Medicare and Medicaid Services | phone:301-492-4124

From: Walker, Benjamin L. (CMS/CCIIO)
Sent: Monday, September 16, 2013 11:33 AM
To: Kerr, James T. (CMS/CMHPO)
Cc: Deboy, Alissa M. (CMS/CCIIO); Roche, Jacqueline R. (CMS/CCIIO)
Subject: RE: Risk acceptance doc

Hi Jim,

See attached. Please let me know if you have any questions, or if you are comfortable with it.

Thanks,

Ben

From: Kerr, James T. (CMS/CMHPO)
Sent: Monday, September 16, 2013 5:39 AM
To: Walker, Benjamin L. (CMS/CCIIO)
Cc: Deboy, Alissa M. (CMS/CCIIO); Roche, Jacqueline R. (CMS/CCIIO)
Subject: RE: Risk acceptance doc

OK thx

James T. Kerr

James T. Kerr, acting Deputy Director, Operations, CCIIO and Consortium Administrator CMHPO | Centers for Medicare and Medicaid Services | phone:301-492-4124

From: Walker, Benjamin L. (CMS/CCIIO)
Sent: Sunday, September 15, 2013 11:56 PM
To: Kerr, James T. (CMS/CMHPO)
Cc: Deboy, Alissa M. (CMS/CCIIO); Roche, Jacqueline R. (CMS/CCIIO)
Subject: Risk acceptance doc

Hi Jim,

I just wanted to let you know that I just wrapped up the first draft of the risk acceptance document. After Jackie has an opportunity to take a look, we will send it your way.

Thanks,

Ben

--
C. Ryan Brewer GCIH, C|CISO, CISSP
Principal
GrayScout LLC
Tel/Ofc: +1 202-904-2220 | Mobile: [REDACTED] (b)(6)
rbrewer@grayscout.com | www.grayscout.com

--
C. Ryan Brewer GCIH, C|CISO, CISSP
Principal
GrayScout LLC
Tel/Ofc: +1 202-904-2220 | Mobile: [REDACTED] (b)(6)
rbrewer@grayscout.com | www.grayscout.com

This space intentionally left blank.

Message

From: Schankweiler, Thomas W. (CMS/OIS) **NotResp**
 [REDACTED]
NotResp

Sent: 9/25/2013 5:26:27 PM

To: Fryer, Teresa M. (CMS/OIS) **NotResp**
 [REDACTED]
NotResp

Subject: Fw: Wed POAM mtg

[REDACTED]
 (b)(5)

Tom

From: Warren, Kevin (CMS/OIS)
Sent: Wednesday, September 25, 2013 12:53 PM
To: Schankweiler, Thomas W. (CMS/OIS)
Cc: Villar, Manuel (CMS/CTR); Lyles, Darrin V. (CMS/OIS); jpeterson@ccsin.com <jpeterson@ccsin.com>; Brackett, Stacie D. (CMS/CCIO); England-Gordon, John E. (CMS/OIS)
Subject: RE: Wed POAM mtg

Tom,

This is an update to the email below.

Based on our meeting with EISG this morning and the explicit guidance they provided with the new CFACTS-POAM process, it will be impossible to have the weaknesses/findings identified below moved from pending verification to a closed status today.

Both James and Desmond communicated that all ISSOs will only be responsible for addressing Low findings as it pertains to Component (CAP) Validation. Subsequently, the CISO/EISG will be responsible for validating each High, Moderate, Low and weakness and in turn, closing them out.

The weaknesses currently in Pending Verification (PV) as it relates to the new EISG POAM process effective August 31, 2013, includes the following:

1. Business owner, System Maintainer and contract support staff are responsible to ensure all milestones, resources and appropriate artifacts are submitted/updated in CFACTS; as it pertains to their respective weakness for the system.

2. Once the appropriate information for the weakness has been updated in CFACTS, EISG will receive notification to review the information.
3. Once EISG receives the notification, they will review and validate the weakness to ensure the corresponding information and artifacts have been provided.
4. If the information is sufficient to close the weakness, EISG will disseminate an e-mail indicating successful OCISO Validation. The content of the email will include information that states we have completed all of our milestones and provided the appropriate
5. If the information is not sufficient to close the weakness, EISG will disseminate an e-mail indicating Failed OCISO Validation and a report indicating the steps needed for remediation.

(b)(5)

As a result of us reviewing a good portion of the Pending Verification items in CFACTS with Desmond, we will continue to work with each Business owner, System Maintainer and contract support staff to educate them on the new EISG POAM process as well as the necessary information needed for successful closure of findings.

Kevin Warren | Centers for Medicare and Medicaid Services (CMS)| Office of Information Services (OIS)| Consumer Information and Insurance Systems Group (CIISG) | 7700 Wisconsin Ave (9380-A)| Bethesda, Maryland | 301.492.4381 (O)

From: Schankweiler, Thomas W. (CMS/OIS)
Sent: Monday, September 23, 2013 8:52 PM
To: Warren, Kevin (CMS/OIS)
Cc: Villar, Manuel (CMS/CTR); Lyles, Darrin V. (CMS/OIS)
Subject: Wed POAM mtg

Kevin,

Here is what I need you and Jason to review with Desmond and James. All of these should get closed out. If you need to make any updates to get them closed, then work through that during the session to reach closure. All of these are pending verification so they should be easy enough to close out.

FFM #2, 7, 9,

HIOS – (2) high findings #54 and #55. Also address any items marked as pending verification 7,11, 29, 36, 57, 61, 62, 63, 64, 67, 68, 70, 72, 74, 75, 76, 77, 78, 79, 80, 82, 83, 84, 85, 87, 89, 102

SERTS - items marked as pending verification #20

MIDAS items marked as pending verification #65, #68

PaaS – (2) High Findings (refer to PaaS findings on HUB SCA (DSH)).

Regards,

Tom

Message

From: Shomo, Milton [tshomo@mitre.org]
Sent: 9/25/2013 2:09:19 PM
To: Mellor, Michael (CMS/OIS) [REDACTED] NotResp
CC: Fryer, Teresa M. (CMS/OIS) [REDACTED] NotResp; Toomey, Jacqueline S.(CMS/OIS) [REDACTED] NotResp
Subject: RE: FFM testing

Mike –

(b)(5)

Milton Shomo, CISSP
 Principal Information Systems Engineer
 MITRE Corp.
 Center for Connected Government
 (703) 983-2853 (O)
 (b)(6) (C)

From: Mellor, Michael (CMS/OIS) [mailto:Michael.Mellor@cms.hhs.gov]
Sent: Tuesday, September 24, 2013 4:48 PM
To: Shomo, Milton
Cc: Fryer, Teresa M. (CMS/OIS); Toomey, Jacqueline S.(CMS/OIS)
Subject: RE: FFM testing

(b)(5)

From: Mellor, Michael (CMS/OIS)
Sent: Tuesday, September 24, 2013 4:42 PM
To: Shomo, Milton (tshomo@mitre.org)
Cc: Fryer, Teresa M. (CMS/OIS); Toomey, Jacqueline S.(CMS/OIS)
Subject: FFM testing

Milton – Can you please send me the following? Need this ASAP please.

- Brief description of the FFM testing issues. (testing done in different environments, code not developed)
- What percentage of FFM (from a function / system model) was tested?
 - If you can list out specific components, that would be helpful
- What security controls were and were not tested?

Thanks.

This space intentionally left blank.

Message

From: Jones, Paul (CMS/CTR) [REDACTED] **NotResp**
Sent: 9/25/2013 6:57:07 PM
To: Fryer, Teresa M. (CMS/OIS) [REDACTED] **NotResp**
[REDACTED]; Ryan Brewer [rbrewer@grayscale.com]
CC: Cording, Kristina (CMS/OIS) [REDACTED] **NotResp**
Subject: RE: FW: Risk acceptance doc
Attachments: 130925 FFM identity proofing risk acceptance v3 (REDLINE).docx

[REDACTED]
(b)(5)

Erik Jones (Contractor)
CISO Security Team
Enterprise Information Security Group (EISG)
Centers for Medicare & Medicaid Services
703-393-2608 (voice)

[REDACTED] (b)(6) [REDACTED] (cell)

<http://www.cms.hhs.gov/informationsecurity/>
Contact the CISO Team CISO@cms.hhs.gov

DISCLAIMER:

THE INFORMATION CONTAINED IN THIS MESSAGE AND ANY FILE TRANSMITTED WITH IT IS INTENDED ONLY FOR THE USE OF THE INDIVIDUAL OR ENTITY TO WHICH IT IS ADDRESSED AND MAY CONTAIN INFORMATION THAT IS PRIVILEGED, CONFIDENTIAL, AND EXEMPT FROM DISCLOSURE. Any disclosure, distribution, copying or use of the information by anyone other than the intended recipient, regardless of address or routing, is strictly prohibited. If you have received this message in error, please advise the sender by immediate reply and delete the original message. Personal messages express views solely of the sender and are not attributable to the company.

From: Fryer, Teresa M. (CMS/OIS)
Sent: Wednesday, September 25, 2013 2:46 PM
To: Ryan Brewer
Cc: Jones, Paul (CMS/CTR); Cording, Kristina (CMS/OIS)
Subject: RE: FW: Risk acceptance doc

Ryan and Erik,

I hate to ask you this, but CCIIO has submitted an updated RA for this. Could you please review the attached RA to see if your identified risks associated with their approach still stand and update your document if needed. Then I will send this back to them with the below response. If possible, can I have this by noon on Friday?

Thanks,

Teresa

From: Ryan Brewer [mailto:rbrewer@grayscout.com]
Sent: Wednesday, September 18, 2013 3:00 PM
To: Fryer, Teresa M. (CMS/OIS)
Cc: Jones, Paul (CMS/CTR); Cording, Kristina (CMS/OIS)
Subject: Re: FW: Risk acceptance doc

Hi Teresa,

(b)(5)

On Tue, Sep 17, 2013 at 3:46 PM, Fryer, Teresa M. (CMS/OIS) <Teresa.Fryer@cms.hhs.gov> wrote:

Here is a risk acceptance sent by CClO for the manual method of remote identity proofing. Please review and provide your feedback, as well as our recommendation to Senior Leadership on accepting this level of risk. If possible, please have this back by COB tomorrow. If this is not possible, please let me know.

Thanks,

Teresa

From: Carter, Cathy T. (CMS/OIS)
Sent: Tuesday, September 17, 2013 1:14 PM
To: Trenkle, Tony (CMS/OIS); King, Terris (CMS/OIS); Fryer, Teresa M. (CMS/OIS)
Cc: Richardson, Marc D. (CMS/OIS); Gass, Carole F. (CMS/OIS)
Subject: FW: Risk acceptance doc

Here is what ccilio sent

From: Richardson, Marc D. (CMS/OIS)
Sent: Monday, September 16, 2013 11:32 PM
To: Carter, Cathy T. (CMS/OIS); Gass, Carole F. (CMS/OIS)
Subject: FW: Risk acceptance doc

From: Grothe, Kirk A. (CMS/OIS)
Sent: Monday, September 16, 2013 3:50 PM
To: Richardson, Marc D. (CMS/OIS)
Subject: FW: Risk acceptance doc

Fvi.

Kirk Grothe, PMP®

Deputy Group Director, Consumer Information and Insurance Systems Group

Office of Information Services

Centers for Medicare and Medicaid Services

Phone: (301) 492-4377

Mobile: [REDACTED] (b)(6)

kirk.grothe@cms.hhs.gov

INFORMATION NOT RELEASABLE TO THE PUBLIC UNLESS AUTHORIZED BY LAW: *This information has not been publicly disclosed and may be privileged and confidential. It is for internal government use only and must not be disseminated, distributed, or copied to persons not authorized to receive the information. Unauthorized disclosure may result in prosecution to the fullest extent of the law.*

From: Kerr, James T. (CMS/CMHPO)
Sent: Monday, September 16, 2013 12:13 PM
To: Chao, Henry (CMS/OIS)
Cc: Outerbridge, Monique (CMS/OIS); Grothe, Kirk A. (CMS/OIS); Lorenz, Samara A. (CMS/CCIIO)
Subject: FW: Risk acceptance doc

Henry, Please review and advise if this can be used to take the next step. Thanks, Jim

James T. Kerr

James T. Kerr, acting Deputy Director, Operations, CCIIO and Consortium Administrator CMHPO | Centers for Medicare and Medicaid Services | phone:301-492-4124

From: Walker, Benjamin L. (CMS/CCIIO)
Sent: Monday, September 16, 2013 11:33 AM
To: Kerr, James T. (CMS/CMHPO)
Cc: Deboy, Alissa M. (CMS/CCIIO); Roche, Jacqueline R. (CMS/CCIIO)
Subject: RE: Risk acceptance doc

Hi Jim,

See attached. Please let me know if you have any questions, or if you are comfortable with it.

Thanks,

Ben

From: Kerr, James T. (CMS/CMHPO)
Sent: Monday, September 16, 2013 5:39 AM
To: Walker, Benjamin L. (CMS/CCIIO)
Cc: Deboy, Alissa M. (CMS/CCIIO); Roche, Jacqueline R. (CMS/CCIIO)
Subject: RE: Risk acceptance doc

OK thx

James T. Kerr

James T. Kerr, acting Deputy Director, Operations, CCIIO and Consortium Administrator CMHPO | Centers for Medicare and Medicaid Services | phone:301-492-4124

From: Walker, Benjamin L. (CMS/CCIIO)
Sent: Sunday, September 15, 2013 11:56 PM
To: Kerr, James T. (CMS/CMHPO)
Cc: Deboy, Alissa M. (CMS/CCIIO); Roche, Jacqueline R. (CMS/CCIIO)
Subject: Risk acceptance doc

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Thanks,

Ben

--
C. Ryan Brewer GCIH, C|CISO, CISSP
Principal
GrayScout LLC
Tel/Ofc: +1 202-904-2220 | Mobile: (b)(6)

CMS INFORMATION SECURITY POLICY/STANDARD
RISK ACCEPTANCE

Component: Consumer Information and Insurance Oversight (CCIO)	System Name: Federally-Facilitated Marketplace (FFM)	Subsystem: N/A	Date: 9/17/2013
(b)(5)			

**CMS INFORMATION SECURITY POLICY/STANDARD
RISK ACCEPTANCE**

(b)(5)

**CMS INFORMATION SECURITY POLICY/STANDARD
RISK ACCEPTANCE**

(b)(5)

CMS INFORMATION SECURITY POLICY/STANDARD RISK ACCEPTANCE

(b)(5)

Endorsement of Risk Analysis Understanding and Acceptance

Concur Non-Concur See Comments

Business Owner & Title

Date:

Comments: _____

Concur Non-Concur See Comments

Date:

Comments: _____

Concur Non-Concur See Comments

Date:

Comments: _____

Concur Non-Concur See Comments

Date:

Comments:

Please note: If granted, this risk acceptance must be reviewed at least annually by the requesting component. Waivers must be renewed every three years or when significant changes which affect the system categorization, justification for noncompliance and/or compensating controls are made.

Message

From: King, Jason C. (CMS/OIS) [REDACTED] **NotResp**
Sent: 9/25/2013 7:09:08 PM
To: Fryer, Teresa M. (CMS/OIS) [REDACTED] **NotResp**
[REDACTED] **NotResp** [REDACTED] **NotResp**
[REDACTED] **NotResp** [REDACTED] **NotResp**
CC: Toomey, Jacqueline S.(CMS/OIS) [REDACTED] **NotResp**
[REDACTED] **NotResp** [REDACTED]
Subject: RE: Hub Security fact sheet

(b)(5)

Jason King
Division of Information Security Policy and Compliance (DISPC)
Enterprise Information Security Group (EISG)
Centers for Medicare & Medicaid Services
PH: (410) 786-7578
<http://www.cms.hhs.gov/informationsecurity/>
Contact EISG at CISO@cms.hhs.gov

From: Fryer, Teresa M. (CMS/OIS)
Sent: Wednesday, September 25, 2013 10:44 AM
To: King, Jason C. (CMS/OIS); Kim, Jane (CMS/OIS)

Cc: Toomey, Jacqueline S.(CMS/OIS)
Subject: FW: Hub Security fact sheet

Was FEPS tested and can we confirm that the files transfer process for OPM and peace corp is under the FEPS boundary now?

Thanks

Teresa

From: Linares, George E. (CMS/OIS)
Sent: Tuesday, September 10, 2013 12:15 PM
To: Trenkle, Tony (CMS/OIS); King, Terris (CMS/OIS); Chao, Henry (CMS/OIS)
Cc: Mellor, Michael (CMS/OIS); Fryer, Teresa M. (CMS/OIS); Outerbridge, Monique (CMS/OIS); Schankweiler, Thomas W. (CMS/OIS)
Subject: RE: Hub Security fact sheet

I just spoke to Henry and the OPM and Peace Corps files will be moved to be under FEPS (FFM).

Tom – we need include this file transfer process under the FFM boundary for the SCA

Thanks

George Linares
Acting Chief Technology Officer
Centers for Medicare & Medicaid Services (CMS)
410.786.2866  george.linares@cms.hhs.gov
7500 Security Blvd., N3-15-25
Baltimore, MD 21244-1850
Need more information? Visit [the OIS website](#).

From: Linares, George E. (CMS/OIS)
Sent: Tuesday, September 10, 2013 12:00 PM
To: Trenkle, Tony (CMS/OIS); King, Terris (CMS/OIS); Chao, Henry (CMS/OIS)
Cc: Mellor, Michael (CMS/OIS); Fryer, Teresa M. (CMS/OIS); Outerbridge, Monique (CMS/OIS)
Subject: FW: Hub Security fact sheet

(b)(5)

Thanks

George Linares
Acting Chief Technology Officer
Centers for Medicare & Medicaid Services (CMS)
410.786.2866  george.linares@cms.hhs.gov
7500 Security Blvd., N3-15-25
Baltimore, MD 21244-1850
Need more information? Visit [the OIS website](#).

From: Karlton Kim [<mailto:kkim@qssinc.com>]
Sent: Tuesday, September 10, 2013 11:55 AM
To: Mellor, Michael (CMS/OIS)
Cc: Linares, George E. (CMS/OIS); Fryer, Teresa M. (CMS/OIS)
Subject: Re: Hub Security fact sheet

I think it is a done deal for year one (although we could change if they were willing). I think it is more of an issue from their side.

--
Karlton Kim
Sr. Vice President of Program Delivery
10480 Little Patuxent Parkway, Suite 1100, Columbia MD 21044
Office (301) 977-7884 | mobile (b)(6)

From: <Mellor>, "Michael (CMS/OIS)" <Michael.Mellor@cms.hhs.gov>
Date: Tuesday, September 10, 2013 11:45 AM
To: Karlton Kim <kkim@qssinc.com>
Cc: "Linares, George E. (CMS/OIS)" <George.Linares@cms.hhs.gov>, "Fryer, Teresa M. (CMS/OIS)" <Teresa.Fryer@cms.hhs.gov>
Subject: Re: Hub Security fact sheet

Is that a work around (temporary) or a permanent solution?

Thanks

Sent from mobile device

On Sep 10, 2013, at 11:16 AM, "Karlton Kim" <kkim@qssinc.com> wrote:

(b)(5)

--
Karlton Kim
Sr. Vice President of Program Delivery
10480 Little Patuxent Parkway, Suite 1100, Columbia MD 21044
Office (301) 977-7884 | mobile (b)(6)

From: <Mellor>, "Michael (CMS/OIS)" <Michael.Mellor@cms.hhs.gov>
Date: Tuesday, September 10, 2013 11:12 AM
To: Karlton Kim <kkim@qssinc.com>
Subject: RE: Hub Security fact sheet

Where is peace corps mentioned? I missed that

From: Karlton Kim [mailto:kkim@qssinc.com]

Sent: Tuesday, September 10, 2013 10:55 AM

To: Chao, Henry (CMS/OIS); Schankweiler, Thomas W. (CMS/OIS); Linares, George E. (CMS/OIS); Mellor, Michael (CMS/OIS)

Subject: Re: Hub Security fact sheet

(b)(5)

--
Karlton Kim
Sr. Vice President of Program Delivery
10480 Little Patuxent Parkway, Suite 1100, Columbia MD 21044
Office (301) 977-7884 | mobile (b)(6)

From: <Chao>, "henry.chao@cms.hhs.gov" <henry.chao@cms.hhs.gov>

Date: Tuesday, September 10, 2013 10:43 AM

To: Thomas Schankweiler <thomas.schankweiler@cms.hhs.gov>, Karlton Kim <kkim@qssinc.com>, "Linares, George E. (CMS/OIS)" <George.Linares@cms.hhs.gov>, "Mellor, Michael (CMS/OIS)" <Michael.Mellor@cms.hhs.gov>

Subject: FW: Hub Security fact sheet

Please check to see if this is ok.

Henry Chao
Deputy CIO & Deputy Director,
Office of Information Services
Centers for Medicare & Medicaid Services
410-786-1800
301-492-4456

From: Cook, Brian T. (CMS/OC)

Sent: Tuesday, September 10, 2013 10:15 AM

To: Khalid, Aryana C. (CMS/OA); Bataille, Julie (CMS/OC); Aronson, Lauren (CMS/OL); Hash, Michael (HHS/OHR); Choe, Ken (HHS/OGC); Flamberg, Gemma (HHS/OGC); Sivak, Bryan (HHS/IOS); Cohen, Gary M. (CMS/CCIIO); Salcido, Dori (HHS/ASPA); Shields, Erin E. (OS/ASPA); Sye, Tait (OS/ASPA); Young, Jason (OS/ASPA); Levy, Fabien M. (OS/ASPA); Peters, Joanne (OS/ASPA); Kerr, James T. (CMS/CMHPO); Snyder, Michelle (CMS/OA); Trenkle, Tony (CMS/OIS); Chao, Henry (CMS/OIS); Outerbridge, Monique (CMS/OIS)

Cc: Jackson, Veronica (HHS/ASPA); Bradley, Tasha (CMS/OC); Unruh, Patti (CMS/OC); Strumolo, Adaline (CMS/CCIIO); Wilson, Lisa J. (CMS/CCIIO)

Subject: Hub Security fact sheet

FOR LIMITED, QUICK TURNAROUND CLEARANCE

Attached is a fact sheet pulled almost entirely from pre-cleared materials and the latest version of the Homeland Security Committee letter. Let me know if you have any questions on this. The goal would be to push this out tomorrow morning, ahead of the Committee hearing.

Your thoughts would be greatly appreciated – by 2 PM if possible. Happy to give more flexibility.

This space intentionally left blank.

Message

From: Toomey, Jacqueline S.(CMS/OIS) [REDACTED] **NotResp**
[REDACTED]
NotResp

Sent: 9/25/2013 6:36:31 PM

To: Fryer, Teresa M. (CMS/OIS) [REDACTED] **NotResp**
[REDACTED]
NotResp

Subject: Re: Wed POAM mtg

NO! But I worried about it!

Jacquie Toomey

Excuse the typos, sent from my blackberry.

From: Fryer, Teresa M. (CMS/OIS) □
Sent: Wednesday, September 25, 2013 02:35 PM
To: Toomey, Jacqueline S.(CMS/OIS)
Subject: RE: Wed POAM mtg

You didn't trip did you?

From: Toomey, Jacqueline S.(CMS/OIS)
Sent: Wednesday, September 25, 2013 2:34 PM
To: Fryer, Teresa M. (CMS/OIS)
Subject: Re: Wed POAM mtg

Done!

Jacquie Toomey

Excuse the typos, sent from my blackberry.

From: Toomey, Jacqueline S.(CMS/OIS)
Sent: Wednesday, September 25, 2013 02:12 PM
To: Fryer, Teresa M. (CMS/OIS)
Subject: Re: Wed POAM mtg

Don't worry about me! I'm afraid of who he's "blind copying" on his emails.

Jacquie Toomey

Excuse the typos, sent from my blackberry.

From: Fryer, Teresa M. (CMS/OIS)
Sent: Wednesday, September 25, 2013 02:11 PM
To: Toomey, Jacqueline S.(CMS/OIS)
Subject: RE: Wed POAM mtg

Sorry I couldn't be in there, it was full by the time I got out of my meeting ☺

From: Toomey, Jacqueline S.(CMS/OIS)
Sent: Wednesday, September 25, 2013 2:11 PM
To: Fryer, Teresa M. (CMS/OIS)
Subject: Re: Wed POAM mtg

Breathe....don't allow him to suck you in....

Jacquie Toomey

Excuse the typos, sent from my blackberry.

From: Fryer, Teresa M. (CMS/OIS)

Sent: Wednesday, September 25, 2013 02:05 PM

To: Toomey, Jacqueline S.(CMS/OIS); Young, Desmond C. (CMS/OIS); Mensah, James K. (CMS/OIS)

Subject: FW: Wed POAM mtg

FYI

From: Fryer, Teresa M. (CMS/OIS)

Sent: Wednesday, September 25, 2013 2:05 PM

To: Schankweiler, Thomas W. (CMS/OIS)

Subject: RE: Wed POAM mtg

(b)(5)

From: Schankweiler, Thomas W. (CMS/OIS)

Sent: Wednesday, September 25, 2013 2:03 PM

To: Fryer, Teresa M. (CMS/OIS)

Subject: Re: Wed POAM mtg

(b)(5)

From: Fryer, Teresa M. (CMS/OIS)

Sent: Wednesday, September 25, 2013 01:43 PM

To: Schankweiler, Thomas W. (CMS/OIS)

Cc: Linares, George E. (CMS/OIS)

Subject: RE: Wed POAM mtg

(b)(5)

From: Fryer, Teresa M. (CMS/OIS)

Sent: Wednesday, September 25, 2013 1:41 PM

To: Schankweiler, Thomas W. (CMS/OIS)

Cc: Linares, George E. (CMS/OIS)

Subject: RE: Wed POAM mtg

(b)(5)

From: Schankweiler, Thomas W. (CMS/OIS)
Sent: Wednesday, September 25, 2013 1:26 PM
To: Fryer, Teresa M. (CMS/OIS)
Subject: Fw: Wed POAM mtg

(b)(5)

Tom

From: Warren, Kevin (CMS/OIS)
Sent: Wednesday, September 25, 2013 12:53 PM
To: Schankweiler, Thomas W. (CMS/OIS)
Cc: Villar, Manuel (CMS/CTR); Lyles, Darrin V. (CMS/OIS); jpetserson@ccsin.com <jpetserson@ccsin.com>; Brackett, Stacie D. (CMS/CCIO); England-Gordon, John E. (CMS/OIS)
Subject: RE: Wed POAM mtg

Tom,

This is an update to the email below.

Based on our meeting with EISG this morning and the explicit guidance they provided with the new CFACTS-POAM process, it will be impossible to have the weaknesses/findings identified below moved from pending verification to a closed status today.

