AO 10
Rev. 1/2015

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2015

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Abrams, Ronnie	Southern District of New York	05/05/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type** (check appropriate type)	**6. Reporting Period**
District Judge - Active Status	☐ Nomination, Date; ☐ Initial; ☑ Annual; ☐ Final 5b. ☐ Amended Report	01/01/2015 to 12/31/2015
7. Chambers or Office Address		
40 Foley Square New York, NY 10007-1312		

***IMPORTANT NOTES:** The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.*

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.	2015	Davis Polk & Wardwell LLP - K1
2.		
3.		
4.		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1.	Andres Family Partnership	D	Int./Div.	M	U					
2.	Rosbur Corporation	D	Dividend	M	T					
3.	Citibank Cash Accounts	A	Interest	P1	T					
4.	Credit Suisse - Taxable Accounts (H)									
5.	Credit Suisse - MMA - Cash	A	Interest	J	T					
6.	Delaware Diversified Income Fund	A	Dividend	J	T					
7.	Sterling Capital Equity Income Fund - Institutional Class	D	Dividend	M	T					
8.	Cullen International High Dividend Fund - Institutional Class	C	Dividend	L	T	Sold (part)	10/06/15	J	A	
9.						Buy (add'l)	06/15/15	J		
10.	Wells Fargo Advantage Emerging Markets Equity Fund		None			Sold				
11.	Lord Abbett Intermediate Tax Free Fund - Class F	C	Dividend	M	T					
12.	Lateef Fund Class I	B	Dividend	J	T	Sold (part)	07/21/15	J	A	
13.	IRA # 1 (H)									
14.	Credit Suisse - MMA - Cash (X)		None	J	T					
15.	Sterling Capital Equity Income Fund - Institutional Class	D	Dividend	M	T					
16.	Cullen International High Dividend Fund - Institutional Class	B	Dividend	M	T					
17.	Doubleline Total Return Bond Fund - Class I	C	Dividend			Sold	08/10/15	K	A	

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

	A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	Place "(X)" after each asset exempt from prior disclosure	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18.	Eaton Vance Floating Rate High Income Institutional Class	A	Dividend			Sold	02/17/15	K	A	
19.	Wells Fargo Advantage Emerging Markets Equity Fund - Class I	A	Dividend	K	T	Sold (part)	07/10/15	J	A	
20.	Harbor High Yield Fund - Instl Class	A	Dividend	J	T	Sold (part)	01/13/15	J	A	
21.						Sold (part)	04/13/15	J	A	
22.						Sold (part)	04/15/15	J	A	
23.	Lateef Fund Class I	E	Dividend	M	T					
24.	Pimco Total Return Fund - Class P	D	Dividend	M	T					
25.	Guggenheim Macro Opportunities Fund	A	Dividend	J	T	Buy	02/18/15	J		
26.						Buy (add'l)	08/11/15	J		
27.	Angel Oak Multi Strategy Income	B	Dividend	K	T	Buy	02/18/15	K		
28.						Buy (add'l)	08/11/15	K		
29.	Inherited IRA (H)									
30.	Credit Suisse - MMA - Cash (X)		None	J	T					
31.	Lateef Fund Class I	D	Dividend	L	T					
32.	Cullen International High Dividend Fund - Institutional Class	A	Dividend	J	T	Sold (part)	04/30/15	J	A	
33.	Credit Suisse Floating Rate High Income Fund - Class I	A	Dividend			Sold	02/17/15	J	A	
34.	Doubleline Total Return Bond Fund - Class I	A	Dividend			Sold	08/10/15	J	A	

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35.	Harbor High Yield Bond Fund - Instl Class	A	Dividend	J	T	Sold (part)	01/12/15	J	A	
36.	Guggenheim Macro Opportunities Fund	A	Dividend	K	T	Buy	02/18/15	J		
37.						Buy (add'l)	08/11/15	J		
38.	DPW 401K Plan - Morgan Stanley Core Plus Fixed Inc	A	Int./Div.	K	T					
39.	DPW 401K Plan - Spartan 500 Index Fund	A	Int./Div.	M	T					
40.	DPW HR10 Plan - Oakmark Equity & Income Fund	A	Int./Div.	M	T					
41.	NYS 529 College Savings Plans - Managed by Vanguard (H)									
42.	Developed Market Index	B	Int./Div.	J	T					
43.	Growth Stock Index Portfolio	B	Int./Div.	K	T					
44.	Value Stock Index Portfolio	B	Int./Div.	K	T					
45.	Small Cap Stock Index	B	Int./Div.	J	T					
46.										
47.										
48.										
49.										
50.										
51.										

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52.										
53.										

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

The account listed on line 9 - Wells Fargo Advantage Emerging Markets - Equity Fund was fully sold in 2014. It was listed as a partial sale in 2014.

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Ronnie Abrams**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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