

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

1. Person Reporting (last name, first, middle initial) Abrams, Ronnie
2. Court or Organization Southern District of New York
3. Date of Report 05/07/2015
4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge - Active Status
5a. Report Type (check appropriate type) Initial [checked]
6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address 40 Foley Square New York, NY 10007-1312
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

[checked] NONE (No reportable positions.)
POSITION NAME OF ORGANIZATION/ENTITY
1.
2.
3.
4.
5.

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

[checked] NONE (No reportable agreements.)
DATE PARTIES AND TERMS
1.
2.
3.

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions.)

A. Filer's Non-Investment Income
[checked] NONE (No reportable non-investment income.)
DATE SOURCE AND TYPE INCOME (yours, not spouse's)
1.
2.
3.
4.

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section. (Dollar amount not required except for honoraria.)

[ ] NONE (No reportable non-investment income.)
DATE SOURCE AND TYPE
1. 2014 Davis Polk & Wardwell LLP - K1
2.
3.
4.

IV. REIMBURSEMENTS - transportation, lodging, food, entertainment. (Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

[checked] NONE (No reportable reimbursements.)
SOURCE DATES LOCATION PURPOSE ITEMS PAID OR PROVIDED
1.
2.
3.
4.
5.

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

[checked] NONE (No reportable gifts.)
SOURCE DESCRIPTION VALUE
1.
2.
3.
4.
5.

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

[checked] NONE (No reportable liabilities.)
CREDITOR DESCRIPTION VALUE CODE
1.
2.
3.
4.
5.

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VII. INVESTMENTS and TRUSTS - income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

[ ] NONE (No reportable income, assets, or transactions.)

Table with 5 main columns: A. Description of Assets, B. Income during reporting period, C. Gross value at end of reporting period, D. Transactions during reporting period. Includes rows for various investments like Andres Family Partnership, Rosbur Corporation, Silk & Halpern Reserve LLC, etc.

Legend for investment codes: 1. Income Gain Codes, 2. Value Codes, 3. Value Method Codes. Includes codes A through W and their corresponding value ranges.

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VII. INVESTMENTS and TRUSTS - income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

[ ] NONE (No reportable income, assets, or transactions.)

Table with 5 main columns: A. Description of Assets, B. Income during reporting period, C. Gross value at end of reporting period, D. Transactions during reporting period. Includes rows for investments like Doubleline Total Return Bond Fund, Eaton Vance Floating Rate High Income, etc.

Legend for investment codes: 1. Income Gain Codes, 2. Value Codes, 3. Value Method Codes. Includes codes A through W and their corresponding value ranges.

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VII. INVESTMENTS and TRUSTS - income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

[ ] NONE (No reportable income, assets, or transactions.)

Table with 5 main columns: A. Description of Assets, B. Income during reporting period, C. Gross value at end of reporting period, D. Transactions during reporting period. Includes rows for investments like DPW 401K Plan, DPW 401K Plan - Spartan 500 Index Fund, etc.

Legend for investment codes: 1. Income Gain Codes, 2. Value Codes, 3. Value Method Codes. Includes codes A through W and their corresponding value ranges.

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. (Indicate part of report.)

The account listed on Line 4 - First Manhattan Co was closed in 2013. There was no income in 2014.
The Mid-Cap Stock Index Portfolio and the Bond Market Portfolio were both listed in 2013 under the NYS 529 Plan Savings Account. They were both sold on 3/13/13.
The American Funds Growth Fund of America was listed in 2013 under the DPW 401K Plan. It was liquidated on 3/25/13.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor and dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.
I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Ronnie Abrams

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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