Both James and Desmond communicated that all ISSOs will only be responsible for addressing Low findings as it pertains to Component (CAP) Validation. Subsequently, the CISO/EISG will be responsible for validating each High, Moderate, Low and weakness and in turn, closing them out.

The weaknesses currently in Pending Verification (PV) as it relates to the new EISG POAM process effective August 31, 2013, includes the following:

1. Business owner, System Maintainer and contract support staff are responsible to ensure all milestones, resources and appropriate artifacts are submitted/updated in CFACTS; as it pertains to their respective weakness for the system.

2. Once the appropriate information for the weakness has been updated in CFACTS, EISG will receive notification to review the information.
3. Once EISG receives the notification, they will review and validate the weakness to ensure the corresponding information and artifacts have been provided.
4. If the information is sufficient to close the weakness, EISG will disseminate an e-mail indicating successful OCISO Validation. The content of the email will include information that states we have completed all of our milestones and provided the appropriate
5. If the information is not sufficient to close the weakness, EISG will disseminate an e-mail indicating Failed OCISO Validation and a report indicating the steps needed for remediation.

(b)(5)

As a result of us reviewing a good portion of the Pending Verification items in CFACTS with Desmond, we will continue to work with each Business owner, System Maintainer and contract support staff to educate them on the new EISG POAM process as well as the necessary information needed for successful closure of findings.

Kevin Warren | Centers for Medicare and Medicaid Services (CMS)| Office of Information Services (OIS)| Consumer Information and Insurance Systems Group (CIISG) | 7700 Wisconsin Ave (9380-A)| Bethesda, Maryland | 301.492.4381 (O)

From: Schankweiler, Thomas W. (CMS/OIS)
Sent: Monday, September 23, 2013 8:52 PM
To: Warren, Kevin (CMS/OIS)
Cc: Villar, Manuel (CMS/CTR); Lyles, Darrin V. (CMS/OIS)
Subject: Wed POAM mtg

Kevin,

Here is what I need you and Jason to review with Desmond and James. All of these should get closed out. If you need to make any updates to get them closed, then work through that during the session to reach closure. All of these are pending verification so they should be easy enough to close out.

FFM #2, 7, 9,

HIOS – (2) high findings #54 and #55. Also address any items marked as pending verification 7,11, 29, 36, 57, 61, 62, 63, 64, 67, 68, 70, 72, 74, 75, 76, 77, 78, 79, 80, 82, 83, 84, 85, 87, 89, 102

SERTS - items marked as pending verification #20

MIDAS items marked as pending verification #65, #68

PaaS – (2) High Findings (refer to PaaS findings on HUB SCA (DSH)).

Regards,

Tom

Message

From:	Toomey, Jacqueline S.(CMS/OIS)	NotResp	
		NotResp	
Sent:	9/25/2013 6:34:27 PM		
To:	Fryer, Teresa M. (CMS/OIS)	NotResp	
		NotResp	
Subject:	Re: Wed POAM mtg		

Done!

Jacquie Toomey
Excuse the typos, sent from my blackberry.

From: Toomey, Jacqueline S.(CMS/OIS)
Sent: Wednesday, September 25, 2013 02:12 PM
To: Fryer, Teresa M. (CMS/OIS)
Subject: Re: Wed POAM mtg

Don't worry about me! I'm afraid of who he's "blind copying" on his emails.
Jacquie Toomey
Excuse the typos, sent from my blackberry.

From: Fryer, Teresa M. (CMS/OIS)
Sent: Wednesday, September 25, 2013 02:11 PM
To: Toomey, Jacqueline S.(CMS/OIS)
Subject: RE: Wed POAM mtg

Sorry I couldn't be in there, it was full by the time I got out of my meeting ☺

From: Toomey, Jacqueline S.(CMS/OIS)
Sent: Wednesday, September 25, 2013 2:11 PM
To: Fryer, Teresa M. (CMS/OIS)
Subject: Re: Wed POAM mtg

Breathe....don't allow him to suck you in.....
Jacquie Toomey
Excuse the typos, sent from my blackberry.

From: Fryer, Teresa M. (CMS/OIS)
Sent: Wednesday, September 25, 2013 02:05 PM
To: Toomey, Jacqueline S.(CMS/OIS); Young, Desmond C. (CMS/OIS); Mensah, James K. (CMS/OIS)
Subject: FW: Wed POAM mtg

FYI

From: Fryer, Teresa M. (CMS/OIS)
Sent: Wednesday, September 25, 2013 2:05 PM
To: Schankweiler, Thomas W. (CMS/OIS)
Subject: RE: Wed POAM mtg

(b)(5)

From: Schankweiler, Thomas W. (CMS/OIS)
Sent: Wednesday, September 25, 2013 2:03 PM
To: Fryer, Teresa M. (CMS/OIS)
Subject: Re: Wed POAM mtg

(b)(5)

From: Fryer, Teresa M. (CMS/OIS)
Sent: Wednesday, September 25, 2013 01:43 PM
To: Schankweiler, Thomas W. (CMS/OIS)
Cc: Linares, George E. (CMS/OIS)
Subject: RE: Wed POAM mtg

(b)(5)

From: Fryer, Teresa M. (CMS/OIS)
Sent: Wednesday, September 25, 2013 1:41 PM
To: Schankweiler, Thomas W. (CMS/OIS)
Cc: Linares, George E. (CMS/OIS)
Subject: RE: Wed POAM mtg

(b)(5)

From: Schankweiler, Thomas W. (CMS/OIS)
Sent: Wednesday, September 25, 2013 1:26 PM
To: Fryer, Teresa M. (CMS/OIS)
Subject: Fw: Wed POAM mtg

(b)(5)

Tom

From: Warren, Kevin (CMS/OIS)

Sent: Wednesday, September 25, 2013 12:53 PM

To: Schankweiler, Thomas W. (CMS/OIS)

Cc: Villar, Manuel (CMS/CTR); Lyles, Darrin V. (CMS/OIS); jpeterson@ccsin.com <jpeterson@ccsin.com>; Brackett, Stacie D. (CMS/CCIO); England-Gordon, John E. (CMS/OIS)

Subject: RE: Wed POAM mtg

Tom,

This is an update to the email below.

Based on our meeting with EISG this morning and the explicit guidance they provided with the new CFACTS-POAM process, it will be impossible to have the weaknesses/findings identified below moved from pending verification to a closed status today.

Both James and Desmond communicated that all ISSOs will only be responsible for addressing Low findings as it pertains to Component (CAP) Validation. Subsequently, the CISO/EISG will be responsible for validating each High, Moderate, Low and weakness and in turn, closing them out.

The weaknesses currently in Pending Verification (PV) as it relates to the new EISG POAM process effective August 31, 2013, includes the following:

1. Business owner, System Maintainer and contract support staff are responsible to ensure all milestones, resources and appropriate artifacts are submitted/updated in CFACTS; as it pertains to their respective weakness for the system.
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3. Once EISG receives the notification, they will review and validate the weakness to ensure the corresponding information and artifacts have been provided.
4. If the information is sufficient to close the weakness, EISG will disseminate an e-mail indicating successful OCISO Validation. The content of the email will include information that states we have completed all of our milestones and provided the appropriate
5. If the information is not sufficient to close the weakness, EISG will disseminate an e-mail indicating Failed OCISO Validation and a report indicating the steps needed for remediation.

(b)(5)

(b)(5)

As a result of us reviewing a good portion of the Pending Verification items in CFACTS with Desmond, we will continue to work with each Business owner, System Maintainer and contract support staff to educate them on the new EISG POAM process as well as the necessary information needed for successful closure of findings.

Kevin Warren | Centers for Medicare and Medicaid Services (CMS) | Office of Information Services (OIS) | Consumer Information and Insurance Systems Group (CIISG) | 7700 Wisconsin Ave (9380-A) | Bethesda, Maryland | 301.492.4381 (O)

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SERTS - items marked as pending verification #20

MIDAS items marked as pending verification #65, #68

PaaS – (2) High Findings (refer to PaaS findings on HUB SCA (DSH)).

Regards,

Tom

Message

From: Toomey, Jacqueline S.(CMS/OIS) **NotResp**
[REDACTED]
NotResp

Sent: 9/25/2013 6:38:48 PM

To: Fryer, Teresa M. (CMS/OIS) **NotResp**
[REDACTED]
NotResp

Subject: Re: Wed POAM mtg

Giggling.....

Jacquie Toomey

Excuse the typos, sent from my blackberry.

From: Fryer, Teresa M. (CMS/OIS)
Sent: Wednesday, September 25, 2013 02:37 PM
To: Toomey, Jacqueline S.(CMS/OIS)
Subject: RE: Wed POAM mtg

When CIISG gets theirs, can you make a gagging sound for me?

From: Toomey, Jacqueline S.(CMS/OIS)
Sent: Wednesday, September 25, 2013 2:37 PM
To: Fryer, Teresa M. (CMS/OIS)
Subject: Re: Wed POAM mtg

NO! But I worried about it!

Jacquie Toomey

Excuse the typos, sent from my blackberry.

From: Fryer, Teresa M. (CMS/OIS)
Sent: Wednesday, September 25, 2013 02:35 PM
To: Toomey, Jacqueline S.(CMS/OIS)
Subject: RE: Wed POAM mtg

You didn't trip did you?

From: Toomey, Jacqueline S.(CMS/OIS)
Sent: Wednesday, September 25, 2013 2:34 PM
To: Fryer, Teresa M. (CMS/OIS)
Subject: Re: Wed POAM mtg

Done!

Jacquie Toomey

Excuse the typos, sent from my blackberry.

From: Toomey, Jacqueline S.(CMS/OIS)
Sent: Wednesday, September 25, 2013 02:12 PM
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(b)(5)

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(b)(5)

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Sent: Wednesday, September 25, 2013 1:26 PM

To: Fryer, Teresa M. (CMS/OIS)

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(b)(5)

Tom

From: Warren, Kevin (CMS/OIS)

Sent: Wednesday, September 25, 2013 12:53 PM

To: Schankweiler, Thomas W. (CMS/OIS)

Cc: Villar, Manuel (CMS/CTR); Lyles, Darrin V. (CMS/OIS); jpeterson@ccsin.com <jpeterson@ccsin.com>; Brackett, Stacie D. (CMS/CCIO); England-Gordon, John E. (CMS/OIS)

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(b)(5)

As a result of us reviewing a good portion of the Pending Verification items in CFACTS with Desmond, we will continue to work with each Business owner, System Maintainer and contract

(b)(5)

Kevin Warren | Centers for Medicare and Medicaid Services (CMS) | Office of Information Services (OIS) | Consumer Information and Insurance Systems Group (CIISG) | 7700 Wisconsin Ave (9380-A) | Bethesda, Maryland | 301.492.4381 (O)

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Cc: Villar, Manuel (CMS/CTR); Lyles, Darrin V. (CMS/OIS)
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SERTS - items marked as pending verification #20

MIDAS items marked as pending verification #65, #68

PaaS – (2) High Findings (refer to PaaS findings on HUB SCA (DSH)).

Regards,

Tom

Message

From: Couts, Todd (CMS/OIS) NotResp
Sent: 9/26/2013 2:14:28 PM NotResp
To: Trenkle, Tony (CMS/OIS) NotResp
CC: Rhones, Rhonda D. (CMS/OIS) NotResp; Linares, George E. (CMS/OIS) NotResp
Subject: RE: Defects NotResp
Outerbridge, Monique (CMS/OIS) NotResp
Grothe, Kirk A. (CMS/OIS) NotResp

Tony and George,

Here is the list we sent Marianne.

Todd Couts

Centers for Medicare & Medicaid Services
Office of Information Services
301-492-5139 (office) | (b)(6) (mobile) | todd.couts1@cms.hhs.gov
7700 Wisconsin Ave Bethesda MD 20814 | Location: 9308

From: Couts, Todd (CMS/OIS)
Sent: Wednesday, September 25, 2013 2:03 PM
To: Bowen, Marianne (CMS/OA); Outerbridge, Monique (CMS/OIS)
Cc: Rhones, Rhonda D. (CMS/OIS)
Subject: RE: Defects

Marianne,

Below, please find the defects and issues that we are focusing on for 10/1. This is "hot off the presses" based on the Issuer calls and an extensive walk through session yesterday with the CGI POD loads. There is a target build for either tonight or tomorrow night for those items that are still in development. We will keep you updated and also updated with when these are available for Issuer testing.

Description	Status
Custom enrollment group logic causing infinite loop	In CMS Testing
DOB should be updated to correctly update on the user interface	In CMS Testing
NotResp Adobe setup for notices	In CMS Testing
Comment out the Remove the Request full Medicaid determination button for tomorrow because of the following issues, which need to be addressed	In CMS Testing
834 Issue: Subscriber last (vs. 1st) in transaction (Subscriber and member data appearing in the wrong loop structure (2000 INS through the 2100A N4 member City State Zip)	In CMS Testing
834 Issue: Subscriber and member data appearing in the wrong loop structure (2000 INS through the 2100A N4 member City State Zip)	In CMS Testing
834 Issuer Missing APTC amount not appearing in the 2750 loop	In CMS Testing
834 Issue: Premium Amount 1 not appearing	In CMS Testing
834 Issue: Missing County Code and qualifier in the N4 segment	In CMS Testing

834 Issue: Missing Communication Numbers	In CMS Testing
834 Issue: Missing Race/Ethnicity	In CMS Testing
834 Issue: Missing Language Code	In CMS Testing
834 Issue: Missing Rating Area	In CMS Testing
834 Issue: Note on Items Fixed in NotRes : Inclusion of the dash '-' character in the zip code field (N4) segment	In CMS Testing
Agent / Broker landing page / login	In CMS Testing
Invalid APTC for direct enrollment	In CMS Testing
Allow for 9 Zip code	In CMS Testing
Date/Time stamp is not stored consistently through the application	In Development
Editing from review and sign section	In Development
Full Medicaid language on Eligibility Results	In Development
Trying to create a new application when saving and returning is treating as a new application	Development Complete
CSR not picking up annual income amount	In Development
Typo in after review results 'Choose' instead of 'Chose'	In Development
Ability to complete Eligibility Notices	In Development
The text informing the applicant they are a multi-tax household that must "wait until 12/01" to enroll incorrectly appears on the screen; this does not affect the actual enrollment	In Development
Attestation attributes not persistent in Individual App	In Development
834 Issue: InsuranceLineCode is 'HLT' (health) when the dental plan is enrolled	In CMS Testing
834 Issue: Payment transaction ID should only be for Subscriber and not any dependents	In CMS Testing
834 Issue: Issuer Name is listed as "Unavailable" and Tax ID is listed as "123456789"	In CMS Testing
834 Issue: Tobacco usage indicator not always matching Application (UI) data	In CMS Testing
834 Issue: Gender always displays "M"	In CMS Testing
834 Issue: Missing CSR amount not appearing in the 2750 loop	In CMS Testing
834 Issue: Missing member contact info on 834	In CMS Testing
Saving plans results is acting as if saved in the 'to do' list	In Development
Effective Date 12/31 instead of 1/1	In Development
Remainder of APTC only showing monthly amount instead of annual amount	In Development
Smoking premium – related to tobacco usage indicator on UI issue.	In Development
Pay Redirect SAML assertions	In Development
Data disappearing	Worked with TN BCBS and determined scenario involved Medicaid Individuals and data should not be appearing - Issue has been resolved
Submitted application not going to Plan Compare	Under Analysis
Identifiers – Member IDs. Differences between DE response and 834	Under Analysis
Did not find enrollment group	Under Analysis
Warning on unauthorized access did not work first time in Plan Compare	Under Analysis

Todd Couts

Centers for Medicare & Medicaid Services

Office of Information Services

301-492-5139 (office) (b)(6) mobile) | todd.couts1@cms.hhs.gov

7700 Wisconsin Ave Bethesda MD 20814 | Location: 9308

Message

From: Chao, Henry (CMS/OIS) **NotResp**
Sent: 9/27/2013 2:53:55 AM
To: Snyder, Michelle (CMS/OA) **NotResp**
 Kerr, James T. (CMS/CMHPO) **NotResp**
CC: Nelson, David J. (CMS/OEM) **NotResp**
 Wallace, Mary H. (CMS/OC) **NotResp**
 Taylor, Deborah A. (CMS/OFM) **NotResp**
Subject: Concerns on System Performance and Capacity
Importance: High

In trying to gauge where we may have some issues with capacity and performance **(b)(4)**

(b)(5)

Performance testing needs to continue in various environments so the jury is still out for now but by Saturday we will have better factual answers.

(b)(4)

Will have more information in the morning.

Henry Chao
 Deputy CIO & Deputy Director,
 Office of Information Services

Centers for Medicare & Medicaid Services
410-786-1800

Obtained via FOIA by Judicial Watch, Inc.

Message

From: Basavaraju, Venkat (CMS/OIS) NotResp
 NotResp

Sent: 9/26/2013 12:02:36 PM

To: Richardson, Marc D. (CMS/OIS) NotResp CMS - EIDM CMS Team NotResp
 NotResp

CC: Carter, Cathy T. (CMS/OIS) NotResp
 NotResp; Gass, Carole F. (CMS/OIS) NotResp
 NotResp Linares, George E. (CMS/OIS) NotResp
 NotResp

Subject: RE: [NotRe]Elasticity Plan | Infrastructure Request

CMS ONLY !!!!

I just want to bring couple of other items that we touched at the discussion (I did not document them as they are not elasticity related):

(b)(5)

-Venkat

From: Basavaraju, Venkat (CMS/OIS)
Sent: Wednesday, September 25, 2013 10:25 PM
To: Richardson, Marc D. (CMS/OIS); CMS - EIDM CMS Team
Cc: Carter, Cathy T. (CMS/OIS); Gass, Carole F. (CMS/OIS); Linares, George E. (CMS/OIS)
Subject: RE: [NotRe]Elasticity Plan | Infrastructure Request

(b)(4)

-Venkat

From: Richardson, Marc D. (CMS/OIS)
Sent: Wednesday, September 25, 2013 7:54 PM
To: Basavaraju, Venkat (CMS/OIS); CMS - EIDM CMS Team
Cc: Carter, Cathy T. (CMS/OIS); Gass, Carole F. (CMS/OIS); Linares, George E. (CMS/OIS)
Subject: Re: NotResElasticity Plan | Infrastructure Request

Venkat, thanks for making this happen. [REDACTED]

(b)(5)

(b)(5)

Regards,

Marc Richardson, PMP, Director
Centers for Medicare & Medicaid Services (CMS)
Office of Information Services (OIS)
410.786.0016

marc.richardson@cms.hhs.gov

(b)(6)

7500 Security Blvd.,
N3-17-07
Baltimore, MD 21244-1850

From: Basavaraju, Venkat (CMS/OIS)
Sent: Wednesday, September 25, 2013 07:44 PM
To: CMS - [RE] CMS Team; 'mfinkel@qssinc.com' (mfinkel@qssinc.com) <mfinkel@qssinc.com>; 'Ivan Vinogradov' <ivinogradov@qssinc.com>
Cc: Girish Shetty (gshetty@qssinc.com) <gshetty@qssinc.com>; Krishnamoorthi Ganesan <kganesan@qssinc.com>; Margush, Doug C. (CMS/OIS); Carter, Cathy T. (CMS/OIS); Gass, Carole F. (CMS/OIS); Linares, George E. (CMS/OIS)
Subject: FW: NotResElasticity Plan | Infrastructure Request

This afternoon we had a very good meeting with Doug/ QSSI (Mike/ Ivan/ James) / URS (Rich) and CMS [RE] NotRes (me). Below is the capture (Mike/ Ivan – Please chime in if I miss any):

[RE]
(b)(5)

-Venkat

From: Vignesh Srinivasan [<mailto:vsrinivasan@qssinc.com>]
Sent: Wednesday, September 25, 2013 3:15 PM
To: Margush, Doug C. (CMS/OIS); Basavaraju, Venkat (CMS/OIS); Schwarzkopf, Rich (Rich.Schwarzkopf@URS.com); Owings, Michael (Michael.Owings@URS.com)

Cc: Michael.Finkel; NotRes p Team_Leads
Subject: NotRes p Elasticity Plan | Infrastructure Request

Obtained via FOIA by Judicial Watch, Inc.

Rich/Michael,

Please assist in expediting the following requests...

(b)(5)

Doug/Venkat,

Please assist in fast tracking CMS Approval...

Thanks,

Vignesh Srinivasan, MS, CCNP, Security+, MCTS, Linux+

NotResp **Operations Lead**

131 Elden Street

Suite 200

Herndon, VA 20170

(O) 301-977-7884 x 789/337

(c) (b)(6)



This electronic mail (including any attachments) may contain information that is privileged, confidential, and/or otherwise protected from disclosure to anyone other than its intended recipient(s). Any dissemination or use of this electronic email or its contents (including any attachments) by persons other than the intended recipient(s) is strictly prohibited. If you have received this message in error, please notify the sender by reply email and delete the original message (including any attachments) in its entirety.

Message

From: Fletcher, John A. (CMS/OIS) NotResp
[REDACTED]
NotResp

Sent: 9/26/2013 9:02:33 PM

To: Nelson, David J. (CMS/OEM) NotResp
[REDACTED]
NotResp

CC: Linares, George E. (CMS/OIS) NotResp
[REDACTED]
NotResp

Subject: RE: Review Change and Release Management - Post 10/1

Dave -

[REDACTED]
(b)(5)

Situation fluid.

I hope this helps.

I will be with eGlobalTech team tomorrow morning in Columbia if you would like to come by and visit and meet the team. If not, please let me know when you would like to and we will make the arrangements.

Please advise.

Thanks...Jack

From: Nelson, David J. (CMS/OEM)
Sent: Thursday, September 26, 2013 2:32 PM
To: Fletcher, John A. (CMS/OIS)
Cc: Linares, George E. (CMS/OIS)
Subject: RE: Review Change and Release Management - Post 10/1

Thanks Jack - [REDACTED]
(b)(5)

From: Fletcher, John A. (CMS/OIS)
Sent: Thursday, September 26, 2013 11:22 AM
To: Nelson, David J. (CMS/OEM)
Cc: Linares, George E. (CMS/OIS)
Subject: FW: Review Change and Release Management - Post 10/1

Sorry, this is the right info. Being presented today. Jack

From: Couts, Todd (CMS/OIS)
Sent: Thursday, September 26, 2013 11:08 AM
To: Adkins, Laura J. (CMS/OIS); Cole, Reba R. (CMS/OIS); Gray, Brian (CMS/OIS); Donohoe, Paul X. (CMS/OIS); Ross, Cassandra (CMS/OIS); Fletcher, John A. (CMS/OIS)
Subject: RE: Review Change and Release Management - Post 10/1

Hi guys,

Sorry I did not get this to you first for a second round of your input. Just too many things to do for all of us.

<< File: ChangeControl.pptx >>

Todd Couts
Centers for Medicare & Medicaid Services
Office of Information Services
301-492-5139 (office) | (b)(6) mobile) | todd.couts1@cms.hhs.gov
7700 Wisconsin Ave Bethesda MD 20814 | Location: 9308

From: Couts, Todd (CMS/OIS)
Sent: Thursday, September 26, 2013 11:05 AM
To: Outerbridge, Monique (CMS/OIS); Quijada, Jose A. (CMS/OIS); Adkins, Laura J. (CMS/OIS); Cole, Reba R. (CMS/OIS); Gray, Brian (CMS/OIS); Donohoe, Paul X. (CMS/OIS); Ross, Cassandra (CMS/OIS); Fletcher, John A. (CMS/OIS); Trudel, Karen (CMS/OIS)
Subject: RE: Review Change and Release Management - Post 10/1

Here are the materials for today.

<< File: ChangeControl.pptx >>

Todd Couts
Centers for Medicare & Medicaid Services
Office of Information Services
301-492-5139 (office) | (b)(6) mobile) | todd.couts1@cms.hhs.gov
7700 Wisconsin Ave Bethesda MD 20814 | Location: 9308

From: Outerbridge, Monique (CMS/OIS)
Sent: Thursday, September 26, 2013 10:17 AM
To: Quijada, Jose A. (CMS/OIS); Couts, Todd (CMS/OIS); Adkins, Laura J. (CMS/OIS); Cole, Reba R. (CMS/OIS); Gray, Brian (CMS/OIS); Donohoe, Paul X. (CMS/OIS); Ross, Cassandra (CMS/OIS); Fletcher, John A. (CMS/OIS); Trudel, Karen

(CMS/OIS)

Subject: RE: Review Change and Release Management - Post 10/1

Are we still having this meeting? Just checking.

-----Original Appointment-----

From: Quijada, Jose A. (CMS/OIS)

Sent: Monday, September 23, 2013 8:48 AM

To: Quijada, Jose A. (CMS/OIS); Outerbridge, Monique (CMS/OIS); Couts, Todd (CMS/OIS); Adkins, Laura J. (CMS/OIS); Cole, Reba R. (CMS/OIS); Gray, Brian (CMS/OIS); Donohoe, Paul X. (CMS/OIS); Ross, Cassandra (CMS/OIS); Fletcher, John A. (CMS/OIS); Trudel, Karen (CMS/OIS)

Subject: Review Change and Release Management - Post 10/1

When: Thursday, September 26, 2013 11:00 AM-12:00 PM (UTC-05:00) Eastern Time (US & Canada).

Where: Dial in (b)(6)

Message

From: Richardson, Marc D. (CMS/OIS) NotResp
 [REDACTED] NotResp

Sent: 9/26/2013 10:33:56 AM

To: Carter, Cathy T. (CMS/OIS) NotResp
 [REDACTED] NotResp Gass, Carole F. (CMS/OIS) NotResp
 [REDACTED] NotResp Linares, George E. (CMS/OIS) NotResp
 [REDACTED] NotResp

Subject: RE: Weekly IDP Activity 21 September

Numbers are looking good. Overall we are at a cumulative 98.25 success rate including phone proofing by Experian.

(b)(5)

Normal Transactions

- ACC (Pass)-They passed online without a hitch.
- REF (Refer to Call Center)—Total of all referrals regardless of reason for referral
- RF2 (Refer to Call Center)—Referral for RF2 reason code, one of which is listed below as Cannot Generate Questions.
- R3A (Refer to Call center)—Old Code no longer in user [REDACTED] (b)(5)
 [REDACTED] (b)(5)
- Cannot Locate Consumer (RF2)—Experian cannot find them at all—Referral to call center
- SSN Required to Access Consumer's File—Still a little unclear myself on how this fits in with the others, but it would generate a call.

(b)(5)

From: Carter, Cathy T. (CMS/OIS)
Sent: Monday, September 23, 2013 3:43 PM
To: Richardson, Marc D. (CMS/OIS); Gass, Carole F. (CMS/OIS); Linares, George E. (CMS/OIS)
Subject: RE: Weekly IDP Activity 21 September

So these numbers look pretty good to me. is that how I should interpret them? how do you read them going down the column? (difference between the three kinds of referrals and do they all go to the Experian call center?)

From: Richardson, Marc D. (CMS/OIS)
Sent: Monday, September 23, 2013 2:17 PM
To: Carter, Cathy T. (CMS/OIS); Gass, Carole F. (CMS/OIS); Linares, George E. (CMS/OIS)
Subject: Fw: Weekly IDP Activity 21 September

Latest RIDP numbers

Regards,

Marc Richardson, PMP, Director

Centers for Medicare & Medicaid Services (CMS)

Office of Information Services (OIS)

410.786.0016

marc.richardson@cms.hhs.gov

(b)(6)

7500 Security Blvd.,

N3-17-07

Baltimore, MD 21244-1850

From: Vee, Christopher H. [mailto:CHRISTOPHER.VEE@saic.com]

Sent: Monday, September 23, 2013 02:05 PM

To: CMS [NotRes] CMS Team; Harry Sandhu <hsandhu4@csc.com>; Hamill, Linda F. (CMS/CCSQ); Liu, June H. <JUNE.H.LIU@saic.com>; Mansaray, Sharlene (CMS/OIS); Romeo, Tara <tara.romeo@experian.com>; Scott Bonney <bonneys@edaptivesys.com>; Seate, Deborah K.(CMS/OIS); Lantz, Tom (CMS/CCSQ); Basavaraju, Venkat (CMS/OIS)

Subject: Weekly IDP Activity 21 September

Good afternoon.

Please find below the Weekly ID Proofing Activity from 15 September through 21 September.

Remote Proofing										
	CMS Cumulative		CMS Total		NotResp		LoA 3		CCSQ FIM	
	21-May-13 to 22-Sep-13		15-Sep-13 to 21-Sep-13		1980101		1967646		1959780	
	Count	Perc enta ge	Count	Perc enta ge	Count	Perc enta ge	Count	Perc enta ge	Count	Perc enta ge
Normal Transactions										

ACC (Pass)	18,705	85.7 %	8,668	92.7 %	806	82%	7,841	94%	21	70%
REF (Refer to Call Center)	1,926	8.8%	185	2.0%	176	18%	-	0%	9	30%
RF2 (Refer to Call Center)	206	0.9%	66	0.7%	-	0%	66	1%	-	0%
R3A (Refer to Call center)	-	0.0%	-	0.0%	-	0%	-	0%	-	0%
Cannot Locate Consumer (RF2)	778	3.6%	358	3.8%	3	0%	355	4%	-	0%
SSN Required to Access Consumer's File	214	1.0%	69	0.7%	-	0%	69	1%	-	0%
Exceptions										
Deceased (9001 - RF4)	47	0.2%	15	0.2%	3	0%	12	0%	-	0%
Frozen Credit File (9013 - RF1)	229	1.0%	117	1.2%	7	1%	110	1%	-	0%
Reference										
KIQ Timeouts	255	1.1%	130	1.3%	8	1%	122	1%	-	0%
KIQ Abandons	437	1.9%	104	1.1%	23	2%	78	1%	3	9%
KIQ Use Limit Violation (RF3)	385	1.6%	28	0.3%	-	0%	28	0%	-	0%
Cannot Generate Questions (RF2)	10	0.0%	4	0.0%	4	0%	-	0%	-	0%
Consumer is a Minor	11	0.0%	-	0.0%	-	0%	-	0%	-	0%
Input Data Error	134	0.6%	55	0.6%	15	1%	40	0%	-	0%
Total										

	23,33 7	9,79 9	1,04 5	8,72 1	3 3
--	------------	-----------	-----------	-----------	--------

Experian Call Center

	CMS Cumulative		CMS Total		NotRes	LoA 3	NotResp	LoA 2	CCSQ FIM	
	21-May-13 to 22-Sep-13		15-Sep-13 to 21-Sep-13		1978382		2230160		1964970	
	Count	Perc enta ge	Count	Perc enta ge	Count	Perc enta ge	Count	Perc enta ge	Count	Perc enta ge
ACC (Pass)	1,90 8	88%	10 8	77.1 %	1 3	62%	9 0	81%	4	57%
REF (Refer to Manual processing)	26 5	12%	3 2	22.9 %	8	38%	2 1	19%	3	43%
Total	2,17 3		14 0		2 1		11 1		7	

Blended Pass Rate	98.25%	Not Available for report period			
-------------------	--------	---------------------------------	---------------------------------	---------------------------------	---------------------------------

KIQ Timeouts - instances where the user took more than 10 minutes to respond to Out of Wallet Questions

KIQ Abandons - instances where the user never submits responses to Out of Wallet questions

Input Data Error - Any consumer input related errors (i.e. address format, DOB entered incorrectly)

Christopher H. Vee | SAIC

Program Manager | Cybersecurity Solutions Operations
mobile [REDACTED] | Christopher.H.Vee@saic.com | saic.com
CISSP, PMP, CISA, CRISC, MCP

Science Applications International Corporation
6841 Benjamin Franklin Drive
Columbia, MD 21046

NATIONAL SECURITY | ENERGY & ENVIRONMENT | HEALTH | CYBERSECURITY

SAIC's national security business will soon be...



Portions of SAIC to be renamed Leidos, Inc., subject to stockholder approval and consummation of a separation transaction if approved by SAIC board of directors.

[Obtained via FOIA by Judicial Watch, Inc.](#)

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Message

From: Linares, George E. (CMS/OIS) NotResp
 NotResp

Sent: 9/26/2013 8:51:24 PM

To: Basavaraju, Venkat (CMS/OIS) NotResp
 NotResp; Richardson, Marc D. (CMS/OIS) NotResp
 NotResp CMS -NotRe CMS Team NotResp
 NotResp

CC: Carter, Cathy T. (CMS/OIS) NotResp
 NotResp Gass, Carole F. (CMS/OIS) NotResp
 NotResp

Subject: RE: NotRe Elasticity Plan | Infrastructure Request

I agree. If nothing else the current version NotResp should help bridge the gap that we currently have. We could keep a close eye on its performance to determine if it's best to move to its own VM, but that could be down the road. For now, we should pursue reusing what we already have in place.

Thanks

George Linares

Acting Chief Technology Officer
 Centers for Medicare & Medicaid Services (CMS)
 410.786.2866 george.linares@cms.hhs.gov
 7500 Security Blvd., N3-15-25
 Baltimore, MD 21244-1850
 Need more information? Visit [the OIS website](#).

From: Basavaraju, Venkat (CMS/OIS)
Sent: Thursday, September 26, 2013 8:03 AM
To: Richardson, Marc D. (CMS/OIS); CMS - EIDM CMS Team
Cc: Carter, Cathy T. (CMS/OIS); Gass, Carole F. (CMS/OIS); Linares, George E. (CMS/OIS)
Subject: RE: NotRe Elasticity Plan | Infrastructure Request

CMS ONLY !!!!

I just want to bring couple of other items that we touched at the discussion (I did not document them as they are not elasticity related):

(b)(5)

(b)(5)

-Venkat

From: Basavaraju, Venkat (CMS/OIS)
Sent: Wednesday, September 25, 2013 10:25 PM
To: Richardson, Marc D. (CMS/OIS); CMS - EIDM CMS Team
Cc: Carter, Cathy T. (CMS/OIS); Gass, Carole F. (CMS/OIS); Linares, George E. (CMS/OIS)
Subject: RE: [NotRe] Elasticity Plan | Infrastructure Request

(b)(4)

-Venkat

From: Richardson, Marc D. (CMS/OIS)
Sent: Wednesday, September 25, 2013 7:54 PM
To: Basavaraju, Venkat (CMS/OIS); CMS - EIDM CMS Team
Cc: Carter, Cathy T. (CMS/OIS); Gass, Carole F. (CMS/OIS); Linares, George E. (CMS/OIS)
Subject: Re: [NotRes] Elasticity Plan | Infrastructure Request

Venkat, thanks for making this happen.

(b)(5)

(b)(5)

Regards,

Marc Richardson, PMP, Director

Centers for Medicare & Medicaid Services (CMS)

Office of Information Services (OIS)

410.786.0016

marc.richardson@cms.hhs.gov

(b)(6)

7500 Security Blvd.,

N3-17-07

Baltimore, MD 21244-1850

From: Basavaraju, Venkat (CMS/OIS)
Sent: Wednesday, September 25, 2013 07:44 PM
To: CMS [NotRes] CMS Team; 'mfinkel@qssinc.com' (mfinkel@qssinc.com) <mfinkel@qssinc.com>; 'Ivan Vinogradov' <ivinogradov@qssinc.com>
Cc: Girish Shetty (gshetty@qssinc.com) <gshetty@qssinc.com>; Krishnamoorthi Ganesan <kganesan@qssinc.com>; Margush, Doug C. (CMS/OIS); Carter, Cathy T. (CMS/OIS); Gass, Carole F. (CMS/OIS); Linares, George E. (CMS/OIS)
Subject: FW [NotRe] Elasticity Plan | Infrastructure Request

This afternoon we had a very good meeting with Doug/ QSSI (Mike/ Ivan/ James) / URS (Rich) and CMS [NotResp] (me). Below is the capture (Mike/ Ivan – Please chime in if I miss any):

(b)(5)

-Venkat

From: Vignesh Srinivasan [mailto:vsrinivasan@qssinc.com]

Sent: Wednesday, September 25, 2013 3:15 PM

To: Margush, Doug C. (CMS/OIS); Basavaraju, Venkat (CMS/OIS); Schwarzkopf, Rich (Rich.Schwarzkopf@URS.com); Owings, Michael (Michael.Owings@URS.com)

Cc: Michael Finkel [NotResp] Team_Leads

Subject: [NotRes] Elasticity Plan | Infrastructure Request

Rich/Michael,

Please assist in expediting the following requests...

(b)(5)

Doug/Venkat,

Please assist in fast tracking CMS Approval...

Thanks,

Vignesh Srinivasan, MS, CCNP, Security+, MCTS, Linux+

[NotResp] Operations Lead

131 Elen Street

Suite 200

Herndon, VA 20170

(O) 301-977-7884 x 789/337

(c) [(b)(6)]



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Appointment

From: Zaman, Akhtar (CMS/OIS) NotResp
 NotResp

Sent: 9/26/2013 5:08:10 PM

To: Jim Jones [jjones_con@qssinc.com]; Linares, George E. (CMS/OIS) NotResp
 NotResp; Zaman, Akhtar (CMS/OIS) NotResp
 NotResp Venkatasubramanian, Ramesh (CGI Federal)
 [ramesh.venkatasubramanian@cgifederal.com]; Dill; Walter (CMS/OIS); 'Jim Jones'; Rishi Srivastav
 (rsrivastav@qssinc.com) [rsrivastav@qssinc.com]; Carlton Kim (kkim@qssinc.com) (kkim@qssinc.com)
 [kkim@qssinc.com]; Thomas Swoboda (tswoboda@qssinc.com) [tswoboda@qssinc.com]; Mike Battles; Deepak
 Bhatta (dbhatta@qssinc.com) [dbhatta@qssinc.com]; Vishal Khaparde (vkhaparde_con@qssinc.com)
 [vkhaparde_con@qssinc.com]; Jagadish Gangahnumaiah (jgangahnumaiah@qssinc.com)
 [jgangahnumaiah@qssinc.com]; 'Keith.Rubin@cgifederal.com'; Speights; Richard A. (CMS/OIS); Donohoe; Paul X.
 (CMS/OIS); Chaitanya Reddy (chreddy@qssinc.com) [chreddy@qssinc.com]; 'mike.battles@amentra.com'; Ramesh
 (CGI Federal); Sharma; 'Peter Um' (peter@t1cg.com) (peter@t1cg.com) [peter@t1cg.com]; Basavaraju; Venkat
 (CMS/OIS); Margush, Doug C. (CMS/OIS) NotResp
 NotResp Doug C. (CMS/OIS); Thurston; Robert (CMS/CTR); Walter;
 Stephen J. (CMS/OIS); Shao; Lijun (CMS/CPI); Oh; Mark U. (CMS/OIS); Fletcher; John A. (CMS/OIS); Patel; Ketan;
 Carter; Daniel; Lindenstruth, Gregory W. (CMS/OC) NotResp
 NotResp gregory; Ivan Vinogradov (ivinogradov@qssinc.com)
 [ivinogradov@qssinc.com]; Purcell; Timothy J. (CMS/OIS); Mansaray; Sharlene (CMS/OIS); Jayaprakash Thambusamy
 (jthambusamy@qssinc.com) (jthambusamy@qssinc.com) [jthambusamy@qssinc.com]; Girish Shetty
 (gshetty@qssinc.com) (gshetty@qssinc.com) [gshetty@qssinc.com]; Duraimurugan Govindasamy
 (dgovindasamy@qssinc.com) [dgovindasamy@qssinc.com]; Eranda Samarasinghe (esamarasinghe@qssinc.com)
 [esamarasinghe@qssinc.com]; Bavani Murari (bmurari@qssinc.com) [bmurari@qssinc.com]; Minze Chien
 (MChien@qssinc.com) [MChien@qssinc.com]; Dill, Walter (CMS/OIS) NotResp
 NotResp 'Jim Jones' [jjones_con@qssinc.com]; Mike Battles
 [mbattles_hix@qssinc.com]; Speights, Richard A. (CMS/OIS) NotResp
 NotResp Donohoe, Paul X. (CMS/OIS) NotResp
 NotResp Basavaraju, Venkat (CMS/OIS) NotResp
 NotResp Thurston, Robert (CMS/CTR) NotResp
 NotResp Walter, Stephen J. (CMS/OIS) NotResp
 NotResp Shao, Lijun
 (CMS/CPI) NotResp
 NotResp Oh, Mark U. (CMS/OIS) NotResp
 NotResp Fletcher, John A. (CMS/OIS) NotResp
 NotResp Patel, Ketan (CMS/OC) NotResp
 NotResp Carter, Daniel (CMS/OC) NotResp
 NotResp Purcell, Timothy J. (CMS/OIS) NotResp
 NotResp Mansaray, Sharlene
 (CMS/OIS) NotResp
 NotResp

CC: Sharma, Hemant (CGI Federal) [Hemant.Sharma@cgifederal.com]; Rubin, Keith (CGI Federal)
 [Keith.Rubin@cgifederal.com]

Subject: FW: 3-4pm tomorrow: Review PST results, Thursday (09/25)

Location: Conf line: (b)(6)

Start: 9/26/2013 7:00:00 PM

End: 9/26/2013 8:00:00 PM

Show Time As: Busy

-----Original Appointment-----

From: Jim Jones [mailto:jones_con@qssinc.com]

Sent: Wednesday, September 25, 2013 7:57 PM

To: Jim Jones; Zaman, Akhtar (CMS/OIS); Venkatasubramanian, Ramesh (CGI Federal); Dill; Walter (CMS/OIS); 'Jim Jones'; Rishi Srivastav (rsrivastav@qssinc.com); Karlton Kim (kkim@qssinc.com) (kkim@qssinc.com); Thomas Swoboda (tswoboda@qssinc.com); Mike Battles; Deepak Bhatta (dbhatta@qssinc.com); Vishal Khaparde (vkhaparde_con@qssinc.com); Jagadish Gangahanaumaiah (jgangahanaumaiah@qssinc.com); 'Keith.Rubin@cgifederal.com'; Speights; Richard A. (CMS/OIS); Donohoe; Paul X. (CMS/OIS); Chaitanya Reddy (chreddy@qssinc.com); 'mike.battles@amentra.com'; Ramesh (CGI Federal); Sharma; 'Peter Um' (peter@t1cg.com) (peter@t1cg.com); Basavaraju; Venkat (CMS/OIS); Margush, Doug C. (CMS/OIS); Doug C. (CMS/OIS); Thurston; Robert (CMS/CTR); Walter; Stephen J. (CMS/OIS); Shao; Lijun (CMS/CPI); Oh; Mark U. (CMS/OIS); Fletcher; John A. (CMS/OIS); Patel; Ketan; carter; daniel; Lindenstruth, Gregory W. (CMS/OC); gregory; Ivan Vinogradov (ivinogradov@qssinc.com); Purcell; Timothy J. (CMS/OIS); Mansaray; Sharlene (CMS/OIS); Jayaprakash Thambusamy (jthambusamy@qssinc.com) (jthambusamy@qssinc.com); Girish Shetty (gshetty@qssinc.com) (gshetty@qssinc.com); Duraimurugan Govindasamy (dgovindasamy@qssinc.com); Eranda Samarasinghe (esamarasinghe@qssinc.com); Bavani Murari (bmurari@qssinc.com); Minze Chien (MChien@qssinc.com); Dill, Walter (CMS/OIS); 'Jim Jones'; 'Mike Battles'; Speights, Richard A. (CMS/OIS); Donohoe, Paul X. (CMS/OIS); Basavaraju, Venkat (CMS/OIS); Thurston, Robert (CMS/CTR); Walter, Stephen J. (CMS/OIS); Shao, Lijun (CMS/CPI); Oh, Mark U. (CMS/OIS); Fletcher, John A. (CMS/OIS); Patel, Ketan (CMS/OC); Carter, Daniel (CMS/OC); Purcell, Timothy J. (CMS/OIS); Mansaray, Sharlene (CMS/OIS)

Cc: Sharma, Hemant (CGI Federal); Rubin, Keith (CGI Federal)

Subject: 3-4pm tomorrow: Review PST results, Thursday (09/25)

When: Thursday, September 26, 2013 3:00 PM-4:00 PM (UTC-05:00) Eastern Time (US & Canada).

Where: Conf line: (b)(6)

Meeting Details

Date: September 26, 2013

Time: 3:00 PM (GMT-05:00) Eastern Time (US & Canada); Indiana (East), Bogota, Lima, Quito

Duration: 1 hour

Attendee: NotResp

Password: NotResp

Conductor: qssi\jones_con

From: Zaman, Akhtar (CMS/OIS) [mailto:Akhtar.Zaman@cms.hhs.gov]

Sent: Wednesday, September 25, 2013 7:28 PM

To: Jim Jones; Venkatasubramanian, Ramesh (CGI Federal)

Cc: Sharma, Hemant (CGI Federal); Rubin, Keith (CGI Federal)

Subject: RE: 3-4pm tomorrow: Review PST results, Thursday (09/25) at 1pm

There will be other folks but these are the key people for that discussion. I would prefer you initiate it as you did earlier thru webinar. In that way you could share your testing results and give the control to Ramesh & others for sharing any of their metrics. By the way are you planning to be at Herndon tomorrow?

From: Jim Jones [mailto:jones_con@qssinc.com]

Sent: Wednesday, September 25, 2013 7:16 PM

To: Zaman, Akhtar (CMS/OIS); Venkatasubramanian, Ramesh (CGI Federal)

Cc: Sharma, Hemant (CGI Federal); Rubin, Keith (CGI Federal)

Subject: RE: 3-4pm tomorrow: Review PST results, Thursday (09/25) at 1pm

Sure Akhtar.

I need little more guidance. Is this just with the folks on this email? I can provide an on-line meeting and dial-in if you want to do it that way. If you want to hold this at CGI, we should have one of them set it up so they can arrange for a room.

Jim

From: Zaman, Akhtar (CMS/OIS) [mailto:Akhtar.Zaman@cms.hhs.gov]
Sent: Wednesday, September 25, 2013 7:07 PM
To: Venkatasubramanian, Ramesh (CGI Federal)
Cc: Jim Jones; Sharma, Hemant (CGI Federal); Rubin, Keith (CGI Federal)
Subject: 3-4pm tomorrow: Review PST results, Thursday (09/25) at 1pm

Sure, thx.

Jim -- Would you pls set-up the discussion between 3-4pm tomorrow. Thx.

From: Venkatasubramanian, Ramesh (CGI Federal) [mailto:ramesh.venkatasubramanian@cgifederal.com]
Sent: Wednesday, September 25, 2013 5:46 PM
To: Zaman, Akhtar (CMS/OIS)
Cc: Jim Jones (jjones_con@qssinc.com); Sharma, Hemant (CGI Federal); Rubin, Keith (CGI Federal)
Subject: RE: Review PST results, Thursday (09/25) at 1pm

Can we do 3 PM?

From: Zaman, Akhtar (CMS/OIS) [mailto:Akhtar.Zaman@cms.hhs.gov]
Sent: Wednesday, September 25, 2013 3:51 PM
To: Venkatasubramanian, Ramesh (CGI Federal)
Cc: Jim Jones (jjones_con@qssinc.com); Sharma, Hemant (CGI Federal); Rubin, Keith (CGI Federal)
Subject: RE: Review PST results, Thursday (09/25) at 1pm

Sure, you're the key person for that discussion, pls let us know what time you prefer?

From: Venkatasubramanian, Ramesh (CGI Federal) [mailto:ramesh.venkatasubramanian@cgifederal.com]
Sent: Wednesday, September 25, 2013 3:10 PM
To: Zaman, Akhtar (CMS/OIS)
Cc: Jim Jones (jjones_con@qssinc.com); Sharma, Hemant (CGI Federal); Rubin, Keith (CGI Federal)
Subject: RE: Review PST results, Thursday (09/25) at 1pm

Akhtar,

I [REDACTED] (b)(6) will not be able to attend the call if it is at 1 PM. Can we schedule it for another time?

Thanks,
Ramesh

From: Zaman, Akhtar (CMS/OIS) [mailto:Akhtar.Zaman@cms.hhs.gov]
Sent: Wednesday, September 25, 2013 2:17 PM
To: Dill, Walter (CMS/OIS); 'Jim Jones'; Rishi Srivastav; Karlton Kim; Thomas Swoboda; Mike Battles; Deepak Bhatta; Vishal Khaparde; Jagadish Gangahanumaiah; 'Keith.Rubin@cgifederal.com'; Speights, Richard A. (CMS/OIS); Donohoe, Paul X. (CMS/OIS); Chaitanya Reddy; 'mike.battles@amentra.com'; Venkatasubramanian, Ramesh (CGI Federal); Sharma, Hemant (CGI Federal); 'Peter Um' (peter@t1cg.com) (peter@t1cg.com); Basavaraju, Venkat (CMS/OIS); Margush, Doug C. (CMS/OIS); Thurston, Robert (CMS/CTR); Walter, Stephen J. (CMS/OIS); Shao, Lijun (CMS/CPI); Oh, Mark U. (CMS/OIS); Fletcher, John A. (CMS/OIS); Patel, Ketan; carter, daniel; lindenstruth, gregory; Ivan Vinogradov
Cc: Purcell, Timothy J. (CMS/OIS); Mansaray, Sharlene (CMS/OIS); Jayaprakash Thambusamy; Girish Shetty;

Duraimurugan Govindasamy; Eranda Samarasinghe; Bavani Murari; Minze Chien

Subject: Review PST results, Thursday (09/25) at 1pm

Hi All,

Let's have a meeting tomorrow (09/26) noon to review the recent Performance & Stress Testing (PST) results along with the performance metrics collected and shared by TRMRK & others. We need to summarize what has been achieved so far and what not and the strategy to continue PST in one of our Implementation environment. Also what's our takeaway from the PST towards the production configuration for 10/01. Pls feel bring to bring any other related topics.

Jim – Pls set up a 1hr meeting tomorrow around 1pm. I believe quite a few of us will be at Herndon tomorrow but pls set-up a webinar session. Thx,

Akhtar

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Message

From: Trenkle, Tony (CMS/OIS) **NotResp**
 [REDACTED]
Sent: 9/26/2013 6:24:25 PM
To: Linares, George E. (CMS/OIS) **NotResp**
 [REDACTED]
Subject: RE: Important: For Action Today - ATC letters

I signed the ATC not an ISA. Shouldn't that language be changed?

From: Linares, George E. (CMS/OIS)
Sent: Thursday, September 26, 2013 2:17 PM
To: Trenkle, Tony (CMS/OIS)
Subject: FW: Important: For Action Today - ATC letters

These are the two boiler plate letters that need to be sent tonight out to the states. Please provide comments. CIISG wants to use these by tomorrow

George Linares
Acting Chief Technology Officer
 Centers for Medicare & Medicaid Services (CMS)
 410.786.2866 george.linares@cms.hhs.gov
 7500 Security Blvd., N3-15-25
 Baltimore, MD 21244-1850
 Need more information? Visit [the OIS website](#).

From: Schankweiler, Thomas W. (CMS/OIS)
Sent: Thursday, September 26, 2013 10:40 AM
To: Linares, George E. (CMS/OIS)
Subject: RE: Important: For Action Today - ATC letters

See attached for update

From: Linares, George E. (CMS/OIS)
Sent: Thursday, September 26, 2013 10:31 AM
To: Schankweiler, Thomas W. (CMS/OIS)
Subject: Re: Important: For Action Today - ATC letters

Yes please, Make it 60 days so it matches the decision memo. Thanks

George Linares

Office of Information Services
 Centers for Medicare & Medicaid Services
 ----- Sent using BlackBerry -----

From: Schankweiler, Thomas W. (CMS/OIS)
Sent: Thursday, September 26, 2013 10:29 AM
To: Linares, George E. (CMS/OIS)
Subject: RE: Important: For Action Today - ATC letters

It can be 60 days.

From: Linares, George E. (CMS/OIS)
Sent: Thursday, September 26, 2013 10:28 AM
To: Schankweiler, Thomas W. (CMS/OIS)
Subject: Re: Important: For Action Today - ATC letters

Tom,

(b)(5)

Thanks

Sent from my iPad

On Sep 26, 2013, at 9:34 AM, "Schankweiler, Thomas W. (CMS/OIS)" <thomas.schankweiler@cms.hhs.gov> wrote:
George,

Please see attached.

(b)(5)

Alabama	AL
Alaska	AK
Arkansas	AR
California	CA
Colorado (CBMS)	CO
Colorado (C4HCO)	CO
District of Columbia	DC
Florida	FL
Hawai'i	HI

Hawai'i	HI
Idaho	ID
Illinois	IL
Indiana	IN
Iowa	IA
Michigan	MI
Minnesota	MN
Mississippi	MS
Missouri	MO
Montana	MT
Nebraska	NE
Nevada	NV
New Hampshire	NH
New Mexico	NM
North Dakota	ND
Ohio	OH
Oklahoma	OK
Oregon	OR
Pennsylvania	PA
South Carolina	SC
South Dakota	SD
Utah	UT
Virginia	VA
West Virginia	WV
Wisconsin	WI
Wyoming	WY

(b)(5)

Message

From: Couts, Todd (CMS/OIS) [REDACTED] **NotResp** [REDACTED]
Sent: 9/26/2013 2:14:28 PM [REDACTED]
To: Trenkle, Tony (CMS/OIS) [REDACTED] **NotResp** [REDACTED]
CC: Rhones, Rhonda D. (CMS/OIS) [REDACTED] **NotResp** [REDACTED]
Subject: RE: Defects [REDACTED]
Flag: Follow up [REDACTED]

Tony and George,

Here is the list we sent Marianne.

Todd Couts

Centers for Medicare & Medicaid Services
 Office of Information Services
 301-492-5139 (office) | [REDACTED] (b)(6) mobile) | todd.couts1@cms.hhs.gov
 7700 Wisconsin Ave Bethesda MD 20814 | Location: 9308

From: Couts, Todd (CMS/OIS)
Sent: Wednesday, September 25, 2013 2:03 PM
To: Bowen, Marianne (CMS/OA); Outerbridge, Monique (CMS/OIS)
Cc: Rhones, Rhonda D. (CMS/OIS)
Subject: RE: Defects

Marianne,

Below, please find the defects and issues that we are focusing on for 10/1. This is "hot off the presses" based on the Issuer calls and an extensive walk through session yesterday with the CGI POD loads. There is a target build for either tonight or tomorrow night for those items that are still in development. We will keep you updated and also updated with when these are available for Issuer testing.

Description	Status
Custom enrollment group logic causing infinite loop	In CMS Testing
DOB should be updated to correctly update on the user interface	In CMS Testing
NotRes Adobe setup for notices	In CMS Testing
Comment out the Remove the Request full Medicaid determination button for tomorrow because of the following issues, which need to be addressed	In CMS Testing
834 Issue: Subscriber last (vs. 1st) in transaction (Subscriber and member data appearing in the wrong loop structure (2000 INS through the 2100A N4 member City State Zip)	In CMS Testing
834 Issue: Subscriber and member data appearing in the wrong loop structure (2000 INS through the 2100A N4 member City State Zip)	In CMS Testing
834 Issuer Missing APTC amount not appearing in the 2750 loop	In CMS Testing
834 Issue: Premium Amount 1 not appearing	In CMS Testing

834 Issue: Missing County Code and qualifier in the N4 segment	In CMS Testing
834 Issue: Missing Communication Numbers	In CMS Testing
834 Issue: Missing Race/Ethnicity	In CMS Testing
834 Issue: Missing Language Code	In CMS Testing
834 Issue: Missing Rating Area	In CMS Testing
834 Issue: Note on Items Fixed in NotRes Inclusion of the dash '-' character in the zip code field (N4) segment	In CMS Testing
Agent / Broker landing page / login	In CMS Testing
Invalid APTC for direct enrollment	In CMS Testing
Allow for 9 Zip code	In CMS Testing
Date/Time stamp is not stored consistently through the application	In Development
Editing from review and sign section	In Development
Full Medicaid language on Eligibility Results	In Development
Trying to create a new application when saving and returning is treating as a new application	Development Complete
CSR not picking up annual income amount	In Development
Typo in after review results 'Choose' instead of 'Chose'	In Development
Ability to complete Eligibility Notices	In Development
The text informing the applicant they are a multi-tax household that must "wait until 12/01" to enroll incorrectly appears on the screen; this does not affect the actual enrollment	In Development
Attestation attributes not persistent in Individual App	In Development
834 Issue: InsuranceLineCode is 'HLT' (health) when the dental plan is enrolled	In CMS Testing
834 Issue: Payment transaction ID should only be for Subscriber and not any dependents	In CMS Testing
834 Issue: Issuer Name is listed as "Unavailable" and Tax ID is listed as "123456789"	In CMS Testing
834 Issue: Tobacco usage indicator not always matching Application (UI) data	In CMS Testing
834 Issue: Gender always displays "M"	In CMS Testing
834 Issue: Missing CSR amount not appearing in the 2750 loop	In CMS Testing
834 Issue: Missing member contact info on 834	In CMS Testing
Saving plans results is acting as if saved in the 'to do' list	In Development
Effective Date 12/31 instead of 1/1	In Development
Remainder of APTC only showing monthly amount instead of annual amount	In Development
Smoking premium – related to tobacco usage indicator on UI issue.	In Development
Pay Redirect SAML assertions	In Development
Data disappearing	Worked with TN BCBS and determined scenario involved Medicaid Individuals and data should not be appearing - Issue has been resolved
Submitted application not going to Plan Compare	Under Analysis
Identifiers – Member IDs. Differences between DE response and 834	Under Analysis
Did not find enrollment group	Under Analysis
Warning on unauthorized access did not work first time in Plan Compare	Under Analysis

Todd Couts
 Centers for Medicare & Medicaid Services
 Office of Information Services
 301-492-5139 (office) | (b)(6) (mobile) | todd.couts1@cms.hhs.gov
 7700 Wisconsin Ave Bethesda MD 20814 | Location: 9308

Message

From: Mundy, Gordon D. (CMS/OIS) NotResp
 [REDACTED]
 NotResp

Sent: 9/26/2013 8:28:18 PM
To: Donohoe, Paul X. (CMS/OIS) NotResp
 [REDACTED]
 NotResp Outerbridge, Monique (CMS/OIS) NotResp
 [REDACTED]
 NotResp Speights, Richard A. (CMS/OIS) NotResp
 [REDACTED]
 NotResp
 Stevenson, Corey B. (CMS/OIS) NotResp
 [REDACTED]
 NotResp

CC: Zaman, Akhtar (CMS/OIS) NotResp
 [REDACTED]
 Linares, George E. (CMS/OIS) NotResp
 [REDACTED]
 NotResp Grothe, Kirk A. (CMS/OIS) NotResp
 [REDACTED]
 NotResp Thompson, William L.
 [REDACTED]
 (CMS/OIS) NotResp
 [REDACTED]
 NotResp Boswell, Brenda M. (CMS/CTR) NotResp
 [REDACTED]
 NotResp ; Johnson, Din K (CMS/CTR) NotResp
 [REDACTED]
 NotResp

Subject: RE: IRS Prod Support and SSA Integration

The ETC knows you have top priority. Let them know what you need

From: Donohoe, Paul X. (CMS/OIS)
Sent: Thursday, September 26, 2013 3:59 PM
To: Outerbridge, Monique (CMS/OIS); Speights, Richard A. (CMS/OIS); Mundy, Gordon D. (CMS/OIS); Stevenson, Corey B. (CMS/OIS)
Cc: Zaman, Akhtar (CMS/OIS); Linares, George E. (CMS/OIS); Grothe, Kirk A. (CMS/OIS)
Subject: RE: IRS Prod Support and SSA Integration

Hello,

[REDACTED] (b)(5)

Thanks,

Paul Donohoe

410-786-6344
 Senior Technical Advisor, CHSG, OIS

From: Donohoe, Paul X. (CMS/OIS)
Sent: Thursday, September 26, 2013 3:56 PM
To: Outerbridge, Monique (CMS/OIS); Speights, Richard A. (CMS/OIS)
Cc: Zaman, Akhtar (CMS/OIS); Linares, George E. (CMS/OIS); Grothe, Kirk A. (CMS/OIS)
Subject: RE: IRS Prod Support and SSA Integration
Importance: High

Agree totally,

(b)(5)

Please advise.

Paul Donohoe

410-786-6344

Senior Technical Advisor, CHSG, OIS

From: Outerbridge, Monique (CMS/OIS)

Sent: Thursday, September 26, 2013 3:38 PM

To: Donohoe, Paul X. (CMS/OIS); Speights, Richard A. (CMS/OIS)

Cc: Zaman, Akhtar (CMS/OIS); Linares, George E. (CMS/OIS); Grothe, Kirk A. (CMS/OIS)

Subject: Re: IRS Prod Support and SSA Integration

What new time frame? You guys need to run changes to the Fed Partner schedule by me. I catch you know what from them when you keep asking for exceptions to what was previously agreed to.

Monique Outerbridge
Director
Consumer Information and Insurance Systems Group
Office of Information Services, CMS
301-492-4376
410-786-5308 (Alt. Office)

From: Donohoe, Paul X. (CMS/OIS)

Sent: Thursday, September 26, 2013 03:33 PM

To: Speights, Richard A. (CMS/OIS)

Cc: Zaman, Akhtar (CMS/OIS); Linares, George E. (CMS/OIS); Outerbridge, Monique (CMS/OIS); Grothe, Kirk A. (CMS/OIS)

Subject: IRS Prod Support and SSA Integration

Richard,

CMS leadership directed us to keep the PST moving.

Can you reach out ASAP to both SSA and IRS to see if we can keep testing?

If you want, I or Akhtar can join with you.

Thanks,

Paul Donohoe

410-786-6344

Senior Technical Advisor, CIISG, OIS

Message

From: Mellor, Michael (CMS/OIS) NotResp
NotResp
Sent: 9/26/2013 9:52:08 PM
To: Fryer, Teresa M. (CMS/OIS) NotResp
NotResp
Subject: Re: Marketplace and States Decision Memo outlines_JKahn.docx

Will do

From: Fryer, Teresa M. (CMS/OIS)
Sent: Thursday, September 26, 2013 05:29 PM
To: Mellor, Michael (CMS/OIS)
Subject: FW: Marketplace and States Decision Memo outlines_JKahn.docx

Please pass to Ryan.

From: Fryer, Teresa M. (CMS/OIS)
Sent: Thursday, September 26, 2013 5:26 PM
To: England-Gordon, John E. (CMS/OIS)
Subject: Re: Marketplace and States Decision Memo outlines_JKahn.docx

You can leave them outside my office on the cabinet.

Thanks,

Teresa

From: England-Gordon, John E. (CMS/OIS)
Sent: Thursday, September 26, 2013 02:26 PM
To: Fryer, Teresa M. (CMS/OIS)
Subject: RE: Marketplace and States Decision Memo outlines_JKahn.docx

Where would you like us to drop the packages on Saturday? There should be between 6 and 11.

We can also leave them on Tom's table for pickup after 5pm on Saturday if that works. Please let know.

Regards,
John England-Gordon



From: Fryer, Teresa M. (CMS/OIS)
Sent: Wednesday, September 25, 2013 12:16 PM
To: Schankweiler, Thomas W. (CMS/OIS); England-Gordon, John E. (CMS/OIS); Schmidt, Donna W. (CMS/OIS);

Ambrosini, Ellen M. (CMS/OEM); Linares, George E. (CMS/OIS); Franey, Maribel R. (CMS/OEM)

Cc: Cooper, Dennis (CMS/CTR); Davis, Angela (CMS/OIS); Schmidt, Donna W. (CMS/OIS); Grothe, Kirk A. (CMS/OIS);

Outerbridge, Monique (CMS/OIS)

Subject: RE: Marketplace and States Decision Memo outlines_JKahn.docx

When packages are dropped off, please send an email to myself, Mike Mellor and Ryan Brewer (Charles@brewer2@cms.hhs.gov).

From: Schankweiler, Thomas W. (CMS/OIS)

Sent: Wednesday, September 25, 2013 12:13 PM

To: Fryer, Teresa M. (CMS/OIS); England-Gordon, John E. (CMS/OIS); Schmidt, Donna W. (CMS/OIS); Ambrosini, Ellen M. (CMS/OEM); Linares, George E. (CMS/OIS); Franey, Maribel R. (CMS/OEM)

Cc: Cooper, Dennis (CMS/CTR); Davis, Angela (CMS/OIS); Schmidt, Donna W. (CMS/OIS); Grothe, Kirk A. (CMS/OIS); Outerbridge, Monique (CMS/OIS)

Subject: RE: Marketplace and States Decision Memo outlines_JKahn.docx

We will be at the Baltimore location assembling package. You are welcome to have folks come in to be a part of it. Invite to follow sometime today. I hope you can have someone attend.

Tom

From: Fryer, Teresa M. (CMS/OIS)

Sent: Wednesday, September 25, 2013 12:11 PM

To: Schankweiler, Thomas W. (CMS/OIS); England-Gordon, John E. (CMS/OIS); Schmidt, Donna W. (CMS/OIS); Ambrosini, Ellen M. (CMS/OEM); Linares, George E. (CMS/OIS); Franey, Maribel R. (CMS/OEM)

Cc: Cooper, Dennis (CMS/CTR); Davis, Angela (CMS/OIS); Schmidt, Donna W. (CMS/OIS); Grothe, Kirk A. (CMS/OIS); Outerbridge, Monique (CMS/OIS)

Subject: RE: Marketplace and States Decision Memo outlines_JKahn.docx

Just saying, we will need some time to review as well. Will there be some notification of package drop off or do you expect someone to be in the office 24/7?

From: Schankweiler, Thomas W. (CMS/OIS)

Sent: Wednesday, September 25, 2013 12:09 PM

To: Fryer, Teresa M. (CMS/OIS); England-Gordon, John E. (CMS/OIS); Schmidt, Donna W. (CMS/OIS); Ambrosini, Ellen M. (CMS/OEM); Linares, George E. (CMS/OIS); Franey, Maribel R. (CMS/OEM)

Cc: Cooper, Dennis (CMS/CTR); Davis, Angela (CMS/OIS); Schmidt, Donna W. (CMS/OIS); Grothe, Kirk A. (CMS/OIS); Outerbridge, Monique (CMS/OIS)

Subject: RE: Marketplace and States Decision Memo outlines_JKahn.docx

That is likely what will happen is packages will be coming in on Saturday and Sunday.

From: Fryer, Teresa M. (CMS/OIS)

Sent: Wednesday, September 25, 2013 12:06 PM

To: Schankweiler, Thomas W. (CMS/OIS); England-Gordon, John E. (CMS/OIS); Schmidt, Donna W. (CMS/OIS); Ambrosini, Ellen M. (CMS/OEM); Linares, George E. (CMS/OIS); Franey, Maribel R. (CMS/OEM)

Cc: Cooper, Dennis (CMS/CTR); Davis, Angela (CMS/OIS); Schmidt, Donna W. (CMS/OIS); Grothe, Kirk A. (CMS/OIS); Outerbridge, Monique (CMS/OIS)

Subject: RE: Marketplace and States Decision Memo outlines_JKahn.docx

We have contractor support available over the weekend, however, if Tony wants the packages delivered to him by Monday, EISG cannot be receiving them Sunday night or Monday for review.

From: Schankweiler, Thomas W. (CMS/OIS)
Sent: Wednesday, September 25, 2013 11:29 AM
To: England-Gordon, John E. (CMS/OIS); Schmidt, Donna W. (CMS/OIS); Fryer, Teresa M. (CMS/OIS); Ambrosini, Ellen M. (CMS/OEM); Linares, George E. (CMS/OIS); Franey, Maribel R. (CMS/OEM)
Cc: Cooper, Dennis (CMS/CTR); Davis, Angela (CMS/OIS); Schmidt, Donna W. (CMS/OIS); Grothe, Kirk A. (CMS/OIS); Outerbridge, Monique (CMS/OIS)
Subject: FW: Marketplace and States Decision Memo outlines_JKahn.docx
Importance: High

See below,

Is everyone on board with trying to make this happen? Including weekend support. I know SphereCom is cleared to work. If not we need to be talking to George ASAP.

Tom

From: Linares, George E. (CMS/OIS)
Sent: Wednesday, September 25, 2013 11:24 AM
To: Boughn, Julie C. (CMS/CMCS); Kahn, Jessica (CMS/CMCS)
Cc: Schankweiler, Thomas W. (CMS/OIS); Trudel, Karen (CMS/OIS)
Subject: RE: Marketplace and States Decision Memo outlines_JKahn.docx

Julie,

Yes, OIS is planning to review all packages as they arrived. To that end, Tony has requested to have all packages received this week to be delivered to him by Monday, at the latest. He has authorized weekend support for this effort as well. Hopefully, this answers your questions.

Thanks

George Linares
Acting Chief Technology Officer
Centers for Medicare & Medicaid Services (CMS)
410.786.2866 george.linares@cms.hhs.gov
7500 Security Blvd., N3-15-25
Baltimore, MD 21244-1850
Need more information? Visit the [OIS website](#).

From: Boughn, Julie C. (CMS/CMCS)
Sent: Wednesday, September 25, 2013 10:16 AM
To: Kahn, Jessica (CMS/CMCS); Linares, George E. (CMS/OIS)
Cc: Schankweiler, Thomas W. (CMS/OIS); Trudel, Karen (CMS/OIS)
Subject: RE: Marketplace and States Decision Memo outlines_JKahn.docx

So it looks like all the states that need connection by 10/1 have now submitted their documentation. George and Tom- what's the plan on the OIS side to review the documentation that has been submitted? I know we're going to allow ATC

based upon the fact that the states have submitted. Presumably, we are still planning to review, right? Have you all established a timeline, or even some prioritization, to those reviews?

Julie Boughn
Deputy Director, Center for Medicaid & CHIP
Twitter: @julieboughn

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From: Kahn, Jessica (CMS/CMCS)
Sent: Tuesday, September 24, 2013 10:40 PM
To: Linares, George E. (CMS/OIS)
Cc: Schankweiler, Thomas W. (CMS/OIS); Trudel, Karen (CMS/OIS); Boughn, Julie C. (CMS/CMCS)
Subject: Re: Marketplace and States Decision Memo outlines_JKahn.docx

Thanks- also NC just uploaded their remaining documentation a little while ago so more progress!
Jess

On Sep 24, 2013, at 8:11 PM, "Linares, George E. (CMS/OIS)" <George.Linares@cms.hhs.gov> wrote:
Thanks Jess. Karen and myself will review, format it and then we'll send out for final ok.

From: Kahn, Jessica (CMS/CMCS)
Sent: Tuesday, September 24, 2013 6:13 PM
To: Linares, George E. (CMS/OIS); Schankweiler, Thomas W. (CMS/OIS); Trudel, Karen (CMS/OIS)
Cc: Boughn, Julie C. (CMS/CMCS)
Subject: Marketplace and States Decision Memo outlines_JKahn.docx

Attached includes the CMCS input into the decision memo on the state side of things. I used the security status report received from OIS at 4pm today from Dennis. Also attached is the appendix that indicates States' status.

Message

From: Marantan, James (CMS/OIS) NotResp
[REDACTED]
Sent: 9/26/2013 5:17:49 PM
To: Mellor, Michael (CMS/OIS) NotResp
[REDACTED]
Fryer, Teresa M. (CMS/OIS) NotResp
[REDACTED]
Subject: FW: Ready to close out pen-test support from Brian

fyi

From: Schatoff, Jack H. (CMS/OIS)
Sent: Thursday, September 26, 2013 1:15 PM
To: Marantan, James (CMS/OIS)
Subject: FW: Ready to close out pen-test support from Brian

fyi

From: Schankweiler, Thomas W. (CMS/OIS)
Sent: Thursday, September 26, 2013 1:11 PM
To: Schatoff, Jack H. (CMS/OIS)
Cc: Mellor, Michael (CMS/OIS); Fryer, Teresa M. (CMS/OIS)
Subject: Ready to close out pen-test support from Brian

Jack,

I wanted to thank you for your teams support. Brian and Darryl's support for the past month in supporting reviews of the Marketplace has been helpful. I think we are at a point where we are ready to roll Brian off the testing team. I am going to request Adam to reach out to Brian to get a copy of his final results and to close out his portion of the project. Teresa has mentioned that there will be external pen-testing on a weekly basis of the marketplace, so I figured it was a good time for us to start with the new strategy. If you have any questions please let me know.

Sincerely,

Tom

Message

From: Mellor, Michael (CMS/OIS) [REDACTED] **NotResp**
[REDACTED] **NotResp**
Sent: 9/27/2013 2:05:49 AM
To: Fryer, Teresa M. (CMS/OIS) [REDACTED] **NotResp**
[REDACTED] **NotResp**
Subject: Re: HIX-A Draft SCA Report in Question

[REDACTED] (b)(5)

Sent from mobile device

On Sep 26, 2013, at 9:36 PM, "Fryer, Teresa M. (CMS/OIS)" <Teresa.Fryer@cms.hhs.gov> wrote:

[REDACTED] (b)(5)

From: Lyles, Darrin V. (CMS/OIS)
Sent: Thursday, September 26, 2013 6:06 PM
To: Fryer, Teresa M. (CMS/OIS)
Cc: Schankweiler, Thomas W. (CMS/OIS)
Subject: HIX-A Draft SCA Report in Question

Teresa,

[REDACTED] (b)(5)

Regards,

Darrin Lyles

Information Systems Security Officer (ISSO)
Security Controls Assessment (SCA) Coordinator for CIISG
Consumer Information & Insurance Systems Group (CIISG)
Office of Information Services (OIS)
Centers for Medicare & Medicaid Services (CMS)
U.S. Department of Health and Human Services (HHS)
410-786-4744, Desk
[REDACTED] (b)(6) BB
darrin.lyles@cms.hhs.gov

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<HIX-A Draft SCA Report September 23 2013 with CGI's comments.docx>

Message

From: Mellor, Michael (CMS/OIS) [REDACTED] **NotResp**
[REDACTED] **NotResp**
Sent: 9/27/2013 2:37:00 AM
To: Fryer, Teresa M. (CMS/OIS) [REDACTED] **NotResp**
[REDACTED] **NotResp**
Subject: Re: HIX-A Draft SCA Report in Question

Ok - ill route this through the team tomorrow. I know the SCA team has calls with the maintainer to review findings; not sure about the draft report review

Sent from mobile device

On Sep 26, 2013, at 10:11 PM, "Fryer, Teresa M. (CMS/OIS)" <Teresa.Fryer@cms.hhs.gov> wrote:

[REDACTED] (b)(5)

From: Mellor, Michael (CMS/OIS)
Sent: Thursday, September 26, 2013 10:05 PM
To: Fryer, Teresa M. (CMS/OIS)
Subject: Re: HIX-A Draft SCA Report in Question

[REDACTED] (b)(5)

Sent from mobile device

On Sep 26, 2013, at 9:36 PM, "Fryer, Teresa M. (CMS/OIS)" <Teresa.Fryer@cms.hhs.gov> wrote:

[REDACTED] (b)(5)

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Sent: Thursday, September 26, 2013 6:06 PM
To: Fryer, Teresa M. (CMS/OIS)
Cc: Schankweiler, Thomas W. (CMS/OIS)
Subject: HIX-A Draft SCA Report in Question

Teresa,

[REDACTED] (b)(5)

Regards,

Darrin Lyles
Information Systems Security Officer (ISSO)
Security Controls Assessment (SCA) Coordinator for CIISG
Consumer Information & Insurance Systems Group (CIISG)

Office of Information Services (OIS)
Centers for Medicare & Medicaid Services (CMS)
U.S. Department of Health and Human Services (HHS)
410-786-4744, Desk
[redacted] (b)(6) BB
darrin.lyles@cms.hhs.gov

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<HIX-A Draft SCA Report September 23 2013 with CGI's comments.docx>

Message

From: England-Gordon, John E. (CMS/OIS) **NotResp**
Sent: 9/26/2013 6:26:02 PM
To: Fryer, Teresa M. (CMS/OIS) **NotResp**
Subject: RE: Marketplace and States Decision Memo outlines_JKahn.docx

Where would you like us to drop the packages on Saturday? There should be between 6 and 11.

We can also leave them on Tom's table for pickup after 5pm on Saturday if that works. Please let know.

Regards,
John England-Gordon



Centers for Medicare & Medicaid Services

From: Fryer, Teresa M. (CMS/OIS)
Sent: Wednesday, September 25, 2013 12:16 PM
To: Schankweiler, Thomas W. (CMS/OIS); England-Gordon, John E. (CMS/OIS); Schmidt, Donna W. (CMS/OIS); Ambrosini, Ellen M. (CMS/OEM); Linares, George E. (CMS/OIS); Franey, Maribel R. (CMS/OEM)
Cc: Cooper, Dennis (CMS/CTR); Davis, Angela (CMS/OIS); Schmidt, Donna W. (CMS/OIS); Grothe, Kirk A. (CMS/OIS); Outerbridge, Monique (CMS/OIS)
Subject: RE: Marketplace and States Decision Memo outlines_JKahn.docx

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Cc: Cooper, Dennis (CMS/CTR); Davis, Angela (CMS/OIS); Schmidt, Donna W. (CMS/OIS); Grothe, Kirk A. (CMS/OIS); Outerbridge, Monique (CMS/OIS)
Subject: RE: Marketplace and States Decision Memo outlines_JKahn.docx

We will be at the Baltimore location assembling package. You are welcome to have folks come in to be a part of it. Invite to follow sometime today. I hope you can have someone attend.

Tom

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Sent: Wednesday, September 25, 2013 12:11 PM
To: Schankweiler, Thomas W. (CMS/OIS); England-Gordon, John E. (CMS/OIS); Schmidt, Donna W. (CMS/OIS);

Ambrosini, Ellen M. (CMS/OEM); Linares, George E. (CMS/OIS); Franey, Maribel R. (CMS/OEM)

Cc: Cooper, Dennis (CMS/CTR); Davis, Angela (CMS/OIS); Schmidt, Donna W. (CMS/OIS); Grothe, Kirk A. (CMS/OIS);

Outerbridge, Monique (CMS/OIS)

Subject: RE: Marketplace and States Decision Memo outlines_JKahn.docx

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Sent: Wednesday, September 25, 2013 12:09 PM

To: Fryer, Teresa M. (CMS/OIS); England-Gordon, John E. (CMS/OIS); Schmidt, Donna W. (CMS/OIS); Ambrosini, Ellen M. (CMS/OEM); Linares, George E. (CMS/OIS); Franey, Maribel R. (CMS/OEM)

Cc: Cooper, Dennis (CMS/CTR); Davis, Angela (CMS/OIS); Schmidt, Donna W. (CMS/OIS); Grothe, Kirk A. (CMS/OIS); Outerbridge, Monique (CMS/OIS)

Subject: RE: Marketplace and States Decision Memo outlines_JKahn.docx

That is likely what will happen is packages will be coming in on Saturday and Sunday.

From: Fryer, Teresa M. (CMS/OIS)

Sent: Wednesday, September 25, 2013 12:06 PM

To: Schankweiler, Thomas W. (CMS/OIS); England-Gordon, John E. (CMS/OIS); Schmidt, Donna W. (CMS/OIS); Ambrosini, Ellen M. (CMS/OEM); Linares, George E. (CMS/OIS); Franey, Maribel R. (CMS/OEM)

Cc: Cooper, Dennis (CMS/CTR); Davis, Angela (CMS/OIS); Schmidt, Donna W. (CMS/OIS); Grothe, Kirk A. (CMS/OIS); Outerbridge, Monique (CMS/OIS)

Subject: RE: Marketplace and States Decision Memo outlines_JKahn.docx

We have contractor support available over the weekend, however, if Tony wants the packages delivered to him by Monday, EISG cannot be receiving them Sunday night or Monday for review.

From: Schankweiler, Thomas W. (CMS/OIS)

Sent: Wednesday, September 25, 2013 11:29 AM

To: England-Gordon, John E. (CMS/OIS); Schmidt, Donna W. (CMS/OIS); Fryer, Teresa M. (CMS/OIS); Ambrosini, Ellen M. (CMS/OEM); Linares, George E. (CMS/OIS); Franey, Maribel R. (CMS/OEM)

Cc: Cooper, Dennis (CMS/CTR); Davis, Angela (CMS/OIS); Schmidt, Donna W. (CMS/OIS); Grothe, Kirk A. (CMS/OIS); Outerbridge, Monique (CMS/OIS)

Subject: FW: Marketplace and States Decision Memo outlines_JKahn.docx

Importance: High

See below,

Is everyone on board with trying to make this happen? Including weekend support. I know SphereCom is cleared to work. If not we need to be talking to George ASAP.

Tom

From: Linares, George E. (CMS/OIS)

Sent: Wednesday, September 25, 2013 11:24 AM

To: Boughn, Julie C. (CMS/CMCS); Kahn, Jessica (CMS/CMCS)

Cc: Schankweiler, Thomas W. (CMS/OIS); Trudel, Karen (CMS/OIS)
Subject: RE: Marketplace and States Decision Memo outlines_JKahn.docx

Julie,

Yes, OIS is planning to review all packages as they arrived. To that end, Tony has requested to have all packages received this week to be delivered to him by Monday, at the latest. He has authorized weekend support for this effort as well. Hopefully, this answers your questions.

Thanks

George Linares

Acting Chief Technology Officer
Centers for Medicare & Medicaid Services (CMS)
410.786.2866 george.linares@cms.hhs.gov
7500 Security Blvd., N3-15-25
Baltimore, MD 21244-1850
Need more information? Visit [the OIS website](#).

From: Boughn, Julie C. (CMS/CMCS)
Sent: Wednesday, September 25, 2013 10:16 AM
To: Kahn, Jessica (CMS/CMCS); Linares, George E. (CMS/OIS)
Cc: Schankweiler, Thomas W. (CMS/OIS); Trudel, Karen (CMS/OIS)
Subject: RE: Marketplace and States Decision Memo outlines_JKahn.docx

So it looks like all the states that need connection by 10/1 have now submitted their documentation. George and Tom- what's the plan on the OIS side to review the documentation that has been submitted? I know we're going to allow ATC based upon the fact that the states have submitted. Presumably, we are still planning to review, right? Have you all established a timeline, or even some prioritization, to those reviews?

Julie Boughn
Deputy Director, Center for Medicaid & CHIP
Twitter: @ulieboughn

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From: Kahn, Jessica (CMS/CMCS)
Sent: Tuesday, September 24, 2013 10:40 PM
To: Linares, George E. (CMS/OIS)
Cc: Schankweiler, Thomas W. (CMS/OIS); Trudel, Karen (CMS/OIS); Boughn, Julie C. (CMS/CMCS)
Subject: Re: Marketplace and States Decision Memo outlines_JKahn.docx

Thanks- also NC just uploaded their remaining documentation a little while ago so more progress!
Jess

On Sep 24, 2013, at 8:11 PM, "Linares, George E. (CMS/OIS)" <George.Linares@cms.hhs.gov> wrote:
Thanks Jess. Karen and myself will review, format it and then we'll send out for final ok.

From: Kahn, Jessica (CMS/CMCS)

Sent: Tuesday, September 24, 2013 6:13 PM

To: Linares, George E. (CMS/OIS); Schankweiler, Thomas W. (CMS/OIS); Trudel, Karen (CMS/OIS)

Cc: Boughn, Julie C. (CMS/CMCS)

Subject: Marketplace and States Decision Memo outlines_JKahn.docx

Attached includes the CMCS input into the decision memo on the state side of things. I used the security status report received from OIS at 4pm today from Dennis. Also attached is the appendix that indicates States' status.

Message

From: Schatoff, Jack H. (CMS/OIS) NotResp
[REDACTED]
[REDACTED] NotResp

Sent: 9/26/2013 5:15:17 PM

To: Schankweiler, Thomas W. (CMS/OIS) NotResp
[REDACTED]
[REDACTED] NotResp

CC: Mellor, Michael (CMS/OIS) NotResp
Fryer, Teresa M. (CMS/OIS) NotResp
[REDACTED]
[REDACTED] NotResp

Subject: RE: Ready to close out pen-test support from Brian

Thanks Tom, glad we could help.

Jack

From: Schankweiler, Thomas W. (CMS/OIS)
Sent: Thursday, September 26, 2013 1:11 PM
To: Schatoff, Jack H. (CMS/OIS)
Cc: Mellor, Michael (CMS/OIS); Fryer, Teresa M. (CMS/OIS)
Subject: Ready to close out pen-test support from Brian

Jack,

I wanted to thank you for your teams support. Brian and Darryl's support for the past month in supporting reviews of the Marketplace has been helpful. I think we are at a point where we are ready to roll Brian off the testing team. I am going to request Adam to reach out to Brian to get a copy of his final results and to close out his portion of the project. Teresa has mentioned that there will external pen-testing on a weekly basis of the marketplace, so I figured it was a good time for us to start with the new strategy. If you have any questions please let me know.

Sincerely,

Tom

Message

From: Schankweiler, Thomas W. (CMS/OIS) [REDACTED] **NotResp**
[REDACTED] **NotResp**

Sent: 9/26/2013 5:10:32 PM

To: Schatoff, Jack H. (CMS/OIS) [REDACTED] **NotResp**
[REDACTED] **NotResp**

CC: Mellor, Michael (CMS/OIS) [REDACTED] **NotResp**
Fryer, Teresa M. (CMS/OIS) [REDACTED] **NotResp**
[REDACTED] **NotResp**

Subject: Ready to close out pen-test support from Brian

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Sincerely,

Tom

Message

From: Brown, Billie Jo J. (CMS/OIS) [REDACTED] NotResp
 [REDACTED] NotResp

Sent: 9/26/2013 4:09:01 PM

To: Fryer, Teresa M. (CMS/OIS) [REDACTED] NotResp
 [REDACTED] NotResp [REDACTED] Pope, Crystal L. (CMS/OIS) [REDACTED] NotResp
 [REDACTED] NotResp [REDACTED] Brown, Beatrice (CMS/OIS) [REDACTED] NotResp
 [REDACTED] NotResp
 Thomas, Kelly (CMS/OIS) [REDACTED] NotResp

CC: Linares, George E. (CMS/OIS) [REDACTED] NotResp
 [REDACTED] NotResp [REDACTED]; Velasco, Linda J. (CMS/OIS) [REDACTED] NotResp
 [REDACTED] NotResp [REDACTED] Patterson, Tiffany D. (CMS/OIS) [REDACTED] NotResp
 [REDACTED] NotResp [REDACTED] Mellor, Michael (CMS/OIS)

Subject: Re: Marketplace and States Decision Memo outlines_JKahn.docx

You do not need action from the FO. We are authorized to give groups money for MarketPlace activities. Please have Linda verify the amount you have in BUCS. If you need additional, let me know how much and I will transfer it in. Thank you BJ

From: Fryer, Teresa M. (CMS/OIS)
Sent: Thursday, September 26, 2013 12:06 PM
To: Brown, Billie Jo J. (CMS/OIS); Pope, Crystal L. (CMS/OIS); Brown, Beatrice (CMS/OIS); Thomas, Kelly (CMS/OIS)
Cc: Linares, George E. (CMS/OIS); Velasco, Linda J. (CMS/OIS); Patterson, Tiffany D. (CMS/OIS); Mellor, Michael (CMS/OIS)
Subject: Re: Marketplace and States Decision Memo outlines_JKahn.docx

My understanding was that any remaining funds were swept. If this is not the case then we will submit under our group. If there are not enough funds the employee can't work OT. George, we may need action from front office.

Teresa

From: Brown, Billie Jo J. (CMS/OIS)
Sent: Thursday, September 26, 2013 11:10 AM
To: Fryer, Teresa M. (CMS/OIS); Pope, Crystal L. (CMS/OIS); Brown, Beatrice (CMS/OIS); Thomas, Kelly (CMS/OIS)
Cc: Linares, George E. (CMS/OIS); Velasco, Linda J. (CMS/OIS); Patterson, Tiffany D. (CMS/OIS)
Subject: Re: Marketplace and States Decision Memo outlines_JKahn.docx

This will be coming from your Groups OT allocation if the employee is in your Group.

From: Fryer, Teresa M. (CMS/OIS)
Sent: Thursday, September 26, 2013 11:04 AM
To: Brown, Billie Jo J. (CMS/OIS); Pope, Crystal L. (CMS/OIS); Brown, Beatrice (CMS/OIS); Thomas, Kelly (CMS/OIS)
Cc: Linares, George E. (CMS/OIS); Velasco, Linda J. (CMS/OIS)
Subject: FW: Marketplace and States Decision Memo outlines_JKahn.docx

All,

We are submitting OT paperwork for this weekend, but need to know where the funding for this is coming from. So before Linda puts this into BUCS, can you please provide her with the correct funding source.

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Cc: Cooper, Dennis (CMS/CTR); Davis, Angela (CMS/OIS); Schmidt, Donna W. (CMS/OIS); Grothe, Kirk A. (CMS/OIS); Outerbridge, Monique (CMS/OIS)
Subject: FW: Marketplace and States Decision Memo outlines_JKahn.docx
Importance: High

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Tom

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Cc: Schankweiler, Thomas W. (CMS/OIS); Trudel, Karen (CMS/OIS)
Subject: RE: Marketplace and States Decision Memo outlines_JKahn.docx

Julie,

Yes, OIS is planning to review all packages as they arrived. To that end, Tony has requested to have all packages received this week to be delivered to him by Monday, at the latest. He has authorized weekend support for this effort as well. Hopefully, this answers your questions.

Thanks

George Linares

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Deputy Director, Center for Medicaid & CHIP
Twitter: @julieboughn

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Message

From: Patterson, Tiffany D. (CMS/OIS) NotResp
 [REDACTED] NotResp

Sent: 9/26/2013 4:08:24 PM NotResp

To: Fryer, Teresa M. (CMS/OIS) NotResp
 [REDACTED] NotResp; Brown, Billie Jo J. (CMS/OIS) NotResp
 [REDACTED] NotResp; Pope, Crystal L. (CMS/OIS) NotResp
 [REDACTED] NotResp; Brown, Beatrice (CMS/OIS)
 [REDACTED] NotResp
 [REDACTED] NotResp; Thomas, Kelly (CMS/OIS) NotResp
 [REDACTED] NotResp

CC: Linares, George E. (CMS/OIS) NotResp
 [REDACTED] NotResp; Velasco, Linda J. (CMS/OIS) NotResp
 [REDACTED] NotResp; Mellor, Michael (CMS/OIS) NotResp
 [REDACTED] NotResp

Subject: RE: Marketplace and States Decision Memo outlines_JKahn.docx

Teresa,

All Marketplace OT will be funded and funding is available. We just need to know how much to move into your line.

Tiffany D. Patterson

Director, Division of Executive Support (DES)

Centers for Medicare & Medicaid Services (CMS)
 Office of Information Services (OIS)
 Resource & Acquisition Management Group (RAMG)
 410.786.8498 443.934.3350 tiffany.patterson@cms.hhs.gov
 7500 Security Blvd., N2-06-13
 Baltimore, MD 21244-1850

Need more information? Please visit [the OIS website](#).

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Cc: Cooper, Dennis (CMS/CTR); Davis, Angela (CMS/OIS); Schmidt, Donna W. (CMS/OIS); Grothe, Kirk A. (CMS/OIS); Outerbridge, Monique (CMS/OIS)

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George Linares

Acting Chief Technology Officer

Centers for Medicare & Medicaid Services (CMS)

410.786.2866 george.linares@cms.hhs.gov

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Baltimore, MD 21244-1850

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Deputy Director, Center for Medicaid & CHIP

Twitter: [@julieboughn](#)

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Cc: Schankweiler, Thomas W. (CMS/OIS); Trudel, Karen (CMS/OIS); Boughn, Julie C. (CMS/CMCS)

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Jess

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Cc: Boughn, Julie C. (CMS/CMCS)

Subject: Marketplace and States Decision Memo outlines_JKahn.docx

Attached includes the CMCS input into the decision memo on the state side of things. I used the security status report received from OIS at 4pm today from Dennis. Also attached is the appendix that indicates States' status.

This space intentionally left blank.

Message

From: Davis, Angela (CMS/OIS) NotResp
 [REDACTED] NotResp

Sent: 9/26/2013 2:41:51 PM

To: Linares, George E. (CMS/OIS) NotResp
 [REDACTED] NotResp

CC: England-Gordon, John E. (CMS/OIS) NotResp
 [REDACTED] NotResp ; Ambrosini, Ellen M.
 (CMS/OEM) NotResp ; Bolden, Dawn P
 (CMS/OEM) NotResp ; Cooper, Dennis
 (CMS/CTR) NotResp
 [REDACTED] NotResp ; Blais, Kris M. (CMS/CTR) NotResp
 [REDACTED] NotResp ; Schmidt,
 Donna W. (CMS/OIS) NotResp
 Schankweiler, Thomas W. (CMS/OIS) NotResp
 [REDACTED] NotResp ; Fryer, Teresa M. (CMS/OIS) NotResp
 [REDACTED] NotResp ; Johnston, James
 (CMS/CMMI) NotResp
 [REDACTED] NotResp

Subject: RE: Notes: ATC Touch Base 9/25

Correction: the second instance is a typo it should be IL that Spherecom anticipates completing weekend.

Idaho has been approved.

From: Linares, George E. (CMS/OIS)
Sent: Thursday, September 26, 2013 10:37 AM
To: Davis, Angela (CMS/OIS)
Cc: England-Gordon, John E. (CMS/OIS); Ambrosini, Ellen M. (CMS/OEM); Bolden, Dawn P (CMS/OEM); Cooper, Dennis (CMS/CTR); Blais, Kris M. (CMS/CTR); Schmidt, Donna W. (CMS/OIS); Schankweiler, Thomas W. (CMS/OIS); Fryer, Teresa M. (CMS/OIS); Johnston, James (CMS/CMMI); Davis, Angela (CMS/OIS)
Subject: Re: Notes: ATC Touch Base 9/25

Angela,

ID - Idaho is mentioned in two places, as signed and as coming this weekend. I thought it was signed already. Please confirm. Thanks

Sent from my iPad

On Sep 26, 2013, at 10:31 AM, "Davis, Angela (CMS/OIS)" <Angela.Davis@cms.hhs.gov> wrote:
 Good Morning All,

On today's touch base call I captured the following highlights:

- On September 26, 2013, the CIO approved AK, NH, SC, IA, ID, and NV ATC packages. The A-ISA cover and signature pages will be uploaded to [REDACTED] Spherecom will update the Security State Progress Tracker with the [REDACTED] ID numbers for these documents.
- On September 26, 2013, MI, MT, and DC ATC packages were submitted to the CISOs office for review.

- Spherecom anticipates completing OR, HI, AR, and NC ATC packages by COB today, September 26, 2013.
- Spherecom submitted the CO/Medicaid ATC package on 9/25/13 and it is under review with the CIISG ISSO.
 - Spherecom is awaiting the POA&M from CO/SBM before an ATC can be initiated.
 - Spherecom anticipates this documentation submission by COB today and to have this ATC package in cue for 9/27/13.
- OESS is still awaiting privacy documentation for GA, NJ, TN, and UT.
- Spherecom anticipates completing FL, IL, NE, WY, CO(SBM), NM, and VA ATC packages by COB tomorrow, September 27, 2013.
- Spherecom anticipates completing IL, LA, WI, and WV ATC packages on Saturday, September 28, 2013

If any of the information above was captured in error please feel free to send corrections.

Thank you,

Angela Davis

Centers for Medicare & Medicaid Services (CMS)

Office of Information Services (OIS)

<image001.jpg> 410.786.2990 <image002.jpg> angela.davis@cms.hhs.gov

7500 Security Blvd., N2-16-15

Baltimore, MD 21244-1850

Need more information? Please visit [the OIS website](#).

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Message

From: Davis, Angela (CMS/OIS) NotResp
 [REDACTED] NotResp

Sent: 9/26/2013 2:30:42 PM

To: England-Gordon, John E. (CMS/OIS) NotResp
 [REDACTED] NotResp Ambrosini, Ellen M.
 (CMS/OEM) NotResp ; Bolden, Dawn P
 (CMS/OEM) NotResp Cooper, Dennis
 (CMS/CTR) NotResp
 [REDACTED] NotResp Blais, Kris M. (CMS/CTR) NotResp
 [REDACTED] NotResp ; Schmidt,
 Donna W. (CMS/OIS) NotResp
 Schankweiler, Thomas W. (CMS/OIS) NotResp
 NotResp Fryer, Teresa M. (CMS/OIS) NotResp
 [REDACTED] NotResp Linares, George E. (CMS/OIS)
 [REDACTED] NotResp ; Johnston, James
 (CMS/CMMI) NotResp
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Thank you,

Angela Davis

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Baltimore, MD 21244-1850

Need more information? Please visit [the OIS website](#).

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Message

From: Linares, George E. (CMS/OIS) **NotResp**
[REDACTED] **NotResp**

Sent: 9/26/2013 2:37:05 PM

To: Davis, Angela (CMS/OIS) **NotResp**
[REDACTED] **NotResp**

CC: England-Gordon, John E. (CMS/OIS) **NotResp**
[REDACTED] **NotResp**; Ambrosini, Ellen M.
(CMS/OEM) **NotResp**; Bolden, Dawn P
(CMS/OEM) **NotResp**; Cooper, Dennis
(CMS/CTR) **NotResp**
[REDACTED] **NotResp**; Blais, Kris M. (CMS/CTR) **NotResp**
[REDACTED] **NotResp**; Schmidt,
Donna W. (CMS/OIS) **NotResp**
Schankweiler, Thomas W. (CMS/OIS) **NotResp**
[REDACTED] **NotResp**; Fryer, Teresa M. (CMS/OIS) **NotResp**
[REDACTED] **NotResp**; Johnston, James
(CMS/CMMI) **NotResp**
[REDACTED] **NotResp**; Davis, Angela (CMS/OIS) **NotResp**
[REDACTED] **NotResp**

Subject: Re: Notes: ATC Touch Base 9/25

Angela,

ID - Idaho is mentioned in two places, as signed and as coming this weekend. I thought it was signed already. Please confirm. Thanks

Sent from my iPad

On Sep 26, 2013, at 10:31 AM, "Davis, Angela (CMS/OIS)" <Angela.Davis@cms.hhs.gov> wrote:
Good Morning All,

On today's touch base call I captured the following highlights:

- <!--[if !supportLists]--><!--[endif]-->On September 26, 2013, the CIO approved AK, NH, SC, IA, ID, and NV ATC packages. The A-ISA cover and signature pages will be uploaded [NotResp]. Spherecom will update the Security State Progress Tracker with the [NotResp] numbers for these documents.
- <!--[if !supportLists]--><!--[endif]-->On September 26, 2013, MI, MT, and DC ATC packages were submitted to the CISOs office for review.
- <!--[if !supportLists]--><!--[endif]-->Spherecom anticipates completing OR, HI, AR, and NC ATC packages by COB today, September 26, 2013.
- <!--[if !supportLists]--><!--[endif]-->Spherecom submitted the CO/Medicaid ATC package on 9/25/13 and it is under review with the CIISG ISSO.
 - <!--[if !supportLists]--><!--[endif]-->Spherecom is awaiting the POA&M from CO/SBM before an ATC can be initiated.
 - <!--[if !supportLists]--><!--[endif]-->Spherecom anticipates this documentation submission by COB today and to have this ATC package in cue for 9/27/13.

- <!--[if !supportLists]--><!--[endif]-->OESS is still awaiting privacy documentation for GA, NJ, TN, and UT.
- <!--[if !supportLists]--><!--[endif]-->Spherecom anticipates completing FL, IL, NE, WY, CO(SBM), NM, and VA ATC packages by COB tomorrow, September 27, 2013.
- <!--[if !supportLists]--><!--[endif]-->Spherecom anticipates completing ID, LA, WI, and WV ATC packages on Saturday, September 28, 2013

If any of the information above was captured in error please feel free to send corrections.

Thank you,

Angela Davis

Centers for Medicare & Medicaid Services (CMS)

Office of Information Services (OIS)

<image001.jpg> 410.786.2990 <image002.jpg> angela.davis@cms.hhs.gov

7500 Security Blvd., N2-16-15

Baltimore, MD 21244-1850

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Message

From: Brown, Billie Jo J. (CMS/OIS) NotResp
 [REDACTED] NotResp

Sent: 9/26/2013 3:10:12 PM

To: Fryer, Teresa M. (CMS/OIS) NotResp
 [REDACTED] NotResp [REDACTED] Pope, Crystal L. (CMS/OIS) NotResp
 [REDACTED] NotResp [REDACTED] Brown, Beatrice (CMS/OIS) NotResp
 [REDACTED] NotResp [REDACTED]
 Thomas, Kelly (CMS/OIS) NotResp
 [REDACTED] NotResp [REDACTED] NotResp

CC: Linares, George E. (CMS/OIS) NotResp
 [REDACTED] NotResp [REDACTED] Velasco, Linda J. (CMS/OIS) NotResp
 [REDACTED] NotResp [REDACTED] Patterson, Tiffany D. (CMS/OIS) NotResp
 [REDACTED] NotResp [REDACTED]

Subject: Re: Marketplace and States Decision Memo outlines_JKahn.docx

This will be coming from your Groups OT allocation if the employee is in your Group.

From: Fryer, Teresa M. (CMS/OIS)
Sent: Thursday, September 26, 2013 11:04 AM
To: Brown, Billie Jo J. (CMS/OIS); Pope, Crystal L. (CMS/OIS); Brown, Beatrice (CMS/OIS); Thomas, Kelly (CMS/OIS)
Cc: Linares, George E. (CMS/OIS); Velasco, Linda J. (CMS/OIS)
Subject: FW: Marketplace and States Decision Memo outlines_JKahn.docx

All,

We are submitting OT paperwork for this weekend, but need to know where the funding for this is coming from. So before Linda puts this into BUCS, can you please provide her with the correct funding source.

Thanks,

Teresa

From: Schankweiler, Thomas W. (CMS/OIS)
Sent: Wednesday, September 25, 2013 11:29 AM
To: England-Gordon, John E. (CMS/OIS); Schmidt, Donna W. (CMS/OIS); Fryer, Teresa M. (CMS/OIS); Ambrosini, Ellen M. (CMS/OEM); Linares, George E. (CMS/OIS); Franey, Maribel R. (CMS/OEM)
Cc: Cooper, Dennis (CMS/CTR); Davis, Angela (CMS/OIS); Schmidt, Donna W. (CMS/OIS); Grothe, Kirk A. (CMS/OIS); Outerbridge, Monique (CMS/OIS)
Subject: FW: Marketplace and States Decision Memo outlines_JKahn.docx
Importance: High

See below,

Is everyone on board with trying to make this happen? Including weekend support. I know SphereCom is cleared to work. If not we need to be talking to George ASAP.

Tom

From: Linares, George E. (CMS/OIS)
Sent: Wednesday, September 25, 2013 11:24 AM
To: Boughn, Julie C. (CMS/CMCS); Kahn, Jessica (CMS/CMCS)
Cc: Schankweiler, Thomas W. (CMS/OIS); Trudel, Karen (CMS/OIS)
Subject: RE: Marketplace and States Decision Memo outlines_JKahn.docx

Julie,

Yes, OIS is planning to review all packages as they arrived. To that end, Tony has requested to have all packages received this week to be delivered to him by Monday, at the latest. He has authorized weekend support for this effort as well. Hopefully, this answers your questions.

Thanks

George Linares

Acting Chief Technology Officer
Centers for Medicare & Medicaid Services (CMS)
410.786.2866 george.linares@cms.hhs.gov
7500 Security Blvd., N3-15-25
Baltimore, MD 21244-1850
Need more information? Visit [the OIS website](#).

From: Boughn, Julie C. (CMS/CMCS)
Sent: Wednesday, September 25, 2013 10:16 AM
To: Kahn, Jessica (CMS/CMCS); Linares, George E. (CMS/OIS)
Cc: Schankweiler, Thomas W. (CMS/OIS); Trudel, Karen (CMS/OIS)
Subject: RE: Marketplace and States Decision Memo outlines_JKahn.docx

So it looks like all the states that need connection by 10/1 have now submitted their documentation. George and Tom - what's the plan on the OIS side to review the documentation that has been submitted? I know we're going to allow ATC based upon the fact that the states have submitted. Presumably, we are still planning to review, right? Have you all established a timeline, or even some prioritization, to those reviews?

Julie Boughn
Deputy Director, Center for Medicaid & CHIP
Twitter: [@julieboughn](#)

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From: Kahn, Jessica (CMS/CMCS)
Sent: Tuesday, September 24, 2013 10:40 PM
To: Linares, George E. (CMS/OIS)
Cc: Schankweiler, Thomas W. (CMS/OIS); Trudel, Karen (CMS/OIS); Boughn, Julie C. (CMS/CMCS)
Subject: Re: Marketplace and States Decision Memo outlines_JKahn.docx

Thanks- also NC just uploaded their remaining documentation a little while ago so more progress!
Jess

On Sep 24, 2013, at 8:11 PM, "Linares, George E. (CMS/OIS)" <George.Linares@cms.hhs.gov> wrote:
Thanks Jess. Karen and myself will review, format it and then we'll send out for final ok.

From: Kahn, Jessica (CMS/CMCS)

Sent: Tuesday, September 24, 2013 6:13 PM

To: Linares, George E. (CMS/OIS); Schankweiler, Thomas W. (CMS/OIS); Trudel, Karen (CMS/OIS)

Cc: Boughn, Julie C. (CMS/CMCS)

Subject: Marketplace and States Decision Memo outlines_JKahn.docx

Attached includes the CMCS input into the decision memo on the state side of things. I used the security status report received from OIS at 4pm today from Dennis. Also attached is the appendix that indicates States' status.

Message

From: Linares, George E. (CMS/OIS) NotResp
 NotResp

Sent: 9/26/2013 2:43:35 PM

To: Davis, Angela (CMS/OIS) NotResp
 NotResp

CC: England-Gordon, John E. (CMS/OIS) NotResp
 NotResp Ambrosini, Ellen M.
 (CMS/OEM) NotResp Bolden, Dawn P
 (CMS/OEM) NotResp Cooper, Dennis
 (CMS/CTR) NotResp Blais, Kris M. (CMS/CTR) NotResp
 NotResp Schmidt,
 Donna W. (CMS/OIS) NotResp
 Schankweiler, Thomas W. (CMS/OIS) NotResp
 NotResp Fryer, Teresa M. (CMS/OIS) NotResp
 NotResp Johnston, James
 (CMS/CMMI) NotResp
 NotResp

Subject: Re: Notes: ATC Touch Base 9/25

Thanks

Sent from my iPad

On Sep 26, 2013, at 10:41 AM, "Davis, Angela (CMS/OIS)" <Angela.Davis@cms.hhs.gov> wrote:

Correction: the second instance is a typo it should be IL that Spherecom anticipates completing weekend.

Idaho has been approved.

From: Linares, George E. (CMS/OIS)
Sent: Thursday, September 26, 2013 10:37 AM
To: Davis, Angela (CMS/OIS)
Cc: England-Gordon, John E. (CMS/OIS); Ambrosini, Ellen M. (CMS/OEM); Bolden, Dawn P (CMS/OEM); Cooper, Dennis (CMS/CTR); Blais, Kris M. (CMS/CTR); Schmidt, Donna W. (CMS/OIS); Schankweiler, Thomas W. (CMS/OIS); Fryer, Teresa M. (CMS/OIS); Johnston, James (CMS/CMMI); Davis, Angela (CMS/OIS)
Subject: Re: Notes: ATC Touch Base 9/25

Angela,

ID - Idaho is mentioned in two places, as signed and as coming this weekend. I thought it was signed already. Please confirm. Thanks

Sent from my iPad

On Sep 26, 2013, at 10:31 AM, "Davis, Angela (CMS/OIS)" <Angela.Davis@cms.hhs.gov> wrote:

Good Morning All,

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- <!--[if !supportLists]--><!--[endif]-->On September 26, 2013, the CIO approved AK, NH, SC, IA, ID, and NV ATC packages. The A-ISA cover and signature pages will be uploaded **[NotResp]**. Spherecom will update the Security State Progress Tracker with the **[NotResp]** numbers for these documents.
- <!--[if !supportLists]--><!--[endif]-->On September 26, 2013, MI, MT, and DC ATC packages were submitted to the CISOs office for review.
- <!--[if !supportLists]--><!--[endif]-->Spherecom anticipates completing OR, HI, AR, and NC ATC packages by COB today, September 26, 2013.
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 - <!--[if !supportLists]--><!--[endif]-->Spherecom is awaiting the POA&M from CO/SBM before an ATC can be initiated.
 - <!--[if !supportLists]--><!--[endif]-->Spherecom anticipates this documentation submission by COB today and to have this ATC package in cue for 9/27/13.
- <!--[if !supportLists]--><!--[endif]-->OESS is still awaiting privacy documentation for GA, NJ, TN, and UT.
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- <!--[if !supportLists]--><!--[endif]-->Spherecom anticipates completing IL, LA, WI, and WV ATC packages on Saturday, September 28, 2013

If any of the information above was captured in error please feel free to send corrections.

Thank you,

Angela Davis

Centers for Medicare & Medicaid Services (CMS)
Office of Information Services (OIS)
<image001.jpg> 410.786.2990 <image002.jpg> angela.davis@cms.hhs.gov
7500 Security Blvd., N2-16-15
Baltimore, MD 21244-1850

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Message

From: Toomey, Jacqueline S.(CMS/OIS) [REDACTED] **NotResp**
 [REDACTED] **NotResp**

Sent: 9/26/2013 11:59:29 AM

To: Fryer, Teresa M. (CMS/OIS) [REDACTED] **NotResp**
 [REDACTED] **NotResp**

CC: Kim, Jane (CMS/OIS) [REDACTED] **NotResp**
 (CMS/OIS) [REDACTED] **NotResp**
 [REDACTED] **NotResp**

Subject: FW: Marketplace

Importance: High

Teresa,

[REDACTED] (b)(5)

Thanks!

Jacqueline S Toomey

Division Director (Acting)
 Division of Information Security Policy and Compliance (DISPC)
 Centers for Medicare & Medicaid Services
 7500 Security Blvd. Mailstop: N1-26-08
 Baltimore, Md. 21244-1870
 Location: N1-26-27 / Office Telephone: 410-786-5552 / BlackBerry: [REDACTED] (b)(6)
jacqueline.toomey@cms.hhs.gov



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From: Jack, Kathleen M. (CMS//CCSQ)
Sent: Thursday, September 26, 2013 7:51 AM
To: Toomey, Jacqueline S.(CMS/OIS); Kim, Jane (CMS/OIS); Baer, David R. (CMS/OIS)
Subject: RE: Marketplace

Thanks, Jacquie,

(b)(5)

Thanks so much for your advice and assistance,

Kathleen

From: Toomey, Jacqueline S.(CMS/OIS)
Sent: Thursday, September 26, 2013 7:33 AM
To: Kim, Jane (CMS/OIS); Baer, David R. (CMS/OIS)
Cc: Jack, Kathleen M. (CMS//CCSQ)
Subject: FW: Marketplace

Jane, Dave,

Could one of you reach out to Kathleen and provide guidance regarding her next steps? Please keep me in the loop.

Thanks!

Jacqueline S Toomey

Division Director (Acting)
Division of Information Security Policy and Compliance (DISPC)
Centers for Medicare & Medicaid Services
7500 Security Blvd., Mailstop: N1-26-08
Baltimore, Md. 21244-1870
Location: N1-26-27 / Office Telephone: 410-786-5552 / BlackBerry (b)(6)
jacqueline.toomey@cms.hhs.gov



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From: Jack, Kathleen M. (CMS//CCSQ)
Sent: Wednesday, September 25, 2013 2:20 PM
To: Toomey, Jacqueline S.(CMS/OIS)
Subject: RE: Marketplace

Hi Jacquie,

I'm the COR for the Marketplace surveys being developed by AIR with its subcontractor Ipsos, a survey vendor. We have two systems (a server and a website) that need to undergo the risk acceptance process. Would you point me in the right direction for how to start the process.

Thanks,

Kathleen

From: Fryer, Teresa M. (CMS/OIS)
Sent: Wednesday, September 25, 2013 12:22 PM
To: Goodrich, Kate (CMS/CCSQ)
Cc: Frederick, Pamela R. (CMS/CCSQ); Jack, Kathleen M. (CMS//CCSQ); Durham, Maria (CMS/CCSQ); Henry, Renee G (CMS/CCSQ); Toomey, Jacqueline S.(CMS/OIS)
Subject: RE: Marketplace

Kate,

(b)(5)

Thanks,

Teresa

From: Goodrich, Kate (CMS/CCSQ)
Sent: Wednesday, September 25, 2013 9:46 AM
To: Fryer, Teresa M. (CMS/OIS)
Cc: Frederick, Pamela R. (CMS/CCSQ); Jack, Kathleen M. (CMS//CCSQ); Durham, Maria (CMS/CCSQ); Henry, Renee G (CMS/CCSQ)
Subject: Marketplace

Hi Teresa,

(b)(5)

Much appreciated,

Kate

Kate Goodrich, MD MHS
Director, Quality Measurement and Health Assessment Group
Center for Clinical Standards and Quality
Centers for Medicare and Medicaid Services

Message

From: Schankweiler, Thomas W. (CMS/OIS) [REDACTED] **NotResp**
Sent: 9/27/2013 1:53:50 PM [REDACTED] **NotResp**
To: Mellor, Michael (CMS/OIS) [REDACTED] **NotResp**
CC: Lyles, Darrin V. (CMS/OIS) [REDACTED] **NotResp**
NotResp [REDACTED] Outerbridge, Monique (CMS/OIS) [REDACTED] **NotResp**
NotResp [REDACTED] [REDACTED] **NotResp**
NotResp [REDACTED] Toomey, Jacqueline S. (CMS/OIS) [REDACTED] **NotResp**
NotResp [REDACTED] [REDACTED] **NotResp**
NotResp [REDACTED] Grothe, Kirk A. (CMS/OIS) [REDACTED] **NotResp**
NotResp [REDACTED] [REDACTED] **NotResp**
George E. (CMS/OIS) [REDACTED] **NotResp**
Subject: RE: HIX-A Draft SCA Report in Question

Mike and Teresa,

[REDACTED] (b)(5)

Also, we need to take a hard look at the strategy for continuing testing for the rest of the year. There has been a request to conduct a comprehensive assessment, and there are a number of releases scheduled along with hot fixes expected throughout the next quarter. Kirk and I talked briefly with Teresa about the need to sit down after the first and look at the expected work and determine the best approach for moving forward with testing.

I appreciate your understanding in this matter.

Tom

From: Mellor, Michael (CMS/OIS)
Sent: Friday, September 27, 2013 9:44 AM
To: Lyles, Darrin V. (CMS/OIS)
Cc: Fryer, Teresa M. (CMS/OIS); Schankweiler, Thomas W. (CMS/OIS); Toomey, Jacqueline S. (CMS/OIS)
Subject: RE: HIX-A Draft SCA Report in Question

Darrin –

We are going to pass these comments on to our SCA team. Keep in mind – that the purpose of the SCA is to provide an independent assessment of the security posture of a system. As part of that independent assessment,

the maintainer of the system likely will not agree with all of the findings and the SCA report. We will take a look at the comments and make adjustments to the report if necessary. Thanks.

From: Lyles, Darrin V. (CMS/OIS)
Sent: Thursday, September 26, 2013 06:06 PM
To: Fryer, Teresa M. (CMS/OIS)
Cc: Schankweiler, Thomas W. (CMS/OIS)
Subject: HIX-A Draft SCA Report in Question

Teresa,

(b)(5)

Regards,

Darrin Lyles
Information Systems Security Officer (ISSO)
Security Controls Assessment (SCA) Coordinator for CIISG
Consumer Information & Insurance Systems Group (CIISG)
Office of Information Services (OIS)
Centers for Medicare & Medicaid Services (CMS)
U.S. Department of Health and Human Services (HHS)
410-786-4744, Desk
[redacted] (b)(6) BB
darrin.lyles@cms.hhs.gov

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Message

From: Kane, Daniel F. (CMS/OAGM) NotResp
 NotResp

Sent: 9/27/2013 1:47:59 PM NotResp

To: Chao, Henry (CMS/OIS) NotResp; Snyder, Michelle (CMS/OA) NotResp; Kerr, James T. (CMS/CMHPO) NotResp

CC: Nelson, David J. (CMS/OEM) NotResp; Bowen, Marianne (CMS/OA) NotResp; NotResp; Wallace, Mary H. (CMS/OC) NotResp; Booth, Jon G. (CMS/OC) NotResp; Patel, Ketan (CMS/OC) NotResp; Linares, George E. (CMS/OIS) NotResp; Oh, Mark U. (CMS/OIS) NotResp; Taylor, Deborah A. (CMS/OFM) NotResp; NotResp

Subject: RE: Concrens on System Peformance and Capacity

Thank you Henry. This is very helpful.

(b)(4)

(b)(4)

Daniel Kane
Director
 Office of Acquisition and Grants Management
 Centers for Medicare and Medicaid Services
 7500 Security Boulevard, Baltimore MD 21244
 (410) 786-1391
 (b)(6) BB

From: Chao, Henry (CMS/OIS)
Sent: Thursday, September 26, 2013 10:54 PM
To: Snyder, Michelle (CMS/OA); Kerr, James T. (CMS/CMHPO)
Cc: Nelson, David J. (CMS/OEM); Bowen, Marianne (CMS/OA); Wallace, Mary H. (CMS/OC); Booth, Jon G. (CMS/OC); Patel, Ketan (CMS/OC); Linares, George E. (CMS/OIS); Oh, Mark U. (CMS/OIS); Taylor, Deborah A. (CMS/OFM); Kane, Daniel F. (CMS/OAGM)
Subject: Concrens on System Peformance and Capacity
Importance: High

In trying to gauge where we may have some issues with capacity and performance

(b)(4)

(b)(4)

Performance testing needs to continue in various environments so the jury is still out for now but by Saturday we will have better factual answers.

(b)(4)

Will have more information in the morning.

Henry Chao
Deputy CIO & Deputy Director,
Office of Information Services
Centers for Medicare & Medicaid Services
410-786-1800

Message

From: Fasching, Laura [laura.fasching@verizon.com]
Sent: 9/27/2013 3:47:36 PM
To: Chao, Henry (CMS/OIS) NotResp ; Oh, Mark U. (CMS/OIS) NotResp
; Margush, Doug C. (CMS/OIS) NotResp ; Murray, Ruairi S. (CMS/OIS) NotResp
; Um, Peter (CMS/CTR) NotResp ; Thurston, Robert (CMS/CTR) NotResp
; Sharma, Hemant (CGI Federal) (Hemant.Sharma@cgifederal.com) [Hemant.Sharma@cgifederal.com]
CC: Basavaraju, Venkat (CMS/OIS) NotResp ; Zaman, Akhtar (CMS/OIS) NotResp
; Linares, George E. (CMS/OIS) NotResp ; Walter, Stephen J. (CMS/OIS) NotResp
; Shao, Lijun (CMS/CPI) NotResp ; Patel, Ketan (CMS/OC) NotResp ; Booth, Jon G. (CMS/OC) NotResp
Subject: RE: Application Environment sizes

(b)(4)

Thanks
Laura

Laura Fasching
Director of Public Sector Strategic Accounts | Verizon Terremark
Tel: +01 703 201 9495
222 W Las Colinas Blvd, Irving, Texas, 75039

From: Chao, Henry (CMS/OIS) [mailto:henry.chao@cms.hhs.gov]
Sent: Thursday, September 26, 2013 11:15 PM
To: Oh, Mark U. (CMS/OIS); Margush, Doug C. (CMS/OIS); Murray, Ruairi S. (CMS/OIS); Um, Peter (CMS/CTR); Thurston, Robert (CMS/CTR); Sharma, Hemant (CGI Federal) (Hemant.Sharma@cgifederal.com); Fasching, Laura
Cc: Basavaraju, Venkat (CMS/OIS); Zaman, Akhtar (CMS/OIS); Linares, George E. (CMS/OIS); Walter, Stephen J. (CMS/OIS); Shao, Lijun (CMS/CPI); Patel, Ketan (CMS/OC); Booth, Jon G. (CMS/OC)
Subject: FW: Application Environment sizes

Laura,

(b)(4)

Henry Chao
Deputy CIO & Deputy Director,
Office of Information Services
Centers for Medicare & Medicaid Services
410-786-1800

From: Fasching, Laura [<mailto:laura.fasching@verizon.com>]
Sent: Thursday, September 26, 2013 10:27 PM
To: Chao, Henry (CMS/OIS); Outerbridge, Monique (CMS/OIS)
Cc: Fasching, Laura
Subject: Application Environment sizes

(b)(4)

I meet with our VP of engineering in the morning to also review our capacity report to let you know how much space is in reserve.

Thanks
Laura

Laura Fasching
Director of Public Sector Strategic Accounts | Verizon Terremark
Tel: +01 703 201 9495
222 W Las Colinas Blvd, Irving, Texas, 75039

Message

From: [REDACTED] Robinson, Carolyn E. (CMS/OIS) **NotResp**
[REDACTED] NotResp
Sent: 9/27/2013 2:22:18 PM
To: [REDACTED] Trenkle, Tony (CMS/OIS) **NotResp**
CC: [REDACTED] Tierney, Janet L. (CMS/OIS) **NotResp**
[REDACTED] NotResp
Subject: FW: Concrens on System Peformance and Capacity
Importance: High

From: Knode, Donald (CMS/OAGM)
Sent: Friday, September 27, 2013 10:17 AM
To: Robinson, Carolyn E. (CMS/OIS)
Subject: FW: Concrens on System Peformance and Capacity
Importance: High

From: Kane, Daniel F. (CMS/OAGM)
Sent: Friday, September 27, 2013 8:01 AM
To: Heard, Derrick L. (CMS/OAGM); Mummert, Andrew R. (CMS/OAGM); Knode, Donald (CMS/OAGM)
Subject: Fw: Concrens on System Peformance and Capacity
Importance: High

FYI.

Sent from my BlackBerry Wireless Device

From: Chao, Henry (CMS/OIS)
Sent: Thursday, September 26, 2013 10:53 PM
To: Snyder, Michelle (CMS/OA); Kerr, James T. (CMS/CMHPO)
Cc: Nelson, David J. (CMS/OEM); Bowen, Marianne (CMS/OA); Wallace, Mary H. (CMS/OC); Booth, Jon G. (CMS/OC); Patel, Ketan (CMS/OC); Linares, George E. (CMS/OIS); Oh, Mark U. (CMS/OIS); Taylor, Deborah A. (CMS/OFM); Kane, Daniel F. (CMS/OAGM)
Subject: Concrens on System Peformance and Capacity

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(b)(4)

(b)(4)

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(b)(4)

Will have more information in the morning.

Henry Chao
Deputy CIO & Deputy Director,
Office of Information Services
Centers for Medicare & Medicaid Services
410-786-1800

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From: Nelson, David J. (CMS/OEM) **NotResp**
 [REDACTED]
NotResp

Sent: 9/27/2013 9:47:12 PM

To: Snyder, Michelle (CMS/OA) **NotResp**
 Trenkle, Tony (CMS/OIS) **NotResp**
 [REDACTED]
NotResp Taylor, Deborah A. (CMS/OFM) **NotResp**
 [REDACTED]
NotResp [REDACTED] Kane, Daniel F. (CMS/OAGM) **NotResp**
 [REDACTED]
NotResp

Subject: Re: number

Its not clear what we have to deploy yet. Performance testing this weekend should help.
 On the bright side this is commodity hardware and can be deployed in a very flexible way - wherever it is needed. From my perspective I think it is prudent to have some on hand right away. I don't think we have any undeployed assets on hand.

David Nelson, Director
 Offices of Enterprise Management
 Centers for Medicare and Medicaid Services

Sent using BlackBerry

From: Snyder, Michelle (CMS/OA)
Sent: Friday, September 27, 2013 05:16 PM
To: Trenkle, Tony (CMS/OIS); Taylor, Deborah A. (CMS/OFM); Kane, Daniel F. (CMS/OAGM); Nelson, David J. (CMS/OEM)
Subject: number

Deb

[REDACTED] (b)(5)

Michelle

A.Michelle Snyder
 Chief Operating Officer
 DHHS/CMS/OA
 410-786-3151

This space intentionally left blank.

Message

From: Taylor, Deborah A. (CMS/OFM) NotResp
 [REDACTED]
 NotResp

Sent: 9/28/2013 2:13:49 AM

To: Chao, Henry (CMS/OIS) NotResp ; Kane,
 Daniel F. (CMS/OAGM) NotResp

CC: Robinson, Carolyn E. (CMS/OIS) NotResp
 [REDACTED]
 NotResp Trenkle, Tony (CMS/OIS) NotResp
 NotResp Nelson, David J. (CMS/OEM) NotResp
 NotResp Outerbridge, Monique (CMS/OIS) NotResp
 NotResp Snyder, Michelle (CMS/OA) ; Worstell, Megan L.
 (CMS/OFM) NotResp ; Tierney,
 Janet L. (CMS/OIS) NotResp

Subject: Re: Cloud Expansion IGCE

(b)(4)

Sent from my BlackBerry Wireless Handheld

From: Chao, Henry (CMS/OIS)
Sent: Friday, September 27, 2013 10:08 PM
To: Kane, Daniel F. (CMS/OAGM); Taylor, Deborah A. (CMS/OFM)
Cc: Robinson, Carolyn E. (CMS/OIS); Trenkle, Tony (CMS/OIS); Weiss, Paul (CMS/OIS); Blondell, Star (CMS/OIS); Nelson, David J. (CMS/OEM); Outerbridge, Monique (CMS/OIS); Snyder, Michelle (CMS/OA); Worstell, Megan L. (CMS/OFM); Hitchcock, Angela N. (CMS/OIS); Lewis, Melinda (CMS/OIS); Tierney, Janet L. (CMS/OIS); Mummert, Andrew R. (CMS/OAGM); Knode, Donald (CMS/OAGM); Heard, Derrick L. (CMS/OAGM)
Subject: RE: Cloud Expansion IGCE

If at all possible that OFM can do this tomorrow I would greatly appreciate it.
 If not then I guess the call will have to do and hope that it will be good enough.

Thanks

Henry Chao
 Deputy CIO & Deputy Director,
 Office of Information Services
 Centers for Medicare & Medicaid Services
 410-786-1800

From: Kane, Daniel F. (CMS/OAGM)
Sent: Friday, September 27, 2013 9:54 PM
To: Chao, Henry (CMS/OIS)
Cc: Robinson, Carolyn E. (CMS/OIS); Taylor, Deborah A. (CMS/OFM); Trenkle, Tony (CMS/OIS); Weiss, Paul (CMS/OIS); Blondell, Star (CMS/OIS); Nelson, David J. (CMS/OEM); Outerbridge, Monique (CMS/OIS); Snyder, Michelle (CMS/OA); Worstell, Megan L. (CMS/OFM); Hitchcock, Angela N. (CMS/OIS); Lewis, Melinda (CMS/OIS); Tierney, Janet L. (CMS/OIS);

Mummert, Andrew R. (CMS/OAGM); Knode, Donald (CMS/OAGM); Heard, Derrick L. (CMS/OAGM)
Subject: Re: Cloud Expansion IGCE

(b)(4)

Sent from my iPad

On Sep 27, 2013, at 9:31 PM, "Chao, Henry (CMS/OIS)" <henry.chao@cms.hhs.gov> wrote:

(b)(4)

Henry Chao
Deputy Chief Information Officer and Deputy Director
Office of Information Services
Centers for Medicare & Medicaid Services
7500 Security Blvd
Baltimore, MD 21244
301-492-4100 (Pri)
410-786-1800 (Alt)
[redacted] (b)(6) (BB)

From: Robinson, Carolyn E. (CMS/OIS)
Sent: Friday, September 27, 2013 09:28 PM
To: Taylor, Deborah A. (CMS/OFM); Kane, Daniel F. (CMS/OAGM)
Cc: Trenkle, Tony (CMS/OIS); Weiss, Paul (CMS/OIS); Blondell, Star (CMS/OIS); Chao, Henry (CMS/OIS); Nelson, David J. (CMS/OEM); Outerbridge, Monique (CMS/OIS); Snyder, Michelle (CMS/OA); Worstell, Megan L. (CMS/OFM); Hitchcock, Angela N. (CMS/OIS); Lewis, Melinda (CMS/OIS); Tierney, Janet L. (CMS/OIS); Mummert, Andrew R. (CMS/OAGM); Knode, Donald (CMS/OAGM)
Subject: Re: Cloud Expansion IGCE

(b)(4)

Sent using BlackBerry

From: Taylor, Deborah A. (CMS/OFM)
Sent: Friday, September 27, 2013 09:22 PM
To: Kane, Daniel F. (CMS/OAGM); Robinson, Carolyn E. (CMS/OIS)
Cc: Trenkle, Tony (CMS/OIS); Weiss, Paul (CMS/OIS); Blondell, Star (CMS/OIS); Chao, Henry (CMS/OIS); Nelson, David J. (CMS/OEM); Outerbridge, Monique (CMS/OIS); Snyder, Michelle (CMS/OA); Worstell, Megan L. (CMS/OFM); Hitchcock, Angela N. (CMS/OIS); Lewis, Melinda (CMS/OIS); Tierney, Janet L. (CMS/OIS); Mummert, Andrew R. (CMS/OAGM); Knode, Donald (CMS/OAGM)
Subject: Re: Cloud Expansion IGCE

(b)(4)

Sent from my BlackBerry Wireless Handheld

From: Kane, Daniel F. (CMS/OAGM)

Sent: Friday, September 27, 2013 08:36 PM

To: Robinson, Carolyn E. (CMS/OIS)

Cc: Taylor, Deborah A. (CMS/OFM); Trenkle, Tony (CMS/OIS); Weiss, Paul (CMS/OIS); Blondell, Star (CMS/OIS); Chao, Henry (CMS/OIS); Nelson, David J. (CMS/OEM); Outerbridge, Monique (CMS/OIS); Snyder, Michelle (CMS/OA); Worstell, Megan L. (CMS/OFM); Hitchcock, Angela N. (CMS/OIS); Lewis, Melinda (CMS/OIS); Tierney, Janet L. (CMS/OIS); Mummert, Andrew R. (CMS/OAGM); Knode, Donald (CMS/OAGM); Robinson, Carolyn E. (CMS/OIS)

Subject: Re: Cloud Expansion IGCE

(b)(4)

Sent from my iPad

On Sep 27, 2013, at 6:55 PM, "Robinson, Carolyn E. (CMS/OIS)" <Carolyn.Robinson@cms.hhs.gov> wrote:

(b)(4)

From: Taylor, Deborah A. (CMS/OFM)

Sent: Friday, September 27, 2013 6:51 PM

To: Robinson, Carolyn E. (CMS/OIS); Trenkle, Tony (CMS/OIS); Weiss, Paul (CMS/OIS); Blondell, Star (CMS/OIS)

Cc: Kane, Daniel F. (CMS/OAGM); Chao, Henry (CMS/OIS); Nelson, David J. (CMS/OEM); Outerbridge, Monique (CMS/OIS); Snyder, Michelle (CMS/OA); Worstell, Megan L. (CMS/OFM); Hitchcock, Angela N. (CMS/OIS); Lewis, Melinda (CMS/OIS); Tierney, Janet L. (CMS/OIS); Mummert, Andrew R. (CMS/OAGM); Knode, Donald (CMS/OAGM)

Subject: Re: Cloud Expansion IGCE

Will do. Thanks

Sent from my BlackBerry Wireless Handheld

From: Robinson, Carolyn E. (CMS/OIS)

Sent: Friday, September 27, 2013 06:47 PM

To: Taylor, Deborah A. (CMS/OFM); Trenkle, Tony (CMS/OIS); Weiss, Paul (CMS/OIS); Blondell, Star (CMS/OIS)

Cc: Kane, Daniel F. (CMS/OAGM); Chao, Henry (CMS/OIS); Nelson, David J. (CMS/OEM); Outerbridge, Monique (CMS/OIS); Snyder, Michelle (CMS/OA); Worstell, Megan L. (CMS/OFM); Hitchcock, Angela N. (CMS/OIS); Lewis, Melinda (CMS/OIS); Tierney, Janet L. (CMS/OIS); Mummert, Andrew R. (CMS/OAGM); Knode, Donald (CMS/OAGM)

Subject: RE: Cloud Expansion IGCE

(b)(4)

(b)(4)

From: Taylor, Deborah A. (CMS/OFM)
Sent: Friday, September 27, 2013 6:45 PM
To: Trenkle, Tony (CMS/OIS)
Cc: Kane, Daniel F. (CMS/OAGM); Robinson, Carolyn E. (CMS/OIS); Chao, Henry (CMS/OIS); Nelson, David J. (CMS/OEM); Outerbridge, Monique (CMS/OIS); Snyder, Michelle (CMS/OA); Worstell, Megan L. (CMS/OFM)
Subject: Re: Cloud Expansion IGCE

Ok thanks for the information we will work on this first thing Monday.

Sent from my BlackBerry Wireless Handheld

From: Trenkle, Tony (CMS/OIS)
Sent: Friday, September 27, 2013 06:41 PM
To: Taylor, Deborah A. (CMS/OFM)
Cc: Kane, Daniel F. (CMS/OAGM); Robinson, Carolyn E. (CMS/OIS); Chao, Henry (CMS/OIS); Nelson, David J. (CMS/OEM); Outerbridge, Monique (CMS/OIS); Snyder, Michelle (CMS/OA)
Subject: Cloud Expansion IGCE

Deb,

(b)(4)

Thanks.

Tony

Message

From: Outerbridge, Monique (CMS/OIS) NotResp
NotResp

Sent: 9/27/2013 11:09:23 PM

To: Trenkle, Tony (CMS/OIS) NotResp

Subject: Re: Per our conversation

Got it

Monique Outerbridge
Director
Consumer Information and Insurance Systems Group
Office of Information Services, CMS
301-492-4376
410-786-5308 (Alt. Office)

From: Trenkle, Tony (CMS/OIS)
Sent: Friday, September 27, 2013 06:48 PM
To: Outerbridge, Monique (CMS/OIS)
Subject: Per our conversation

(b)(5)

Message

From: Trenkle, Tony (CMS/OIS) NotResp
 NotResp

Sent: 9/27/2013 10:43:41 PM

To: Kahn, Jessica (CMS/CMCS) NotResp
 NotResp Boughn, Julie C. (CMS/CMCS) NotResp

CC: Linares, George E. (CMS/OIS) NotResp Schankweiler, Thomas W. (CMS/OIS) NotResp
 NotResp Fryer, Teresa M. (CMS/OIS) NotResp
 Michael (CMS/OIS) NotResp Mellor,

Subject: RE: ATC update

Ok, just let us know.

From: Kahn, Jessica (CMS/CMCS)
Sent: Friday, September 27, 2013 6:19 PM
To: Boughn, Julie C. (CMS/CMCS); Trenkle, Tony (CMS/OIS)
Cc: Linares, George E. (CMS/OIS); Schankweiler, Thomas W. (CMS/OIS)
Subject: ATC update

(b)(5)

- Jess

Jessica P. Kahn MPH | Acting Director | Division of State Systems | Data Systems Group - Center for Medicaid and CHIP Services | Centers for Medicare & Medicaid Services | 410-786-9361 | www.medicaid.gov | Twitter: @CMSSGOV

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Message

From: Kahn, Jessica (CMS/CMCS) [REDACTED] **NotResp**
[REDACTED] **NotResp**
Sent: 9/27/2013 10:19:36 PM
To: Boughn, Julie C. (CMS/CMCS) [REDACTED] **NotResp**
Trenkle, Tony (CMS/OIS) [REDACTED] **NotResp**
CC: Linares, George E. (CMS/OIS) [REDACTED] **NotResp**
[REDACTED] **NotResp**; Schankweiler, Thomas W. (CMS/OIS) [REDACTED] **NotResp**
[REDACTED] **NotResp**
Subject: ATC update

(b)(5)

- Jess

Jessica P. Kahn MPH | Acting Director | Division of State Systems | Data Systems Group - Center for Medicaid and CHIP Services | Centers for Medicare & Medicaid Services | 410-786-9361 | www.medicaid.gov | Twitter: @CMSGOV

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Message

From: King, Jason C. (CMS/OIS) **NotResp**
Sent: 9/27/2013 1:27:39 PM
To: Fryer, Teresa M. (CMS/OIS) **NotResp**
 [REDACTED] **NotResp** [REDACTED] **NotResp**
 [REDACTED] **NotResp** [REDACTED] **NotResp**
CC: Kim, Jane (CMS/OIS) **NotResp**
Subject: RE: HIX-A Draft SCA Report in Question

Actually, Jacquie was right, I mistyped. Findings are only clarified at most from the draft report review, and closures do need to take place prior to the draft report.

Jason King
 Division of Information Security Policy and Compliance (DISPC)
 Enterprise Information Security Group (EISG)
 Centers for Medicare & Medicaid Services
 PH: (410) 786-7578
<http://www.cms.hhs.gov/informationsecurity/>
 Contact EISG at CISO@cms.hhs.gov

From: Fryer, Teresa M. (CMS/OIS)
Sent: Friday, September 27, 2013 9:24 AM
To: Toomey, Jacqueline S.(CMS/OIS); King, Jason C. (CMS/OIS)
Cc: Kim, Jane (CMS/OIS)
Subject: RE: HIX-A Draft SCA Report in Question

Yes, but technically this was the week to provide evidence after the outbrief, so do we consider things that they say they have resolved and give them another week to review the report before going final?

From: Toomey, Jacqueline S.(CMS/OIS)
Sent: Friday, September 27, 2013 9:21 AM
To: Fryer, Teresa M. (CMS/OIS); King, Jason C. (CMS/OIS)
Cc: Kim, Jane (CMS/OIS)
Subject: RE: HIX-A Draft SCA Report in Question

I'm sorry Jason – I thought the team had a week to provide evidence after the final out brief meeting (before the report is created) and the week after the draft report was only for review? Is this yet another difference for the MP or has the process changed?

Jacqueline S Toomey

Division Director (Acting)
 Division of Information Security Policy and Compliance (DISPC)
 Centers for Medicare & Medicaid Services
 7500 Security Blvd. Mailstop: NI-26-08
 Baltimore, Md. 21244-1870
 Location: NI-26-27 / Office Telephone: 410-786-5552 / BlackBerry: [REDACTED] **(b)(6)**
jacqueline.toomey@cms.hhs.gov



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From: Fryer, Teresa M. (CMS/OIS)
Sent: Friday, September 27, 2013 9:13 AM
To: King, Jason C. (CMS/OIS); Toomey, Jacqueline S.(CMS/OIS)
Cc: Kim, Jane (CMS/OIS)
Subject: RE: HIX-A Draft SCA Report in Question

Oh, okay...

From: King, Jason C. (CMS/OIS)
Sent: Friday, September 27, 2013 8:45 AM
To: Fryer, Teresa M. (CMS/OIS); Toomey, Jacqueline S.(CMS/OIS)
Cc: Kim, Jane (CMS/OIS)
Subject: RE: HIX-A Draft SCA Report in Question

The standard process is that a system has up until about a week after the draft report review to submit evidence of remediation to MITRE to close findings. If MITRE concurs, those findings have their status updated as closed and while they remain in the report as such, they are never entered into CFACTS.

Jason King
Division of Information Security Policy and Compliance (DISPC)
Enterprise Information Security Group (EISG)
Centers for Medicare & Medicaid Services
PH: (410) 786-7578
<http://www.cms.hhs.gov/informationsecurity/>
Contact EISG at CISO@cms.hhs.gov

From: Fryer, Teresa M. (CMS/OIS)
Sent: Friday, September 27, 2013 8:35 AM
To: King, Jason C. (CMS/OIS); Toomey, Jacqueline S.(CMS/OIS)
Cc: Kim, Jane (CMS/OIS)
Subject: RE: HIX-A Draft SCA Report in Question

Need to ensure the report reflects as of the end of the SCA and the dae of the report. No reflection of what they have resolved since the assessment/report.

Thanks,

Teresa

From: King, Jason C. (CMS/OIS)
Sent: Friday, September 27, 2013 7:47 AM
To: Toomey, Jacqueline S.(CMS/OIS); Fryer, Teresa M. (CMS/OIS)
Cc: Kim, Jane (CMS/OIS)
Subject: RE: HIX-A Draft SCA Report in Question

(b)(5)

Jason King
Division of Information Security Policy and Compliance (DISPC)
Enterprise Information Security Group (EISG)
Centers for Medicare & Medicaid Services
PH: (410) 786-7578
<http://www.cms.hhs.gov/informationsecurity/>
Contact EISG at CISO@cms.hhs.gov

From: Toomey, Jacqueline S.(CMS/OIS)
Sent: Friday, September 27, 2013 5:56 AM
To: Fryer, Teresa M. (CMS/OIS); King, Jason C. (CMS/OIS)
Subject: RE: HIX-A Draft SCA Report in Question

(b)(5)

Jacqueline S Toomey

Division Director (Acting)
Division of Information Security Policy and Compliance (DISPC)
Centers for Medicare & Medicaid Services
7500 Security Blvd. Mailstop: N1-26-08
Baltimore, Md. 21244-1870
Location: N1-26-27 / Office Telephone: 410-786-5552 / BlackBerry
jacqueline.toomey@cms.hhs.gov

(b)(6)



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From: Fryer, Teresa M. (CMS/OIS)
Sent: Thursday, September 26, 2013 10:15 PM
To: Toomey, Jacqueline S.(CMS/OIS); King, Jason C. (CMS/OIS)
Subject: Fw: HIX-A Draft SCA Report in Question
Importance: High

Is this normal process? Mike doesn't think this is an issue because they are the system developers. I've asked him to get with you on this.

From: Lyles, Darrin V. (CMS/OIS)
Sent: Thursday, September 26, 2013 06:06 PM
To: Fryer, Teresa M. (CMS/OIS)
Cc: Schankweiler, Thomas W. (CMS/OIS)
Subject: HIX-A Draft SCA Report in Question

Teresa,

(b)(5)

Regards,

Darrin Lyles
Information Systems Security Officer (ISSO)
Security Controls Assessment (SCA) Coordinator for CIISG
Consumer Information & Insurance Systems Group (CIISG)
Office of Information Services (OIS)
Centers for Medicare & Medicaid Services (CMS)
U.S. Department of Health and Human Services (HHS)
410-786-4744, Desk
(b)(6) BB
darrin.lyles@cms.hhs.gov

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Message

From: Toomey, Jacqueline S.(CMS/OIS) NotResp
 NotResp

Sent: 9/27/2013 1:28:57 PM

To: Fryer, Teresa M. (CMS/OIS) NotResp
 NotResp King, Jason C. (CMS/OIS) NotResp
 NotResp

CC: Kim, Jane (CMS/OIS) NotResp

Subject: RE: HIX-A Draft SCA Report in Question

True.

Jacqueline S Toomey

Division Director (Acting)
 Division of Information Security Policy and Compliance (DISPC)
 Centers for Medicare & Medicaid Services
 7500 Security Blvd. Mailstop: NI-26-08
 Baltimore, Md. 21244-1870
 Location: NI-26-27 / Office Telephone: 410-786-5552 / BlackBerry: NotResp
jacqueline.toomey@cms.hhs.gov



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Sent: Friday, September 27, 2013 9:28 AM
To: Toomey, Jacqueline S.(CMS/OIS); King, Jason C. (CMS/OIS)
Cc: Kim, Jane (CMS/OIS)
Subject: RE: HIX-A Draft SCA Report in Question

(b)(5)

From: Toomey, Jacqueline S.(CMS/OIS)
Sent: Friday, September 27, 2013 9:26 AM
To: Fryer, Teresa M. (CMS/OIS); King, Jason C. (CMS/OIS)
Cc: Kim, Jane (CMS/OIS)
Subject: RE: HIX-A Draft SCA Report in Question

I don't think we have time to do that. Do we?

Jacqueline S Toomey

Division Director (Acting)
Division of Information Security Policy and Compliance (DISPC)
Centers for Medicare & Medicaid Services
7500 Security Blvd. Mailstop: N1-26-08
Baltimore, Md. 21244-1870
Location: N1-26-27 / Office Telephone: 410-786-5552 / BlackBerry:
jacqueline.toomey@cms.hhs.gov

NotResp



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Sent: Friday, September 27, 2013 9:24 AM
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Cc: Kim, Jane (CMS/OIS)
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Jacqueline S Toomey

Division Director (Acting)
Division of Information Security Policy and Compliance (DISPC)
Centers for Medicare & Medicaid Services
7500 Security Blvd. Mailstop: N1-26-08
Baltimore, Md. 21244-1870
Location: N1-26-27 / Office Telephone: 410-786-5552 / BlackBerry:
jacqueline.toomey@cms.hhs.gov

NotResp



Enterprise Information Security Group
Risk Management, Oversight, & Monitoring

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Cc: Kim, Jane (CMS/OIS)
Subject: RE: HIX-A Draft SCA Report in Question

Oh, okay...

From: King, Jason C. (CMS/OIS)
Sent: Friday, September 27, 2013 8:45 AM
To: Fryer, Teresa M. (CMS/OIS); Toomey, Jacqueline S.(CMS/OIS)
Cc: Kim, Jane (CMS/OIS)
Subject: RE: HIX-A Draft SCA Report in Question

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Jason King
Division of Information Security Policy and Compliance (DISPC)
Enterprise Information Security Group (EISG)
Centers for Medicare & Medicaid Services
PH: (410) 786-7578
<http://www.cms.hhs.gov/informationsecurity/>
Contact EISG at CISO@cms.hhs.gov

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Sent: Friday, September 27, 2013 8:35 AM
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Cc: Kim, Jane (CMS/OIS)
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Thanks,

Teresa

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Cc: Kim, Jane (CMS/OIS)
Subject: RE: HIX-A Draft SCA Report in Question

(b)(5)

Jason King
Division of Information Security Policy and Compliance (DISPC)
Enterprise Information Security Group (EISG)
Centers for Medicare & Medicaid Services
PH: (410) 786-7578
<http://www.cms.hhs.gov/informationsecurity/>
Contact EISG at CISO@cms.hhs.gov

From: Toomey, Jacqueline S.(CMS/OIS)
Sent: Friday, September 27, 2013 5:56 AM
To: Fryer, Teresa M. (CMS/OIS); King, Jason C. (CMS/OIS)
Subject: RE: HIX-A Draft SCA Report in Question

(b)(5)

Jacqueline S Toomey

Division Director (Acting)
Division of Information Security Policy and Compliance (DISPC)
Centers for Medicare & Medicaid Services
7500 Security Blvd. Mailstop: NI-26-08
Baltimore, Md. 21244-1870
Location: NI-26-27 / Office Telephone: 410-786-5552 / BlackBerry: Not Resp
jacqueline.toomey@cms.hhs.gov



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Sent: Thursday, September 26, 2013 10:15 PM
To: Toomey, Jacqueline S.(CMS/OIS); King, Jason C. (CMS/OIS)
Subject: Fw: HIX-A Draft SCA Report in Question
Importance: High

Is this normal process? Mike doesn't think this is an issue because they are the system developers. I've asked him to get with you on this.

From: Lyles, Darrin V. (CMS/OIS)
Sent: Thursday, September 26, 2013 06:06 PM
To: Fryer, Teresa M. (CMS/OIS)
Cc: Schankweiler, Thomas W. (CMS/OIS)
Subject: HIX-A Draft SCA Report in Question

Teresa,

(b)(5)

Regards,

Darrin Lyles
Information Systems Security Officer (ISSO)
Security Controls Assessment (SCA) Coordinator for CIISG
Consumer Information & Insurance Systems Group (CIISG)
Office of Information Services (OIS)
Centers for Medicare & Medicaid Services (CMS)
U.S. Department of Health and Human Services (HHS)
410-786-4744, Desk

Not Resp ;BB
darrin.lyles@cms.hhs.gov

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Message

From: King, Jason C. (CMS/OIS) NotResp
Sent: 9/27/2013 12:45:53 PM
To: Fryer, Teresa M. (CMS/OIS) NotResp
NotResp
NotResp
CC: Kim, Jane (CMS/OIS) NotResp
Subject: RE: HIX-A Draft SCA Report in Question

The standard process is that a system has up until about a week after the draft report review to submit evidence of remediation to MITRE to close findings. If MITRE concurs, those findings have their status updated as closed and while they remain in the report as such, they are never entered into CFACTS.

Jason King
 Division of Information Security Policy and Compliance (DISPC)
 Enterprise Information Security Group (EISG)
 Centers for Medicare & Medicaid Services
 PH: (410) 786-7578
<http://www.cms.hhs.gov/informationsecurity/>
 Contact EISG at CISO@cms.hhs.gov

From: Fryer, Teresa M. (CMS/OIS)
Sent: Friday, September 27, 2013 8:35 AM
To: King, Jason C. (CMS/OIS); Toomey, Jacqueline S.(CMS/OIS)
Cc: Kim, Jane (CMS/OIS)
Subject: RE: HIX-A Draft SCA Report in Question

Need to ensure the report reflects as of the end of the SCA and the dae of the report. No reflection of what they have resolved since the assessment/report.

Thanks,

Teresa

From: King, Jason C. (CMS/OIS)
Sent: Friday, September 27, 2013 7:47 AM
To: Toomey, Jacqueline S.(CMS/OIS); Fryer, Teresa M. (CMS/OIS)
Cc: Kim, Jane (CMS/OIS)
Subject: RE: HIX-A Draft SCA Report in Question

(b)(5)

Jason King
Division of Information Security Policy and Compliance (DISPC)
Enterprise Information Security Group (EISG)
Centers for Medicare & Medicaid Services
PH: (410) 786-7578
<http://www.cms.hhs.gov/informationsecurity/>
Contact EISG at CISO@cms.hhs.gov

From: Toomey, Jacqueline S.(CMS/OIS)
Sent: Friday, September 27, 2013 5:56 AM
To: Fryer, Teresa M. (CMS/OIS); King, Jason C. (CMS/OIS)
Subject: RE: HIX-A Draft SCA Report in Question

(b)(5)

Jacqueline S Toomey

Division Director (Acting)
Division of Information Security Policy and Compliance (DISPC)
Centers for Medicare & Medicaid Services
7500 Security Blvd. Mailstop: N1-26-08
Baltimore, Md. 21244-1870
Location: N1-26-27 / Office Telephone: 410-786-5552 / BlackBerry: (b)(6)
jacqueline.toomey@cms.hhs.gov



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From: Fryer, Teresa M. (CMS/OIS)
Sent: Thursday, September 26, 2013 10:15 PM
To: Toomey, Jacqueline S.(CMS/OIS); King, Jason C. (CMS/OIS)
Subject: Fw: HIX-A Draft SCA Report in Question
Importance: High

Is this normal process? Mike doesn't think this is an issue because they are the system developers. I've asked him to get with you on this.

From: Lyles, Darrin V. (CMS/OIS)
Sent: Thursday, September 26, 2013 06:06 PM
To: Fryer, Teresa M. (CMS/OIS)
Cc: Schankweiler, Thomas W. (CMS/OIS)
Subject: HIX-A Draft SCA Report in Question

Teresa,

[Redacted]
(b)(5)

Regards,

Darrin Lyles
Information Systems Security Officer (ISSO)
Security Controls Assessment (SCA) Coordinator for CIISG
Consumer Information & Insurance Systems Group (CIISG)
Office of Information Services (OIS)
Centers for Medicare & Medicaid Services (CMS)
U.S. Department of Health and Human Services (HHS)
410-786-4744, Desk
[Redacted] (b)(6) BB
darrin.lyles@cms.hhs.gov

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Message

From: Schatoff, Jack H. (CMS/OIS) [REDACTED] **NotResp**
 [REDACTED] **NotResp**

Sent: 9/27/2013 1:23:55 PM

To: Willard, Adam (CMS/CTR) [REDACTED] **NotResp**
 [REDACTED] **NotResp**; Schankweiler, Thomas W.
 (CMS/OIS)

CC: Marantan, James (CMS/OIS) [REDACTED] **NotResp**
 Mellor, Michael (CMS/OIS) [REDACTED] **NotResp**
 Fryer, Teresa M. (CMS/OIS) [REDACTED] **NotResp**
 [REDACTED] **NotResp**

Subject: RE: ACA Testing

Adam,

Please advise when the corrections are made. We plan to test again on Monday.

Regards,

Jack

From: Willard, Adam (CMS/CTR)
Sent: Friday, September 27, 2013 9:22 AM
To: Schatoff, Jack H. (CMS/OIS); Schankweiler, Thomas W. (CMS/OIS)
Cc: Marantan, James (CMS/OIS); Mellor, Michael (CMS/OIS); Fryer, Teresa M. (CMS/OIS)
Subject: RE: ACA Testing

Jack,

Thank you very much.

Adam Willard (Contractor)
 703-354-2229 x513 (Direct)
 [REDACTED] (b)(6) (Mobile)
Adam.Willard@cms.hhs.gov

CMS XOC Security Team
 Consumer Information & Insurance Systems Group (CIISG)
 Centers for Medicare & Medicaid Services (CMS)
 703-594-4961/703-910-3993
ciisg-soc@cms.hhs.gov

From: Schatoff, Jack H. (CMS/OIS)
Sent: Friday, September 27, 2013 8:42 AM
To: Willard, Adam (CMS/CTR); Schankweiler, Thomas W. (CMS/OIS)
Cc: Marantan, James (CMS/OIS); Mellor, Michael (CMS/OIS); Fryer, Teresa M. (CMS/OIS); Schatoff, Jack H. (CMS/OIS)
Subject: ACA Testing

Adam, Tom,

Please see attached summary report for testing against the externally facing components for ACA. The findings will be uploaded into CFACTS today.

Regards,

Jack

Jack Schatoff
Centers for Medicare and Medicaid Services
Enterprise Information Security Group (EISG)
Division of Information Security Operations (DISO)
jack.schatoff@cms.hhs.gov
Office: 410-786-2554
Mobile: [REDACTED] (b)(6) [REDACTED]



Message

From: Schankweiler, Thomas W. (CMS/OIS) NotResp
 [REDACTED] NotResp

Sent: 9/27/2013 1:36:22 PM

To: Schatoff, Jack H. (CMS/OIS) NotResp
 [REDACTED] NotResp Willard, Adam (CMS/CTR) NotResp
 [REDACTED] NotResp

CC: Marantan, James (CMS/OIS) NotResp
 Mellor, Michael (CMS/OIS) NotResp
 Fryer, Teresa M. (CMS/OIS) NotResp
 [REDACTED] NotResp

Subject: RE: ACA Testing

All,

It make sense to upload the report, however POAM's are not to be created for these three items. These are duplicate items flagged by MITRE in their reports and is already targeted for inclusion in a POAM.

Thanks,

Tom

From: Schatoff, Jack H. (CMS/OIS)
Sent: Friday, September 27, 2013 8:43 AM
To: Willard, Adam (CMS/CTR); Schankweiler, Thomas W. (CMS/OIS)
Cc: Marantan, James (CMS/OIS); Mellor, Michael (CMS/OIS); Fryer, Teresa M. (CMS/OIS); Schatoff, Jack H. (CMS/OIS)
Subject: ACA Testing

Adam, Tom,

Please see attached summary report for testing against the externally facing components for ACA. The findings will be uploaded into CFACTS today.

Regards,

Jack

Jack Schatoff
 Centers for Medicare and Medicaid Services
 Enterprise Information Security Group (EISG)
 Division of Information Security Operations (DISO)
jack.schatoff@cms.hhs.gov
 Office: 410-786-2554
 Mobile: [REDACTED] (b)(6)



Message

From: Mellor, Michael (CMS/OIS) [REDACTED] **NotResp**
 [REDACTED] **NotResp**

Sent: 9/27/2013 1:40:51 PM

To: Toomey, Jacqueline S. (CMS/OIS) [REDACTED] **NotResp**
 [REDACTED] **NotResp** King, Jason C. (CMS/OIS) [REDACTED] **NotResp**

CC: Fryer, Teresa M. (CMS/OIS) [REDACTED] **NotResp**
 [REDACTED] **NotResp**

Subject: FW: HIX-A Draft SCA Report in Question

Attachments: HIX-A Draft SCA Report September 23 2013 with CGI's comments.docx

Jacquie – Will you please pass these comments on to the SCA team for their response? Please ask the SCA team to respond directly back to you and Jason. Thanks.

From: Fryer, Teresa M. (CMS/OIS)
Sent: Thursday, September 26, 2013 9:25 PM
To: Mellor, Michael (CMS/OIS)
Subject: Fw: HIX-A Draft SCA Report in Question
Importance: High

From: Lyles, Darrin V. (CMS/OIS)
Sent: Thursday, September 26, 2013 06:06 PM
To: Fryer, Teresa M. (CMS/OIS)
Cc: Schankweiler, Thomas W. (CMS/OIS)
Subject: HIX-A Draft SCA Report in Question

Teresa,

[REDACTED]
NotResp

Regards,

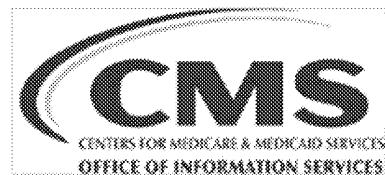
Darrin Lyles
 Information Systems Security Officer (ISSO)
 Security Controls Assessment (SCA) Coordinator for CIISG
 Consumer Information & Insurance Systems Group (CIISG)
 Office of Information Services (OIS)
 Centers for Medicare & Medicaid Services (CMS)
 U.S. Department of Health and Human Services (HHS)
 410-786-4744, Desk

[REDACTED] (b)(6) BB

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DEPARTMENT OF HEALTH & HUMAN SERVICES
Centers for Medicare & Medicaid Services
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Baltimore, MD 21244-1850



CENTERS FOR MEDICARE & MEDICAID SERVICES

Office of Information Services
7500 Security Boulevard
Baltimore, MD 21244-1850

***Health Insurance eXchange (HIX) August
– September 2013
Security Control Assessment (SCA)
Report***

Draft Report
September 23, 2013

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NotResp

CMS SENSITIVE INFORMATION—REQUIRES SPECIAL HANDLING

CMS SENSITIVE INFORMATION—REQUIRES SPECIAL HANDLING

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September 23, 2013

NotResp

CMS SENSITIVE INFORMATION—REQUIRES SPECIAL HANDLING

Health Insurance eXchange (HIX) August – September 2013 Draft Report

September 23, 2013

NotResp

Message

From: Mellor, Michael (CMS/OIS) NotResp
 NotResp
Sent: 9/27/2013 2:02:32 PM
To: Toomey, Jacqueline S. (CMS/OIS) NotResp
 NotResp Marantan, James (CMS/OIS) NotResp
 NotResp
CC: Fryer, Teresa M. (CMS/OIS) NotResp
 NotResp
Subject: FW: ACA Testing

James & Jacquie –

I'm including an email discussion between Teresa and I to provide some context for this request. I'm going to schedule a meeting towards the end of next week to discuss this. Jacquie – I realize you will be out of the office; please delegate as necessary.

If possible – please get together prior to the meeting and discuss ideas. Thanks.

From: Fryer, Teresa M. (CMS/OIS)
Sent: Friday, September 27, 2013 9:52 AM
To: Mellor, Michael (CMS/OIS)
Subject: RE: ACA Testing

agree

From: Mellor, Michael (CMS/OIS)
Sent: Friday, September 27, 2013 9:33 AM
To: Fryer, Teresa M. (CMS/OIS)
Subject: RE: ACA Testing

(b)(5)

From: Fryer, Teresa M. (CMS/OIS)
Sent: Friday, September 27, 2013 9:31 AM
To: Mellor, Michael (CMS/OIS)
Subject: RE: ACA Testing

(b)(5)

From: Mellor, Michael (CMS/OIS)
Sent: Friday, September 27, 2013 9:29 AM

To: Fryer, Teresa M. (CMS/OIS)
Subject: RE: ACA Testing

(b)(5)

From: Fryer, Teresa M. (CMS/OIS)
Sent: Friday, September 27, 2013 9:26 AM
To: Mellor, Michael (CMS/OIS)
Subject: FW: ACA Testing

(b)(5)

Thanks,

Teresa

From: Schatoff, Jack H. (CMS/OIS)
Sent: Friday, September 27, 2013 8:42 AM
To: Willard, Adam (CMS/CTR); Schankweiler, Thomas W. (CMS/OIS)
Cc: Marantan, James (CMS/OIS); Mellor, Michael (CMS/OIS); Fryer, Teresa M. (CMS/OIS); Schatoff, Jack H. (CMS/OIS)
Subject: ACA Testing
Adam, Tom,

Please see attached summary report for testing against the externally facing components for ACA. The findings will be uploaded into CFACTS today.

Regards,

Jack

Jack Schatoff
Centers for Medicare and Medicaid Services
Enterprise Information Security Group (EISG)
Division of Information Security Operations (DISO)
jack.schatoff@cms.hhs.gov
Office: 410-786-2554
Mobile: (b)(6)



This space intentionally left blank.

Message

From: Mellor, Michael (CMS/OIS) NotResp
NotResp

Sent: 9/27/2013 1:57:41 PM

To: Fryer, Teresa M. (CMS/OIS) NotResp
NotResp

Subject: RE: HIX-A Draft SCA Report in Question

That was re-write number 3 – you should have seen my first one =)

From: Fryer, Teresa M. (CMS/OIS)
Sent: Friday, September 27, 2013 9:53 AM
To: Mellor, Michael (CMS/OIS)
Subject: RE: HIX-A Draft SCA Report in Question

Nice message Mike!

From: Mellor, Michael (CMS/OIS)
Sent: Friday, September 27, 2013 9:44 AM
To: Lyles, Darrin V. (CMS/OIS)
Cc: Fryer, Teresa M. (CMS/OIS); Schankweiler, Thomas W. (CMS/OIS); Toomey, Jacqueline S.(CMS/OIS)
Subject: RE: HIX-A Draft SCA Report in Question

Darrin –

We are going to pass these comments on to our SCA team. Keep in mind – that the purpose of the SCA is to provide an independent assessment of the security posture of a system. As part of that independent assessment, the maintainer of the system likely will not agree with all of the findings and the SCA report. We will take a look at the comments and make adjustments to the report if necessary. Thanks.

From: Lyles, Darrin V. (CMS/OIS)
Sent: Thursday, September 26, 2013 06:06 PM
To: Fryer, Teresa M. (CMS/OIS)
Cc: Schankweiler, Thomas W. (CMS/OIS)
Subject: HIX-A Draft SCA Report in Question

Teresa,

(b)(5)

Regards,

Darrin Lyles
Information Systems Security Officer (ISSO)
Security Controls Assessment (SCA) Coordinator for CIISG

Consumer Information & Insurance Systems Group (CIISG)
Office of Information Services (OIS)
Centers for Medicare & Medicaid Services (CMS)
U.S. Department of Health and Human Services (HHS)
410-786-4744, Desk
[REDACTED] (b)(6) BB
darrin.lyles@cms.hhs.gov

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Message

From: Kim, Jane (CMS/OIS) **NotResp**
Sent: 9/27/2013 11:51:53 AM
To: King, Jason C. (CMS/OIS) **NotResp**
Jacqueline S.(CMS/OIS) **NotResp**
Fryer, Teresa M. (CMS/OIS) **NotResp**
 NotResp
Subject: RE: HIX-A Draft SCA Report in Question

(b)(5)

Jane Kim, PhD, CISSP, CIPP/G
Division of Information Security Policy and Compliance (DISPC)
Enterprise Information Security Group (EISG)
Centers for Medicare & Medicaid Services
PH: (410) 786-5430
<http://www.cms.hhs.gov/informationsecurity/>
Contact the EISG Team CISO@cms.hhs.gov



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From: King, Jason C. (CMS/OIS)
Sent: Friday, September 27, 2013 7:47 AM
To: Toomey, Jacqueline S.(CMS/OIS); Fryer, Teresa M. (CMS/OIS)
Cc: Kim, Jane (CMS/OIS)
Subject: RE: HIX-A Draft SCA Report in Question

(b)(5)

Jason King
Division of Information Security Policy and Compliance (DISPC)
Enterprise Information Security Group (EISG)
Centers for Medicare & Medicaid Services
PH: (410) 786-7578
<http://www.cms.hhs.gov/informationsecurity/>
Contact EISG at CISO@cms.hhs.gov

From: Toomey, Jacqueline S.(CMS/OIS)
Sent: Friday, September 27, 2013 5:56 AM
To: Fryer, Teresa M. (CMS/OIS); King, Jason C. (CMS/OIS)
Subject: RE: HIX-A Draft SCA Report in Question

(b)(5)

Jacqueline S Toomey

Division Director (Acting)
Division of Information Security Policy and Compliance (DISPC)
Centers for Medicare & Medicaid Services
7500 Security Blvd. Mailstop: N1-26-08
Baltimore, Md. 21244-1870
Location: N1-26-27 / Office Telephone: 410-786-5552 / BlackBerry: [REDACTED] (b)(6)
jacqueline.toomey@cms.hhs.gov



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From: Fryer, Teresa M. (CMS/OIS)
Sent: Thursday, September 26, 2013 10:15 PM
To: Toomey, Jacqueline S.(CMS/OIS); King, Jason C. (CMS/OIS)
Subject: Fw: HIX-A Draft SCA Report in Question
Importance: High

Is this normal process? Mike doesn't think this is an issue because they are the system developers. I've asked him to get with you on this.

From: Lyles, Darrin V. (CMS/OIS)
Sent: Thursday, September 26, 2013 06:06 PM
To: Fryer, Teresa M. (CMS/OIS)
Cc: Schankweiler, Thomas W. (CMS/OIS)
Subject: HIX-A Draft SCA Report in Question

Teresa,

(b)(5)

Regards,

Darrin Lyles
Information Systems Security Officer (ISSO)
Security Controls Assessment (SCA) Coordinator for CIISG
Consumer Information & Insurance Systems Group (CIISG)
Office of Information Services (OIS)
Centers for Medicare & Medicaid Services (CMS)
U.S. Department of Health and Human Services (HHS)
410-786-4744, Desk
[REDACTED] (b)(6) BB
darrin.lyles@cms.hhs.gov

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Message

(b)(5)

Jason King
Division of Information Security Policy and Compliance (DISPC)
Enterprise Information Security Group (EISG)
Centers for Medicare & Medicaid Services
PH: (410) 786-7578
<http://www.cms.hhs.gov/informationsecurity/>
Contact EISG at CISO@cms.hhs.gov

From: Toomey, Jacqueline S.(CMS/OIS)
Sent: Friday, September 27, 2013 5:56 AM
To: Fryer, Teresa M. (CMS/OIS); King, Jason C. (CMS/OIS)
Subject: RE: HIX-A Draft SCA Report in Question

(b)(5)

Jacqueline S Faomey

Division Director (Acting)
Division of Information Security Policy and Compliance (DISPC)
Centers for Medicare & Medicaid Services
7500 Security Blvd. Mailstop: NJ-26-08

Baltimore, Md. 21244-1870

Location: NJ-26-27 / Office Telephone: 410-786-5552 / BlackBerry: [REDACTED]

(b)(6)

jacqueline.toomey@cms.hhs.gov



Enterprise Information Security Group

Risk Management, Oversight, & Monitoring

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From: Fryer, Teresa M. (CMS/OIS)
Sent: Thursday, September 26, 2013 10:15 PM
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Subject: Fw: HIX-A Draft SCA Report in Question
Importance: High

Is this normal process? Mike doesn't think this is an issue because they are the system developers. I've asked him to get with you on this.

From: Lyles, Darrin V. (CMS/OIS)
Sent: Thursday, September 26, 2013 06:06 PM
To: Fryer, Teresa M. (CMS/OIS)
Cc: Schankweiler, Thomas W. (CMS/OIS)
Subject: HIX-A Draft SCA Report in Question

Teresa,

[REDACTED]
(b)(5)

Regards,

Darrin Lyles
Information Systems Security Officer (ISSO)
Security Controls Assessment (SCA) Coordinator for CIISG
Consumer Information & Insurance Systems Group (CIISG)
Office of Information Services (OIS)
Centers for Medicare & Medicaid Services (CMS)
U.S. Department of Health and Human Services (HHS)
410-786-4744, Desk

[REDACTED] (b)(6) BB

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Message

From: Mellor, Michael (CMS/OIS) NotResp
 [REDACTED]
 NotResp

Sent: 9/27/2013 6:48:51 PM

To: Carter, Cathy T. (CMS/OIS) NotResp
 [REDACTED]
 NotResp

CC: Richardson, Marc D. (CMS/OIS) NotResp
 [REDACTED]
 NotResp Trenkle, Tony (CMS/OIS) NotResp
 [REDACTED]
 NotResp Fryer, Teresa M. (CMS/OIS) NotResp
 [REDACTED]
 NotResp Gass,
 Carole F. (CMS/OIS) NotResp
 Chao, Henry (CMS/OIS) NotResp
 [REDACTED]

Subject: RE[NotRe] 092813 final report

Cathy – This is a significant accomplishment from a security perspective for[NotRes] Our penetration testing team is very good and almost always find exploitable vulnerabilities in the systems that are tested. Congratulations!

From: Schatoff, Jack H. (CMS/OIS)
Sent: Friday, September 27, 2013 1:07 PM
To: Marantan, James (CMS/OIS); Mellor, Michael (CMS/OIS); Fryer, Teresa M. (CMS/OIS)
Cc: Gong, John (CMS/OIS); Burger, Robert (CMS/OIS)
Subject: FW:[NotRes] 092813 final report

The attached final summary report covers pen testing on the CMS internal[NotRe] servers. No exploitable vulnerabilities were detected. Additional testing will occur on Monday.

Jack

From: Conte, Michael B. (CMS/CTR)
Sent: Friday, September 27, 2013 12:30 PM
To: Schatoff, Jack H. (CMS/OIS)
Subject: [NotRes] 092813 final report

Good Morning Jack,

Attached is final report for [NotRe] sp. 092813. No findings were identified this week. Testing will be rerun next week.

M. Brian Conte (Contractor)
 CISO Security Team
 Enterprise Information Security Group (EISG)
 Center for Medicare & Medicaid Services
 Contact the CISO Team CISO@cms.hhs.gov<<mailto:CISO@cms.hhs.gov>>

Message

From: Mellor, Michael (CMS/OIS) [REDACTED] NotResp
[REDACTED] NotResp

Sent: 9/27/2013 2:20:16 PM

To: Fryer, Teresa M. (CMS/OIS) [REDACTED] NotResp
[REDACTED] NotResp

Subject: RE: HIX-A Draft SCA Report in Question

Right =)

From: Fryer, Teresa M. (CMS/OIS)
Sent: Friday, September 27, 2013 10:17 AM
To: Mellor, Michael (CMS/OIS)
Subject: FW: HIX-A Draft SCA Report in Question

And what about the ISRA that CGI wrote?

From: Schankweiler, Thomas W. (CMS/OIS)
Sent: Friday, September 27, 2013 9:53 AM
To: Mellor, Michael (CMS/OIS); Lyles, Darrin V. (CMS/OIS); Outerbridge, Monique (CMS/OIS)
Cc: Fryer, Teresa M. (CMS/OIS); Toomey, Jacqueline S. (CMS/OIS); Grothe, Kirk A. (CMS/OIS); Linares, George E. (CMS/OIS)
Subject: RE: HIX-A Draft SCA Report in Question

Mike and Teresa,

(b)(6)

Tom

From: Mellor, Michael (CMS/OIS)
Sent: Friday, September 27, 2013 9:44 AM
To: Lyles, Darrin V. (CMS/OIS)

Cc: Fryer, Teresa M. (CMS/OIS); Schankweiler, Thomas W. (CMS/OIS); Toomey, Jacqueline S.(CMS/OIS)
Subject: RE: HIX-A Draft SCA Report in Question

Darrin –

We are going to pass these comments on to our SCA team. Keep in mind – that the purpose of the SCA is to provide an independent assessment of the security posture of a system. As part of that independent assessment, the maintainer of the system likely will not agree with all of the findings and the SCA report. We will take a look at the comments and make adjustments to the report if necessary. Thanks.

From: Lyles, Darrin V. (CMS/OIS)
Sent: Thursday, September 26, 2013 06:06 PM
To: Fryer, Teresa M. (CMS/OIS)
Cc: Schankweiler, Thomas W. (CMS/OIS)
Subject: HIX-A Draft SCA Report in Question

Teresa,

(b)(6)

Regards,

Darrin Lyles
Information Systems Security Officer (ISSO)
Security Controls Assessment (SCA) Coordinator for CIISG
Consumer Information & Insurance Systems Group (CIISG)
Office of Information Services (OIS)
Centers for Medicare & Medicaid Services (CMS)
U.S. Department of Health and Human Services (HHS)
410-786-4744, Desk
(b)(6) BB
darrin.lyles@cms.hhs.gov

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Message

From: Mellor, Michael (CMS/OIS) [REDACTED] **NotResp**
 [REDACTED] **NotResp**

Sent: 9/27/2013 6:50:12 PM

To: Toomey, Jacqueline S.(CMS/OIS) [REDACTED] **NotResp**
 [REDACTED] **NotResp**

CC: Fryer, Teresa M. (CMS/OIS) [REDACTED] **NotResp**
 [REDACTED] **NotResp** King, Jason C. (CMS/OIS) [REDACTED] **NotResp**

Subject: RE: HIX-A Draft SCA Report in Question

Lets talk about this early next week with the 4 of us. Thanks.

From: Toomey, Jacqueline S.(CMS/OIS)
Sent: Friday, September 27, 2013 11:19 AM
To: Mellor, Michael (CMS/OIS)
Cc: Fryer, Teresa M. (CMS/OIS); King, Jason C. (CMS/OIS)
Subject: FW: HIX-A Draft SCA Report in Question

Mike,

Did you have specific concerns you wanted addressed?

Jacqueline S Toomey

Division Director (Acting)
 Division of Information Security Policy and Compliance (DISPC)
 Centers for Medicare & Medicaid Services
 7500 Security Blvd. Mailstop: N1-26-08
 Baltimore, Md. 21244-1870
 Location: N1-26-27 / Office Telephone: 410-786-5552 / BlackBerry: [REDACTED] (b)(6)
jacqueline.toomey@cms.hhs.gov



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From: Bielski, Jim [mailto:jbierski@mitre.org]
Sent: Friday, September 27, 2013 10:18 AM
To: Toomey, Jacqueline S.(CMS/OIS); Shomo, Milton
Cc: Mellor, Michael (CMS/OIS); Fryer, Teresa M. (CMS/OIS)
Subject: RE: HIX-A Draft SCA Report in Question

Jacque,

(b)(6)

Jim Bielski
Information Systems Engineer, Principal
The MITRE Corporation
(b)(6) (cell)
410 402-2717 (office)

From: Toomey, Jacqueline S.(CMS/OIS) [mailto:Jacqueline.Toomey@cms.hhs.gov]
Sent: Friday, September 27, 2013 09:45
To: Shomo, Milton; Bielski, Jim
Cc: Mellor, Michael (CMS/OIS); Fryer, Teresa M. (CMS/OIS)
Subject: FW: HIX-A Draft SCA Report in Question

Milton, Jim

Can you please provide responses to the comments in the attached document? When completed, forward them to Jason King and I.

Thank you!

Jacqueline S Toomey

Division Director (Acting)
Division of Information Security Policy and Compliance (DISPC)
Centers for Medicare & Medicaid Services
7500 Security Blvd. Mailstop: N1-26-08
Baltimore, Md. 21244-1870
Location: N1-26-27 / Office Telephone: 410-786-5552 / BlackBerry:
jacqueline.toomey@cms.hhs.gov

(b)(6)



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Message

From: Bielski, Jim [jbielski@mitre.org]
Sent: 9/27/2013 2:17:42 PM
To: Toomey, Jacqueline S.(CMS/OIS) [REDACTED] NotResp
 [REDACTED] NotResp [REDACTED]
CC: Mellor, Michael (CMS/OIS) [REDACTED] NotResp
 Fryer, Teresa M. (CMS/OIS) [REDACTED] NotResp
Subject: RE: HIX-A Draft SCA Report in Question

Jacque,

(b)(6)

Jim Bielski
 Information Systems Engineer, Principal
 The MITRE Corporation
 (b)(6) [cell]
 410 402-2717 (office)

From: Toomey, Jacqueline S.(CMS/OIS) [mailto:Jacqueline.Toomey@cms.hhs.gov]
Sent: Friday, September 27, 2013 09:45
To: Shomo, Milton; Bielski, Jim
Cc: Mellor, Michael (CMS/OIS); Fryer, Teresa M. (CMS/OIS)
Subject: FW: HIX-A Draft SCA Report in Question

Milton, Jim

Can you please provide responses to the comments in the attached document? When completed, forward them to Jason King and I.

Thank you!

Jacqueline S Toomey

Division Director (Acting)
 Division of Information Security Policy and Compliance (DISPC)
 Centers for Medicare & Medicaid Services
 7500 Security Blvd. Mailstop: N1-26-08
 Baltimore, Md. 21244-1870



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Message

From: Schatoff, Jack H. (CMS/OIS) [REDACTED] **NotResp**
 [REDACTED] **NotResp**

Sent: 9/27/2013 4:25:07 PM

To: Schankweiler, Thomas W. (CMS/OIS) [REDACTED] **NotResp**
 [REDACTED] **NotResp** [REDACTED] **NotResp**

CC: Marantan, James (CMS/OIS) [REDACTED] **NotResp**
 Mellor, Michael (CMS/OIS) [REDACTED] **NotResp**
 Fryer, Teresa M. (CMS/OIS) [REDACTED] **NotResp**
 [REDACTED] **NotResp**

Subject: RE: ACA Testing

Tom,

Sounds good. We will test again Monday.

jack

From: Schankweiler, Thomas W. (CMS/OIS)
Sent: Friday, September 27, 2013 12:10 PM
To: Schatoff, Jack H. (CMS/OIS); Willard, Adam (CMS/CTR)
Cc: Marantan, James (CMS/OIS); Mellor, Michael (CMS/OIS); Fryer, Teresa M. (CMS/OIS)
Subject: RE: ACA Testing

In regards to the weak-cyphers we have the ability to lock this down through Akamai which was tested last month in production in august. OC is working to get this addressed in the tasks for this weekend, to confirm the SSL protections are set and confirmed again. You should be able to check this again when you do your post Oct 1, pen testing activities. I would be interested in seeing the updated results from your team.

Thanks,

Tom

From: Schatoff, Jack H. (CMS/OIS)
Sent: Friday, September 27, 2013 11:56 AM
To: Schankweiler, Thomas W. (CMS/OIS); Willard, Adam (CMS/CTR)
Cc: Marantan, James (CMS/OIS); Mellor, Michael (CMS/OIS); Fryer, Teresa M. (CMS/OIS)
Subject: RE: ACA Testing

Tom,

Per your instructions, only the summary report is currently being uploaded into CFACTS.

Jack

From: Schankweiler, Thomas W. (CMS/OIS)
Sent: Friday, September 27, 2013 9:36 AM

To: Schatoff, Jack H. (CMS/OIS); Willard, Adam (CMS/CTR)
Cc: Marantan, James (CMS/OIS); Mellor, Michael (CMS/OIS); Fryer, Teresa M. (CMS/OIS)
Subject: RE: ACA Testing

All,

It make sense to upload the report, however POAM's are not to be created for these three items. These are duplicate items flagged by MITRE in their reports and is already targeted for inclusion in a POAM.

Thanks,

Tom

From: Schatoff, Jack H. (CMS/OIS)
Sent: Friday, September 27, 2013 8:43 AM
To: Willard, Adam (CMS/CTR); Schankweiler, Thomas W. (CMS/OIS)
Cc: Marantan, James (CMS/OIS); Mellor, Michael (CMS/OIS); Fryer, Teresa M. (CMS/OIS); Schatoff, Jack H. (CMS/OIS)
Subject: ACA Testing

Adam, Tom,

Please see attached summary report for testing against the externally facing components for ACA. The findings will be uploaded into CFACTS today.

Regards,

Jack

Jack Schatoff
Centers for Medicare and Medicaid Services
Enterprise Information Security Group (EISG)
Division of Information Security Operations (DISO)
jack.schatoff@cms.hhs.gov
Office: 410-786-2554
Mobile: (b)(6)



Message

From: Schatoff, Jack H. (CMS/OIS) **NotResp**
Sent: 9/27/2013 5:06:41 PM
To: Marantan, James (CMS/OIS) **NotResp**
Mellor, Michael (CMS/OIS) **NotResp**
Fryer, Teresa M. (CMS/OIS) **NotResp**
CC: Gong, John (CMS/OIS) **NotResp**
Burger, Robert (CMS/OIS) **NotResp**
Subject: FW: **NotR** 092813 final report
Attachments: EISG **NotR** FINAL 092713 summary report v1.0.doc

The attached final summary report covers pen testing on the CMS internal **NotRes** servers. No exploitable vulnerabilities were detected. Additional testing will occur on Monday.

Jack

From: Conte, Michael B. (CMS/CTR)
Sent: Friday, September 27, 2013 12:30 PM
To: Schatoff, Jack H. (CMS/OIS)
Subject: **NotRes** 092813 final report

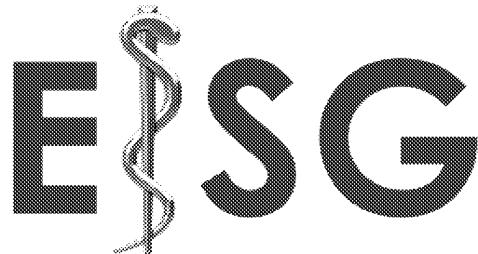
Good Morning Jack,

Attached is final report for **NotRes**. No findings were identified this week. Testing will be rerun next week.

M. Brian Conte (Contractor)
CISO Security Team
Enterprise Information Security Group (EISG)
Center for Medicare & Medicaid Services
Contact the CISO Team CISO@cms.hhs.gov



Office of the Chief Information Security Officer
Centers for Medicare & Medicaid Services
7500 Security Boulevard
Baltimore, Maryland 21244-1850



Enterprise Information Security Group
*Risk Management, Oversight,
and Monitoring*

CMS Enterprise Identity Management FINAL 092713 Summary

Version 1.0
September 27, 2013

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Confidential and Proprietary Information: Need to Know

Centers for Medicare and Medicaid Service	CMS	Not Resp	FINAL 092713 Summary
Enterprise Information Security Group	September 27, 2013, Version 1.0		
1. Executive Summary	3		
2. Background Information	3		
3. Activities Timeline	3		
Information Gathering and Vulnerability Testing – 9/2/13 – 9/13/13	3		
4. Vulnerabilities	5		
5. Action Plan	6		
6. Acknowledgements & References	7		

Confidential and Proprietary Information: Need to Know

Page 2

1. Executive Summary

The Enterprise Information Security Group (EISG) of the Centers for Medicare and Medicaid Services (CMS) requested SphereCom Enterprises Inc. to conduct an internal penetration test against the CMS [NotRes] system from the CMS Central Office (CO) Office Automation (OA) network.

Penetration Testing is an analysis of the technical security controls required to protect information and information systems, which have been implemented in an operational environment. The Penetration Test determines the extent to which security requirements were met and identifies vulnerabilities susceptible to malicious exploitation.

The Penetration Tester's, hence known as PenTester, activities associated with the activities described within this summary report were not detected by the BDC during the course of the tests.

The Internal Penetration Test of the CMS [NotRes] system described within this summary report was conducted over 10 days from 8:00 AM on September 2, 2013 through 3 PM on September 13, 2013.

2. Background Information

The PenTester conducted this portion of the test of the BDC environment from the CMS BDC and was using an internal IP address utilizing Government Furnished Equipment (GFE). This GFE laptop, while recognized as a government asset does not conform to the CMS standard configuration as required under the restrictions and limitations defined within the *BDC Internal Penetration Testing Rules of Engagement*. This allows the PenTester to emulate a rogue laptop plugging into the CMS network.

3. Activities Timeline

Information Gathering and Vulnerability Testing – 9/2/13 – 9/13/13

During a requested test of the CMS [NotRes] system the PenTester performed [NotRes] [NotRes] scans of the targeted system. Manual testing was also performed. No Critical or High vulnerabilities were identified during this testing.

Testing was conducted but results were filtered and limited by ACL's between the testers' location and the [NotRes] servers.

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The following servers were tested.

	NotResp			NotResp		NotResp

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4. Vulnerabilities

This section contains the vulnerabilities, related to IT-based weaknesses, discovered during the tests described earlier in this summary report. These were assessed as being important regarding their impact upon CMS' overall security posture, and the BDC should take immediate steps to validate and remediate them.

No Vulnerabilities were identified.

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5. Action Plan

No Action Plan was needed.

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6. Acknowledgements & References

The OCISO's Security Assessment services are an initiative in compliance with Federal mandates and guidance such as, but not limited to, the following references:

- *CMS Policy for the Information Security Program (PISP)*
- *CMS Acceptable Risks Safeguards (ARS)*
- *CMS Risk Management Handbook (RMH)*
- *CMS System Security Levels*
- Federal Information Security Management Act (FISMA)
- National Institute of Standards and Technology (NIST) Special Publication (SP) 800-53 Revision (Rev) 4.0, *DRAFT Security and Privacy Controls for Federal Information Systems and Organizations*
- NIST SP 800-53 Rev 3.0, *Recommended Security Controls for Federal Information Systems and Organizations*
- NIST SP 800-53 A Rev 1, *Guide for Assessing the Security Controls in Federal Information Systems and Organizations, Building Effective Security Assessment Plans*
- NIST SP 800-142, *Practical Combinatorial Testing*
- NIST SP 800-137, *Information Security Continuous Monitoring for Federal Information Systems and Organizations*
- NIST SP 800-115, *Technical Guide to Information Security Testing and Assessment*
- NIST SP 800-40 Version 2.0, *Creating a Patch and Vulnerability Management Program*
- NIST SP 800-39, *Managing Information Security Risk: Organization, Mission, and Information System View*
- NIST SP 800-37 Rev 1, *Guide for Applying the Risk Management Framework to Federal Information Systems: A Security Life Cycle Approach*
- NIST SP 800-35, *Guide to Information Technology Security Services*
- NIST SP 800-30 Rev 1, *Guide for Conducting Risk Assessments*
- OMB M-07-24, *Updated Principles for Risk Analysis*
- OMB M-07-19, *Reporting Instructions for the FISMA and Agency Privacy Management*

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